



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

**Application Form
University Board of Trustees Position
State University System of Florida**

Name: Franklin Fred D. Date Completed: 04/20/11
Last First Middle and/or Maiden

INSTRUCTIONS

The information submitted will be used by the Board of Governors in considering action on your application. If appointed, please be advised that your appointment is subject to confirmation by the Florida Senate and you will be required to file an annual financial disclosure statement with the Florida Commission on Ethics.

Please type or print clearly. Please do not leave any questions blank - answer "none" or "not applicable" where appropriate.

All applications must be signed and witnessed by a Notary. Submit the original completed application via mail, email, or facsimile by the posted deadline to:

State University System of Florida, Board of Governors
 325 W. Gaines Street, Suite 1614
 Tallahassee, FL 32399-0400
 Fax 850.245.9685 Chancellor@flbog.edu

PLEASE NOTE: any application submitted by facsimile or email must be received by the posted deadline and followed by the original signed application to above address.

EXCLUSIONS

The following conditions exclude eligibility for appointment as a University Board of Trustee member.

Registered Lobbyist: No Yes Dual Office Holding: No Yes

Authority:

Section 112.313(17), Florida Statutes, prohibits any citizen member of a university board of trustees from having any employment or contractual relationship as a legislative lobbyist requiring annual registration under section 11.045, Florida Statutes.

Article II, section 5(a) of the Florida Constitution prohibits any person from holding more than one office under the government of the state, counties, and municipalities at the same time, except for certain exclusions stated therein (notary public, military officer, member of a statutory body having only advisory powers, etc.)

OFFICE OF THE
 CHANCELLOR
 STATE UNIVERSITY
 SYSTEM OF FLORIDA
 11 MAY 12 11 51 AM '11

EXEMPTION FROM PUBLIC RECORDS

AS A GENERAL MATTER, APPLICATIONS FOR ALL POSITIONS WITHIN STATE GOVERNMENT ARE PUBLIC RECORDS THAT MAY BE VIEWED UPON REQUEST. HOWEVER, THERE ARE SOME EXEMPTIONS FROM THE PUBLIC RECORDS LAW FOR CERTAIN IDENTIFYING INFORMATION RELATING TO PAST AND PRESENT LAW ENFORCEMENT OFFICERS AND THEIR FAMILIES, VICTIMS OF CERTAIN CRIMES, ETC. IF YOU BELIEVE AN EXEMPTION FROM THE PUBLIC RECORDS LAW APPLIES TO YOUR SUBMISSION, PLEASE CHECK THIS BOX.

Yes, I assert that identifying information provided in this application should be excluded from inspection under the Public Records Law.

IF YOU NEED ADDITIONAL GUIDANCE AS TO THE APPLICABILITY OF ANY PUBLIC RECORDS LAW EXEMPTION TO YOUR SITUATION, PLEASE CONTACT THE GENERAL COUNSEL FOR THE BOARD OF GOVERNORS.

Vikki R. Shirley
General Counsel
State University System of Florida, Board of Governors
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
(850) 245-0466

PERSONAL INFORMATION

Name: Franklin Fred D. Date Completed: 04/20/11
Last First Middle and/or Maiden

1. University Board of Interest: Are you applying for reappointment? Yes [] No [x]
FAMU [] FAU [] FGCU [] FIU [] FSU [] NCF [] UCF [] UF [] UNF [x] USF [] UWF []

2. Residence Address: [Redacted] Jacksonville FL Duval 32225
Street City State County Zip Code
904-221-4942 904-472-7047
Area Code/Phone Cell Phone

3. Current Employer or Occupation: Rogers Towers, P.A.; Attorney
Business Address: 1301 Riverplace Boulevard, Suite 1500, Jacksonville, Florida 32207
Street Office City State
904-398-2911
Post Office Box Suite Zip Code Area Code/Phone Number
ffranklin@rtlaw.com
E-mail Address

4. Specify the preferred mailing address: Business [x] Home [] Fax # 904-396-0663

5. List all places of residence for the past five (5) years.
Address City and State From To
[Redacted] Jacksonville, Florida 32225 10/99 to Present

6. List all former and current residences outside of Florida that you have maintained at any time during adulthood.
Address City and State From To
None

7. Date of Birth: [Redacted] * Place of Birth: Columbus, Georgia *

8. Social Security No.: [Redacted] *

9. Driver License No.: [Redacted] * Issuing State: Florida *

*ALL INFORMATION MARKED WITH AN ASTERIK IS REQUIRED FOR CONDUCTING BACKGROUND SCREENING AND WILL BE REDACTED PRIOR TO DISTRIBUTION OF THE APPLICATION TO THE TRUSTEE NOMINATING COMMITTEE MEMBERS. REFER TO ATTACHED NOTICE ON USE OF SOCIAL SECURITY NUMBERS.

10. Have you ever been known by any other legal name? Yes No If "Yes" explain.

11. Are you a United States citizen? Yes No If "No" explain.

12. If you are a naturalized citizen, date of naturalization: _____

13. Since what year have you been a continuous resident of Florida? 1973

14. Are you a registered Florida voter? Yes No

15. Have you ever been arrested, charged, or indicted for violation of any federal, state, county or municipal law, regulation, or ordinance? (Exclude traffic violations for which a fine or civil penalty of \$150 or less was paid.) Yes No If "Yes" give details:

<u>Date</u>	<u>Place</u>	<u>Nature</u>	<u>Disposition</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

16. Has probable cause ever been found that you were in violation of Part III, Chapter 112, F.S., the Code of Ethics for Public Officers and Employees? Yes No If "Yes", give details:

<u>Date</u>	<u>Nature of Violation</u>	<u>Disposition</u>
_____	_____	_____
_____	_____	_____

17. Have you ever been suspended from any office by the Governor of the State of Florida? Yes No If "Yes", list:
Title of Office: _____ Reason for Suspension: _____
Date of Suspension: _____ Result: Reinstated Removed Resigned

18. Are there any pending lawsuits against you or are you a party to a lawsuit in any court in which you are the plaintiff or defendant? Yes No If "yes", what type and where?

19. Have any judgments been entered against you as a result of any civil or administrative proceeding(s)? Yes No If "yes", identify the proceeding(s) that resulted in the judgment and the date the judgment was entered.

20. Are you now engaged in activities, or have you engaged in activities in the past, that will reflect unfavorably on the board to which you seek appointment? Yes No If "yes", explain.

21. Have you ever been refused a fidelity, surety, performance, or other bond? Yes No If "Yes", explain.

EDUCATION, LICENSURE, MEMBERSHIPS

22. Education:

A. High School: Carver High School Year Graduated: 1973
(Name and Location)

B. List all postsecondary educational institutions attended:

<u>Name and Location</u>	<u>Date Attended</u>	<u>Certificates/Degrees Received</u>
Jacksonville University	1973-1977	B.S.
University of Florida	1977-1979	J.D.

23. Have you received any degree(s) or professional certification(s) related to the subject matter of this appointment? Yes No If "Yes", list:

24. Have you held or do you hold an occupational or professional license or certificate in the State of Florida? Yes No If "Yes", provide the title and number, original issue date, and issuing authority. If any disciplinary action (fine, probation, suspension, revocation, and/or disbarment) has ever been taken against you by the issuing authority, state the type and date of the action taken:

<u>License/Certificate Title & Number</u>	<u>Original Issue Date</u>	<u>Issuing Authority</u>	<u>Disciplinary Action/Date</u>
Florida Bar [REDACTED]	1980	Florida Bar	NA

25. Identify all association memberships and association offices held by you that relate to this appointment:

None

26. Name any business, professional, occupational, civic, or fraternal organization(s) of which you are now a member, or of which you have been a member during the past five (5) years, the organization address(es), and date(s) of your membership(s).

<u>Name</u>	<u>Mailing Address</u>	<u>Office(s) Held & Term</u>	<u>Date(s) of Membership</u>
Gator Bowl Association	1 Gator Bowl Boulevard		
Jacksonville Florida,			1998 to Present
General Counsel			

27. Are you now, or have you within the past four (4) years, been a member of any club or organization that, to your knowledge, in practice or in policy, restricts membership or restricted membership during the time that you belonged, on the basis of race, religion, national origin or gender? Yes No If "yes", detail the name and nature of the organization, relevant policies and practices, and state whether you intend to continue as a member if appointed by the Board of Governors.

EMPLOYMENT HISTORY AND PROFESSIONAL BACKGROUND

28. Concerning your current employer and for all of your employment, including self-employment, during the last five years, list your employer's name, business address, type of business, occupation or job title, and period(s) of employment:

<u>Employer Name and Address</u>	<u>Type of Business</u>	<u>Occupation/Title</u>	<u>Period of Employment</u>
Rogers Towers, P.A.	Law Firm	Attorney	2004 to Present

29. Have you ever been employed by any state, district, or local government agency in Florida? Yes No If "Yes", identify the position(s), the name(s) of the employing agency, and the period(s) of employment, and reason for leaving:

<u>Position</u>	<u>Employing Agency</u>	<u>Period of Employment</u>
Attorney	State Attorney, Fourth Circuit	1980 to 1983
General Counsel	City of Jacksonville	1995 to 1997

30. Have you ever been responsible or played a role in managing a business or other corporate entity? Yes No "Yes", state the name of the business, the dates of your involvement, and provide a brief description of your involvement.

31. Are you or have you ever been a member of the United States armed forces? Yes No
 If "Yes", list:

A. Dates of service: _____
 B. Branch or component: _____
 C. Date and type of discharge: _____

32. Do you currently hold an office or position (appointive, civil service, or other) with the Federal or any foreign government? Yes No If "Yes", please list:

33. Have you previously been appointed to any office that required confirmation by the Florida Senate? Yes No If "Yes", list:

Title of Office: _____
 Term of Appointment: _____
 Confirmation results: _____

34. Have you ever been elected or appointed to any public office in this state? Yes No
 If "Yes", state the office title, date of election or appointment, term of office, and level of government (city, county, district or state):

<u>Office Title</u>	<u>Date of Election or Appointment</u>	<u>Term of Office</u>	<u>Level of Government</u>
General Counsel	1995	2 years	City
Port Authority	2000	2 years	City
Commissioner, JEDC	2005	2 years	City
First DCA Judicial	2006	4 years	State

Nominating Committee

If your service was on an appointed board(s), committee(s) or council(s):

- A. How frequently were meetings scheduled? monthly
 B. If you missed any of the regularly scheduled meetings, state the number of meetings attended, number missed, and the reason(s) for absence(s).

<u>Meetings Attended</u>	<u>Meetings Missed</u>	<u>Reason for Absence</u>
NA		
_____	_____	_____
_____	_____	_____

35. Have you ever served on any profit or not-for-profit board? Yes No If "Yes", state the title, date of appointment, length of service, and provide a brief description of your involvement. See attached profile.

36. Have you ever been a registered lobbyist or have you lobbied at any level of government at any time during the past five years? Yes No If "Yes", please explain:
A. Did you receive any compensation other than reimbursement for expenses? Yes No
B. Name of agency or entity you lobbied and the principals you represented:

Agency Lobbied

Principals Represented

37. Describe your experiences and interests or elements of your personal history that qualify you for this appointment.

I have served on both profit and non-profit boards and possess substantial experience in policy making, strategic planning and governance.

38. Describe your understanding of the role of a member of a university board of trustees. Board members should be expected to provide leadership to the university by way of strategic planning, policy making, constructive input and oversight of management.

CONFLICT OF INTEREST

39. Describe any involvement with and/or relationship to the university to which you are applying (other than as a student).

None

40. Have you, or any business of which you have been an owner, officer, or employee, held any employment or contractual relationship during the last four (4) years with the university to which you are seeking appointment? Yes No If "Yes", identify:

Name of Business

Your Relationship to Business

Business' Relationship to University

41. Have members of your immediate family (spouse, child, parent(s), sibling(s)), or businesses of which members of your immediate family have been owners, officers, or employees, held any employment or contractual relationship during the last four (4) years with the university to which you are seeking appointment? Yes No If "Yes", explain:

<u>Name of Business</u>	<u>Family Member's Relationship to you</u>	<u>Family Member's Relationship to Business</u>	<u>Business' Relationship to University</u>

42. Do you know of any reason why you will not be able to attend fully the duties of the position to which you have been or will be appointed? Yes No If "yes", explain:

REFERENCES

43. List three persons who have known you well during the past five (5) years. Include a current, complete address and telephone number. Exclude your relatives and members of the Florida Senate.

<u>Name</u>	<u>Mailing Address</u>	<u>Zip Code</u>	<u>Area Code/Telephone Number</u>
See attached			

CERTIFICATION

STATE OF Florida

COUNTY OF Duval

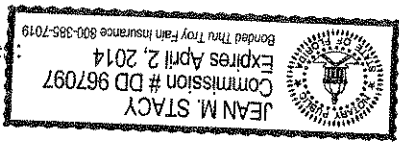
Before me, the undersigned authority, personally appeared Fred D. Franklin, Jr who after being duly sworn, says: 1) that he/she has carefully prepared or read the answers to the foregoing question; 2) that the information contained in said answers is complete and true; 3) that he/she will, as an appointee, fully support the Constitutions of the United States and the State of Florida. Be it further known that in signing this document the undersigned understands that a background check by the Florida Department of Law Enforcement will be performed on all nominees who are recommended to the Florida Board of Governors and that he/she has received a copy of the Board of Governors' Statement on the Collection, Use or Release of Social Security Numbers.

[Signature]
Affiant's signature

Sworn to and subscribed before me on this 4th day of May, 2011, by
Fred D. Franklin, Jr.

[Signature]
(signature of notary)

(typed, printed or stamped name)
Notary Public
Commission No.:
My Commission Expires:



Personally Known OR Produced Identification

Type of Identification Produced _____

**Statement on the Collection, Use, or Release of Social Security Numbers
(Master Document - Revised August 2010)**

Florida law requires that public entities provide individuals with a written statement identifying the state or federal law governing the collection, use, or release of social security numbers for each purpose for which the entity collects an individual's social security number. The collection of social security numbers by the Board of Governors is either specifically authorized by law or imperative for the performance of the Board's responsibilities as prescribed by law and the Florida Constitution. The following list identifies the purposes for which social security numbers may be collected, used, or released, and the pertinent authority.

Applicants for University Board of Trustee Positions

- For Level 1 and level 2 criminal background checks conducted by the Florida Department of Law Enforcement for employees and/or Board appointees to university boards of trustees [Required by Fla. Admin. Code 11C-6.003 and Fla. Stat. § 119.071(5) (a) 6]
- The disclosure of the social security number is expressly required by federal or state law or a court order [Authorized by Fla. Stat. § 119.071(5) (a) 6]
- The individual expressly consents in writing to the disclosure of his or her social security number [Authorized by Fla. Stat. § 119.071(5) (a) 6]

Attachment for #43

Name	Mailing Address	Phone Number
Michael A. Wodrich	c/o Rogers Towers, P.A. 1301 Riverplace Boulevard, Suite 1500 Jacksonville, Florida 32207	(904) 398-3911
Mark M. Arnold	c/o Rogers Towers, P.A. 1301 Riverplace Boulevard, Suite 1500 Jacksonville, Florida 32207	(904) 398-3911
Forrest Johnson	c/o Forrest Johnson & Associates 1745 MLK Drive Atlanta, Georgia 30314	(404) 758-9111

Court Admissions

- U.S. District Court, Middle District of Florida
- U.S. District Court, Northern District of Florida
- U.S. Court of Appeals, 11th Circuit

Professional and Community Involvement

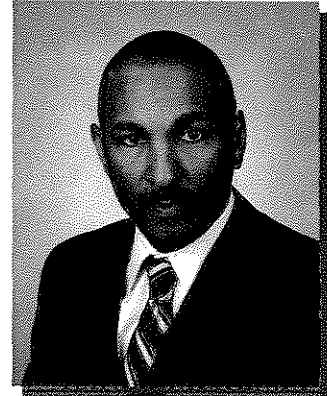
- Judicial Nominating Commission, Florida First District Court of Appeal, 2006-2010
- Grievance Committee, U.S. District Court for the Middle District of Florida
- Judicial Campaign Practices Commission, Jacksonville Bar Association
- Florida Bar Grievance Committee, 4th Judicial Circuit, 1984-1986
- Florida Supreme Court Historical Society, 1998-2000
- Charter Member, Chester Bedell Inn of Court, 1985
- Board of Directors, Atlantic Coast Bank
- Board of Directors, St. Vincent's Hospital
- Board of Directors, Jacksonville Port Authority, 1999-2001
- Commissioner, Jacksonville Economic Development Commission, 2004-2005
- General Counsel, Gator Bowl Association, 1998-2010
- Chairman-Elect, Gator Bowl Association, 1998-Present
- General Counsel, Jacksonville Chamber of Commerce, 2002
- Board of Directors, Leadership Jacksonville, 2001-2003
- Mayor's Film and Television Advisory Board
- Jacksonville Civic Council

FRED D. FRANKLIN, JR.

Office: 904.346.5517

Fax: 904.396.0663

Email: ffranklin@rtlaw.com

**Practice Area**

Mr. Franklin is Managing Director of Rogers Towers and is a member of the firm's Litigation Department. His practice is concentrated in the areas of complex commercial litigation, land use litigation and negligence litigation, including personal injury and wrongful death. His experience includes the representation of banks and federal banking agencies involving the workout and foreclosure of commercial and real estate loans. Mr. Franklin also has extensive experience litigating title insurance claims and other real estate matters.

Education

- Jacksonville University, B.A., 1977
- University of Florida College of Law, J.D., 1979

Previous Professional Experience

From 1995 through 1997, Mr. Franklin served as General Counsel for the City of Jacksonville and its independent agencies, including the Jacksonville Port Authority, the Jacksonville Electric Authority, the Duval County School Board and the Jacksonville Sheriff's Office. As general counsel, he was the chief legal officer for Jacksonville's consolidated government.

Awards and Distinctions

- AV Preeminent Rating, Martindale-Hubbell
- *Florida Trend Magazine*, "Legal Elite" (Commercial Litigation Category), 2010
- Outstanding Alumni Award, Jacksonville University, 2004

Bar Association Memberships

- The Florida Bar
- American Bar Association
- Jacksonville Bar Association
- National Bar Association



OFFICE OF THE PRESIDENT

UNIVERSITY of
NORTH FLORIDA

OFFICE OF THE
BOARD OF GOVERNORS
STATE UNIVERSITY
SYSTEM OF FLORIDA

11 MAY 12 AM 9:35

May 5, 2011

Ms. Mikey Bestebreurtje
Corporate Secretary
Florida Board of Governors
State University System
325 West Gaines Street, Suite 1614
Tallahassee, FL 32399-0400

Mikey
Dear Ms. Bestebreurtje,

While I know you are not actively pursuing applications for the State University System's boards of trustees, in a conversation President Delaney had with Chair Parker, she suggested that we go ahead and forward an application for Mr. Fred Franklin in anticipation of a possible call for new applications; thus, I am including Mr. Franklin's application with this letter in hopes that it will be considered if and when the Board of Governors opens their pool for additional applicants.

Thank you in advance for taking Mr. Franklin's application as a possible candidate for the University of North Florida Board of Trustees.

Sincerely,

Tow

Thomas S. Serwatka, Ph.D.
Vice President and Chief of Staff

THE POWER OF
TRANSFORMATION
Campaign for the UNIVERSITY of NORTH FLORIDA