Data Integrity Background

**Purpose:**
Provides assurance for data integrity and decision-making

**Audit Guidance:**
Audit scope and objectives, professional audit standards, data definitions provided

**Requirements:**
- PBF - Board of Governors Regulation 5.001(8)
- PBF - s. 1001.92, F.S.
- Preeminent & Emerging Preeminent - s. 1001.706(5)(e), F.S.
Data Life Cycle

- Data Generated
- University Review
- Data to University Data Admin
- University Submission to BOG
- BOG Initial Review
- BOG Subject Matter Expert Review
- Resubmissions as required
CAE Data Integrity Audit Responsibilities

- **Verify**
  - Data accuracy
  - Data alignment with data definitions

- **Review**
  - Data administrator hiring & duties
  - Resubmissions & rationale

- **Evaluate**
  - Submission process effectiveness
  - Adequacy of policies & procedures
  - System access controls & user privileges
Data Integrity Audits, General Results

All universities concluded:

• Controls are adequate
• Processes ensure complete, accurate, and timely submissions
• Audits provide reasonable basis for data integrity certification
Observed Issues

Florida International University

- **Metric 3** – Cost to the Student: Net Tuition & Fees per 120 Credit Hours *(Data Underreported)*
Florida State University

• **Metric 3** – Cost to the Student: Net Tuition & Fees per 120 Credit Hours *(Data Underreported)*

• **Metric 7** – University Access Rate – Percent of Undergraduates with a Pell Grant *(Data Underreported)*
Observed Issues

University of South Florida, PBF

• **Metric 3** - Cost to the Student (*Dual enrollment courses counted as academic courses*)
Observed Issues

University of South Florida - Preeminence

• Two issues re: HERD Survey (No impact)
  • Rationale and documentation needed for including Moffitt Cancer Center imputed rent.
  • Controls needed for the R&D expenditures reporting in the NSF HERD survey.