



# Performance Based Funding

## Data Integrity Certification

Name of University: FLORIDA ATLANTIC UNIVERSITY

Period Ending: 2014-2015 ACADEMIC YEAR

**INSTRUCTIONS:** Please respond "Yes," "No" or "N/A" in the blocks below for each representation. Explain any "No" or "N/A" responses to ensure clarity of the representation and include copies of supporting documentation as attachment(s).

| Performance Based Funding Data Integrity Certification Representations   |                                     |                          |                          |                     |
|--|-------------------------------------|--------------------------|--------------------------|---------------------|
| Representations  | Yes                                 | No                       | N/A                      | Comment / Reference |
| 1. I am responsible for establishing and maintaining, and have established and maintained, effective internal controls and monitoring over my university's collection and reporting of data submitted to the Board of Governors Office which will be used by the Board of Governors in Performance Based Funding decision-making.                        | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |
| 2. These internal controls and monitoring activities include, but are not limited to, reliable processes, controls, and procedures designed to ensure that data required in reports filed with my Board of Trustees and the Board of Governors are recorded, processed, summarized and reported in a manner which ensures its accuracy and completeness. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |
| 3. In accordance with Board of Governors Regulation 1.001(3), my Board of Trustees has required that I maintain an effective information system to provide accurate, timely, and cost-effective information about the university, and shall require that all data and reporting requirements of the Board of Governors are met.                          | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |
| 4. In accordance with Board of Governors Regulation 3.007, my university shall provide accurate data to the Board of Governors Office.   | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |
| 5. In accordance with Board of Governors Regulation 3.007, I have  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |

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| Performance Based Funding Data Integrity Certification Representations  |                                     |                          |                          |                     |
|---|-------------------------------------|--------------------------|--------------------------|---------------------|
| Representations   | Yes                                 | No                       | N/A                      | Comment / Reference |
| appointed a Data Administrator to certify and manage the submission of data to the Board of Governors Office.   |                                     |                          |                          |                     |
| 6. In accordance with Board of Governors Regulation 3.007, I have tasked my Data Administrator to ensure the data file (prior to submission) is consistent with the criteria established by the Board of Governors Data Committee. The due diligence includes performing tests on the file using applications/processes provided by the Board of Governors Information Resource Management (IRM) office.                      | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |
| 7. When critical errors have been identified, through the processes identified in item #6, a written explanation of the critical errors was included with the file submission.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |
| 8. In accordance with Board of Governors Regulation 3.007, my Data Administrator has submitted data files to the Board of Governors Office in accordance with the specified schedule.   | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |
| 9. In accordance with Board of Governors Regulation 3.007, my Data Administrator electronically certifies data submissions in the State University Data System by acknowledging the following statement, "Ready to submit: Pressing <b>Submit for Approval</b> represents electronic certification of this data per Board of Governors Regulation 3.007."   | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |
| 10. I am responsible for taking timely and appropriate preventive / corrective actions for deficiencies noted through reviews, audits, and investigations.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |
| 11. I recognize that the Board's Performance Based Funding initiative will drive university policy on a wide range of university operations - from admissions through graduation. I certify that university policy changes and decisions impacting this initiative have been made to bring the university's operations and practices in line with State University System Strategic Plan goals and have not been made for the | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                     |

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| Performance Based Funding Data Integrity Certification Representations   |     |    |     |                     |
|--|-----|----|-----|---------------------|
| Representations  | Yes | No | N/A | Comment / Reference |
| purposes of artificially inflating performance metrics.  |     |    |     |                     |
| <p>I certify that all information provided as part of the Board of Governors Performance Based Funding Data Integrity Certification is true and correct to the best of my knowledge; and I understand that any unsubstantiated, false, misleading or withheld information relating to these statements render this certification void. My signature below acknowledges that I have read and understand these statements. I certify that this information will be reported to the board of trustees and the Board of Governors.</p> |     |    |     |                     |
| <p>Certification: <u>John W. Kelly</u> Date <u>2/9/16</u><br/> <div style="margin-left: 100px;">President</div> </p>   |     |    |     |                     |
| <p>I certify that this Board of Governors Performance Based Funding Data Integrity Certification has been approved by the university board of trustees and is true and correct to the best of my knowledge.</p>  |     |    |     |                     |
| <p>Certification: <u>[Signature]</u> Date <u>2/17/14</u><br/> <div style="margin-left: 100px;">Board of Trustees Chair</div> </p>  |     |    |     |                     |