



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

**Application Form
University Board of Trustees Position
State University System of Florida**

Name: Prescott Thomas Gene Date Completed: February 16, 2012
Last First Middle and/or Maiden

INSTRUCTIONS

The information submitted will be used by the Board of Governors in considering action on your application. If appointed, please be advised that your appointment is subject to confirmation by the Florida Senate and you will be required to file an annual financial disclosure statement with the Florida Commission on Ethics.

Please type or print clearly. Please do not leave any questions blank – answer “none” or “not applicable” where appropriate.

All applications must be signed and witnessed by a Notary. Submit the original completed application via mail, email, or facsimile by the posted deadline to:

State University System of Florida, Board of Governors
 325 W. Gaines Street, Suite 1614
 Tallahassee, FL 32399-0400
 Fax 850.245.9685 Chancellor@flbog.edu

PLEASE NOTE: any application submitted by facsimile or email must be received by the posted deadline and followed by the original signed application to above address.

EXCLUSIONS

The following conditions exclude eligibility for appointment as a University Board of Trustee member.

Registered Lobbyist: No Yes Dual Office Holding: No Yes

Authority:

Section 112.313(17), Florida Statutes, prohibits any citizen member of a university board of trustees from having any employment or contractual relationship as a legislative lobbyist requiring annual registration under section 11.045, Florida Statutes.

Article II, section 5(a) of the Florida Constitution prohibits any person from holding more than one office under the government of the state, counties, and municipalities at the same time, except for certain exclusions stated therein (notary public, military officer, member of a statutory body having only advisory powers, etc.)

EXEMPTION FROM PUBLIC RECORDS

AS A GENERAL MATTER, APPLICATIONS FOR ALL POSITIONS WITHIN STATE GOVERNMENT ARE PUBLIC RECORDS THAT MAY BE VIEWED UPON REQUEST. HOWEVER, THERE ARE SOME EXEMPTIONS FROM THE PUBLIC RECORDS LAW FOR CERTAIN IDENTIFYING INFORMATION RELATING TO PAST AND PRESENT LAW ENFORCEMENT OFFICERS AND THEIR FAMILIES, VICTIMS OF CERTAIN CRIMES, ETC. IF YOU BELIEVE AN EXEMPTION FROM THE PUBLIC RECORDS LAW APPLIES TO YOUR SUBMISSION, PLEASE CHECK THIS BOX.

Yes, I assert that identifying information provided in this application should be excluded from inspection under the Public Records Law.

IF YOU NEED ADDITIONAL GUIDANCE AS TO THE APPLICABILITY OF ANY PUBLIC RECORDS LAW EXEMPTION TO YOUR SITUATION, PLEASE CONTACT THE GENERAL COUNSEL FOR THE BOARD OF GOVERNORS.

Vikki R. Shirley
General Counsel
State University System of Florida, Board of Governors
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
(850) 245-0466

PERSONAL INFORMATION

Name: Prescott Thomas Gene Date Completed: February 10, 2012
Last First Middle and/or Maiden

1. University Board of Interest: Are you applying for reappointment? Yes [] No [x]
FAMU [] FAU [] FGCU [] FIU [x] FSU [] NCF [] UCF [] UF [] UNF [] USF [] UWF []

2. Residence Address: [Redacted] Coral Gables Florida Miami-Dade 33134
Street City State County Zip Code
305-445-8066 305-389-9348
Area Code/Phone Cell Phone

3. Current Employer or Occupation: The Biltmore Hotel
Business Address: 1200 Anastasia Avenue Coral Gables Florida
Street Office City State
33134 305-445-8066
Post Office Box Suite Zip Code Area Code/Phone Number
E-mail Address: gprescott@biltmorehotel.com

4. Specify the preferred mailing address: Business [x] Home [] Fax # 305-913-3155

5. List all places of residence for the past five (5) years.
Address City and State From To
[Redacted] Coral Gables, Florida 1995 Present

6. List all former and current residences outside of Florida that you have maintained at any time during adulthood.
Address City and State From To
250 East 87th Street, Apt. 25B New York, NY 10128 1975 1995

7. Date of Birth: [Redacted] * Place of Birth: Wendell, Idaho *
8. Social Security No.: [Redacted] *
9. Driver License No: [Redacted] * Issuing State: Florida *

*ALL INFORMATION MARKED WITH AN ASTERIK IS REQUIRED FOR CONDUCTING BACKGROUND SCREENING AND WILL BE REDACTED PRIOR TO DISTRIBUTION OF THE APPLICATION TO THE TRUSTEE NOMINATING COMMITTEE MEMBERS. REFER TO ATTACHED NOTICE ON USE OF SOCIAL SECURITY NUMBERS.

10. Have you ever been known by any other legal name? Yes No If "Yes" explain.

11. Are you a United States citizen? Yes No If "No" explain.

12. If you are a naturalized citizen, date of naturalization: _____

13. Since what year have you been a continuous resident of Florida? 1995

14. Are you a registered Florida voter? Yes No

15. Have you ever been arrested, charged, or indicted for violation of any federal, state, county or municipal law, regulation, or ordinance? (Exclude traffic violations for which a fine or civil penalty of \$150 or less was paid.) Yes No If "Yes" give details:

<u>Date</u>	<u>Place</u>	<u>Nature</u>	<u>Disposition</u>

16. Has probable cause ever been found that you were in violation of Part III, Chapter 112, F.S., the Code of Ethics for Public Officers and Employees? Yes No If "Yes", give details:

<u>Date</u>	<u>Nature of Violation</u>	<u>Disposition</u>

17. Have you ever been suspended from any office by the Governor of the State of Florida? Yes No If "Yes", list:

Title of Office: _____ Reason for Suspension: _____

Date of Suspension: _____ Result: Reinstated Removed Resigned

18. Are there any pending lawsuits against you or are you a party to a lawsuit in any court in which you are the plaintiff or defendant? Yes No If "yes", what type and where?

19. Have any judgments been entered against you as a result of any civil or administrative proceeding(s)? Yes No If "yes", identify the proceeding(s) that resulted in the judgment and the date the judgment was entered.

20. Are you now engaged in activities, or have you engaged in activities in the past, that will reflect unfavorably on the board to which you seek appointment? Yes No If "yes", explain.

21. Have you ever been refused a fidelity, surety, performance, or other bond? Yes No If "Yes", explain.

EDUCATION, LICENSURE, MEMBERSHIPS

22. Education:

A. High School: Jerome High School Year Graduated: 1961
(Name and Location)

B. List all postsecondary educational institutions attended:

<u>Name and Location</u>	<u>Date Attended</u>	<u>Certificates/Degrees Received</u>
<u>University of Idaho</u>	<u>1961 - 1965</u>	<u>B.S. Industrial Engineering</u>
<u>Carnegie Melon University</u>	<u>1965 - 1967</u>	<u>Master of Science</u>

23. Have you received any degree(s) or professional certification(s) related to the subject matter of this appointment? Yes No If "Yes", list:

24. Have you held or do you hold an occupational or professional license or certificate in the State of Florida? Yes No If "Yes", provide the title and number, original issue date, and issuing authority. If any disciplinary action (fine, probation, suspension, revocation, and/or disbarment) has ever been taken against you by the issuing authority, state the type and date of the action taken:

<u>License/Certificate Title & Number</u>	<u>Original Issue Date</u>	<u>Issuing Authority</u>	<u>Disciplinary Action/Date</u>
<hr/>	<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>	<hr/>

25. Identify all association memberships and association offices held by you that relate to this appointment:

Vice Chair- FIU Foundation	Chairman Audit and Finance Committee - FIU
Member - FIU School of Hospitality Advisory Board	Board Member - Visit Florida
Chairman, Finance Committee - Miami Children's Hospital	

26. Name any business, professional, occupational, civic, or fraternal organization(s) of which you are now a member, or of which you have been a member during the past five (5) years, the organization address(es), and date(s) of your membership(s).

<u>Name</u>	<u>Mailing Address</u>	<u>Office(s) Held & Term</u>	<u>Date(s) of Membership</u>
-------------	------------------------	----------------------------------	------------------------------

see attached

27. Are you now, or have you within the past four (4) years, been a member of any club or organization that, to your knowledge, in practice or in policy, restricts membership or restricted membership during the time that you belonged, on the basis of race, religion, national origin or gender? Yes No If "yes", detail the name and nature of the organization, relevant policies and practices, and state whether you intend to continue as a member if appointed by the Board of Governors.

EMPLOYMENT HISTORY AND PROFESSIONAL BACKGROUND

28. Concerning your current employer and for all of your employment, including self-employment, during the last five years, list your employer's name, business address, type of business, occupation or job title, and period(s) of employment:

<u>Employer Name and Address</u>	<u>Type of Business</u>	<u>Occupation/Title</u>	<u>Period of Employment</u>
Seaway Hotel Group (Three hotels in Florida)	Hotel Ownership & Management	President / CEO	20 years

29. Have you ever been employed by any state, district, or local government agency in Florida? Yes No If "Yes", identify the position(s), the name(s) of the employing agency, and the period(s) of employment, and reason for leaving:

<u>Position</u>	<u>Employing Agency</u>	<u>Period of Employment</u>
-----------------	-------------------------	-----------------------------

30. Have you ever been responsible or played a role in managing a business or other corporate entity? Yes No "Yes", state the name of the business, the dates of your involvement, and provide a brief description of your involvement.

Seaway Hotels which includes Biltmore Hotel (Coral Gables); The Alexander (Miami Beach); and Sheraton Sand Key Resort (Clearwater). Newark Refrigerated Warehouse (Newark, New Jersey).

Hotels are owned by me, majority ownership, and I oversee on daily basis.

The warehouse is run by an associate; I am the Chairman.

31. Are you or have you ever been a member of the United States armed forces? Yes No

If "Yes" list:

A. Dates of service: _____

B. Branch or component: _____

C. Date and type of discharge: _____

32. Do you currently hold an office or position (appointive, civil service, or other) with the Federal or any foreign government? Yes No If "Yes", please list:

33. Have you previously been appointed to any office that required confirmation by the Florida Senate? Yes No If "Yes", list:

Title of Office: _____

Term of Appointment: _____

Confirmation results: _____

34. Have you ever been elected or appointed to any public office in this state? Yes No

If "Yes", state the office title, date of election or appointment, term of office, and level of government (city, county, district or state):

<u>Office Title</u>	<u>Date of Election or Appointment</u>	<u>Term of Office</u>	<u>Level of Government</u>
Treasurer	Miami-Dade Expressway Authority	1996 - 2008	State/Local Authority

If your service was on an appointed board(s), committee(s) or council(s):

A. How frequently were meetings scheduled? Monthly (except two summer months)

B. If you missed any of the regularly scheduled meetings, state the number of meetings attended, number missed, and the reason(s) for absence(s).

<u>Meetings Attended</u>	<u>Meetings Missed</u>	<u>Reason for Absence</u>
Attended over 95% of the meetings		Business trip overseas

35. Have you ever served on any profit or not-for-profit board? Yes No If "Yes", state the title, date of appointment, length of service, and provide a brief description of your involvement. see attached

36. Have you ever been a registered lobbyist or have you lobbied at any level of government at any time during the past five years? Yes No If "Yes", please explain:

A. Did you receive any compensation other than reimbursement for expenses? Yes No

B. Name of agency or entity you lobbied and the principals you represented:

Agency Lobbied

Principals Represented

37. Describe your experiences and interests or elements of your personal history that qualify you for this appointment.

I have been deeply involved with FIU both with the Foundation as an active Board Member - Vice Chairman, Chairman of Committees, etc. I have raised (and given personally) monies for the University. I have been active as an Advisory Board Member of the FIU School of Hospitality. In addition, the Biltmore Hotel and Alexander Hotel employ over 60 full or part-time FIU students.

38. Describe your understanding of the role of a member of a university board of trustees.

Governing Board of the University which sets policies, monitors performance and adherence to these policies. Assists the University to relate to the community at large. Assists to raise money for the University.

CONFLICT OF INTEREST

39. Describe any involvement with and/or relationship to the university to which you are applying (other than as a student).

Board of Director - FIU Foundation

Vice Chairman, Past Chairman of Finance Committee

Advisory Board - School of Hospitality

40. Have you, or any business of which you have been an owner, officer, or employee, held any employment or contractual relationship during the last four (4) years with the university to which you are seeking appointment? Yes No If "Yes", identify:

Name of Business

Your Relationship to Business

Business' Relationship to University

FIU

The University uses the Biltmore Hotel for a few meetings

41. Have members of your immediate family (spouse, child, parent(s), sibling(s)), or businesses of which members of your immediate family have been owners, officers, or employees, held any employment or contractual relationship during the last four (4) years with the university to which you are seeking appointment? Yes No If "Yes", explain:

<u>Name of Business</u>	<u>Family Member's Relationship to you</u>	<u>Family Member's Relationship to Business</u>	<u>Business' Relationship to University</u>
-------------------------	--	---	---

42. Do you know of any reason why you will not be able to attend fully the duties of the position to which you have been or will be appointed? Yes No If "yes", explain:

REFERENCES

43. List three persons who have known you well during the past five (5) years. Include a current, complete address and telephone number. Exclude your relatives and members of the Florida Senate.

<u>Name</u>	<u>Mailing Address</u>	<u>Zip Code</u>	<u>Area Code/Telephone Number</u>
Marco Rubio	United States Senate, Washington, D.C.	20510	305-418-8553
Cesar Alvarez	333 SE 2nd Ave, Suite 4400, Miami, FI	33131	305-579-0668
Joe Caruncho	9100 S. Dadeland Blvd, #1250, Miami, FI	33156	305-670-8440

CERTIFICATION

STATE OF Florida

COUNTY OF Miami-Dade

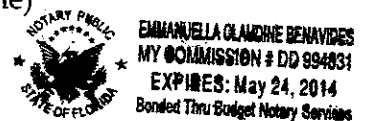
Before me, the undersigned authority, personally appeared Thomas Gene Prescott who after being duly sworn, says: 1) that he/she has carefully prepared or read the answers to the foregoing question; 2) that the information contained in said answers is complete and true; 3) that he/she will, as an appointee, fully support the Constitutions of the United States and the State of Florida. Be it further known that in signing this document the undersigned understands that a background check by the Florida Department of Law Enforcement will be performed on all nominees who are recommended to the Florida Board of Governors and that he/she has received a copy of the Board of Governors' Statement on the Collection, Use or Release of Social Security Numbers.

Thomas Gene Prescott
Affiant's signature

Sworn to and subscribed before me on this 17th day of FEBRUARY, 2012 by
THOMAS GENE PRESCOTT

E. C. BENAVIDES
(signature of notary)

E. C. BENAVIDES
(typed, printed or stamped name)
Notary Public
Commission No.:
My Commission Expires:



Personally Known X OR Produced Identification _____

Type of Identification Produced _____

**Statement on the Collection, Use, or Release of Social Security Numbers
(Master Document - Revised August 2010)**

Florida law requires that public entities provide individuals with a written statement identifying the state or federal law governing the collection, use, or release of social security numbers for each purpose for which the entity collects an individual's social security number. The collection of social security numbers by the Board of Governors is either specifically authorized by law or imperative for the performance of the Board's responsibilities as prescribed by law and the Florida Constitution. The following list identifies the purposes for which social security numbers may be collected, used, or released, and the pertinent authority.

Applicants for University Board of Trustee Positions

- For Level 1 and level 2 criminal background checks conducted by the Florida Department of Law Enforcement for employees and/or Board appointees to university boards of trustees [Required by Fla. Admin. Code 11C-6.003 and Fla. Stat. § 119.071(5) (a) 6]
- The disclosure of the social security number is expressly required by federal or state law or a court order [Authorized by Fla. Stat. § 119.071(5) (a) 6]
- The individual expressly consents in writing to the disclosure of his or her social security number [Authorized by Fla. Stat. § 119.071(5) (a) 6]

T. GENE PRESCOTT

CHAIRMAN OF THE BOARD AND CEO SEAWAY HOTELS GROUP

T. Gene Prescott is principal shareholder of Seaway Hotels and Vice Chair of the Florida International University (“FIU”) Foundation. Mr. Prescott serves on the Executive Committee of the FIU Foundation and has chaired (or vice chaired) the Audit and Finance Committee for several years. He also serves as a member of the FIU School of Hospitality Advisory Board and a proud booster of FIU’s Golden Panthers. Mr. Prescott has extensive knowledge about FIU as an institution, as a learning center, as an economic engine and as a beacon of education throughout the State of Florida and beyond.

Mr. Prescott has successfully served on other boards and organizations, and has been elected by colleagues to governing and leadership positions within those institutions. He has been responsible for administration, strategic planning, outlining priorities, setting goals for an institution and evaluating the performance of the organization’s leader. His community service is extensive, including:

- Vice Chairman of Florida International University Foundation
- Immediate past Chairman of the Greater Miami Conventions and Visitors Bureau
- Immediate Past Chair of Finance Committee of the Board of Miami Children’s Hospital
- Member of Orange Bowl Committee
- Former Director and Treasurer, Miami-Dade Expressway Authority
- Former Director, Eastern National Bank
- Founding Director, Bank of Coral Gables
- Miami Chapter of Florida Restaurant and Lodging Association

Professionally, Mr. Prescott has owned and operated six hotels throughout his career and at the present time, operates three in the State of Florida. These hotels represent many different segments of the hotel industry, including a beachfront convention hotel on Clearwater Beach, a four star golf and spa resort in Coral Gables and a boutique Miami Beach condo-hotel.

In 1992, Mr. Prescott and his partners bought the closed Biltmore Hotel from bankruptcy and have returned it to its original grandeur. In large part through Mr. Prescott’s efforts, the Biltmore Hotel, originally built in 1926, was designated a National Historic Landmark in 1996 and has become a social, civic and political center in South Florida. Mr. Prescott also owns the Sheraton Sand Key Resort, a 390 room beachfront property in Clearwater, Florida and The Alexander All Suite Hotel on Miami Beach.

The entities overseen by Mr. Prescott have a tremendous impact on the surrounding communities, both socially and economically. The Biltmore Hotel employs over 650 direct employees and has an economic impact of over \$111 million in South Florida. The Sheraton Sand Key Resort has more than 350 direct employees with an estimated economic impact of more than \$65 million.

He has over 30 years experience in the travel industry and more specifically, has been personally involved in the development and execution of \$25 million tourism marketing campaigns as Chairman of the Greater Miami and Beaches Convention and Visitors Bureau, promoting South Florida as a destination.

Personally, Mr. Prescott grew up on an Idaho cattle ranch and graduated from the University of Idaho. He holds an M.S. Degree from Carnegie-Mellon University, is a Certified Public Accountant (Touche-Ross, Cleveland), and served as a management consultant in the United States and overseas before acquiring ownership interests in various businesses. He resides in Coral Gables, Florida with Ana Navarro and has three adult children.