



Audit and Compliance Committee

SUS Compliance Summary
January 29, 2020

www.flbog.edu



SUS Compliance and Ethics Programs

Charter

Chief
Compliance
Officer

Board of
Trustees
Oversight

Chapter 112
Part III, F.S.

External
Review

Independent
& Objective



Purpose

To Promote Ethical Conduct and Maximize University Compliance

Structure

Headed by a *Chief Compliance Officer* and Separate from Audit

What They Do



Compliance & Ethics Hotlines



COMPLIANCE IS EVERYONE'S RESPONSIBILITY

Be an active participant!

[KNOW WHO TO CALL](#)

[PARTNER WITH US](#)

Ethics and Compliance Hotline



You may access the FIU Ethical Panther line at www.convercent.com/report or by calling 1-844-312-5358.

[Read More](#)



Compliance and Ethics Program Plans

**ANNUAL
COMPLIANCE
REPORT
2018**



**OFFICE OF
INSTITUTIONAL EQUITY
AND COMPLIANCE**

Annual Reports



UNIVERSITY OF CENTRAL FLORIDA

Compliance and Ethics Program Plan

Introduction

UCF is committed to conducting research, instruction, business, and all other activities with the highest ethical standards and in compliance with applicable federal, state, and local laws and regulations. This Compliance and Ethics Program Plan (Plan) outlines and documents UCF's Compliance and Ethics Program (Program) and communicates roles and responsibilities in the Program. UCF's Program is reasonably designed to optimize its effectiveness in preventing or detecting noncompliance, unethical behavior, and criminal conduct. The Program is developed consistent with the Code of Ethics for Public Officers and Employees contained in Part III, Chapter 112, Florida Statutes; other applicable codes of ethics; the Federal Sentencing Guidelines Manual, Chapter 8, Part B, Section 2.1 (Appendix A); and as required by the Florida Board of Governors Regulation 4.003 (Appendix B).

Revisions

The Plan is intended to be a living document capable of keeping pace with the implementation of an effective compliance and ethics program, the university's mission, goals, and strategic initiatives, and the continually evolving regulatory landscape. As required by Board of Governors Regulation 4.003, the Plan and any subsequent changes will be approved by the UCF Board of Trustees and copy of the approved plan shall be provided to the Board of Governors. It is the responsibility of the chief compliance and ethics officer to enact modifications to this document and assure UCF's continued commitment to the highest ethical standards and the adherence to applicable federal, state, and local laws and regulations and university policies and procedures.

Approved by the UCF Board of Trustees October 26, 2017

SUS Compliance & Ethics Consortium





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