OFFICE OF THE
BOARD OF GOVERNORS
STATE UNIVERSITY
SYSTEM OF FLORIDA

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Application for Board of Trustees Position

The information submitted will be used by the Board of Governors in considering action on your application. The questionnaire MUST BE COMPLETED IN FULL. Answer "none" or "not applicable" where appropriate. Completed applications should be sent to: Board of Governors, 325 W. Gaines Street, Suite 1614, Tallahassee, FL 32399-0400. Please type or print.

If appointed, you will be required to file financial disclosure statements.

October 27, 2010
Date Completed

1. **Name:** Catti (Last) Joseph (First) R. (Middle and/or (Maiden))

2. **University Board of Interest:** Florida Gulf Coast University (FGCU)

   Are you applying for reappointment? Yes ☐ No ☒

3. **Residence Address:** [redacted] (Street) Fort Myers (City) Lee (County)

4. **Current Employer or Occupation:** FineMark National Bank & Trust – Bank President & CEO

   Business Address: 12681 Creekside Lane (Street) Office#/Suite Fort Myers (City)

   Post Office Box Suite 33919 (Zip Code) 239-461-5911 (Area Code/Phone Number)

   jcatti@finemarkbank.com
   E-mail Address

5. **Specify the preferred mailing address:** Business ☒ Home ☐ Fax # 239-461-5905

6. **List all places of residence for the past five (5) years.**

Address	City and State	From	To
[redacted]	Fort Myers, Florida	08-2010	Present
18 Catalpa Court	Fort Myers, Florida	08-2003	08-2010

7. **List all former and current residences outside of Florida that you have maintained at any time during adulthood.**

Address	City and State	From	To
	Asheville, NC	1985	1986

8. **Date of Birth:** [redacted] * Place of Birth: Miami, Florida

9. **Social Security No.:** [redacted] *

10. **Driver License No:** [redacted] * Issuing State: Florida

11. **Have you ever used or been known by any other legal name?** Yes ☐ No ☒ If "Yes" explain.

12. **Are you a United States citizen?** Yes ☒ No ☐ If "No" explain.

13. **If you are a naturalized citizen, date of naturalization:** _____

14. **Since what year have you been a continuous resident of Florida?** 1956

15. **Are you a registered Florida voter?** Yes ☒ No ☐ If "Yes" list:

County of Registration Lee

16. **Education:**

A. High School: Southwest Senior High, Miami Florida (Name and Location) Year Graduated: 1974

B. List all postsecondary educational institutions attended:

Name and Location	Date Attended	Certificates/Degrees Received
Miam Dade South	1974 - 1979	A.A. Degree
Florida International University	1981 - 1983	Bachelors Degree

17. **Are you or have you ever been a member of the United States armed forces?** Yes ☐ No ☒
If "Yes" list:

A. Dates of service: _____

B. Branch or component: _____

C. Date and type of discharge: _____

* Will be redacted before distribution occurs.

18. **Have you ever been arrested, charged, or indicted for violation of any federal, state, county or municipal law, regulation, or ordinance?** (Exclude traffic violations for which a fine or civil penalty of $150 or less was paid.) Yes ☐ No ☒ If "Yes" give details:

Date	Place	Nature	Disposition

19. **Concerning your current employer and for all of your employment, including self-employment, during the last five years, list your employer's name, business address, type of business, occupation or job title, and period(s) of employment:**

Employer Name and Address	Type of Business	Occupation/Title	Period of Employment
FineMark National Bank & Trust, FL	Banking/Trust	President & CEO	May 2006-Present
Northern Trust, FL	Banking/Trust	President	1989 -2006

20. **Have you ever been employed by any state, district, or local government agency in Florida?** Yes ☐ No ☒ If "Yes", identify the position(s), the name(s) of the employing agency, and the period(s) of employment, and reason for leaving:

Position	Employing Agency	Period of Employment

21. **State your experiences and interests or elements of your personal history that qualify you for this appointment.**

I am the Founder of FineMark National Bank & Trust and currently serve as President and Chief Executive Officer, and Board Member of the bank. Prior to this position, I served in the capacity of Regional President and Board Member for Northern Trust Bank of Florida. Through these experiences, I have been exposed to a wide variety of complex issues similar to those in a major university. I also believe education is one of the most important issues facing our nation today, and equally important is access to higher education for all Floridians.

22. **Have you received any degree(s), professional certification(s) or designation(s) including awards related to the subject matter of this appointment?** Yes ☐ No ☒ If "Yes", list:

23. **Identify all association memberships and association offices held by you that relate to this appointment:**
I have served as Chairman of the Lee Memorial Health System Foundation Board and currently serve on the Finance Committee of the publicly elected Board of Lee Memorial Health System. Also, I serve as the current Chairman of the United Way of Lee, Glades, and Hendry counties, as well as, served as last year's campaign Chairman. I have also served as Chairman for the Florida Gulf Coast University Foundation Board of Directors for three years in addition to serving on its board for 11 years.

24 **Do you currently hold an office or position (appointive, civil service, or other) with the Federal or any foreign government?** Yes ☐ No ☒ If "Yes", please list:

25. **Have you ever been elected or appointed to any public office in this state?** Yes ☐ No ☒
If "Yes", state the office title, date of election or appointment, term of office, and level of government (city, county, district or state):

Office Title	Date of Election or Appointment	Term of Office	Level of Government

If your service was on an appointed board(s), committee(s) or council(s):
A. How frequently were meetings scheduled?
B. If you missed any of the regularly scheduled meetings, state the number of meetings attended, number missed, and the reason(s) for absence(s).

Meetings Attended	Meetings Missed	Reason for Absence

26. **Have you ever served on any profit or not-for-profit board?** Yes ☒ No ☐
If "Yes", state the title, date of appointment, length of service, and provide a brief description of your involvement.
See Attachment - Exhibit I

27. **Have you ever been responsible or played a role in managing a business or other corporate entity?** Yes ☒ No ☐ If "Yes", state the name of the business, the dates of your involvement, and provide a brief description of your involvement.

Northern Trust Bank	1989 – 2006	President
FineMark National Bank & Trust	05/06 - Present	President & CEO

28. **Describe any involvement with and/or relationship to the university to which you are applying or any other educational institution with which you are/were affiliated (other than as a student).**

I have been actively involved with the Florida Gulf Coast University Foundation Board for over ten years. During my tenure on the Board, I am the only member requested to serve as Chairman for a three year term. As Chair, I also attended the Board of Trustee meetings and reported on behalf of the University Foundation.

29. **Describe your understanding of the role of a board of trustee member in ensuring the fiduciary and academic well-being of the university.**

I believe the role of a Board of Trustee member is to ensure that the Board of Trustees and the University operate in a manner that promotes uncompromised honesty and integrity and the Board's actions are always in the best interest of the University, its students, and faculty. In addition, I believe each Board member should support building exemplary academic programs and help meet the workforce needs in Florida.

30. **Has probable cause ever been found that you were in violation of Part III, Chapter 112, F.S., the Code of Ethics for Public Officers and Employees?** Yes ☐ No ☒ If "Yes", give details:

Date	Nature of Violation	Disposition

31. **Have you ever been suspended from any office by the Governor of the State of Florida?**
Yes ☐ No ☒ If "Yes", list:

Title of Office _____ Reason for Suspension: _____

Date of suspension: _____ Result: Reinstated ☐ Removed ☐ Resigned ☐

32. **Have you previously been appointed to any office that required confirmation by the Florida Senate?**
Yes ☐ No ☒ If "Yes", list:

Title of Office: _____

Term of Appointment: _____

Confirmation results: _____

33. **Have you ever been refused a fidelity, surety, performance, or other bond?** Yes ☐ No ☒
If "Yes", explain:

34. **Have you held or do you hold an occupational or professional license or certificate in the State of Florida?** Yes ☐ No ☒ If "Yes", provide the title and number, original issue date, and issuing authority. If any disciplinary action (fine, probation, suspension, revocation, and/or disbarment) has ever been taken against you by the issuing authority, state the type and date of the action taken:

License/Certificate Title & Number	Original Issue Date	Issuing Authority	Disciplinary Action/Date

35. **Have you, or businesses of which you have been an owner, officer, or employee, held any contractual or other direct dealings during the last four (4) years with any state or local governmental agency in Florida, including the university to which you have been appointed or are seeking appointment?** Yes ☐ No ☒ If "Yes", explain:

Name of Business	Your Relationship to Business	Business' Relationship to University

36. **Have members of your immediate family (spouse, child, parent(s), sibling(s)), or businesses of which members of your immediate family have been owners, officers, or employees, held any contractual or other direct dealings during the last four (4) years with any state or local governmental agency in Florida, including the university to which you have been appointed or are seeking appointment?** Yes ☐ No ☒ If "Yes", explain:

Name of Business	Family Member's Relationship to you	Family Member's Relationship to Business	Business' Relationship to University

37. **Have you ever been a registered lobbyist or have you lobbied at any level of government at any time during the past five years?** Yes ☐ No ☒ If "Yes", explain:

A. Did you receive any compensation other than reimbursement for expenses? Yes ☐ No ☐

B. Name of agency or entity you lobbied and the principals you represented:

Agency Lobbied	Principals Represented

38. **List three persons who have known you well during the past five (5) years. Include a current, complete address and telephone number.** Exclude your relatives and members of the Florida Senate.

Name	Mailing Address	Zip Code	Area Code/Telephone Number
Jim Nathan	9800 So. Health Park Dr.	33908	239-292-2622
The Honorable James Humphrey	1657 Menlo Road	33901	239-985-4855
David Lucas	13141 Ponderosa Way	33907	239-489-1563

39. **Name any business, professional, occupational, civic, or fraternal organization(s) of which you are now a member, or of which you have been a member during the past five (5) years, the organization address(es), and date(s) of your membership(s).**

Name	Mailing Address	Office(s) Held & Term	Date(s) of Membership
See Attachment – Exhibit I			

40. **Do you know of any reason why you will not be able to attend fully the duties of the position to which you have been or will be appointed?** Yes ☐ No ☒ If "yes", explain:

41. **Are you now, or have you within the past three years, been a member of any club or organization that, to your knowledge, in practice or in policy, restricts membership or restricted membership during the time that you belonged, on the basis of race, religion, national origin or gender?** Yes ☐ No ☒ If "yes", detail the name and nature of the organization, relevant policies and practices, and state whether you intend to continue as a member if appointed by the Board of Governors.

42. **Have you ever been the object of any equal employment opportunity complaint or any civil action based upon discrimination in the work place?** Yes ☐ No ☒ If "yes", explain and provide details of the outcome:

43. **Are there any pending lawsuits against you or are you a party to a lawsuit in any court in which you are the plaintiff or defendant?** Yes ☐ No ☒ If "yes", what type and where?

44. **Have any judgments been entered against you as a result of any civil or administrative proceeding(s)?** Yes ☐ No ☒ If "yes", identify the proceeding(s) that resulted in the judgment and the date the judgment was entered.

_____

_____

_____

45. **Are you now engaged in activities, or have you engaged in activities in the past, that will reflect unfavorably on the board to which you seek appointment?** Yes ☐ No ☒ If "yes", please explain.

_____

_____

_____

46. **Is there anything that you were not questioned about in your application that you should make known to us at this time that impugns your integrity, character and fitness for the position you are seeking?** Yes ☐ No ☒ If "yes", please explain.

_____

_____

_____

CERTIFICATION

STATE OF FLORIDA, COUNTY OF Lee

Before me, the undersigned authority, personally appeared Joseph R. Catti who after being duly sworn, say: 1) that he/she has carefully prepared or read the answers to the foregoing question; 2) that the information contained in said answers is complete and true; 3) that he/she will, as an appointee, fully support the Constitutions of the United States and the State of Florida. Be it further known that in signing this document I understand that a Level 2 background check by the Florida Department of Law Enforcement will be performed on all nominees who are recommended to the Florida Board of Governors.

Affiant's signature

Sworn to and subscribed before me on this 27th day of October 2010, by Joseph R. Catti.

(signature of notary)

(typed, printed or stamped name)
Notary Public
Commission No.:
My Commission Expires:

BRENDA L. STOUT
Commission DD 684713
Expires June 12, 2011
Bonded Thru Troy Fain Insurance 800-385-7019

Personally Known X OR Produced Identification _____
Type of Identification Produced _____

MEMORANDUM

AS A GENERAL MATTER, APPLICATIONS FOR ALL POSITIONS WITHIN STATE GOVERNMENT ARE PUBLIC RECORDS WHICH MAY BE VIEWED BY ANYONE UPON REQUEST. HOWEVER, THERE ARE SOME EXEMPTIONS FROM THE PUBLIC RECORDS LAW FOR IDENTIFYING INFORMATION RELATING TO PAST AND PRESENT LAW ENFORCEMENT OFFICERS AND THEIR FAMILIES, VICTIMS OF CERTAIN CRIMES, ETC. IF YOU BELIEVE AN EXEMPTION FROM THE PUBLIC RECORDS LAW APPLIES TO YOUR SUBMISSION, PLEASE CHECK THIS BOX.

☐ Yes, I assert that identifying information provided in this application should be excluded from inspection under the Public Records Law.

IF YOU NEED ADDITIONAL GUIDANCE AS TO THE APPLICABILITY OF ANY PUBLIC RECORDS LAW EXEMPTION TO YOUR SITUATION, PLEASE CONTACT THE GENERAL COUNSEL FOR THE BOARD OF GOVERNORS.

Vikki R. Shirley
General Counsel
Board of Governors
State University System of Florida
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
(850) 245-0466

Exhitit I

Joseph R. Catti, age 53, is a director, President, and Chief Executive Officer of FineMark Holdings, Inc., and FineMark National Bank & Trust. Mr. Catti, a Florida native and graduate of Florida International University, has 25 years of banking experience in Florida. Mr. Catti and his family moved to Fort Myers, Florida in 1992. Mr. Catti is also extensively involved in the Lee County community. He currently serves on the Finance Committee of the Lee Memorial Health System's publicly elected board of directors, is an Executive and Finance Committee member of the Lee Memorial Health System Foundation, and is a member and a past Chairman of the Florida Gulf Coast University Foundation. He is a member of the Board of Directors of the Southwest Florida Community Foundation, as well as a member of the board of directors of the following institutions and organizations: Lee County Public Education Foundation, Cypress Cove, United Way (currently Chairman of the Board), Salvation Army, Finance Committee Chairperson of the Edison Ford Winter Estates Foundation, Abuse Counseling and Treatment (ACT), Lee Health Care Resources, Community Cooperative Ministries, Inc. (Soup Kitchen) and Searching for Solutions Institute where he serves as Treasurer and member of the Board of Directors. In 2005, he received the Lee County Philanthropist of the Year award in the area of fund raising. Mr. Catti was also recognized with the Boys Scouts of America Distinguished Citizen of the Year award for Lee and Collier Counties for 2009. He also served as campaign chairperson for the United Way of Lee, Hendry and Glades Counties for 2009 – 2010. And most recently, he has been selected as one of Gulfshore Life Magazine's Men & Women of the Year, 2010.

Mr. Catti is married to wife, JoAnn for 28 years and they have four children, Christopher, Jennifer, Jessica and Nick.