

STATE UNIVERSITY SYSTEM OF FLORIDA  
BOARD OF GOVERNORS  
Audit and Compliance Committee  
June 27, 2018

**SUBJECT:** SUS Compliance and Ethics Program Implementation Status

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**PROPOSED COMMITTEE ACTION**

For information

**AUTHORITY FOR BOARD OF GOVERNORS ACTION**

Article IX, Section 7, Florida Constitution

**BACKGROUND INFORMATION**

Inspector General Maleszewski will provide Committee members with a status of SUS institutions' implementation of Board of Governors regulation 4.003, *State University System Compliance and Ethics Programs*. The regulation requires each institution to implement a university-wide compliance and ethics program as a point for coordination of and responsibility for activities that promote ethical conduct and maximize compliance with applicable laws, regulations, rules, policies, and procedures. The regulation requires centralized compliance and ethics programs to be in place by November 2018.

Mr. Maleszewski developed a monitoring tool, the SUS Compliance Program Status Checklist, that each university president and board of trustees chair completes to indicate their university's progress in implementing each of the required elements specified in Board of Governors Regulation 4.003 (approved in November 2016). At regular intervals after the Board's approval of regulation 4.003, Mr. Maleszewski has reported the program implementation status for each university to the Committee. The last summary was provided at the Committee meeting held January 25, 2018. He will provide us with a summary of the results of the checklists completed in May 2018.

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**Supporting Documentation Included:** SUS Compliance Program Status Checklist  
Summary, November 2016 – May 2018

**Facilitators/Presenters:** Mr. Joseph Maleszewski