

STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
January 25, 2018

SUBJECT: SUS Compliance Program Implementation Status

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Inspector General Maleszewski will provide Committee members with a status of SUS institutions implementation of Board of Governors regulation 4.003, *State University System Compliance and Ethics Programs*. The regulation requires each institution to implement a university-wide compliance and ethics program as a point for coordination of and responsibility for activities that promote ethical conduct and maximize compliance with applicable laws, regulations, rules, policies, and procedures. The regulation requires centralized compliance and ethics programs to be in place by November 2018.

In January 2017, Mr. Maleszewski requested each university president and board of trustees chair complete a checklist, containing the required elements specified in regulation 4.003, as a means of monitoring universities' progress and to serve as a baseline. He provided a summary to this Committee at its March 30, 2017, meeting.

In November 2017, Mr. Maleszewski disseminated the November 2016-November 2017 SUS Compliance Program Status Checklist to provide an updated summary of the progress made during the first year of the regulation's implementation. He will provide us with a summary of the results.

Supporting Documentation Included: SUS Compliance Program Status Checklist
Summary, November 2016 - November 2017

Facilitators/Presenters: Mr. Joseph Maleszewski