

**STATE UNIVERSITY SYSTEM OF FLORIDA**  
**BOARD OF GOVERNORS**  
September 22, 2016

**SUBJECT:** Public Notice of Intent to Create Board of Governors Regulation 4.004 Board of Governors Oversight Enforcement Authority

---

**PROPOSED BOARD ACTION**

Approve public notice of intent to create Board of Governors Regulation 4.004 entitled *Board of Governors Oversight Enforcement Authority*.

**AUTHORITY FOR BOARD OF GOVERNORS ACTION**

Article IX, Section 7, Florida Constitution; Section 1008.322, Florida Statutes; and Board of Governors Regulation Development Procedure

**BACKGROUND INFORMATION**

Board of Governors Regulation draft 4.004 Board of Governors Oversight Enforcement Authority was developed to implement the requirements contained in Section 1008.322, Florida Statutes. This statute relates to the Joint Legislative Auditing Committee and the Board of Governors' escalation procedures for repeat Auditor General audit findings and other university noncompliance.

The regulation draft is the result of almost two years of communications with State University System (SUS) Chief Audit Executives, Chief Compliance Officers, Provosts, Boards of Trustees Audit Committees, and General Counsels. In coordination with the June 2016 Board of Governors meeting, the Office of Inspector General and Director of Compliance (OIGC) hosted a Regulations Development Workshop, led by Audit and Compliance Committee Chair, Alan Levine. Chief Audit Executives, Compliance Officers, and General Counsels were invited to participate to discuss this regulation along with three other draft regulations (also being considered for public notice at this meeting).

If approved, the regulation will be noticed on the Board's website for 30 days with final approval by the Board at the November 3, 2016, meeting.

---

**Supporting Documentation Included:** Information located in the Audit and Compliance Committee materials