

STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
September 22, 2016

SUBJECT: Public Notice of Intent to Create Regulation 4.004 Board of Governors
Oversight Enforcement Authority

PROPOSED COMMITTEE ACTION

Approve public notice of intent to create Regulation 4.004 entitled *Board of Governors Oversight Enforcement Authority*.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 1008.322, Florida Statutes; and Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Regulation draft 4.004 Board of Governors Oversight Enforcement Authority was developed to implement the requirements contained in Section 1008.322, Florida Statutes. This statute relates to the Joint Legislative Auditing Committee and the Board of Governors' escalation procedures for repeat Auditor General audit findings and other university noncompliance.

The regulation draft is the result of almost two years of communications with State University System (SUS) Chief Audit Executives, Chief Compliance Officers, Provosts, Boards of Trustees Audit Committees, and General Counsels. In coordination with the June 2016 Board of Governors meeting, the Office of Inspector General and Director of Compliance (OIGC) hosted a Regulations Development Workshop, led by Audit and Compliance Committee Chair, Alan Levine. Chief Audit Executives, Compliance Officers, and General Counsels were invited to participate to discuss this regulation along with three other draft regulations (also being considered for public notice at this meeting).

Pursuant to the regulation procedure adopted by the Board at its meeting March 23, 2006, the Board is required to provide public notice by publication on its website at least 30 days before adoption of the proposed regulation.

Supporting Documentation Included: Draft Regulation 4.004

Facilitators/Presenters: Mr. Joseph Maleszewski