



Audit and Compliance Committee

**Julie Leftheris, Inspector General
and Director of Compliance
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Foreign Influence Legislation



**Board of
Governors
Regulation
9.012, *Foreign
Influence***

- Research Integrity Office (RIO)
- Screening for research positions
- Travel Approval & Monitoring
- Reporting by July 31st

University	RIO	Screening	Travel Approval, Monitoring	Reporting
FAMU	✓	✓	✓	✓
FAU	✓	✓	✓	✓
FGCU	✓	✓	✓	✓
FIU	✓	✓	✓	✓
FL POLY	✓	N/A	N/A	N/A
FSU	✓	✓	✓	✓
NCF	✓	N/A	N/A	N/A
UCF	✓	✓	✓	✓
UF	✓	✓	✓	✓
UNF	✓	✓	✓	✓
USF	✓	✓	✓	✓
UWF	✓	✓	✓	✓



SUS Compliance Functions



Compliance Functions Must Have:

Centralized but separate compliance function

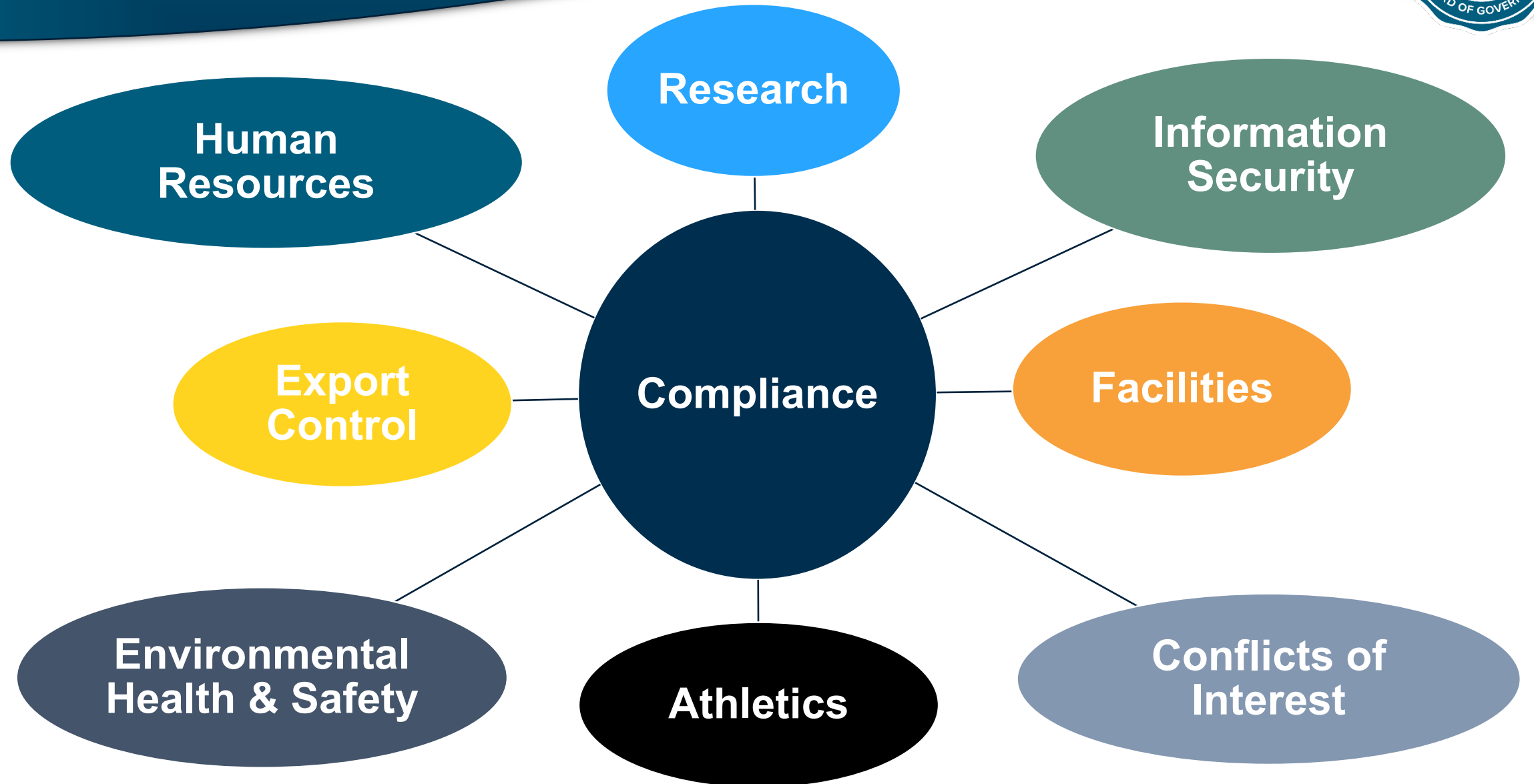
Chief Compliance Officer (CCO) with dual reporting structure

AACC Charter and CCO Charter

Specified program components such as a plan, training, reporting, designated compliance partners, etc.

Five-year review

University Compliance Partners





Compliance Program External Reviews



Accomplishments

- Excellent training
- Sound leadership
- Efficient resource allocation
- Transparent culture and open communication

Recommendations

- Publishing and maintaining up-to-date Program policies, procedures, Code of Conduct, etc.
- Enhance compliance oversight and monitoring
- Update and maintain Program plan with current practices



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