



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

STATE UNIVERSITY SYSTEM OF FLORIDA

Application Form-6 AM10:32
University Board of Trustees Position
State University System of Florida

Name: Grant, Jr. Gerald Constantine Date Completed: Sept. 28, 2010
Last First Middle and/or Maiden

INSTRUCTIONS

The information submitted will be used by the Board of Governors in considering action on your application. If appointed, please be advised that your appointment is subject to confirmation by the Florida Senate and you will be required to file an annual financial disclosure statement with the Florida Commission on Ethics.

Please type or print clearly. Please do not leave any questions blank - answer "none" or "not applicable" where appropriate.

All applications must be signed and witnessed by a Notary. Submit the original completed application via mail, email, or facsimile by the posted deadline to:

State University System of Florida, Board of Governors
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
Fax 850.245.9685 Chancellor@flbog.edu

PLEASE NOTE: any application submitted by facsimile or email must be received by the posted deadline and followed by the original signed application to above address.

EXCLUSIONS

The following conditions exclude eligibility for appointment as a University Board of Trustee member.

Registered Lobbyist: No Yes **Dual Office Holding:** No Yes

Authority:

Section 112.313(17), Florida Statutes, prohibits any citizen member of a university board of trustees from having any employment or contractual relationship as a legislative lobbyist requiring annual registration under section 11.045, Florida Statutes.

Article II, section 5(a) of the Florida Constitution prohibits any person from holding more than one office under the government of the state, counties, and municipalities at the same time, except for certain exclusions stated therein (notary public, military officer, member of a statutory body having only advisory powers, etc.)

EXEMPTION FROM PUBLIC RECORDS

AS A GENERAL MATTER, APPLICATIONS FOR ALL POSITIONS WITHIN STATE GOVERNMENT ARE PUBLIC RECORDS THAT MAY BE VIEWED UPON REQUEST. HOWEVER, THERE ARE SOME EXEMPTIONS FROM THE PUBLIC RECORDS LAW FOR CERTAIN IDENTIFYING INFORMATION RELATING TO PAST AND PRESENT LAW ENFORCEMENT OFFICERS AND THEIR FAMILIES, VICTIMS OF CERTAIN CRIMES, ETC. IF YOU BELIEVE AN EXEMPTION FROM THE PUBLIC RECORDS LAW APPLIES TO YOUR SUBMISSION, PLEASE CHECK THIS BOX.

Yes, I assert that identifying information provided in this application should be excluded from inspection under the Public Records Law.

IF YOU NEED ADDITIONAL GUIDANCE AS TO THE APPLICABILITY OF ANY PUBLIC RECORDS LAW EXEMPTION TO YOUR SITUATION, PLEASE CONTACT THE GENERAL COUNSEL FOR THE BOARD OF GOVERNORS.

Vikki R. Shirley
General Counsel
State University System of Florida, Board of Governors
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
(850) 245-0466

PERSONAL INFORMATION

Name: Grant, Jr. Gerald Constantine **Date Completed:** Sept. 28, 2010 **+**
Last First Middle and/or Maiden

1. University Board of Interest: Are you applying for reappointment? Yes No
FAMU FAU FGCU FIU FSU NCF UCF UF UNF USF UWF

2. Residence Address: [REDACTED] Palmetto Bay FL Miami-Dade 33157
Street City State County Zip Code
(306) 670-4679 (786) 251-7668
Area Code/Phone Cell Phone

3. Current Employer or Occupation: AXA ADVISORS, LLC
Business Address: 9130 S. Dadeland Boulevard Miami Florida
Street Office City State
1400 33156 305-670-4679
Post Office Box Suite Zip Code Area Code/Phone Number
gerald.grant@axa-advisors.com
E-mail Address

4. Specify the preferred mailing address: Business Home Fax # _____

5. List all places of residence for the past five (5) years.
Address City and State From To
[REDACTED] Miami, FL + 12/ 2003 Present

6. List all former and current residences outside of Florida that you have maintained at any time during adulthood.
Address City and State From To
N/A

7. Date of Birth: [REDACTED] * **Place of Birth:** Kingston, Jamaica *

8. Social Security No.: [REDACTED] *

9. Driver License No.: [REDACTED] * **Issuing State:** Florida *

***ALL INFORMATION MARKED WITH AN ASTERIK IS REQUIRED FOR CONDUCTING BACKGROUND SCREENING AND WILL BE REDACTED PRIOR TO DISTRIBUTION OF THE APPLICATION TO THE TRUSTEE NOMINATING COMMITTEE MEMBERS. REFER TO ATTACHED NOTICE ON USE OF SOCIAL SECURITY NUMBERS.**

10. Have you ever been known by any other legal name? Yes No If "Yes" explain.

11. Are you a United States citizen? Yes No If "No" explain.

12. If you are a naturalized citizen, date of naturalization: May 4, 1982

13. Since what year have you been a continuous resident of Florida? 1967

14. Are you a registered Florida voter? Yes No

15. Have you ever been arrested, charged, or indicted for violation of any federal, state, county or municipal law, regulation, or ordinance? (Exclude traffic violations for which a fine or civil penalty of \$150 or less was paid.) Yes No If "Yes" give details:

<u>Date</u>	<u>Place</u>	<u>Nature</u>	<u>Disposition</u>
-------------	--------------	---------------	--------------------

16. Has probable cause ever been found that you were in violation of Part III, Chapter 112, F.S., the Code of Ethics for Public Officers and Employees? Yes No If "Yes", give details:

<u>Date</u>	<u>Nature of Violation</u>	<u>Disposition</u>
-------------	----------------------------	--------------------

17. Have you ever been suspended from any office by the Governor of the State of Florida?

Yes No If "Yes", list:

Title of Office: _____ Reason for Suspension: _____

Date of Suspension: _____ Result: Reinstated Removed Resigned

18. Are there any pending lawsuits against you or are you a party to a lawsuit in any court in which you are the plaintiff or defendant? Yes No If "yes", what type and where?

19. Have any judgments been entered against you as a result of any civil or administrative proceeding(s)? Yes No If "yes", identify the proceeding(s) that resulted in the judgment and the date the judgment was entered.

20. Are you now engaged in activities, or have you engaged in activities in the past, that will reflect unfavorably on the board to which you seek appointment? Yes No If "yes", explain.

21. Have you ever been refused a fidelity, surety, performance, or other bond? Yes No If "Yes", explain.

EDUCATION, LICENSURE, MEMBERSHIPS

22. Education:

A. High School: Miami Edison - 62 St. N.W. 2nd Ave., Miami, FL Year Graduated: 1973
(Name and Location)

B. List all postsecondary educational institutions attended:

<u>Name and Location</u>	<u>Date Attended</u>	<u>Certificates/Degrees Received</u>
FIU	1987-1989	MBA
FIU	1975-1978	BBA
MIAMI-DADE COLLEGE	1973-1975	AA

23. Have you received any degree(s) or professional certification(s) related to the subject matter of this appointment? Yes No If "Yes", list:

See Attached

24. Have you held or do you hold an occupational or professional license or certificate in the State of Florida? Yes No If "Yes", provide the title and number, original issue date, and issuing authority. If any disciplinary action (fine, probation, suspension, revocation, and/or disbarment) has ever been taken against you by the issuing authority, state the type and date of the action taken:

<u>License/Certificate Title & Number</u>	<u>Original Issue Date</u>	<u>Issuing Authority</u>	<u>Disciplinary Action/Date</u>
Insurance A [REDACTED]	October 1995	Dept. of Fin. Services, FL	N/A

30. Have you ever been responsible or played a role in managing a business or other corporate entity? Yes No "Yes", state the name of the business, the dates of your involvement, and provide a brief description of your involvement.

See Attached

31. Are you or have you ever been a member of the United States armed forces? Yes No
If "Yes" list:

A. Dates of service: _____

B. Branch or component: _____

C. Date and type of discharge: _____

32. Do you currently hold an office or position (appointive, civil service, or other) with the Federal or any foreign government? Yes No If "Yes", please list:

33. Have you previously been appointed to any office that required confirmation by the Florida Senate? Yes No If "Yes", list:

Title of Office: _____

Term of Appointment: _____

Confirmation results: _____

34. Have you ever been elected or appointed to any public office in this state? Yes No
If "Yes", state the office title, date of election or appointment, term of office, and level of government (city, county, district or state):

<u>Office Title</u>	<u>Date of Election or Appointment</u>	<u>Term of Office</u>	<u>Level of Government</u>
---------------------	--	-----------------------	----------------------------

If your service was on an appointed board(s), committee(s) or council(s):

A. How frequently were meetings scheduled? _____

B. If you missed any of the regularly scheduled meetings, state the number of meetings attended, number missed, and the reason(s) for absence(s).

<u>Meetings Attended</u>	<u>Meetings Missed</u>	<u>Reason for Absence</u>
--------------------------	------------------------	---------------------------

35. Have you ever served on any profit or not-for-profit board? Yes No If "Yes", state the title, date of appointment, length of service, and provide a brief description of your involvement. See Attached

36. Have you ever been a registered lobbyist or have you lobbied at any level of government at any time during the past five years? Yes No If "Yes", please explain:

A. Did you receive any compensation other than reimbursement for expenses? Yes No

B. Name of agency or entity you lobbied and the principals you represented:

Agency Lobbied

Principals Represented

37. Describe your experiences and interests or elements of your personal history that qualify you for this appointment.

See Attached

38. Describe your understanding of the role of a member of a university board of trustees.

See Attached

CONFLICT OF INTEREST

39. Describe any involvement with and/or relationship to the university to which you are applying (other than as a student).

N/A

40. Have you, or any business of which you have been an owner, officer, or employee, held any employment or contractual relationship during the last four (4) years with the university to which you are seeking appointment? Yes No If "Yes", identify:

Name of Business

Your Relationship to Business

Business' Relationship to University

41. Have members of your immediate family (spouse, child, parent(s), sibling(s)), or businesses of which members of your immediate family have been owners, officers, or employees, held any employment or contractual relationship during the last four (4) years with the university to which you are seeking appointment? Yes No If "Yes", explain:

<u>Name of Business</u>	<u>Family Member's Relationship to you</u>	<u>Family Member's Relationship to Business</u>	<u>Business' Relationship to University</u>
-------------------------	--	---	---

42. Do you know of any reason why you will not be able to attend fully the duties of the position to which you have been or will be appointed? Yes No If "yes", explain:

REFERENCES

43. List three persons who have known you well during the past five (5) years. Include a current, complete address and telephone number. Exclude your relatives and members of the Florida Senate.

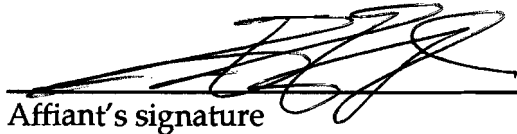
<u>Name</u>	<u>Mailing Address</u>	<u>Zip Code</u>	<u>Area Code/Telephone Number</u>
Luis Chiappy	9130 S. Dadeland Blvd #1400	Miami, FL 33156	(305) 670-3736
Judge Patrick White	3400 SW 147 Ave,	Miramar, FL 33027	(305) 523-5780
Albert Dotson, Sr.	17901 SW 78 Ave.,	Miami, FL 33157	(305) 238-6400

CERTIFICATION

STATE OF FLORIDA

COUNTY OF DADE

Before me, the undersigned authority, personally appeared GERALD C. GRANT who after being duly sworn, says: 1) that he/she has carefully prepared or read the answers to the foregoing question; 2) that the information contained in said answers is complete and true; 3) that he/she will, as an appointee, fully support the Constitutions of the United States and the State of Florida. Be it further known that in signing this document the undersigned understands that a background check by the Florida Department of Law Enforcement will be performed on all nominees who are recommended to the Florida Board of Governors and that he/she has received a copy of the Board of Governors' Statement on the Collection, Use or Release of Social Security Numbers.


Affiant's signature

Sworn to and subscribed before me on this 5TH day of OCTOBER, 2010, by

GERALD GRANT


(signature of notary)



ANDRES RESTREPO
MY COMMISSION # EE 000062
EXPIRES: June 16, 2014
Bonded Thru Budget Notary Services

ANDRES RESTREPO
(typed, printed or stamped name)

Notary Public
Commission No.: EE000062
My Commission Expires: JUNE 16, 2014

Personally Known _____ OR Produced Identification X

Type of Identification Produced DRIVERS LICENSE

**Statement on the Collection, Use, or Release of Social Security Numbers
(Master Document - Revised August 2010)**

Florida law requires that public entities provide individuals with a written statement identifying the state or federal law governing the collection, use, or release of social security numbers for each purpose for which the entity collects an individual's social security number. The collection of social security numbers by the Board of Governors is either specifically authorized by law or imperative for the performance of the Board's responsibilities as prescribed by law and the Florida Constitution. The following list identifies the purposes for which social security numbers may be collected, used, or released, and the pertinent authority.

Applicants for University Board of Trustee Positions

- For Level 1 and level 2 criminal background checks conducted by the Florida Department of Law Enforcement for employees and/or Board appointees to university boards of trustees [Required by Fla. Admin. Code 11C-6.003 and Fla. Stat. § 119.071(5) (a) 6]
- The disclosure of the social security number is expressly required by federal or state law or a court order [Authorized by Fla. Stat. § 119.071(5) (a) 6]
- The individual expressly consents in writing to the disclosure of his or her social security number [Authorized by Fla. Stat. § 119.071(5) (a) 6]

APPLICATION FORM

University Board of Trustees Position State University System of Florida

23.

Have you received any degree(s), or professional certification(s) related to the subject matter of this appointment?

I have a master's degree of Business Administration from FIU. This degree has helped me remove many of the limitations that I had erroneously set for myself. It is amazing what can be achieved once you remove your limitations. I have witnessed the transformation that has taken place at FIU over the past twenty years. I have utilized my administrative skills to impact the lives of numerous financial advisors where I work along with individuals from our community. As trustees, we are the administrators who are entrusted by the public to ensure that all resources are being utilized to develop our students. The decisions made as trustees will impact the lives of thousands of students that will enter the doors of FIU. I will not take that responsibility lightly and will make sure that we make each student's learning experience as memorable as possible.

25.

Identify all association memberships and association offices held by you that relate to this appointment:

For six years I have served on the United Way of Miami-Dade Resource Management Committee (RMC). I served as Co-chair of the Family Impact Council for the majority of that time. As volunteers, the RMC has transformed how the United Way administers their funding to community organizations and the Miami-Dade office is looked upon as one of the best practice model for other United Way organizations to follow.

I am the past Co-chair of the Financial Literacy Curriculum Committee for the International Association of Financial, Sport and Celebrity Advisers. We are joining forces with The Treasury Department, The Internal Revenue Service Department, The Office of Management and Budget, The American Bankers Association and the major credit card companies to formulate the curriculum that will be forwarded to the President's Financial Literacy Committee for the implementation in the nation schools, colleges and major employers. Our goal is to put an end to poverty by mobilizing over 100,000 financial advisors to serve as instructors and educate the public so that they can make sound financial decisions. We are soliciting resources from major foundations so that these classes can be made available to the public.

I am a past President of (NAIFA) National Association of Insurance and Financial Advisors, Miami-Dade Chapter. Serving over 500 members in the Miami-Dade area, we ensure that our members utilize a high degree of ethics when dealing with the public and served as speakers in the classrooms to educate young people about the financial services industry.

26.

See Chart Attached.

30.

Have you ever been responsible or played a role in managing a business or other corporate entity?

I wrote a book entitled “BOLD MOVES to Creating Financial Wealth”. This book was written to teach financial literacy. Managing money is something everyone is expected to know, but is rarely taught in schools or universities. I am the President of G&G Enterprises, a company established in March of 2010 for the distribution of the book. I have a greater appreciation of the effort and dedication of our professors who do research and publish books. The business also gave me insight as how we can impact the lives of young people by creating new and innovative ways to teach many of life’s lessons.

By creating my own publishing company, I was able to reduce the cost of printing the book , as well as distribution by selling my book directly from my website and on Amazon.com. I am currently in the process of making arrangements to sell it through several major book stores.

35.

Have you ever served on any profit or not-for-profit board?

I served on the board of directors for Tools for Change, an organization providing guidance to small business owners in Miami, Florida. I was a board member for 2 years about 5 years ago. Tools for Change was impacted by reduced funding from the City of Miami. The board initiated several fund raising activities but it was not sufficient to keep the organization from closing.

37.

Describe your experiences and interests or elements of your personal history that qualify you for this appointment.

FIU has made a tremendous difference in my life and as a result of being a student at FIU my family has been blessed. I have made a personal commitment to be a role model for other alumni at FIU to give back to our community to make it a better place for all of us.

I am a past president of the Alumni Association (1996-1997). I have been more active with FIU than any other previous president of the Alumni Association. During my term as President, the Alumni Association established FIU’s first recognition program that recognizes individuals from the various schools and colleges of the university that are comparable to the torch awards

currently in place. We established the first Alumni Annual Golf Tournament to encourage alumni to become active with the university as well as to serve as a source of raising funds for FIU. I encouraged joint ventures with the Hospitality Alumni Association to bring the university organizations closer together. We established greater standards of accountability for the alumni board members that made the Alumni Association stronger after my term in office.

I am a member of the Foundation board of directors. I have served on the Executive Committee of the Foundation board of directors for the past three years. I have served on the board of Trustees Academic Policy Committee as liaison for the FIU Foundation board of directors. I was instrumental in working with the board of trustees and the university administration during the difficult times of eliminating programs at FIU due to budget constraints that resulted with as little impact as possible to students and faculty at the university.

I serve on the Investment Committee for the Foundation board of directors and utilized my investment experience to make sound financial decisions to stabilize and maximize the university's investment portfolio during the past two years.

I also serve on the Recruitment Committee for the FIU Foundation board of directors and I am the chair of this committee. I view this position as an opportunity to expand key relationships that can add resources to help FIU towards achieving its many objectives.

I will be a member of the new Planned Giving Committee that is a sub-committee of the Development Committee to deepen relationships with our donors and their advisors. We will encourage these advisors to serve as ambassadors for FIU to increase the pool of current donors and encourage our existing donors to make additional gifts to the university.

In 2005, I was honored by AXA Advisors as the "Regional Honor Associate" for community service. (One of five associates selected to represent over 6000 financial advisors in the United States.)

38.

Describe your understanding of the role of a board of trustee member in ensuring the fiduciary and academic well being of the university.

As a board of trustee member, we are the administrators who will work with the president and the administration of the university to ensure that FIU provides the highest quality education to the students. The university has grown tremendously in the past and we are to implement programs and funding necessary to ensure the continued success for the university. Going forward, trustees will play a major role in attracting resources that will be necessary for FIU to be successful. In my opinion, it is time to rally the alumni at FIU to step-up and play a major role in fundraising and the future success of FIU.

QUESTION # 26

Name	Mailing Address	Office(s) Held	Membership Dates
NAIFA, Miami-Dade Chapter	9241 SW 54 Place Cooper City, FL 33328	Senior Council to the President	From 2006 to 2009
NAIFA, Miami-Dade Chapter		President	From 2005-2006
NAIFA, Miami-Dade Chapter		President Elect	From 2004-2005
United Way of Miami-Dade	3250 SW Third Avenue Miami, FL 33129	Resource Management Committee	From 2004 to 2009
Florida International University	11200 SW 8th Street PC 548 Miami, Florida 33199	Foundation Board Member	From 2006 to Present
Florida A & M University	Lee Hall Suite 200 Tallahassee, Florida	Foundation Board Member	From 2006 to Present
100 Black Men of South Florida Inc.	10022 Hammocks Blvd #201 Miami, Florida 33196	Member	From 2004 to Present
Miami-Dade Chamber of Commerce	11380 NW 27th Avenue Bld#1 Suite 1328 Miami, Florida 33167	Member	From 2004 to Present
Business Referral Group	c/o Padgett Business Services 420 S Dixie Hwy, #2B Coral Gables, FL 33146	Member	From 2008 to 2009
The International Association of Financial, Sport and Celebrity Advisers	9200 Sunset Blvd. Suite #600 c/o IAFSCA West Hollywood, CA 90069	Co-Chair of Financial Literacy Curriculum Committee	June 2009 to Nov. 2009
Phi Beta Sigma Fraternity	22207 SW 98 Place, Miami, FL 33190	Member	Oct. 2009 to Present
Orange Bowl Committee	14360 N.W. 77th Ct., Miami Lakes, FL 33016	Member	June 2010 to Present