Audit and Compliance Committee

Julie Leftheris, Inspector General

and Director of Compliance
March 29, 2022

www.flbog.edu

Data Integrity Background

Purpose:
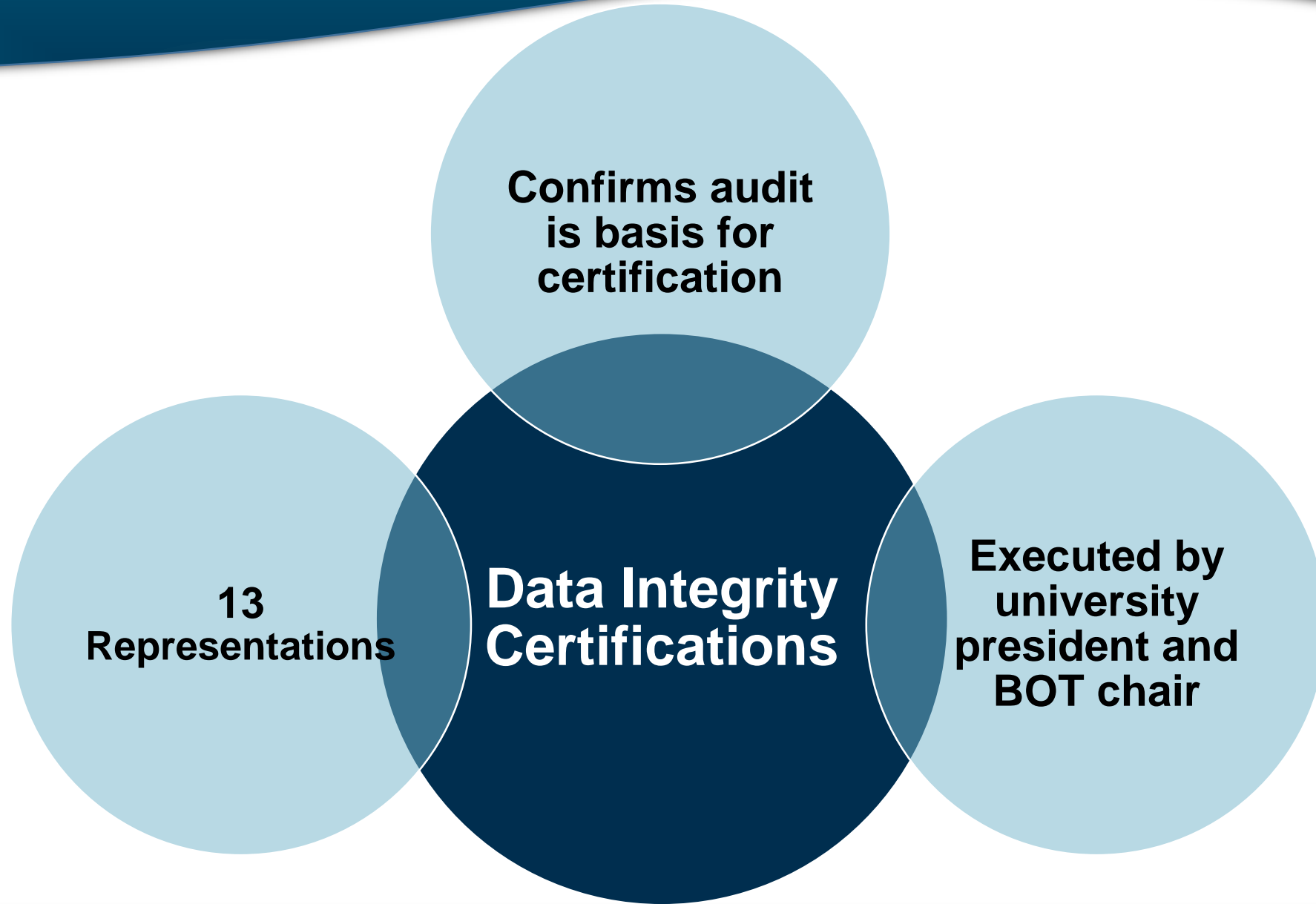Provides Assurance for data integrity and PBF Decision-Making

Audit Guidance:
Audit Scope and Objectives, Professional Audit Standards, Due March 1, 2022

Requirements:
- **PBF - Board of Governors Regulation 5.001(8)**
- **PBF - s. 1001.92, F.S.**
- **Preeminent & Emerging Preeminent - s. 1001.706(5)(e), F.S.**

Data Integrity Background (Con't.)

Confirms audit is basis for certification

13 Representations

Data Integrity Certifications

Executed by university president and BOT chair

Data Integrity Audits & Certifications Summary

UNIV.	CONCLUSIONS	OBSERVED ISSUES
FAMU	• Controls Adequate • Basis for Certification	
FAU	• Controls Adequate • Basis for Certification	Issue related to an untimely submission; no impact on PBF metrics and has been corrected.
FGCU	• Controls Adequate • Basis for Certification	
FIU**	• Controls Adequate • Basis for Certification	Issues related to process improvements for access controls, data element mapping for completeness, and policies and procedures updates; no impact on metrics calculations.
FL Poly	• Controls Adequate • Basis for Certification	Issues related to timely data submissions and enhancing controls over data integrity (repeat findings).
FSU*	• Controls Adequate • Basis for Certification	
NCF	• Controls Adequate • Basis for Certification	Issue related to student classification; no impact on PBF metrics and has been corrected.
UCF**	• Controls Adequate • Basis for Certification	PBF – issue related to data submission delays; no impact on metrics. Emerging Preeminence – R&D expenditures reported in HERD Survey overstated by 11.5%; no impact on metrics.
UF*	• Controls Adequate • Basis for Certification	
UNF	• Controls Adequate • Basis for Certification	
USF*	• Controls Adequate • Basis for Certification	
UWF	• Controls Adequate • Basis for Certification	Issues related to updating written policies and procedures and to minor correction of student headcount discrepancies for University's Choice Metric (#10).

*Preeminent universities // **Emerging preeminent universities

Data Integrity Follow-up and Monitoring

Prior Year Audit Findings

↓

Prior Year = 2 Audit Findings

↓

Corrective Actions Completed

www.flbog.edu