



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

Agenda and Meeting Materials September 21-22, 2016

Main Auditorium
Harry Sudakoff Conference Center
New College of Florida
5845 General Dougher Place
Sarasota, Florida 34243



**ACTIVITIES
BOARD OF GOVERNORS MEETINGS**

**Main Auditorium
Harry Sudakoff Conference Center
New College of Florida
5845 General Dougher Place
Sarasota, Florida 34243
September 21-22, 2016**

**By Telephone Conference Call
Dial-in Number: 888-670-3525
[Board Member Code: 8893354522#](#)
Listen-Only Code: 4122150353#**

Wednesday, September 21, 2016

8:00 – 9:00 a.m. Breakfast will be provided

9:00 – 11:00 a.m. Academic and Student Affairs Committee
or upon Chair: Mr. Norman Tripp; Vice Chair: Ms. Wendy Link
Adjournment of Members: Beard, Frost, Hebert, Stewart, Tyson, Valverde
Previous Meetings

11:00 – 11:15 a.m. Break

11:15 - 11:45 a.m. Health Initiatives Committee
or upon Chair: Mr. Ed Morton; Vice Chair: Dr. Fernando Valverde
Adjournment of Members: Beard, Doyle, Jordan, Levine, Tyson
Previous Meetings

- 11:45 a.m. - 12:00 p.m.** **Innovation and Online Committee**
or upon Chair: Mr. Ned Lautenbach; Vice Chair: Mr. Ed Morton
Adjournment of Members: Beard, Colson, Link, Stewart, Tripp, Tyson
Previous Meetings
- 12:00 - 1:00 p.m.** **Lunch will be provided**
- 1:00 - 1:30 p.m.,** **Select Committee on 2+2 Articulation**
or upon Chair: Mr. Alan Levine; Vice Chair: Ms. Wendy Link
Adjournment of Members: Huizenga, Lautenbach, Stewart, Tripp
Previous Meetings
- 1:30 - 1:45 p.m.,** **Select Committee on Florida Polytechnic University**
or upon Chair: Ms. Wendy Link
Adjournment of Members: Doyle, Jordan, Morton, Valverde
Previous Meetings
- 1:45 - 3:00 p.m.** **Strategic Planning Committee**
or upon Chair: Mr. Dean Colson; Vice Chair: Ms. Patricia Frost
Adjournment of Members: Beard, Jordan, Lautenbach, Levine, Morton, Tyson
Previous Meetings
- 3:00 - 3:15 p.m.** **Break**
- 3:15 - 5:00 p.m.** **Strategic Planning Committee (continued)**
- 5:15 - 6:30 p.m.** **Welcome Reception**

Thursday, September 22, 2016

- 7:30 - 8:30 a.m.** **Members Breakfast with the Advisory Council of Faculty Senates**
- 7:30 - 8:30 a.m.** **Breakfast will be provided**
- 8:30 - 9:00 a.m.** **Audit and Compliance Committee**
or upon Chair: Mr. Alan Levine; Vice Chair: Mr. Ed Morton
Adjournment of Members: Huizenga, Jordan, Lautenbach, Link, Valverde
Previous Meetings

- 9:00 – 10:00 a.m., Facilities Committee**
or upon Chair: Mr. H. Wayne Huizenga, Jr.; Vice Chair: Mr. Dick Beard
Adjournment of Members: Doyle, Levine, Link, Morton, Tyson, Valverde
Previous Meetings
- 10:00 – 10:15 a.m. Break**
- 10:15 - 11:00 a.m., Task Force on University Research**
or upon Members: Doyle, Valverde
Adjournment of
Previous Meetings
- 11:00 - 11:45 a.m., Budget and Finance Committee**
or upon Chair: Mr. Ned Lautenbach; Vice Chair: Mr. Alan Levine
Adjournment of Members: Colson, Doyle, Hebert, Huizenga, Kuntz, Tripp
Previous Meetings
- 11:45 a.m. - Nomination and Governance Committee**
12:00 p.m. Chair: Mr. Tom Kuntz; Vice Chair: Mr. Ned Lautenbach
or upon Members: Colson, Link, Tripp
Adjournment of
Previous Meetings
- 12:00 – 1:00 p.m. Lunch will be provided**
- 1:00 – 2:00 p.m. Board of Governors – Regular Meeting**
or upon Chair: Mr. Tom Kuntz; Vice Chair: Mr. Ned Lautenbach
Adjournment of All Board members
Previous Meetings

Please note that this schedule may change at the Chair's privilege.



CONSTITUTION OF THE STATE OF FLORIDA

AS REVISED IN 1968 AND SUBSEQUENTLY AMENDED

ARTICLE IX

EDUCATION

SECTION 7. State University System.--

(a) **PURPOSES.** In order to achieve excellence through teaching students, advancing research and providing public service for the benefit of Florida's citizens, their communities and economies, the people hereby establish a system of governance for the state university system of Florida.

(b) **STATE UNIVERSITY SYSTEM.** There shall be a single state university system comprised of all public universities. A board of trustees shall administer each public university and a board of governors shall govern the state university system.

(c) **LOCAL BOARDS OF TRUSTEES.** Each local constituent university shall be administered by a board of trustees consisting of thirteen members dedicated to the purposes of the state university system. The board of governors shall establish the powers and duties of the boards of trustees. Each board of trustees shall consist of six citizen members appointed by the governor and five citizen members appointed by the board of governors. The appointed members shall be confirmed by the senate and serve staggered terms of five years as provided by law. The chair of the faculty senate, or the equivalent, and the president of the student body of the university shall also be members.

(d) **STATEWIDE BOARD OF GOVERNORS.** The board of governors shall be a body corporate consisting of seventeen members. The board shall operate, regulate, control, and be fully responsible for the management of the whole university system. These responsibilities shall include, but not be limited to, defining the distinctive mission of each constituent university and its articulation with free public schools and community colleges, ensuring the well-planned coordination and operation of the system, and avoiding wasteful duplication of facilities or programs. The board's management shall be subject to the powers of the legislature to appropriate for the expenditure of funds, and the board shall account for such expenditures as provided by law. The governor shall appoint to the board fourteen citizens dedicated to the purposes of the state university system. The appointed members shall be confirmed by the senate and serve staggered terms of seven years as provided by law. The commissioner of education, the chair of the advisory council of faculty senates, or the equivalent, and the president of the Florida student association, or the equivalent, shall also be members of the board.

History.--Proposed by Initiative Petition filed with the Secretary of State August 6, 2002; adopted 2002.



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

AGENDA

Academic and Student Affairs Committee

Main Auditorium

Harry Sudakoff Conference Center

New College of Florida

5845 General Dougher Place

Sarasota, Florida 34243

September 21, 2016

9:00 a.m. – 11:00 a.m.

or

Upon Adjournment of Previous Meetings

Chair: Mr. Norman Tripp; Vice Chair: Ms. Wendy Link

Members: Beard, Frost, Hebert, Stewart, Tyson, Valverde

- 1. Call to Order and Opening Remarks** **Governor Norman Tripp**

- 2. Minutes of Committee Meeting** **Governor Tripp**
Minutes, June 22, 2016

- 3. Vice Chancellor and Chief Academic Officer's Report** **Dr. Jan Ignash**
*Vice Chancellor for
Academic and Student Affairs*

- 4. Academic Program Items**

Graduate Tuition for the Master of
Physician Assistant Studies,
Florida Gulf Coast University **Dr. Ron Toll**
*Provost and Vice President
for Academic Affairs
Florida Gulf Coast University*

5. Board of Governors Regulations

Governor Tripp

- A. Public Notice of Intent to Amend Board of Governors Regulation 6.013
Military Veterans and Active Duty
- B. Public Notice of Intent to Amend Board of Governors Regulation 8.003
Textbook and Instructional Materials Affordability

**6. Transition in Leadership at the Florida
Institute of Oceanography**

Dr. Judy Genshaft
President
University of South Florida System

7. Mental Health Update

Dr. Christy England
Associate Vice Chancellor for
Academic Policy and Research

8. Legislative Budget Requests

- A. Campus Mental Health

Dr. Corey King
Vice President for
Student Affairs
Florida Atlantic University

- B. Florida Institute of Oceanography, Keys Marine
Laboratory

Dr. Gary Perry
Provost and Vice President
Florida Atlantic University

Dr. Ralph Wilcox
Provost and Executive Vice President
University of South Florida

- C. Florida Small Business Development Center
Network

Mr. Mike Myhre
Director
The Small Business
Development Center Network

9. Legislative Budget Issues

Summer Bright Futures

Dr. Ignash

10. TEAm Grants Update

Dr. Ignash

11. Florida Center for Cybersecurity Report

Mr. Sri Sridharan
*Managing Director and
Chief Operating Officer
Florida Center for Cybersecurity*
Mr. Brian Murphy
*Chief Executive Officer
Reliaquest*

12. Academic and Student Affairs Updates

A. SUS Council of Academic Vice Presidents (CAVP)

Dr. Gary Perry
Chair, CAVP

B. SUS Council for Student Affairs (CSA)

Dr. Corey King
Chair, CSA

C. Florida Student Association

Governor Jacob Hebert
Chair, Florida Student Association

13. Concluding Remarks and Adjournment

Governor Tripp

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Committee Meeting Minutes, June 22, 2016

PROPOSED COMMITTEE ACTION

Consider for approval the minutes of the meeting held on June 22, 2016 at the University of Central Florida

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Committee members will review for approval the minutes of the meeting held on June 22, 2016 at the University of Central Florida.

Supporting Documentation Included:	Minutes, June 22, 2016
Facilitators/Presenters:	Governor Norman Tripp

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
ACADEMIC AND STUDENT AFFAIRS COMMITTEE
University of Central Florida
12676 Gemini Blvd N
Orlando, Florida 32816
June 21-23, 2016

*Video or audio archives of the meetings of the Board of Governors
and its Committees are accessible at <http://www.flbog.edu>.*

1. Call to Order and Opening Remarks

Vice Chair Wendy Link serving as Acting Chair convened the meeting on June 22, 2016 at 4:02 P.M. with the following members present and answering roll call: Governors Link, Hebert, Beard, Robinson, and Valverde. Governor Tripp participated via conference call. A quorum was established.

2. Meeting Minutes

Chair Link asked for a motion to approve the minutes from the March 16, 2016 committee meeting. Governor Beard moved to approve the minutes. Governor Hebert seconded the motion, and the motion was approved.

3. Vice Chancellor and Chief Academic Officer's Report

Dr. Jan Ignash reported on the following activities of the Academic and Student Affairs (ASA) unit:

- Board staff held a joint meeting with staff from the Florida College System and the College Board to review recent SAT/ACT, AP data and post-secondary admission and enrollment trends.
- ASA and budget staff met with Florida Senate staff to discuss data and academic policies.
- The CAVP workgroup held a teleconference call to review new program pre-proposals.
- ASA staff participated in the quarterly Articulation Coordinating Committee meeting.
- ASA participated in a meeting in Orlando of the Vice Presidents of Research.
- ASA staff attended the joint meeting of the Facilities and Innovation and Online Committee.
- ASA staff met with the Florida Faculty Senate Association.

- ASA staff participated in meetings with the FAMU-FSU College of Engineering Governance Council.
- ASA staff has participated in planning for the Center for Disabilities to be established at UCF.
- A teleconference call to implement the new legislatively-mandated textbook affordability requirements has been scheduled.
- ASA staff has been working with Board Office budget and finance staff on performance-based funding metrics and the Market Rate Tuition Pilot.
- Three ASA staff attended the national Association for Institutional Research conference and Dr. Diana Barbu gave a presentation.
- Three ASA staff attended the Vertex conference which addresses the intersection of higher education and the workforce, with presentations given by Dr. Jan Ignash and Dr. Christy England-Siegerdt.

4. Academic Program Pre-Proposal Recognition System (APPRiSe) Survey Summary Report

Governor Link introduced the topic of the APPRiSE system, noting that it has been operating for one year and provides advanced notice to colleges and universities in Florida when an institution is planning to offer a new baccalaureate degree. In her update on the APPRiSE system, Dr. Ignash noted that ASA staff sent a survey to colleges and universities to determine usage of the APPRiSE system and perceptions about its usefulness. Although the response rate to the survey was low, findings indicate that APPRiSE is being used and users find it helpful. Governor Tripp expressed concerns about the lack of usage of the system by institutions, which may indicate push-back from some colleges, as evidenced by the low survey response rate. Dr. Ignash said that Board staff will follow up with institutions that did not respond to the survey.

5. Academic Program Items

- A. Exception to 120 Credit Hour Limitation for the Bachelor of Science in Dietetics and Nutrition, Coordinated Program Track (CIP 51.3101) at Florida International University.

Ms. Link presented the FIU request for an exception to the 120 credit hour limit, to be effective Fall 2016, for two additional credit hours of supervised practice experience beyond the 120 credit hours of didactic coursework to meet specialized accreditation requirements. The FIU Board of Trustees approved the request for the exception on March 11, 2016.

Governor Hebert moved to approve an Exception to the 120 credit hour limit for the Bachelor of Science in Dietetics and Nutrition, Coordinated Program Track, (CIP 51.31.01) at Florida International University. Governor Valverde seconded the motion, and the motion was approved.

B. Exception to 120 Credit Hour Limitation for the Bachelor of Science in Mechanical Engineering (CIP 14.1901) at the University of West Florida.

Ms. Link presented the University of West Florida request to seek an exception to the 120 credit hour limitation, effective Fall 2016, for a 130 credit hour Bachelor of Science in Mechanical Engineering (CIP 14.1901). The Accreditation Board for Engineering requires that engineering programs must include 33 credit hours of math and science coursework which, along with other requirements, cannot be accomplished within 120 credit hours. The University of West Florida, Board of Trustees approved the request for an exception on March 1, 2016.

Governor Robinson moved to approve an Exception to the 120 credit hour limit for the Bachelor of Science in Mechanical Engineering (CIP 14.1901) at the University of West Florida. Governor Hebert seconded the motion, and the motion was approved.

C. University of Florida request to implement a Ph.D. in Human Centered Computing (CIP 11.0104), effective Fall 2016.

Ms. Link introduced the University of Florida request to implement a Ph.D. in Human Centered Computing (CIP 11.0104). The program focuses on design, construction, and evaluation of computational technologies as they relate to the human condition and their impact on society. The University of Florida, Board of Trustees approved the request on December 4, 2015.

Dr. Juan Gilbert, Chairman, UF Computer and Information Sciences and Engineering Department briefly described the program, as requested by Ms. Link. He made two key points, that the program represents a new interdisciplinary area of study in academia and that it will be the first of its kind in Florida. The University has a group of 20-30 students ready to begin the program as soon as it is approved. Ms. Link asked about employment opportunities for graduates of the program. Dr. Glover, UF's Provost, responded that jobs would be both academic and industry-related.

Governor Beard moved to approve the Ph.D. in Human Centered Computing, (CIP 11.0104), effective Fall 2016 at the University of Florida. Governor Hebert seconded the motion, and the motion was approved.

D. University of Florida request to implement a Ph.D. in Youth Development and Family Sciences (CIP 19.0707), effective Fall 2017.

Ms. Link introduced the University of Florida's request for a Ph.D. in Youth Development and Family Sciences (CIP 19.0707). The program is designed to train future faculty members and researchers to conduct instruction and research addressing problems, issues, and needs emerging from interactions among youth, families, and communities. The University of Florida, Board of Trustees approved the proposed program April 1, 2016.

Dr. Glover was recognized to present the request for the proposed program and noted that it would be housed in the Institute of Food and Agricultural Sciences, College of Agricultural and Life Sciences. The staff analysis demonstrates demand for graduates for academic positions as well as in community service organizations.

Governor Hebert moved to approve the Ph.D. in Youth Development and Family Sciences (CIP 19.0707), effective Fall 2017 at the University of Florida. Governor Valverde seconded the motion, and the motion was approved.

E. University of South Florida request to implement a Ph.D. in Behavioral and Community Sciences (CIP 51.2212), effective Fall 2017.

Ms. Link introduced the University of South Florida request for a Ph.D. in Behavioral and Community Sciences (CIP 51.2212), stating that the program will prepare graduates to conduct research addressing behavioral health challenges such as mental illness, substance use disorders, co-occurring disorders, developmental delays and disabilities and behavior disorders, and socio-cultural changes such as poverty, disparities and homelessness, with a goal of improving community services, early intervention and integrated care, and policy and system change. The University of South Florida, Board of Trustees approved the proposed program March 3, 2016.

Dr. Ralph Wilcox, Provost and Associate Vice President at the University of South Florida, presented the proposed program, stating that the program is focused on research and policy related to mental health, substance use, behavioral health and developmental disorders, and it would be the first such Ph.D. in Florida. Increasing employment opportunities is projected for more highly skilled graduates from health and human services programs in policy and academia, including both faculty and researchers.

Governor Robinson moved to approve the Ph.D. in Behavioral and Community Sciences (CIP 51.2212), effective Fall 2017 at the University of South Florida. Governor Beard seconded the motion, and the motion was approved.

F. University of South Florida request to implement a Ph.D. in Linguistics and Applied Language Studies (CIP 16.0102), effective Fall 2016.

Ms. Link introduced the University of South Florida request for a Ph.D. in Linguistics and Applied Language Studies, noting that the purpose of the program is to train new researchers who will conduct research in education and applied linguistics. The University of South Florida, Board of Trustees approved the proposed program December 3, 2015.

Dr. Wilcox presented the program, stating that it focuses on better understanding speech sounds, internet language, multilingualism in global context, professional communication and more effective instructional materials. The program will prepare highly skilled graduates for careers in business and industry, government, and education. Employment projections support the need for the degree.

Governor Beard moved to approve the Ph. D. in Linguistics and Applied Language Studies (CIP 16.0102), effective Fall 2016 at the University of South Florida. Governor Valverde seconded the motion, and the motion was approved.

6. Board of Governors Regulations

Ms. Link introduced the agenda items for public notice of intent to amend Board of Governors Regulations Related to Academic Programs and noted that the regulations were reviewed by university general counsels, the Council of Academic Vice Presidents, the Council of Student Affairs, and other state university staff. Pursuant to the Regulation procedure adopted by the Board at its meeting on March 23, 2006, the Board is required to provide public notice on its website at least 30 days before adoption of the proposed Regulation amendments.

A. Regulation 8.011 Authorization of New Academic Degree Programs and Other Curricular Offerings.

Ms. Link stated that the proposed amendments will clarify that the Regulation includes both criteria and administrative processes for new academic program authorization, will incorporate by reference the Council of Academic Vice Presidents pre-proposal review process established pursuant to Regulation 8.004,

establish the CIP code change process for existing degree programs, and clarify that each university shall establish specified policies for academic degree offerings away from the main campus for Regulations 8.002, 8.004, and 8.009.

Governor Hebert moved to approve public notice of intent to amend Board of Governors Regulations 8.011 Authorization of New Academic Degree Programs and other Curricular Offerings. Governor Valverde seconded the motion, and the motion was approved.

B. Regulation 8.012, Academic Program Termination and Temporary Suspension of New Enrollments.

Ms. Link stated that the proposed amendments will include a new criterion that a program termination may be due to faculty or other resources no longer being sufficient to deliver a high quality program, require that Florida College System Institutions be notified when a baccalaureate program is being considered for termination, clarify that terminations must be approved prior to the effective term date and that there will be no retroactive terminations, and provide criteria and a process for temporary suspension of new enrollments in an existing academic program.

Governor Beard moved to approve public notice of intent to amend Board of Governors Regulations 8.012 Academic Program Termination and Temporary Suspension of New Enrollments. Governor Valverde seconded the motion, and the motion was approved.

C. Regulation 8.014 Bachelor's Degree Exceptions to 120 Credit Hour Requirement.

Ms. Link stated that the proposed amendments will clarify that the exception to 120 credit hour requirements applies to the degree program and all of its majors as defined by Regulation 8.011, establish a process for identifying approved exceptions in the online state university system academic degree program inventory, provide flexibility for universities to make incremental adjustments when necessary to credit hour length previously approved, and authorize the Chancellor to establish an administrative process to review university requests for incremental adjustments.

Governor Hebert moved to approve public notice of intent to amend Board of Governors Regulation 8.014 Bachelor's Degree Exceptions to 120 Credit Hour Requirement. Governor Valverde seconded the motion, and the motion was approved.

7. Academic and Student Affairs Updates

A. Council of Academic Vice Presidents (CAVP)

Ms. Link introduced Dr. Ron Toll to provide an update of the issues and projects of interest to the CAVP. Dr. Toll provided the following information:

- He thanked Governor Lautenbach for convening a meeting at Florida Gulf Coast University related to online learning. There has been subsequent discussion including strategic objectives of courses to be offered online, as well as the fee structure.
- He stated that there had been discussion on textbook affordability and the CAVP looked forward to receiving system guidance from the workgroup on textbook affordability in meeting the statutory reporting requirement for institutions.
- There was discussion and unanimous support among Provosts about keeping membership in Unison for the upcoming year and keeping the apportionment of the costs across the SUS the same as last year.
- There was also discussion about the system-wide LBRs dealing with academic programs with continued plans to begin the process earlier to ensure time for vetting, input, and submission.
- The CAVP discussed proposed changes to the Latin American and Caribbean Scholarship, Regulation 7.007, and requested more time to review and provide input regarding the academic, fiscal, and student life issues related to the proposed changes.
- There was a discussion related to concerns that out-of-state public university allied health programs are in direct competition with in-state programs due to shortage of clinical placements. Clinical placements are integral to the undergraduate curriculum and the CAVP will follow up with the SUS on that issue.
- Dr. Toll announced the transition of leadership in the CAVP, beginning immediately after this Committee meeting. The newly elected chair is Provost Gary Perry from Florida Atlantic University and the newly elected vice chair is Provost Marcella David from Florida Agricultural and Mechanical University.
- He also noted that feedback from the SUS is still required in August related to a system-wide approach on textbook affordability and guidelines that would facilitate reporting due to statutory requirements in HB 719.

B. SUS Council for Student Affairs (CSA)

Ms. Link introduced Dr. Kevin Bailey, UWF Vice President for Student Affairs and Chair of the Council for Student Affairs, to provide a brief update on

activities of the SUS Council for Student Affairs. Dr. Bailey reported on the following CSA activities:

- University student affairs leadership attended a state-wide retreat focused on student health, career services, and mental health that will be addressed in the CSA 2016-17 work plan.
- The CSA will work on a revised mental health LBR to be submitted per guidelines on August 1, 2016.
- The next anti-hazing summit will take place on October 15, 2016 at FIU in conjunction with another student affairs meeting.
- Dr. Bailey announced that the newly elected Chair of CSA is Dr. Corey King, FAU Vice President of Student Affairs.

C. Florida Student Association (FSA)

Ms. Link reported that the Florida Student Association met to elect a new chairperson for the 2016-17 academic year, Mr. Joseph Hebert. Mr. Hebert is the Student Government President of the University of West Florida and will also be the student member of the Board of Governors.

Ms. Link recognized student Board member, Governor Hebert, to provide a brief update on activities and issues of the Florida Student Association and State University System students.

Governor Hebert reported on the following activities:

- New leadership has been elected by the organization.
- The FSA is considering various legislative initiatives dealing with Florida Bright Futures, the impact of degree affordability on four-year graduation rates, increased campus safety, mental health services, and advocacy for facilities that students need.

Having no further business, Ms. Link adjourned the meeting at 4:57 P.M.

Wendy Link, Vice Chair

Richard P. Stevens,
Assistant Vice Chancellor for Academic and Student Affairs

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Vice Chancellor and Chief Academic Officer's Report

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

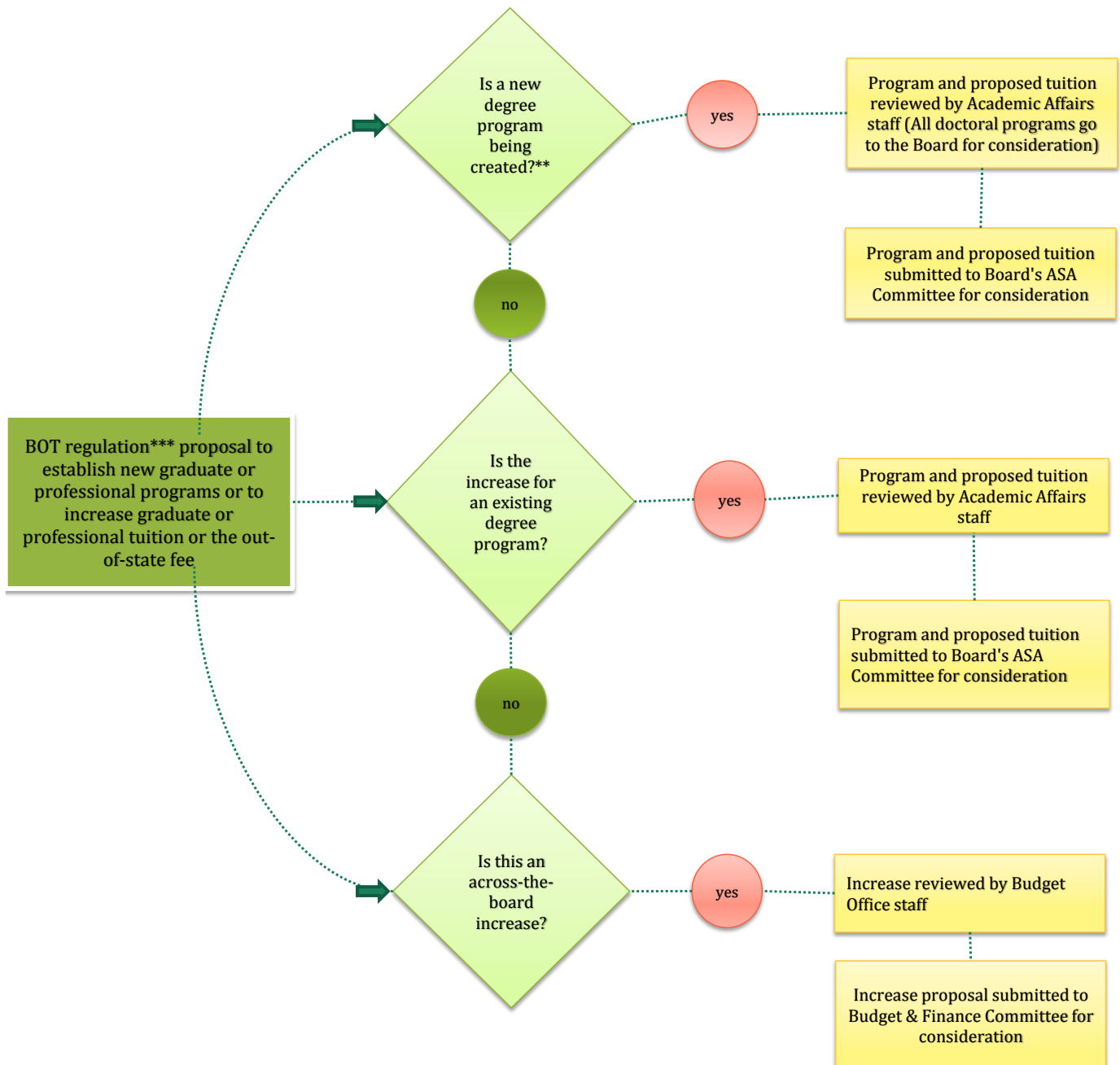
BACKGROUND INFORMATION

Dr. Jan Ignash, Vice Chancellor for Academic and Student Affairs, will provide an update regarding the activities of the Office of Academic and Student Affairs.

Supporting Documentation Included: None

Facilitators/Presenters: Dr. Jan Ignash

Increases to Graduate & Professional Tuition and Out-of-State Fees (excluding Market Tuition*)



* All market tuition increases will follow the process in Regulation 7.001.

** All new degree proposals will continue to follow the process described in Board Regulations 8.004 and 8.011, including the normal review process of the CAVP Academic Coordination Work Group.

*** BOT regulations regarding increases to tuition will not be considered by the Board until the Board has acted upon the proposed increase.

Other changes to the BOT tuition and fee regulations will follow the normal Select Regulation process.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Graduate Tuition for the Master in Physician Assistant Studies, Florida Gulf Coast University

PROPOSED COMMITTEE ACTION

Consider approval of Graduate Tuition for the Master in Physician Assistant Studies, Florida Gulf Coast University

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Subsequent to amendments to Section 1009.24, Florida Statutes in the 2016 Legislative Session, the Board of Governors must now approve tuition for graduate and professional programs. The Florida Gulf Coast University (FGCU) is requesting the approval of graduate-level tuition for its Master of Physician Assistant Studies program approved by the university board on April 19, 2016. The program will provide students with entry-level education to become physician assistants and requires the completion 90 credit hours, which include 40 credit hours of intense clinical rotations. Programs that require the completion of extensive clinical rotations are much more expensive to offer and for this reason the university is requesting approval for an increased graduate-level tuition.

The proposed tuition for in-state students in the FGCU Master of Physician Assistant Studies program is:

Florida Resident - \$26,430.00 Year One and \$21,144.00 Year Two (\$47,574.00 Total)
Non-Resident - \$70,586.50 Year One and \$56,469.20 Year Two (\$127,055.70 Total)

The Florida Gulf Coast University Board of Trustees approved the higher level of tuition for the program on September 13, 2016. If approved, the tuition rate will become effective in Summer 2017 for the first cohort of students.

Supporting Documentation Included: Amended FGCU Tuition and Fee Regulation

Facilitators/Presenters: Dr. Ron Toll

FGCU Board of Trustees - September 13, 2016

ITEM: 16

**Florida Gulf Coast University Board of Trustees
September 13, 2016**

SUBJECT: *Amended Regulation: FGCU-PR7.001 Tuition and Fees (Master of Physician Assistant Studies Program)*

PROPOSED BOARD ACTION

(1) Approve amendments to Regulation FGCU-PR7.001, Tuition and Fees to define a unique tuition and fee rate for students entering the Master of Physician Assistant Studies (MPAS) to begin in August 2017; and (2) authorize the University to submit the amended Tuition and Fees Regulation to the Board of Governors.

BACKGROUND INFORMATION

Sections 1001.706 and 1009.24, Florida Statutes, and the Florida Board of Governors (BOG) Regulation 1.001 require the FGCU Board of Trustees to establish tuition and fees for the University, in accordance with BOG's regulations. Additionally, HB 7019 was signed into law this past spring removing the authority of university boards of trustees to set graduate, professional, differentiated graduate, and out-of-state tuition and fees, and giving this authority to the Board of Governors. That law took effect July 1, 2016. Consequently, the Board of Governors has asked the FGCU Board of Trustees to submit a tuition and fee request to the Board of Governors for FGCU's Master of Physician Assistant Studies that is scheduled to enroll its first cohort of students in May 2017.

Following April approval of the MPAS by the FGCU Board of Trustees, the program was submitted to the BOG for consideration of the proposed program tuition and fees. In discussions with Chancellor Criser, concern was expressed that the program as approved by the FGCU BOT (if approved by the BOG) would have resulted in a total cost of tuition and fees amounting to \$60,030 for the 90-credit length program. This was considered by the Chancellor as among the highest of four other programs approved at UF, FSU, FIU, and USF. Additionally, concern was expressed that both in-state and out-of-state students at FGCU would incur the same costs.

Further review of the proposed program budget expenses following the April BOT approval, led to a revision of the budget downward by approximately \$800,000 annually. This was achieved by purchasing some equipment at the end of FY 2016, reducing program materials and supplies, and by adopting a less aggressive equipment renewal cycle (see attached tables of program-related expenses). The College of Health Professions and Social Work believes this change will not adversely impact the quality of instruction to be delivered and anticipates a cost neutral balance of revenues to expenditures.

FGCU Board of Trustees - September 13, 2016

Based on this information, the Dean of the College of Health Professions and Social Work worked with the University Administration to propose a new tuition and fees structure (see attached) that actually modestly lowers the proposed cost of the entire program for in-state students by roughly \$5,000 but requires an out-of-state student to cover the full cost of their education. Little impact on out-of-state enrollment is expected as a result of this change. It has always been anticipated that student demand for the program will ensure an adequate pool of qualified in-state students for the 20 slots allotted for each class.

A comparison with the existing programs of tuition and fee structures (see attached) demonstrates that FGCU's program will be the most affordable for in-state students within the State University System.

In accordance with the BOG Regulation Development Procedure, the Regulation changes will become effective upon approval of the BOG.

Supporting Documentation Included: 1) Notice of Amended Text of Regulation FGCU-PR7.001 Tuition and Fees; 2) MPAS Projected Budget Comparison Table; and 3) Proposed MPAS Tuition and Fees Comparison Table

Prepared by: Senior Associate Provost and Associate Vice President for Planning and Institutional Performance Paul Snyder

Legal Review by: Vice President and General Counsel Vee Leonard (August 12, 2016)

Submitted by: Provost and Vice President for Academic Affairs Ron Toll

FGCU Board of Trustees - September 13, 2016



FLORIDA GULF COAST UNIVERSITY

NOTICE OF REGULATORY ACTION

POSTED DATE:
August 12, 2016

REGULATION TITLE:
Tuition and Fees

REGULATION NO:
FGCU-PR7.001

SUMMARY:
This Regulation is being amended to include herein the tuition and fees for the Master in Physician Assistant Studies (MPAS).

FULL TEXT:
The full text of the regulation being proposed is attached and can also be found at <http://www.fgcu.edu/generalcounsel/promulgation.asp>

AUTHORITY:
§§1004.07, 1009.01, 1009.21, 1009.24, 1009.26, 1009.27, 1009.28, 1009.285, Florida Statutes;
Board of Governors Regulation 7.001

UNIVERSITY OFFICIAL INITIATING THE PROPOSED/REVISED REGULATION:
Steve Magiera, Vice President for Administrative Services and Finance

UNIVERSITY OFFICIAL APPROVING THE PROPOSED/REVISED REGULATION:
Ronald B. Toll, Ph.D., Provost and Vice President for Academic Affairs

CONTACT INFORMATION REGARDING THE PROPOSED/REVISED REGULATION:
M. Todd Caraway
Office of the General Counsel
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(P): 239.590.1101 | (F): 239.590.7470 | Email: gco@fgcu.edu

Any person may submit written comments concerning a proposed regulation, amendment, or repeal to the contact person identified above within 14 days after the date this notice was posted. The comment(s) must identify the regulation to which you are commenting.

GC256283_2

FGCU Board of Trustees - September 13, 2016

**FLORIDA GULF COAST UNIVERSITY REGULATION****Regulation Number: FGCU-PR7.001****Regulation Title: Tuition and Fees****Effective Date: 06/10/2016****A. General**

Tuition and fees assessed by the University shall be in accordance with the General Appropriations Act and the regulations and policies of the Board of Governors.

B. Tuition and Fees**1. Tuition and Fee Schedule**

The University shall assess the following tuition and fees per credit hour for each regularly enrolled student, depending on whether a student is a resident or non-resident of the State of Florida, unless provided otherwise by law or in this regulation:

Tuition/Fee	Undergraduate		Graduate	
	Resident	Non-Resident	Resident	Non-Resident
Tuition	105.07	709.65	290.60	1,173.73
Tuition Differential	36.38	36.38		
Financial Aid Fee	5.25	35.46	14.52	58.67
Transportation Fee	5.95	5.95	5.95	5.95
Parking Decal Fee	2.75	2.75	2.75	2.75
Capital Improvement Trust Fund Fee	6.76	6.76	6.76	6.76
Activity and Service Fee	11.50	11.50	11.50	11.50
Athletic Fee	13.54	13.54	13.54	13.54
NCAA Athletic	4.00	4.00	4.00	4.00
Health Fee	9.24	9.24	9.24	9.24
Technology Fee	5.25	5.25	14.52	14.52
Tuition Waiver FY17	(1.75)	(1.75)		
Total Per Credit Hour	\$ 203.94	\$ 838.73	\$ 373.38	\$ 1,300.66

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Master in Physician Assistant
Studies (MPAS)Graduate (First Year)Graduate (Second Year)

<u>Tuition/Fee</u>	<u>Resident</u>	<u>Non-Resident</u>	<u>Resident</u>	<u>Non-Resident</u>
<u>Tuition</u>	<u>26,430.00</u>	<u>70,586.50</u>	<u>21,144.00</u>	<u>56,469.20</u>
<u>Financial Aid Fee</u>	<u>726.00</u>	<u>2,933.50</u>	<u>580.80</u>	<u>2,346.80</u>
<u>Transportation Fee</u>	<u>297.50</u>	<u>297.50</u>	<u>238.00</u>	<u>238.00</u>
<u>Parking Decal Fee</u>	<u>137.50</u>	<u>137.50</u>	<u>110.00</u>	<u>110.00</u>
<u>Capital Improvement Trust Fund Fee</u>	<u>338.00</u>	<u>338.00</u>	<u>270.40</u>	<u>270.40</u>
<u>Activity and Service Fee</u>	<u>575.00</u>	<u>575.00</u>	<u>460.00</u>	<u>460.00</u>
<u>Athletic Fee</u>	<u>677.00</u>	<u>677.00</u>	<u>541.60</u>	<u>541.60</u>
<u>NCAA Athletic</u>	<u>200.00</u>	<u>200.00</u>	<u>160.00</u>	<u>160.00</u>
<u>Health Fee</u>	<u>462.00</u>	<u>462.00</u>	<u>369.60</u>	<u>369.60</u>
<u>Technology Fee</u>	<u>726.00</u>	<u>726.00</u>	<u>580.80</u>	<u>580.80</u>
<u>Annual Total</u>	<u>\$ 30,569.00</u>	<u>\$ 76,933.00</u>	<u>\$ 24,455.20</u>	<u>\$ 61,546.40</u>

2. Repeat course fee

A student enrolled in the same undergraduate college credit course more than twice shall be assessed an additional \$177.57 per credit hour charge for each such course.

3. Excess hour fee

All state university undergraduate students who entered a postsecondary undergraduate program at any Florida public institution of higher education for the first time in fall 2009 or thereafter and prior to fall 2011 shall pay an excess hour fee equal to 50 percent of the undergraduate tuition for each credit hour in excess of 120 percent of the number of credit hours required to complete the baccalaureate degree program in which the student is enrolled.

All state university undergraduate students who entered a postsecondary undergraduate program at any Florida public institution of higher education for the first time in fall 2011 or thereafter shall pay an excess hour fee equal to 100 percent of the undergraduate tuition for each credit hour in excess of 115 percent of the number of credit hours required to complete the baccalaureate degree program in which the student is enrolled.

All state university undergraduate students who entered a postsecondary undergraduate program at any Florida public institution of higher education for the first time in fall 2012 or thereafter shall pay an excess hour fee equal to 100 percent of the undergraduate tuition for each credit hour in excess of 110 percent of the number of credit hours required to complete the baccalaureate degree program in which the student is enrolled.

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C. Payments on Accounts Due the University

Charges against a student's account for loss or breakage of University equipment, lost books, library, or parking fines, and other related charges are due immediately. University policy prohibits registration or release of transcript and diploma for any student whose account with the University is delinquent.

D. Registration

Registration occurs when a student:

1. Selects one or more credit courses approved and scheduled by the University; and,
2. Pays tuition and fees, partial or otherwise, or makes other appropriate arrangements for tuition payment (deferment, or third party billing) for the courses in which the student is enrolled as of the end of the drop/add period. The University does not have a plan for installment payment of fees.

E. Payment

Tuition and registration fees must be paid in full by the payment deadline date designated in the Academic Calendar as the "last date to pay fees" for each term. Payments shall be made at the Cashier's Office, University Website, or by mail and received no later than that date. The President or designee will extend the deadline for fee payment when payment by the student is delayed due to University action or inaction.

F. Liability for Payment of Tuition

Liability for payment of tuition is incurred at the point at which the student has completed registration. Students are liable for all fees associated with all courses in which they are registered at the end of the drop/add period.

G. Cancellation of Registration

A student's course schedule shall be canceled when tuition has not been paid or when arrangements for payment have not been made through the Office of Financial Aid or the Cashier's Office. Students are liable for tuition and fees associated with all courses in which they are registered at the end of drop/add period. A student who has not made any effort to pay their tuition and fees by the published deadline will have all courses canceled. A student whose course schedule has been canceled cannot thereafter attend classes and will not obtain credit for courses. A student whose course schedule has been canceled will be mailed written notice of cancellation from the Registrar's Office to his/her last known address on record and be given a deadline by which he/she may apply for reinstatement. A student who make an effort to pay, including but not limited to partial payments by credit card, check, or cash, any type of financial aid or scholarship, grants, waivers, third party contracts, Florida Bright Futures, and Florida Pre-paid, by the published deadline will not

be cancelled from all courses and are considered fee liable.

H. Reinstatement

Students may seek reinstatement of their course schedule by following the procedures outlined in this subsection.

1. Requests for reinstatement. A request for reinstatement must be presented in writing to the Registrar's Office by the deadline given on the notice of cancellation mailed to the student. The request for reinstatement must include all documentation supporting the request. The Reinstatement Appeals Committee will evaluate the request based upon the criteria contained in this rule and notify the student in writing of its decision.
2. Reinstatement Appeals Committee. A student whose course schedule has been canceled for non-payment may apply for reinstatement of the course schedule to the Reinstatement Appeals Committee. The Committee shall consist of at least three staff members appointed annually by the President, and one student appointed by the FGCU Student Government. The Committee shall meet as required between the published last day to pay fees and six months after the end of the term for which the reinstatement is requested. The decision of the Committee shall be final.
3. Criteria for Reinstatement. In evaluating a request for reinstatement, the Committee shall apply the following criteria:
 - a) Reinstatement shall be granted where it is demonstrated that the student's registration was canceled through University error.
 - b) Reinstatement shall be granted where the student submits documentation demonstrating that the student was prevented from making timely payment due to extenuating circumstances beyond the student's control. Examples of "extenuating circumstances" include:
 - 1) A student was unavoidably out of town during the week prior to the last day to pay fees, or
 - 2) A student was ill and unable to make payment prior to the last day to pay fees.
 - c) If a student's reinstatement is approved by the Reinstatement Appeals Committee, they must be reinstated for all courses for which they were originally registered.

Where reinstatement is granted, the student shall take the written decision of the Committee to the Cashier's office, pay the fees which are due and complete the registration process with the Registrar's Office.

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I. Late Registration Fee

1. A late registration fee of \$100 shall be assessed by the University when registration is initiated after the close of the regular registration period.
2. The late registration fee shall be waived when:
 - a) The late registration was caused by a University error, or
 - b) The student was unavoidably out of town on the last day to register (documentation must be provided), or
 - c) The student was ill (documentation must be provided), or
 - d) The course was closed and the student was required to wait until classes started (written documentation from the instructor is required), or
 - e) The student was informed after the published last day to register that a course is necessary in order to graduate, be re-certified, or is a work related requirement (appropriate documentation must be provided).

J. Late Payment Fee

A late payment fee of \$100 shall be assessed by the University when:

1. A student pays his/her fees after the published "last day to pay fees", and has been approved for reinstatement pursuant to this rule; or
2. A dishonored check is redeemed after the last date to pay fees.

K. University Loan Program Service Charge

In lieu of interest, a \$10 service charge will be assessed to any student participating in the University loan program regardless of the amount of the loan. Students utilizing the university loan program must sign a promissory note which obligates them to the total loan amount including the \$10 service charge for each loan issued.

L. Tuition and Fee Appeals

Students may appeal the assessment of fees and request refunds of tuition and fees to the Fee Appeals Committee according to procedures set forth in this subsection.

1. Procedures. A student who seeks review of tuition or a fee assessed shall file a written appeal with the Registrar's Office. The appeal must set forth the basis for seeking a refund and attach all supporting documentation. An appeal must be filed no later than six months following the last day of the term during which the respective

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tuition or fees were incurred. For the purpose of this regulation, summer terms are considered as one term.

2. Tuition and Fee Appeals Committee. The Tuition and Fee Appeals Committee shall consist of at least three staff members who are appointed annually by the President, and one student appointed by the FGCU Student Government. The Committee shall meet as often as necessary to review and act upon appeals which come before it.
3. Committee Determinations. Each appeal will be initially reviewed by the Registrar or designee. A decision will be made by the Registrar's Office according to criteria established by this regulation. The decision shall be communicated in writing to the student within sixty (60) days from the date the appeal was filed with the University. The Tuition and Fee Appeals Committee shall consider only cases of those students who are appealing the initial decision made by the Registrar's Office. Students may appear in person before the Committee to present their appeal. The decision of the Committee is final.
4. Criteria for Determining Tuition and Fee Appeals. The criteria established by this regulation shall govern decisions by the Registrar and the Tuition and Fee Appeals Committee:
 - a) An appeal shall be granted when it is demonstrated that tuition or fees were paid as a result of University error.
 - b) An appeal shall be granted when it is demonstrated that the student was prevented from timely withdrawal from the University due to extenuating circumstances beyond the student's control. Extenuating circumstances include death of the student, or death in the immediate family (parent, spouse, child, sibling) of the student; illness of the student that is of long duration or severity, as confirmed in writing by a physician; or call to, or enlistment in, active military duty.

M. Refunds

Refunds will be processed and mailed to the address shown on the Registrar's files to all students whose fee accounts show an overpayment after the published last day to pay fees. Students due a refund will not be required to submit a refund request to receive their refund because it will be automatically calculated. If there is a balance due to the University in the accounts receivable system, that amount will be deducted from any refund due. In addition, students shall be entitled to refunds in the following circumstances:

1. 100% of tuition and course related fees will be refunded if notice of complete withdrawal or course withdrawal from the University occurs prior to the end of drop/add period.
2. 25% of tuition and course related fees will be refunded if notice of withdrawal from ALL courses from the University occurs prior to the deadlines as designated by the

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University or in the Academic Calendar for each term.

3. Students who receive financial aid and subsequently change their enrollment status and this action results in a refund, may have all or a portion of their refund returned to the University's Financial Aid Office in accordance with the terms of their financial aid agreement.
4. 100% of tuition and course related fees if a student withdraws or drops a course due to circumstances which are exceptional and beyond the control of the student. Requests for fee adjustments must meet one of the conditions below to be considered:
5. Death of the student or immediate family member (parent, spouse, child or sibling) as confirmed by documentation indicating the student's relationship to the deceased. A death certificate is required.
 - a) Illness of student of such severity or duration to preclude completion of course(s). Written confirmation by a physician required.
 - b) A situation in which the University is in error as confirmed in writing by the appropriate Vice President or other senior administrator.

N. Deferred Payment Status

Deferred payment status for tuition and registration fees will be granted upon application by the student on the following grounds:

1. Veterans shall be entitled to deferment in accordance with the provisions of Section 1009.27, F.S.
 - a) Each student granted a veteran's deferment shall sign a promissory note for the amount of registration and tuition fees due. The promissory note must be presented to the University Cashier's Office before the published last day to pay fees. Failure to present the promissory note by the deadline will result in the student being assessed the \$100 late payment fee. If the student does not present an authorized deferment to the Cashier, including the \$100 late fee, by the close of the fourth week of classes, the student's registration shall be canceled. The student may request reinstatement by presenting an authorized VA deferment promissory note along with a \$100 late registration/late payment fee in lieu of full payment of tuition and registration fees. (See the procedure outlined in Reinstatement.)
 - b) If an eligible student's educational benefits are delayed beyond the deferral period, (end of term for which they are enrolled) the deferment will be extended upon request by the student with written verification from the granting entity that the student is eligible to receive benefits and that benefits are being processed for payment. Upon receipt of written verification, the Registrar's Office shall approve

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the deferment and any request for extension. Additional extensions may be similarly granted until such time that the student begins receiving educational benefits. Failure of the veteran to pay the amount of the authorized deferment by the due date or extended date, whichever is later, will result in the student being assessed the \$100 late registration/late payment fee.

2. **Third Party Billings.** Deferment is permitted when formal contractual arrangements have been made with the University for payments by an approved third party. The President or designee is responsible for negotiating such third party contracts.
3. **Delay in Financial Aid Delivery.** Deferral of tuition and fees is permitted for those students receiving financial aid from federal, state, or University assistance programs when delivery of the aid is delayed through circumstances beyond the control of the student. Failure to make timely application for such aid shall be insufficient reason to receive such deferral. The Director of Financial Aid shall certify a student's eligibility for deferral to the University Controller or designee for each student for each academic term for which receipt of aid is delayed.
4. Extraordinary circumstances exist and the deferment has the approval of the President or his designee.

O. Withdrawal or Course Changes Due to Military Service

A student who is called to, or enlists in, active military service in the United States Armed Forces may choose to withdraw from the University or any course(s) with a full refund of tuition and fees paid and without academic penalty. In the alternative, a student may elect not to withdraw and to complete the course(s) at a later date. A student who elects to complete the course(s) at a later date shall re-enroll and begin completion of the course(s) requirements no later than within six months after discharge or release from active military duty.

1. Notification of withdrawal or course change(s) due to military service shall be made to the Office of the Registrar as soon as practicable. The student, or a representative of the military service on the student's behalf, shall provide verification of the official active duty orders and/or discharge to the Office of the Registrar.
2. The transcript of a student will be annotated to reflect that the above withdrawal or course change(s) is due to active military service.
3. A student living in University housing or registered for a meal plan will receive a pro rata refund of fees paid based on the date of withdrawal or the date of election to complete the course(s) at a later date, or the terms of the meal plan.
4. The student is responsible for returning any outstanding library materials and University equipment, and for the payment of any parking fines assessed by the University.

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Action by Florida Gulf Coast University Board of Trustees

Approved 06/07/2016 _____

Action by the Florida Board of Governors

Approved 06/10/2016 _____

Specific Authority

§§1004.07, 1009.01, 1009.21, 1009.24, 1009.26, 1009.27, 1009.28, 1009.285, Florida Statutes; Board of Governors Regulation 7.001

History of Regulation

New 11/13/05; Amended 6/29/06, 6/19/07, 7/27/07, 6/17/08, 10/27/08, 6/29/09, 6/15/10, 07/05/11, 6/21/12, 6/19/13, 6/18/14, 6/11/15, 06/10/16, _____

Effective Date of Regulation

06/10/2016 _____

FGCU Board of Trustees - September 13, 2016

MPAS Projected Budget Approved by Board of Trustees April 19, 2016		MPAS Amended Projected Budget	
Annual Recurring Costs		Annual Recurring Costs	
Faculty and Staff salaries and benefits	\$579,000	Faculty and Staff salaries and benefits	\$579,000
Faculty Professional Development and Support	\$20,000	Faculty Professional Development and Support	\$20,000
Program Materials and Supplies	\$200,000	Program Materials and Supplies	\$75,000
Department Expenses	\$50,000	Department Expenses	\$30,000
Annual Accreditation Fee	\$10,000	Annual Accreditation Fee	\$10,000
Simulation & Skills Laboratories	\$1,000,000	Simulation & Skills Laboratories	\$300,000
Total	\$1,859,000	Total	\$1,014,000
Non-recurring Costs During 2016-2017 Academic Year		Non-recurring Costs During 2016-2017 Academic Year	
Start-up Equipment & Supplies	\$500,000	Start-up Equipment & Supplies	\$100,000
Accreditation Expenses	\$50,000	Accreditation Expenses	\$50,000
Total	\$550,000	Total	\$150,000

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Cost of Physician Assistant Programs Tuition and Fees Florida Resident	
Florida Gulf Coast University (Proposed)	\$55,024
University of Florida	\$55,320
University of South Florida	\$68,167
Florida International University	\$73,434
Florida State University	\$76,422

Cost of Physician Assistant Programs Tuition and Fees Out-Of-State	
Florida State University	\$99,759
Florida International University	\$109,304
University of Florida	\$123,039
University of South Florida	\$129,067
Florida Gulf Coast University (Proposed)	\$138,479

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Public Notice of Intent to Amend Regulation 6.013 Military Veterans and Active Duty

PROPOSED COMMITTEE ACTION

Consider approval of Public Notice of Intent to Amend Regulation 6.013 Military Veterans and Active Duty

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

In order to provide consistency in the application of policies specified in s. 1004.07, Florida Statutes, Board Regulation 6.013 is amended to direct university boards of trustees to adopt a regulation to provide that any student enrolled in a college credit course shall not incur academic or financial penalties by virtue of performing military service on behalf of our country. In addition, a technical change is made to subsection (1) to be consistent with other Board of Governors regulations that provide for university boards to adopt regulations and the university administration to adopt policies and procedures. Board Regulation 7.002 subsection (10) is also being amended to align with 6.013 and is under consideration in the Budget and Finance Committee.

The draft amendments have been reviewed by the university General Counsels, the Council of Academic Vice Presidents, the Council of Student Affairs, and other state university staff. Pursuant to the regulation procedure adopted by the Board at its meeting on March 23, 2006, the Board is required to provide public notice by publication on its Internet Web site at least 30 days before adoption of the proposed regulation.

Supporting Documentation Included:	Draft Amended Regulation 6.013
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Facilitators/Presenters:	Governor Norman Tripp
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DRAFT 8/23/16

6.013 Military Veterans and Active Duty

(1) College Credit for Military Training and Education Courses. Each university board of trustees shall adopt a regulation that establishes a policy and process that enables students who are or were eligible members of the United States Armed Forces to earn appropriate academic college credit for college-level training and education acquired in the military.

(a) The policy and process established by regulation shall include:

1. Specification that college credit will be granted to students with military training or coursework that is recognized by the American Council on Education (ACE) subject to regular institution transfer practices or limitations on amount, level, etc. of transfer credit. The process is subject to the same treatment as any other transfer credit evaluated.
2. Utilization of the ACE Guide to the Evaluation of Educational Experiences in the Armed Services in order to determine equivalency and alignment of military coursework with appropriate university courses.
3. Specification that if the course to which the military training or coursework is equivalent fulfills a general education or major course or degree program requirement at the receiving institution, the credit should count towards graduation and meet a requirement accordingly. Otherwise, appropriate course credit including free elective course credit will be granted.
4. Articulation of credits earned via military training and coursework between state universities. Credit that was previously evaluated and awarded by a college degree granting institution, and that is appropriate to the transfer student's major at the university, should be accepted, subject to institution limit on the amount and level of transfer credit allowed for a given degree.

(b) Credit awarded for military education and training shall be noted on the veteran student's transcript and documentation of the credit equivalency evaluation shall be maintained in the student veteran's file.

(c) Each university shall provide a copy of the policy and process on the university registrar's website and within its university catalogs.

(d) Credit awarded for military education and training shall not be counted in the excess hours fee per Board of Governors Regulation 7.003 due to the credit being based on work accomplished while serving on active-duty.

(2) Priority Course Registration for Veterans

(a) Each university that offers priority course registration for a segment of the student population shall provide the same priority course registration for each veteran of the United States Armed Forces who is receiving GI Bill educational benefits and for the spouse or dependent children of a veteran to whom the GI Bill education benefits have been transferred.

(3) Student Withdrawal from Courses Due to Military Service

(a) Withdrawal Due to Military Service. Each university board of trustees shall adopt a regulation to provide that any student enrolled in a college credit course at a state university shall not incur academic or financial penalties by virtue of performing military service on behalf of our country. The regulation shall encourage withdrawal and possible eligible refund without academic penalty except in cases where the student and faculty member agree that completion is imminent and possible. If the course is no longer offered when the student seeks to resume study, an equivalent course may be selected. If the student chooses to withdraw, the student's record shall reflect that the withdrawal is due to active military service.

(b) The provisions of this subsection and related university regulations shall apply to:

1. Students who are currently on active duty with any unit of the United States Armed Forces who receive orders that require reassignment to a different duty station or absence from class for an extended period of time during the semester in which they are enrolled; and
2. Students who are members of a National Guard, Air National Guard, or other military reserve unit who receive orders calling them to active duty for operational or training purposes during the semester in which they are enrolled, excluding any regularly scheduled weekend and annual training duty; and
3. Students who are veterans of the United States Armed Forces and who are recalled to active duty during the semester in which they are enrolled; and
4. Students who enlist in any branch of the United States Armed Forces and whose induction date falls within the semester in which they are enrolled.

Authority: Section 7(d), Art. IX, Fla. Const.; Section 39.205, F.S. **New:** 11-08-12,
Amended _____

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Public Notice of Intent to Amend Regulation 8.003 Textbook and Instructional Materials Affordability

PROPOSED COMMITTEE ACTION

Consider approval of Public Notice of Intent to Amend Regulation 8.003 Textbook Adoption

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Board of Governors Regulation 8.003 has been amended and retitled Textbook and Instructional Materials Affordability to align with changes in Section 1004.085, Florida Statutes. A system-wide workgroup was convened to address the new requirements in statute and to make recommendations for amending the regulation. A summary of the proposed changes to this regulation is as follows:

- Revises provisions relating to textbook affordability to include instructional materials.
- Requires state university boards of trustees to identify wide variances in the costs of, and frequency of changes in the selection of, textbooks and instructional materials for certain courses.
- Requires state university system boards of trustees to send a list of such identified courses to the academic department chairs for review.
- Authorizes the state university boards of trustees to adopt policies in consultation with providers to allow for the use of innovative pricing techniques and payment options for certain textbooks and instructional materials.
- Requires postsecondary institutions to consult with school districts to identify practices that impact the cost of dual enrollment courses.
- Requires a cost-benefit analyses relating to selection of textbooks and instructional materials.
- Provides for annual reporting requirements.

Draft amendments have been reviewed by the university General Counsels, the Council

of Academic Vice Presidents, and other state university staff. Pursuant to the regulation procedure adopted by the Board at its meeting on March 23, 2006, the Board is required to provide public notice by publication on its Internet Web site at least 30 days before adoption of the proposed regulation.

Supporting Documentation Included:	Draft Amended Regulation 8.003
Facilitators/Presenters:	Governor Norman Tripp

DRAFT 8/23/16

8.003 Textbook and Instructional Materials Adoption Affordability

(1) Each university board of trustees shall adopt a regulation that establishes textbook and instructional materials affordability policies adoption procedures to minimize the cost of required or recommended textbooks and instructional materials for students while maintaining the quality of education and academic freedom. ~~At a minimum, the~~ The regulation shall provide for the following:

(a) Selection of textbooks and instructional materials through cost-benefit analyses that enable students to obtain the highest-quality product at the lowest available price, by considering:

1. Purchasing digital textbooks in bulk;
2. Expanding the use of open-access textbooks and instructional materials;
3. Providing rental options for textbooks and instructional materials;
4. Increasing the availability and use of affordable digital textbooks and learning objects;
5. Developing mechanisms to assist in buying, renting, selling, and sharing textbooks and instructional materials;
6. The length of time that textbooks and instructional materials remain in use;
7. An evaluation of cost savings for textbooks and instructional materials which a student may realize if individual students are able to exercise opt-in provisions for the purchase of the materials.

(b) The use of innovative pricing techniques and payment options for textbooks and instructional materials in consultation with providers including bookstores. The pricing techniques and payment options must include an opt-in provision for students and may be approved only if there is documented evidence that the options reduce the cost of the textbooks and instructional materials.

~~(c)-(a)~~ A deadline for the adoption selection of textbooks and instructional materials each term that shall provide sufficient lead time for bookstores to confirm the availability of the requested materials, source lower cost options, explore alternatives with faculty, and, where possible, maximize the number availability of used textbooks and instructional materials available.

~~(d)-(b)~~ A procedure to document the intent of the course instructor or the academic department offering the course to use all items ordered, including each individual item sold as part of a bundled package, before the adoption selection is finalized.

~~(e)-(c)~~ A determination by the course instructor or academic department offering the course, before a textbook or instructional material is adopted selected, of the extent to which a new edition differs significantly and substantively from earlier versions and the value to the student of changing

DRAFT 8/23/16

to a new edition; or the extent to which an open-access textbook or instructional material is available.

- ~~(f)~~ (d) A procedure(s) to make required and recommended textbooks and instructional materials for each course offering available to students who otherwise cannot afford the cost of the textbook; including consideration of the extent to which an open-access textbook or instructional material may be used.
- ~~(g)~~ (e) A procedure(s) by which students can obtain required textbooks and instructional materials prior to receipt of their financial aid distribution, when necessary.
- ~~(h)~~ (f) A deadline for posting a hyperlink to lists of each required and recommended textbooks and instructional materials for at least 95 percent of all courses and course sections each course offering for the upcoming term ~~on~~ in the university's course registration system and on the university's website. ~~The deadline shall be~~ The designated university official shall determine compliance with this requirement no later than ~~thirty (30)~~ forty-five (45) days prior to the first day of classes for each term. ~~and~~ Any request for an exception to the compliance deadline shall be submitted in writing to the ~~appropriate~~ designated university official ~~prior to the deadline~~ and shall provide a reasonable justification for an exception. A course or section added after the notification deadline is exempt from this notification requirement. The posted list shall include the following information for each required and recommended textbook and instructional materials:
 1. the International Standard Book Number (ISBN) or
 2. other identifying information which shall include, at a minimum:
 - (a) all authors listed,
 - (b) publishers,
 - (c) edition number,
 - (d) copyright date,
 - (e) published date, and
 - (f) other relevant information necessary to identify the specific textbook or instructional materials required and recommended for each course.
- (i) Consultation with school districts to identify practices that impact the cost of dual enrollment textbooks and instructional materials to school districts, including, but not limited to, the length of time that textbooks and instructional materials remain in use.
- (j) Examination, each semester, of the cost of required and recommended textbooks and instructional materials by course and course section for all general education courses identifying any variance in the cost among different sections of the same course; identification of the percentage of textbooks and instructional materials that remain in use for more than one term; submission of a list of courses that have a wide variance in costs among sections or that have frequent changes in textbook and instructional materials to the appropriate academic department chair for review.

DRAFT 8/23/16

(2) No employee of a state university may demand or receive any payment, loan, subscription, advance, deposit of money, service, or anything of value, present or promised, in exchange for requiring students to purchase a specific textbook or instructional materials for coursework or instruction. However, an employee may receive, subject to the requirements of the Florida Code of Ethics for Public Officers and Employees and the outside activity and conflict of interest requirements set forth in university regulations and collective bargaining agreements:

- (a) Sample copies, instructor copies, or instructional materials. These materials may not be sold for any type of compensation if they are specifically marked as free samples not for resale.
- (b) Royalties or other compensation from sales of textbooks or instructional materials that include the instructor's own writing or work.
- (c) Honoraria for academic peer review of course materials.
- (d) Fee associated with activities such as reviewing, critiquing, or preparing support materials for textbooks or instructional materials.
- (e) Training in the use of course materials and learning technologies.

(3) Each university board of trustees shall provide a report, by September 30 of each year, to the Chancellor of the State University System, in a format determined by the Chancellor, that details:

- (a) The selection process for general education courses with a wide cost variance and high enrollment courses;
- (b) Specific initiatives of the institution designed to reduce the costs of textbooks and instructional materials;
- (c) Policies implemented regarding the posting of textbook and instructional materials for at least 95% of all courses and course sections 45 days before the first day of class;
- (d) The number of courses and course sections that were not able to meet the posting deadline for the previous academic year;
- (e) Any additional information determined by the Chancellor.

The Chancellor will report to the Board of Governors a summary of the State University System's initiatives and efforts addressing textbook and instructional materials affordability by November 1 of each year.

Authority: Section 7(d), Art. IX, Fla. Const.; History: New 03-26-09.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Transition in Leadership at the Florida Institute of Oceanography

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Florida Institute of Oceanography (FIO) is an Academic Infrastructure Support Organization for the State University System of Florida whose membership includes all state universities, several independent educational institutions and independent research laboratories, and state and federal research laboratories. Dedicated to marine science education, research, and community outreach, FIO manages two ocean-going research vessels and the Florida Keys Marine Laboratory for use by Florida's researchers and their students. The FIO also manages the Florida RESTORE Act Centers of Excellence Program (FLRACEP), which is a federal program funded from civil and criminal settlements associated with the Deep Water Horizon oil spill. An estimated \$4,000,000 from the 2012 Transocean settlement and \$22 million over 15 years from the 2015 BP civil settlement funds a competitive grant process to support marine science research in the Gulf of Mexico, much of which will be conducted on FIO vessels or at the Keys marine lab.

Dr. William T. Hogarth is retiring as Director of FIO and has done much to support FIO's growing national prominence, particularly with his leadership in the wake of the 2010 Deepwater Horizon oil spill. Dr. Phillip Kramer, an accomplished marine geologist who most recently worked on strategic projects for the Nature Conservancy's Global Oceans Program, has been hired to replace Dr. Hogarth as the new FIO Director.

Supporting Documentation Included:	None
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Facilitators/Presenters:	Dr. Judy Genshaft
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**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Mental Health Update

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

In March 2015, Board staff presented an information brief on campus safety and security to the Board's Academic and Student Affairs Committee in response to increasing concerns about the safety and well-being of students. The Board subsequently requested funds during the 2016 legislative session to expand counseling services and campus security across the State University System. However, that request was not funded and counseling centers are still struggling to keep up with demand.

Board staff will provide an overview of the increasing need for well-trained, professional counseling services to address the mental and behavioral health of university students.

Supporting Documentation Included:	September 2016 Information Brief
Facilitators/Presenters:	Dr. Christy England



Information BRIEF

www.flbog.edu

The Mental and Behavioral Health of Students and the Need for Increased Counseling Services

September 2016

HIGHLIGHTS

- Student demand for counseling services exceeds the current capacity of SUS counseling centers, and demand for services continues to grow.
 - The number of counseling service clients at SUS institutions has increased 48% since 2008-09 and the number of counseling sessions provided has increased by 67%.
 - Counselors at nine of Florida's state university counseling centers serve more than the maximum recommended number of clients due to inadequate staffing levels and increasing demand for services.
 - Centers now maintain waiting lists, reduce the frequency of sessions, and refer students to clinicians in the community.

Introduction

In March 2015, Board staff presented an *Information Brief* on the critical issues related to campus safety and security in response to increasing concerns about student behavior and campus safety. That information brief reported that the State University System (SUS) university presidents recognized a need to increase the number of well-trained, professional counselors in order to adequately address the growing demand from students with mental and behavioral health issues. In 2016, the SUS requested funding from the legislature to support an increase in the number of counselors, as well as additional law enforcement staff. That request was not funded, although the demand for counselors remains -- and continues to grow.

National Counseling Center Activity Data

Annual national surveys of counseling center directors find that the vast majority of directors have been reporting increases in the number of students entering postsecondary education already taking psychiatric medication for at least a decade.ⁱ From the latest survey, directors reported that 41% of all eligible students, across all institutions participating in the survey, sought either individual or group counseling. This is up from 9% in the 2006 survey. The directors also reported that 52% of clients have serious psychological issues, up from 41% in 2003. They also reported that 26% of clients were on psychiatric medication, up from 9% in 1994. The

Mental and Behavioral Health of Students

most commonly documented problems included anxiety disorders, pressing crises, issues with psychiatric medication, clinical depression, learning disabilities, sexual assaults on campus, and self-injury issues. While the number of students seeking counseling has increased, the number of counselors available to support these students has not. The 2004 survey found that the ratio of counselors to clients, on average, was 1 to 1,511. In the 2014 survey, the ratio was 1 to 2,081.

The American College Health Association (ACHA) found in a spring 2015 survey that approximately 19% of students report that they had received psychological or mental health services from their current institution's counseling center or health service center.ⁱⁱ ACHA also found that 57% of students felt overwhelming anxiety at some point during the previous year, and 35% felt so depressed that it was "difficult to function." These percentages are up from 51% experiencing overwhelming anxiety and 31% who felt so depressed that it was "difficult to function" on the spring 2012 survey.ⁱⁱⁱ Further, the national American Freshman Survey from 2014 found that students' ratings of their emotional health were the lowest ever reported.^{iv}

An array of explanations for the increasing incidences and severity of psychological problems on postsecondary campuses are being offered by mental health professionals. A frequently asserted view is that these trends are resulting from larger societal tendencies toward increasing levels of anxiety and depression resulting from information and technology overload, increased financial stress, and an ineffectual mental health care system. In addition to the increasing numbers of students arriving on campus with pre-existing emotional and behavior health issues, university counselors are also finding that the current generation of students lacks the coping skills and resiliency demonstrated by previous generations.

The State University System

SUS institutions maintain counseling centers with licensed, highly-trained professionals who provide comprehensive services for students. Services include the evaluation of student concerns and behaviors and the development of individualized treatment plans that promote mental and behavioral health, as well as academic success. Center personnel are also involved in the education and training of students in leadership positions to enable them to recognize student behaviors that may signal a need for professional intervention. Many universities now maintain *Students of Concern Committees* and *Threat Assessment Teams* that identify and monitor students with serious mental or behavioral problems. Counseling centers also provide mental health outreach and prevention programs to students and training for faculty and staff, though these activities are provided with less frequency due to the increase in demand for counseling services.

Nine SUS counseling centers are accredited by the International Association of Counseling Services (IACS), the accreditation association for over 200 university and college counseling centers worldwide. IACS standards state that minimum staffing ratios should strive to be "in the range of one FTE professional staff member to every 1,000 to 1,500 students, depending on services offered and other campus mental health agencies." Currently, nine of the 12 SUS counseling centers are operating above the high end of the range recommended by IACS of one staff member per 1,500 students.

Mental and Behavioral Health of Students

Counseling Center Activity

All SUS counseling centers strive to respond to the mental and behavioral health needs of their students. However, similar to national trends, the demand for counseling and related services has increased significantly in a short period of time. The number of student clients has increased 48% since 2008-09, and the number of sessions has increased by 67% during the same timeframe. The most common issues students cited when requesting services were anxiety, depression, relationship issues, and academic stress – all of which are consistent with national findings.

In addition to the growing numbers of clients and counseling sessions, there has also been a significant increase in the severity of student problems as evidenced by an increase in emergency or crisis visits. During the 2013-14 academic year, nearly 4,200 visits to SUS counseling centers were classified as emergency or crisis visits. Most of these visits were due to severe depression, acute anxiety, and suicidal ideation. Centers have also recorded an alarming increase in Baker Act hospitalizations, with over 300 student hospitalizations during the 2013-14 academic year.

Summary

Student demand for counseling services has outstripped the capacity of the SUS counseling centers. Student client surveys show that university counseling services enable students to successfully address their issues and remain enrolled. However, as a result of the increased demand for services and the complexity of the problems that students are experiencing, SUS counseling centers may maintain waiting lists, reduce the frequency of appointments for students with ongoing issues, or refer students to clinicians in the community that could in turn saturate community resources. As a result, less staff time is available for preventive programs that would benefit the larger campus community and support student success. The SUS counseling centers provide services that are critical to student retention and success and the need to address the mental and behavioral health of SUS university students has never been more critical.

Staff Contact

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Academic and Student Affairs

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ⁱ Gallagher, R. P. (various). *National Survey of College Counseling Centers*. Retrieved from <http://www.collegecounseling.org/surveys>

ⁱⁱ American College Health Association. (2015). *American College Health Association National College Health Assessment II Spring 2015 Reference Group Executive Summary*. Retrieved from http://www.acha-ncha.org/pubs_rpts.html

ⁱⁱⁱ American College Health Association. (2012). *American College Health Association National College Health Assessment II Spring 2012 Reference Group Executive Summary*. Retrieved from http://www.acha-ncha.org/pubs_rpts.html

^{iv} Eagan, K., Stolzenberg, E. B., Ramirez, J. J., Aragon, M. C., Suchard, M. R., & Hurtado, S. (2014). *The American freshman: National norms fall 2014*. Retrieved from <http://www.heri.ucla.edu/tfsPublications.php>

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: State University System Mental Health Counseling Services Legislative Budget Request

PROPOSED COMMITTEE ACTION

For information and consideration of the State University System Mental Health Counseling Services Legislative Budget Request

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The State University System Mental Health Counseling Services Legislative Budget Request is designed to increase campus counseling services in order to address the critical need for student mental and behavioral health coverage in a State University System that is steadily expanding in size, scope, and complexity.

SUS leaders have identified the need for an increasing number of well-trained, professional counselors to address the mental and behavioral health of university students. This legislative budget request is for \$14,449,575 (\$12,528,253 recurring and \$1,921,322 nonrecurring) for additional mental health professionals and staff, including psychologists, mental health counselors, psychiatric ARNPs, case managers, prevention specialists, and office staff. The LBR is designed to raise the staffing levels of the SUS Counseling Centers to the staffing ratios recommended by the profession's accreditation association, the International Association of Counseling Services.

Supporting Documentation Included:	Legislative Budget Request Forms I & II
Facilitators / Presenters:	Dr. Corey King

**State University System
Education and General
2017-2018 Legislative Budget Request
Form I**

University(s):	State University System
Issue Title:	Campus Security, Health, & Safety: Mental Health Counseling Services
Priority Number	
Recurring Funds Requested:	\$12,528,253
Non-Recurring Funds Requested:	\$1,921,322
Total Funds Requested:	\$14,449,575
Please check the issue type below:	
Shared Services/System-Wide Issue	<input checked="" type="checkbox"/>
New Issue for 2017-2018	<input checked="" type="checkbox"/>

I. Description

The **SUS Mental Health Counseling Services** Legislative Budget Request is designed to increase campus counseling services in order to address the critical need for student mental and behavioral health coverage in a State University System that is steadily expanding in size, scope, and complexity.

Universities are directly responsible for providing a safe educational, working, and living environment for students, faculty, staff, and visitors. SUS leaders have identified a number of current campus security challenges and focused on two issues: (1) law enforcement staffing needs in an expanding State University System, and (2) the increasing need for well-trained, professional counseling services to address the mental and behavioral health of university students.

The SUS Counseling Centers provide a wide variety of clinical services, including individual and group counseling and psychiatric services and assessment. Professional staff provide substance abuse treatment and prevention efforts and consult with many campus constituencies to provide prevention and outreach services. University counseling services are integral to graduation and retention rates in that counselors directly assist students in their mental and behavioral health needs so they can reach their academic potential.

While the SUS centers are now fully imbedded in the overall health and wellness of their campuses, the centers are struggling to keep up with the demand for their services. Students are coming into counseling earlier, in larger numbers, and with more crisis issues than ever before. The SUS centers have experienced a 67% increase in student client sessions in the past six years for issues of anxiety, depression, academic stress, and relationship issues. Further, SUS centers recorded nearly 4,200 emergency or crisis visits during 2013-2014.

The LBR is designed to raise the staffing levels of the SUS Counseling Centers to the minimum staffing ratios that are recommended by the profession's accreditation association, the International Association of Counseling Services (IACS). IACS standards state that minimum staffing ratios should strive to be "in the range of one FTE professional staff member to every 1,000 to 1,500 students, depending on services offered and other campus mental health agencies." Currently, ten of the 12 SUS counseling centers (not New College and Florida Polytechnic) are operating above the IACS standard for minimum staffing of one professional staff member per 1,000 students, and eight of the 12 centers are operating above the high end of the range recommended by IACS of one staff member per 1,500 students.

The System request for counseling services appears below:

SUS Counseling Services Mental Health Counseling Services Legislative Budget Request					
University	# Positions (FTE)*	Salary (recurring)	Other/ Related Expenses** (recurring)	Other/ Related Expenses** (Non-recurring)	Total
Florida A&M University	7.0	\$499,110	\$18,200	\$85,000	\$602,310
Florida Atlantic University	13.7	\$1,201,270	\$183,350	\$265,322	\$1,649,942
Florida Gulf Coast University	5.8	\$483,390	\$23,700	\$223,000	\$730,090
Florida International University	9.0	\$823,990	\$47,586	\$90,000	\$961,576
Florida Polytechnic University	4.0	\$319,640	\$6,000	\$40,000	\$365,640
Florida State University	19.0	\$1,667,630	\$28,500	\$190,000	\$1,886,130
New College of Florida & USF Man.	4.0	\$269,860	\$95,000	\$70,000	\$434,860
University of Central Florida	9.0	\$606,530	\$360,000	\$0	\$966,530
University of Florida	23.0	\$1,989,890	\$80,500	\$161,000	\$2,231,390
University of North Florida	6.0	\$518,760	\$23,000	\$37,000	\$578,760
University of South Florida	25.0	\$2,116,960	\$34,500	\$125,000	\$2,276,460
University of South Florida St. Pete	4.0	\$312,435	\$6,000	\$20,000	\$338,435
University of West Florida	7.5	\$705,840	\$106,612	\$615,000	\$1,427,452
Total	137	\$11,515,305	\$1,012,948	\$1,921,322	\$14,449,575
*Positions include Psychologists, Mental Health Counselors, Psychiatric ARNPs, Case Managers, Prevention Specialists, Office staff. **Other/Related Expenses include hiring expenses, professional development, overhead, technology, assessment.					

II. Return on Investment

The SUS counseling centers are busy operations and their professional services are critical to a university's well-being and, more importantly, to student retention and success. The American College Health Association recently reported that over 50 percent of college students say that they have experienced "overwhelming anxiety" in the last year and 32 percent say they have felt so depressed "that it was difficult to function." Research has shown that students seeking university counseling services are more likely to maintain and improve their academic performance and persist to graduation. Student client surveys at state universities consistently verify that counseling services are enabling students to address their personal problems and remain enrolled to pursue a degree. As students are enrolling in state universities with a greater and more urgent need for mental health services, there is an increasing

challenge for university counseling centers to provide immediate and appropriate professional services that will forestall serious behavioral incidents and reinforce academic progress and success.

The operations of campus counseling services maintain strong support for the Board of Governors performance funding model as the model provides a financial incentive for universities to prioritize student health and safety and to provide access to the academic and personal assistance needed by students to attain a degree and be successful in a career. Each state university recognizes that its reputation for academic quality and student success is dependent on its ability to provide a healthy and safe environment for those learning, working, and living on its campus.

2017-2018 Legislative Budget Request
Education and General
Position and Fiscal Summary
Operating Budget Form II
(to be completed for each issue)

University:
Issue Title:

SUS CAPS
Campus Safety & Security

	RECURRING	NON-RECURRING	TOTAL
<u>Positions</u>			
Faculty Psychologists	30.00	0.00	30.00
Other (A&P/USPS)	0.00		
Psychologists	64.00	0.00	64.00
Mental Health Counselors	15.00	0.00	15.00
Psychiatric ARNPs	4.00	0.00	4.00
Case Managers	10.00	0.00	10.00
Prevention Specialists, BA	1.00	0.00	1.00
Prevention Specialists, MA	5.00	0.00	5.00
Office Personnel	5.00	0.00	5.00
Other	3.00	0.00	3.00
	-----	-----	-----
Total	137.00	0.00	137.00
	=====	=====	=====
<u>Salary Rate (for all positions noted above)</u>			
Faculty Psychologist	\$2,100,000	\$0	\$2,100,000
Other (A&P/USPS)	\$0		
Psychologist	\$4,288,000	\$0	\$4,288,000
Mental Health Counselor	\$825,000	\$0	\$825,000
Psychiatric ARNP	\$400,000	\$0	\$400,000
Case Manager	\$523,000	\$0	\$523,000
Prevention Specialist, BA	\$35,000	\$0	\$35,000
Prevention Specialist, MA	\$225,000	\$0	\$225,000
Office Personnel	\$156,000	\$0	\$156,000
Other	\$218,500	\$0	\$218,500
	-----	-----	-----
Total	\$8,770,500	\$0	\$8,770,500
	=====	=====	=====
Salaries and Benefits	\$11,515,305	\$0	\$11,515,305
Other Personal Services	\$278,000	\$0	\$278,000
Expenses	\$0	\$0	\$0
Hiring Expenses	\$0	\$640,000	\$640,000
Office Expenses	\$11,000	\$396,000	\$407,000
Professional Development	\$174,000	\$0	\$174,000
Operating Capital Outlay	\$0	\$295,000	\$295,000
Electronic Data Processing	\$5,000	\$0	\$5,000

Technology and Prevention	\$146,700	\$7,000	\$153,700
Special Category (Specific)	\$0	\$0	\$0
Overhead	\$221,248	\$28,322	\$249,569
Other	\$15,000	\$555,000	\$570,000
Other	\$162,000	\$0	\$162,000
	-----	-----	-----
Total All Categories	\$12,528,253	\$1,921,322	\$14,449,574
	=====	=====	=====

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Florida Institute of Oceanography, Keys Marine Laboratory, Legislative Budget Request

PROPOSED COMMITTEE ACTION

For information and consideration of the support for the Florida Institute of Oceanography, Keys Marine Laboratory Legislative Budget Request

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Florida Keys Marine Laboratory in Layton, Florida is operated by the Florida Institute of Oceanography (FIO). It is a platform that provides unique opportunities for short- and long-term field and laboratory experiences and research for undergraduate and graduate students, academic and non-academic faculty and researchers from throughout the U.S. and internationally. A total of \$1,800,000 in recurring and non-recurring funds are requested for the Florida Keys Marine Laboratory.

The request includes \$800,000 in recurring funds that will allow Keys Marine Laboratory to:

- Have FIO as the sole operator of the Keys Marine Laboratory as well as retain and hire the appropriate personnel needed to maintain all operational systems;
- Support and expand marine education programs;
- Assist with the development of research and commercialization methods for the invasive lionfish population;
- Create a new education and outreach coordinator position at the Keys Marine Laboratory to initiate and implement new programs directed at expanding and enhancing STEM education;
- Develop and offer, in partnership with industry, certified scientific diving courses to undergraduate and graduate students;
- Develop a STEM undergraduate program.

The request includes \$1,000,000 in non-recurring funds that will allow FIO to:

- Introduce advanced video and satellite-enabled communication and IT systems for research and education purposes;
- Purchase holding tanks and other necessary equipment to leverage the unique salt water system at the Keys Marine Laboratory that helps conduct controlled experiments on various marine species;
- Maintain the state-of-the-art laboratory to support research and education;
- Provide funds for upgrades, on-going maintenance, system upgrades, and infrastructure expansion to ensure that academic, research, and safety standards are met.

The total amount of funds requested for the Keys Marine Laboratory is \$1,800,000.

Supporting Documentation Included:

Legislative Budget Request Forms I & II

Facilitators/Presenters:

Provost Gary Perry
Provost Ralph Wilcox

**State University System
Florida Board of Governors
2017-2018 Legislative Budget Request Instructions
Forms I and II**

The main objective of Form I and Form II is to align the university's budget issues and dollar values with the goals and objectives of the strategic priorities and the 2016 University Work Plan established by each university.

For FY 2017-2018, each university should submit one Form I and Form II for each budget issue and any system-wide issue identified as a critical system-wide need. Any issues unique to a branch campus or a special unit (e.g., IFAS Workload Initiative) should not be rolled into the main campus request, but reflected separately by use of the forms provided.

For system-wide issues, consideration will be given to issues that allow for greater efficiencies through shared system resources or identified as a system-wide need. If requesting funds as such, please list all university participants of the initiative and check the box "Shared Services/System-Wide Issue".

For new issues identified by a university as a priority issue for 2017-2018, please check the box "New Issue for 2017-2018".

Please keep in mind that all issues submitted for consideration by the Board should align with the goals and objectives of the strategic priorities and work plan established by each university.

**State University System
Education and General
2017-2018 Legislative Budget Request
Form I**

University(s):	Florida Institute of Oceanography (FIO) and AISO for the State University System/hosted by the University of South Florida (USF)
Issue Title:	Support for FIO's Keys Marine Laboratory (KML)
Priority Number	
Recurring Funds Requested:	\$800,000
Non-Recurring Funds Requested:	\$1,000,000
Total Funds Requested:	\$1,800,000
Please check the issue type below:	
Shared Services/System-Wide Issue for Fiscal Year 2017-2018	<input checked="" type="checkbox"/>
New Issue for Fiscal Year 2017-2018	<input checked="" type="checkbox"/>

- I. Description** – 1. Describe the service or program to be provided and how this issue aligns with the goals and objectives of the strategic priorities and the 2016 Work Plan established by your institution (include whether this is a new or expanded service/program). If expanded, what has been accomplished with the current service/program? 2. Describe any projected impact on academic programs, student enrollments, and student services.

The Florida Institute of Oceanography (FIO), an Academic Infrastructure Support Organization (AISO) established by the Board of Governors (BOG), serves as the State University System (SUS) coordinating body for the two (2) sea going research vessels, Keys Marine Laboratory (KML), equipment, and other shared-use facilities and services. Shared-use of resources, expertise and infrastructure maintains Florida's status as a leader in supporting excellence in marine science, technology and education.

The KML is located in the middle of the Florida Keys, in Layton, FL. This valuable platform provides unique opportunities for short and long term field and laboratory experiences/research for undergraduate and graduate students, faculty and scientists from across Florida, the U.S. and even globally.

The KML is nestled in one of the few tropical environments that is available in the U.S., as well as the one of the few laboratories that provides access to a new state-of-the-

2017-2018 LBR

art seawater in-house circulation system perfect for conducting mesoam studies. KML also provides support for existing and new degree/certificate programs in the SUS to support undergraduates and graduates interests in marine science programs. In return, the availability of well-trained students will also attract potential employers to Florida, producing high-technology, high-wage jobs the SUS seeks for its graduates and for the benefit of the State's economy.

Consistent with FIO's mission to support *Excellence in Marine Science, Technology and Education*, the KML provides the necessary platforms to develop the marine scientists of tomorrow with the ever changing tools through unlimited field, classroom and laboratory access. The KML also participates in the State-Subsidized Program (SUS). This program through an annual competitive process meets the goal of optimizing the BOG's expectation of FIO as an AISO to support overarching education and research goals. The program is STEM-focused and offers students a once-in-a-lifetime opportunity, which is often the only way many students experience working at a marine laboratory. In addition to providing support under the SUS program, the KML is the field station used by Florida Atlantic University (FAU) during the "Field Studies in Marine Biology" summer course. This station focuses on coral reefs and related sub-tropical coastal habitats which allows students to connect to different habitats as they circulate around Florida's ecosystems. The highly successful course created collaborations and garnered knowledge among five (5) different SUS institutions (UNF, UWF, USFSP, FGCU, FAU) faculties and students.

The KML through a National Science Foundation (NSF) federal grant, recently installed the most advanced seawater circulation system to expand the capacity to conduct laboratory experiments and manipulate water quality and hence allow on-site research not previously possible. This state-of-the-art system can reconstruct historical, present and future ocean conditions allowing never before research to be conducted at KML. Since January 2016, the seawater system has been integrated into the Florida Wildlife Research Institute (FWRI) and National Oceanic and Atmospheric Administration's (NOAA's) efforts to rescue and restore the Pillar corals in the Florida Keys, while developing tools that are transferred globally.

The acquisition of research dollars is becoming more competitive, especially as the federal dollars for biological/physical research efforts are decreasing. Unfortunately, the research dollars that are available from the Deepwater Horizon Oil spill **cannot be used** to operate FIO/KML day-to-day activities. The Resources and Ecosystem Sustainability, Tourist, Opportunities and Revived Economies (RESTORE Act of 2012) funds can only be accessed for research operations for the RESTORE Act Centers of Excellence Grants Program, defined in 31 CFR Part 34.

The Florida Keys are intrinsically dependent on a reliable and healthy marine ecosystem for tourism, and commercial and recreational fisheries. It is critical for Florida to have the infrastructure that monitors this delicate and important ecosystem, while providing teaching and research resources for faculty and students across the State.

The receipt of requesting recurring funds will allow KML to:

2017-2018 LBR

- FIO as the sole operator of the KML, the need for critical personnel to support not only the increasing demands, and usage of the facility, but also to maintain the upkeep of all operational systems at the laboratory.
- Support and expand marine education programs (i.e. State-Subsidized Program (often awarded to Florida State University for use of the KML) and as a field station for the Florida Atlantic University during the “*Field Studies in Marine Biology*” summer course) through a centrally maintained marine laboratory.
- Assist in the development of research mechanisms/activities to control the invasive lionfish population threatening Florida’s native species, as well as developing a method of commercial utilization of lionfish. The KML is the ideal location to launch these types of research as there is a large lobster fishing industry that currently uses ‘traps’ to harvest lobsters. This research could potentially modify these traps to effectively harvest lionfish without damaging our local habitat.
- Create a new education and outreach coordinator position at KML to work with the 30 members to initiate and implement new programs directed at expanding and enhancing STEM education focusing on K-12 and postsecondary teacher certification. Teachers will be exposed to the newest field and laboratory technologies in research and innovative strategies in education across all oceanographic disciplines.
- Develop and offer partnerships with industry to offer beginner, intermediate and advance scientific diving courses/skills that are American Academy of Underwater Sciences (AAUS) certified to graduate and undergraduate students who upon graduation have accrued the practical experience often lacking and sought after by employers in federal and state agencies and industry.
- Develop a STEM undergraduate education program through an on-site intern program integrating students with day to day operations of the laboratory with ongoing research conducted by KML staff scientists, and SUS scientists conducting their research at KML.

The receipt of requesting non-recurring funds will allow FIO to:

- Introduce an advanced video and satellite-enabled communication (e.g. Mondo pads for Skyping abilities) and IT systems for both research and education. An advanced system will enable students to follow daily updates from their faculty remotely, as well as being able to interact directly with classmates from ship to shore or remotely from the Keys Marine Lab to classrooms. This capability will enhance the faculty and students experience conducting courses or research and allow staff to participate in classes offered elsewhere, seminars, workshops and symposia. In this way, staff will be able to keep current with research results, methods and tools. Lectures can be archived on the KML website for access to anyone for incorporation into their curricula. Establishment of KML as a distance learning facility while being recognized as a world class site to conduct sub-tropical and tropical system research.
- With the new unique salt water system at the KML, demand is increasing to conduct controlled experiments on various marine species. Purchasing holding tanks and other necessary equipment to address the demands of the salt water system is essential. The holding tanks can control temperature, light, monitor water quality, and capacity for water recirculation are needed to conduct research and meet requests to utilize the KML.

2017-2018 LBR

- Maintain state-of-the-art marine laboratory at a reduced cost to member institutions, and other research institutions. In order to continue to support top-notch research and educational laboratory for Florida, essential upgrades of scientific equipment, additional marine fleet is needed to provide fundamental data on Florida's environmental water conditions of the Keys.
- Allow for modification of existing infrastructures. The additional funding will allow FIO to provide on-going maintenance, system upgrades and expansion of infrastructure (wet and dry lab capabilities, divisible classroom space), tools to insure that academic and research standards are achieved at the marine laboratory and ensure safety standards meet local and state hurricane code(s).

II. Return on Investment - *Describe the outcome(s) anticipated, dashboard indicator(s) to be improved, or return on investment. Be specific. For example, if this issue focuses on improving retention rates, indicate the current retention rate and the expected increase in the retention rate. Similarly, if it focuses on expanding access to academic programs or student services, indicate the current and expected outcomes.*

It can be said that no aspect of Florida's economic health goes untouched by the ocean. We must be prepared for future activities that could have dramatic impacts on Florida's economy. Florida has an ever-growing coastal population, record setting tourism, and the largest recreational fisheries in the U.S., along with its viable commercial industry supplying fresh seafood serving our locals as well as millions of tourists each year. In fact:

- Florida's largest gross domestic product component is agriculture, fishing and hunting, all of which continue to grow. Florida's commercial and recreational fisheries economic value together contribute more than \$30B to Florida's economy, more than the citrus, cattle, ranching and space industries combined. Tourism which depends on clean, healthy beaches and viable fish populations continues to dominate economic driver for the State of Florida.
- Sustainable oceans and coastal ecosystems are the foundation for the quality of life of Florida residents, not only necessary to attract tourists to the Sunshine State, but also integrally important to economic recovery and sustainable growth. FIO's presence enables the SUS researchers, faculty and students the opportunity to survey the ocean and coastal ecosystems to determine the future of Florida's various industries such as: recreational and commercial fisheries, recreational boating and diving, beach-related recreation, tourism, nature observation and a myriad of other natural and societal values that are collectively worth hundreds of billions of dollars a year to the state's economy and creating thousands of jobs in Florida.
- Faculty members and graduate students have utilized the KML over the past 5 years with support from over 30 federal, state, and private agencies and organizations. Almost 50 Masters and Doctorate degrees have been awarded to students who conducted research from and at the KML.
- According to NOAA, the coral reefs of the Florida Keys and southeast Florida alone have an asset value of \$8.5 B producing \$4.4 B in local sales, \$2 B in local income and over 70,000 full and part time jobs.

- The Gulf of Mexico contains the world's third largest oil and gas reserves. Regardless of whether drilling takes place in Florida's water or Mexico or Cuba, the impact of drilling could seriously impact the multi-billion dollars tourist and fishing industries of Florida. Additional permits are being granted for deep-water drilling and Cuba will drill. Both of these activities could have dramatic impacts on Florida's economy, especially in the Florida Keys, should a disaster occurs.
- Most importantly, the FIO will significantly strengthen the SUS' competitive position in securing higher levels of R&D investment from the federal government, foundations and industry.

III. Facilities *(If this issue requires an expansion or construction of a facility, please complete the following table.):*

	Facility Project Title	Fiscal Year	Amount Requested	Priority Number
1.				
2.				

2017-2018 Legislative Budget Request
Education and General
Position and Fiscal Summary
Operating Budget Form II
(to be completed for each issue)

University: Florida Institute of Oceanography (FIO) an AISO
for the State University System/ hosted by the
University of South Florida (USF)

Issue Title: Support for FIO's Keys Marine Laboratory (KML)

	RECURRING	NON-RECURRING	TOTAL
<u>Positions</u>			
Faculty	0.00	0.00	0.00
Other (A&P/USPS)	3.00	0.00	3.00
	-----	-----	-----
Total	3.00	0.00	3.00
	=====	=====	=====
<u>Salary Rate (for all positions noted above)</u>			
Faculty	\$0	\$0	\$0
Other (A&P/USPS)	\$180,000	\$0	\$180,000
	-----	-----	-----
Total	\$180,000	\$0	\$180,000
	=====	=====	=====
Salaries and Benefits	\$240,000	\$0	\$240,000
Other Personal Services	\$0	\$0	\$0
Operating Expenses	\$200,000	\$0	\$200,000
Operating Capital Outlay	\$0	\$0	\$0
Electronic Data Processing	\$0	\$0	\$0
Special Category (Specific)	\$0	\$0	\$0
Seawater Maintenance/upgrade systems	\$360,000	\$150,000	\$510,000
<u>Specialized Marine laboratory equipment</u>	\$0	\$250,000	\$250,000
<u>New Satellite Communication System/Equipment</u>	\$0	\$200,000	\$200,000
Holding Tanks for seawater system	\$0	\$150,000	\$150,000
Development education/ research programs	\$0	\$50,000	\$50,000
Mod./Upgrades current infrastructure to safety standards	\$0	\$200,000	\$200,000
	-----	-----	-----
Total All Categories	\$800,000	\$1,000,000	\$1,800,000
	=====	=====	=====

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Florida Small Business Development Center Network Legislative Budget Request

PROPOSED COMMITTEE ACTION

For information and consideration of a proposal to expand capacity in the Florida Small Business Development (SBDC) Network for the creation, retention and expansion of Florida's Small Businesses

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The University of West Florida is requesting \$4 million in recurring state appropriations to expand and enhance services that help small and medium-sized businesses advance the state's economy. The SBDC network is the principal business assistance organization for small businesses in the state. It provides business development consulting and access to educational programs to support businesses through all stages of the business development life cycle. In addition, it offers access to business intelligence by providing no-cost professional business consulting to small and medium size enterprises delivered by certified professional business consultants.

The appropriations would be used to (1) enhance participation in the SBDC network, (2) establish a Florida business information center, and (3) investigate opportunities to fill market gaps in the Florida SBDC network.

Seven SUS institutions – UWF (lead), FAMU, FGCU, FIU, UCF, UNF, and USF as well as three state colleges are currently participating in the SBDC network.

Supporting Documentation Included:	Legislative Budget Request Forms I & II
Facilitators/Presenters:	Mr. Mike Myhre

**State University System
Education and General
2017-2018 Legislative Budget Request
Form I**

University(s):	University of West Florida (Lead) Current Program Participants: Florida A&M University Florida Gulf Coast University Florida International University University of Central Florida University of North Florida University of South Florida
Issue Title:	Expand Capacity in the Florida SBDC Network for the Creation, Retention and Expansion of Florida's Small Businesses
Priority Number	
Recurring Funds Requested:	\$4,000,000
Non-Recurring Funds Requested:	
Total Funds Requested:	\$4,000,000
Please check the issue type below:	
Shared Services/System-Wide Issue for Fiscal Year 2017-2018	<input checked="" type="checkbox"/>
New Issue for Fiscal Year 2017-2018	<input type="checkbox"/>

I. Background Information

As the only statewide provider of entrepreneurial and business development services targeted to create and expand small and medium-sized businesses, the Florida Small Business Development Center (SBDC) Network is designated as “the principal business assistance organization for small businesses in the state.” [Fla. Stat. § 288.001] Due to its multi-institutional focus, the State University System Board of Governors designated the [Florida SBDC Network](#) as a State of Florida Center in August 2009. [BOG Regulation 10.015] Governed by [Section 21 of the Small Business Act, 15 USC § 648](#), and federal regulations, [13 CFR Part 130](#), the Florida SBDC Network is sponsored and supported by federal, state and local (match) funding. In 2015, sources of program revenues included \$7.4 million in federal funding, \$4 million in state funding, and \$6.3 million in local match funding. The University of West Florida (UWF), is requesting \$4 million in recurring state appropriation to expand and enhance network program services to meet the critical needs of the state’s principal job creators – small and medium sized businesses - and fulfill the State University System and network’s shared vision to advance the State’s economy. Additional funds will be used to expand program services and access to additional federal and local funding.

Network of Partners



Since 1976, the University of West Florida (UWF) has served as the designated recipient (Lead Center) for the Florida SBDC Network and its various funded programs. As the designated recipient, the UWF is responsible for 1) administering the network’s programs and providing leadership, management, coordination and administrative direction, and 2) establishing a network of qualified partner organizations to administer program services.

Today, UWF partners with six of its sister state universities, and various state colleges, chambers of commerce, and economic development organizations to operate a statewide network of 45 centers, consisting of nearly 250 employees throughout Florida.

Mission, Vision & Values

The Florida SBDC has committed itself to a culture based on the principles and practices of excellence, continuous improvement and innovation. The network utilizes the principles of Baldrige Performance Excellence Program, the basis of the ASBDC Accreditation Process, which the Florida SBDC is an accredited member, as its business model for improving organizational performance. Driven by

VISION
Creating a better Florida for all by helping businesses grow
MISSION
Providing businesses the expertise and resources to succeed
OPERATING VALUES & BELIEFS
What the Florida SBDC Network stands for:
S takeholder Return and Value
B usiness Needs and Solutions
D evelopment and Economic Growth
C ommitment to Excellence
OUR COMMITMENTS
To Our Employees
To foster a rewarding culture that allows employees to be successful and reach their full potential
To Our Customers
To utilize our knowledge, experience and resources to deliver quality services and products that meet their needs and contribute to their business growth and success
To Our Partners
To advance our shared vision and mission by developing collaborative alliances that leverage organizational strengths and produce lasting benefits
To Our Funders
To be responsible and accountable stewards and achieve performance expectations and results that contribute to economic prosperity and meaningful return-on-investment

its strategic plan, the Florida SBDC ensures that network policies and programs align with the statewide goals of the State University System and the statewide strategic economic development plan as provided under Fla. Stat. § 20.60.

Key Services

SBDCs, under Section 21 of the Small Business Act [15 USC § 648], are required to provide business development consulting and access to educational programs to support businesses through all stages of the business development life cycle. To achieve its required purpose, the Florida SBDC Network offers access to business intelligence by providing no-cost professional business consulting to small and medium sized enterprises delivered by certified professional business consultants. SBDCs also provide access to low or no-cost business development education that builds the acumen of emerging and established business owners and managers, and access to information and research to foster business literacy and knowledge. SBDC key services (consulting, education and research) maximize value and satisfaction, and drive organizational success and sustainability, while enhancing the economic development goals and objectives of network funding partners.



II. Description – 1. Describe the service or program to be provided and how this issue aligns with the goals and objectives of the strategic priorities and the 2016 Work Plan established by your institution (include whether this is a new or expanded service/program). If expanded, what has been accomplished with the current service/program? 2. Describe any projected impact on academic programs, student enrollments, and student services.

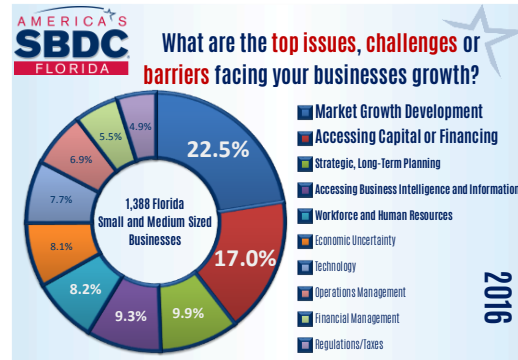
Pursuant to the State University System’s tripartite mission of fostering community and business engagement, the request for additional funds for the Florida SBDC Network will assist in the fulfilment of the Board of Governors’ goals to strengthen the quality and recognition of commitment to community and business engagement and increase the level of community and business engagement.

Florida is home to 2.3 million small and medium sized businesses who create three out of every four new jobs. By 2030, six million more residents will call Florida home and two million more jobs will be needed to sustain current rates of employment. To prepare for this continued growth, and ensure Florida remains economically competitive, Florida’s job creators - new and existing businesses - will need access to professional guidance to address growth challenges and opportunities. As Florida’s principal business assistance organization [Fla. Stat. § 288.001], the

Florida SBDC Network, and its network of State University System partners will be expected to be on the forefront of ensuring and securing Florida's future.

The Florida SBDC Network proposes and seeks approval for additional recurring state appropriation for the following purposes:

- Enhance Participation in the Florida SBDC Network. The University of West Florida would use additional appropriations to expand service capacity in areas of strategic importance and assessed business need at existing regional host partners. As one example, access to capital continues to be one of the primary challenges that Florida small and medium businesses face. Banks and other institutions have shifted to a more conservative lending model since the recession, which has negatively impacted the ability for small businesses to obtain the capital necessary to expand and grow. Additional appropriations will be invested to enable existing network partners to expand capacity by hiring additional personnel (consultants) who specialize in capital access. These Capital Access Specialists will focus on helping Florida's small businesses and entrepreneurs navigate the challenging lending environment and obtain the capital necessary to grow.
- Establish a Florida Business Information Center. The University of West Florida would use additional appropriations to establish a statewide call center, Florida Business Information Center, as part of the Florida SBDC Network Lead Center. The Florida Business Information Center would be a centralized source for general business information, inquiries and referral to business resources. Professional personnel will be available by phone and online access (chat and email) to assist in answering general business questions and directing inquiries to sources of assistance, including government, institutions of higher education, and private sector resources.
- Investigate Opportunities to Fill Market Gaps in the Florida SBDC Network. Currently, six state universities and three state colleges partner with the University of West Florida as regional host partners for the Florida SBDC Network. If needed, the University of West Florida would utilize some of the additional appropriations to investigate opportunities to expand partner participation in the network where service market gaps exist. The Florida SBDC Network State Director would seek the counsel of the network's existing host partner institutions before enactment.



III. Return on Investment - Describe the outcome(s) anticipated, dashboard indicator(s) to be improved, or return on investment. Be specific. For example, if this issue focuses on improving retention rates, indicate the current retention rate and the expected increase in the retention rate. Similarly, if it focuses on expanding access to academic programs or student services, indicate the current and expected outcomes.

Return-On-Investment (ROI) is a key measure of the Florida SBDC Network. Measuring ROI helps our funding partners understand how the network's programs and services benefit the state and institutional objectives. Further, it enables policy makers to make informed decisions

and conclusions concerning public sector investment and program effectiveness. Measuring and trending ROI assists the network to evaluate performance relative to strategy, evaluate effectiveness in comparison to competitors and peers, and learn from and improve results.

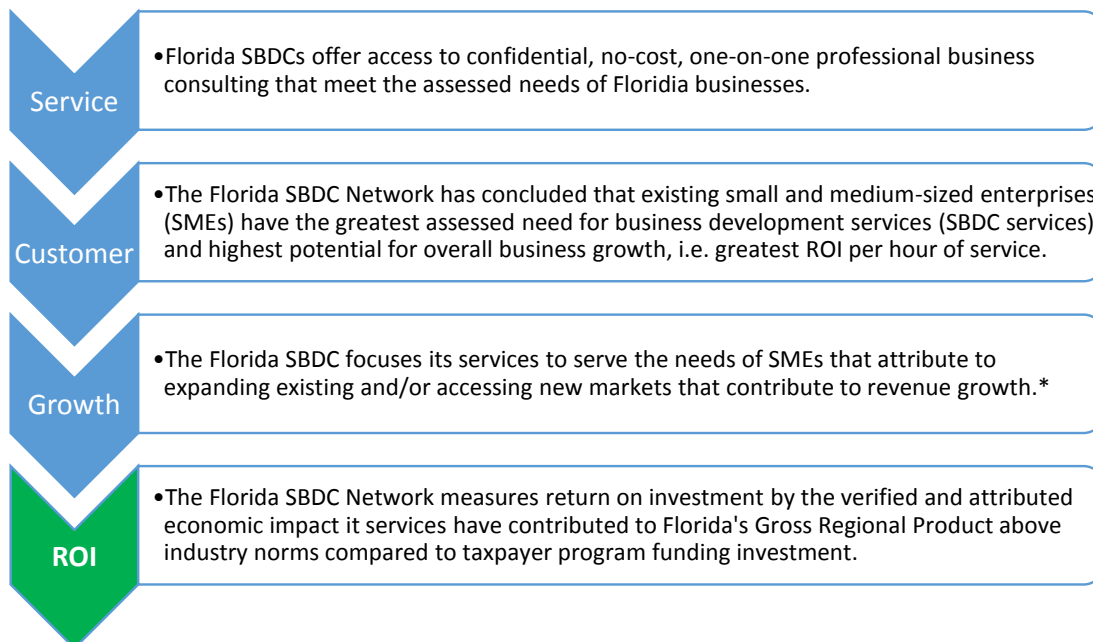
The Florida SBDC defines ROI as the net impact its services contribute to the growth of Florida's economy, i.e. the net effect of client businesses' impact on Florida's Gross Regional Product (GRP) divided by tax payer investment above client industry norms. The source of contribution is defined as the level program services impact client business revenue growth as verified and attributed by client businesses. The Florida SBDC Network conducts an annual survey to collect this data.

In 2014, Florida SBDCs delivered 98,000 consulting hours to nearly 12,000 emerging and established businesses, resulting in \$3.4 Billion in value added to Florida's economy, including the following outcomes (Florida SBDC KPIs):

- 42,664 jobs created, retained and saved
- \$5.8 billion in sales growth
- \$140.2 million in capital accessed
- \$210.2 million in government contract awards, and
- 952 new businesses started.

Click [here](#) for a copy of the 2015 Florida SBDC Network Annual Report.

Following is an outline of how the Florida SBDC Network views the alignment between service delivery to generate ROI:



* The Florida SBDC has assessed that by assisting client businesses to expand revenue growth that client businesses must hire additional employees (create jobs) to produce additional products or services for sale.

Conservatively, an additional \$4 million to expand service capacity will result in an increase of impact by a project 30 percent or estimated \$1.0 Billion in additional value added to Florida's economy.

III. Facilities *(If this issue requires an expansion or construction of a facility, please complete the following table.):*

	Facility Project Title	Fiscal Year	Amount Requested	Priority Number
1.				
2.				

2017-2018 Legislative Budget Request
Education and General
Position and Fiscal Summary
Operating Budget Form II
(to be completed for each issue)

University: University of West Florida
Issue Title: Expand Florida SBDC Capacity

	RECURRING	NON-RECURRING	TOTAL
<u>Positions</u>			
Faculty	0.00	0.00	0.00
Other (A&P/USPS)	20.00	0.00	20.00
	-----	-----	-----
Total	20.00	0.00	20.00
	=====	=====	=====
<u>Salary Rate (for all positions noted above)</u>			
Faculty	\$0	\$0	\$0
Other (A&P/USPS)	\$1,700,000	\$0	\$1,700,000
	-----	-----	-----
Total	\$1,700,000	\$0	\$1,700,000
	=====	=====	=====
Salaries and Benefits	\$2,380,000	\$0	\$2,380,000
Other Personal Services	\$705,000	\$0	\$705,000
Expenses	\$315,000	\$0	\$315,000
Operating Capital Outlay	\$0	\$0	\$0
Electronic Data Processing	\$0	\$0	\$0
Special Category (Specific)	\$0	\$0	\$0
Florida Business Info Center	\$600,000	\$0	\$600,000
	\$0	\$0	\$0
	\$0	\$0	\$0
	-----	-----	-----
Total All Categories	\$4,000,000	\$0	\$4,000,000
	=====	=====	=====

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Funding for Summer Bright Futures Scholarships

PROPOSED COMMITTEE ACTION

For information and discussion

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

As a result of increased Bright Futures eligibility requirements for students, funding for the Fall and Spring terms has declined during the past few years. In July 2016, the Office of Economic and Demographic Research (EDR) projected that \$5.5 million appropriated funds for Bright Futures would not be needed in 2016-17 as a result of the increase in student eligibility requirements. The estimated available funding could be used for summer term enrollment awards to eligible students as authorized in Subsection 1009.53(9), Florida Statutes, which states, "A student may use an award for summer term enrollment if funds are available." Pursuant to this section of law and recent history, only two state budget cycles have contained funding for students during the summer semester.

The purpose of the request is to begin the conversation to support funding for the Bright Futures Scholarship for the entire calendar year. Allocating funds to summer term awards will provide students with an opportunity to decrease their time-to-degree and enter into the state's workforce more rapidly. Summer Bright Futures awards would also help to reduce student debt and allow for more efficient classroom utilization across the State University System.

Supporting Documentation Included:	Legislative Budget Request Form I
Facilitators/Presenters:	Dr. Jan Ignash

**State University System
Education and General
2017-2018 Legislative Operating Budget Issue
Form I**

University(s):	All SUS Institutions
Work Plan Issue Title:	Summer Bright Futures Scholarship
Priority Number	
Recurring Funds Requested:	Funding for a total estimated credit hours of 539,200
Non-Recurring Funds Requested:	N/A
Total Funds Requested:	
Please check the issue type below:	
Shared Services/System-Wide Issue	<input type="checkbox"/>
2014-2015 Non-Recurring Issue	<input type="checkbox"/>
New Issue for 2015-2016	<input checked="" type="checkbox"/>

I. Description

Background

Under the guidance of Governor Rick Scott, the Legislature, and the Board of Governors (BOG), the institutions within the State University System (SUS) of Florida continue to focus their efforts on efficiently producing more baccalaureate graduates to meet the state's workforce needs. An important component of this is reducing time-to-degree. To work towards this overarching goal, the SUS proposes the following plan to fund merit-based Bright Futures scholarships for summer terms.

When institutions leverage summer enrollments, they improve graduation rates, reduce student debt, and increase year-round classroom utilization. These objectives are already major areas of focus for the SUS. As an example, the six-year graduation rate is one of the key performance metrics included in the BOG performance funding model and is also articulated in the metrics specified in the state's preeminent university standards (1001.7065, F.S.). In addition, universities are aggressively looking for ways to reduce the educational costs borne by our students. As it stands, extending studies beyond four years includes added

expenses such as the extra tuition, fees, excess credit hour surcharges, and opportunity costs of not entering the workforce to earn a salary. Finally, the Office of Program Policy and Governmental Analysis (OPPAGA) has undertaken several studies, in recent years, related to classroom utilization and has issued calls for increased classroom utilization, an issue which universities monitor very closely. Universities are trying different models to maximize the use of space including the summer term experience and shifting to online enrollments.

While the Bright Futures statutes authorize a student to “use an award for summer term enrollment if funds are available,” and the annual award limit is 45 credit hours, the administration of the scholarship prohibits summer funding (1009.53, F.S.). Unfortunately, in recent history, only two state budget cycles have contained funding for students during the summer semester (FY1999-00 and FY2000-01). From that point forward, the state restricted recipients from using their scholarship awards in the summer term if they used it in the fall and spring.

Currently, the Office of Student Financial Assistance in the Florida Department of Education states that, “unless otherwise mentioned, Bright Futures awards are not available for the summer term” and describes how students “can receive the scholarship in the spring and summer terms but will not receive the scholarship for attendance during the fall term” (Bright Futures Student Handbook, Chapter 2, p. 2). By not allowing students to take advantage of the 45 credit hours over three full terms each year, the state is creating a financial barrier to timely graduation. These barriers then extend students’ time to degree or burden them with additional student loans if they do choose to pay for summer themselves – all while many classrooms sit underutilized from May through August.

Legislative analyses show that “Bright Futures contributes to improved college preparation, affordability, and enrollment” (OPPAGA program review, March 2003) and that “most Bright Futures scholars perform well and remain enrolled in college” (OPPAGA program review, February 2004). The Bright Futures program has promoted student success for two decades, and as such, the state should ensure that it appropriately leverages it to the benefit of our students.

A funded summer component could be accomplished by making scholarship allocations a priority per 1009.53 (4), F.S. which states that Bright Futures funding “must be provided before allocations from that fund are calculated for disbursement to other educational entities.” Statutorily, the state is obligated to make this scholarship its priority for this funding source. As such, this proposed expansion will not only directly benefit students and enhance the performance and efficiency of the public institutions that serve them, but also quicken the entry of our students in to the workplace or graduate school.

Funding Requested

In recent years, and as a result of increased eligibility requirements for students, funding for the Fall and Spring Terms has declined. In July 2016, the Office of Economic and Demographic Research (EDR) projected that \$5.5M of the appropriated funds for Bright Futures would not be needed in 2016-17. This reduction follows the downward trend in Bright Futures allocations, which at the current level of \$217M in 2016-17 is roughly half the amount of the all-time high of \$435M in 2008-09, as noted in the Florida Department of Education's annual report on lottery-funded education appropriations. In the same period of time, the Educational Enhancement Trust Fund balance increased to \$1.67B in 2016-17 from \$1.48B in 2008-09 – which equates to a \$189M or a 13% increase. Adequate lottery revenues should be available to fund this request, per legislative analyses that “lottery sales have increased” but “transfers to the Educational Enhancement Trust Fund remain stable” (OPPAGA Report No. 16-01).

This request is to begin a conversation and to establish funding for Bright Futures Scholarships for the entire calendar year. This commitment will allow students to earn a degree at an accelerated pace, which in turn provides for increased graduations rates, reduced student debt, and improves classroom utilization. Specifically, the request is that the legislature appropriate adequate lottery revenues to allow for annual payments of the scholarship beginning with the Fall 2017/Spring 2018/Summer 2018 Terms.

According to an analysis by BOG staff members using data from Spring and Summer 2015, the estimated costs to implement a system-wide summer Bright Futures scholarship program would be between \$25.9M and \$46.7M (see Table 1 for full details). The study accounts for increased costs due to the likelihood that “availability of Bright Futures funds for Summer Term would encourage students to take more credit hours.”

Note that the low cost estimate, \$25.9M, assumes no increase in credit hour production, which is unlikely, given the fact that students must currently find other funding sources – besides Bright Futures – to pay for their summer courses. Providing them with the benefits of a summer scholarship would incentivize them to enroll in summer school.

A funding request for a total of \$46.7M is due to the assumption that students will be more likely to register for summer courses when they are able to use their Bright Futures scholarships during a third term each year.

Table 1. Estimated Headcount, Credit Hours, and Costs to Implement Summer Bright Futures Scholarship Awards
(Based on Spring and Summer 2015 Data)

UNIV	ACTUAL HEADCOUNT ¹			ACTUAL CREDIT HOURS ¹			ESTIMATED CREDIT HOURS			ACADEMIC SCHOLARS ²		MEDALLION AND GSV SCHOLARS ²		ESTIMATED DOLLARS		
	SPR	SUM	%	SPR	SUM	%	LOW 25%	MEDIUM 35%	HIGH 45%	%	\$/SCH	%	\$/SCH	LOW	MED	HIGH
FAMU	691	271	39%	10,308	1,813	18%	2,600	3,600	4,600	13%	\$103	87%	\$77	\$209,000	\$289,000	\$370,000
FAU	4,225	2,278	54%	53,096	13,754	26%	13,300	18,600	23,900	15%	\$103	85%	\$77	\$1,076,000	\$1,505,000	\$1,934,000
FGCU	3,171	1,546	49%	40,771	8,744	21%	10,200	14,300	18,300	14%	\$103	86%	\$77	\$823,000	\$1,153,000	\$1,476,000
FIU	6,494	3,771	58%	86,570	23,576	27%	21,600	30,300	39,000	18%	\$103	82%	\$77	\$1,764,000	\$2,475,000	\$3,186,000
FPU	225	76	34%	3,309	405	12%	800	1,200	1,500	32%	\$103	68%	\$77	\$68,000	\$102,000	\$128,000
FSU	17,548	7,641	44%	230,952	49,450	21%	57,700	80,800	103,900	34%	\$103	66%	\$77	\$4,953,000	\$6,936,000	\$8,919,000
NCF	523	-	0%	8,368		0%	2,100	2,900	3,800	68%	\$103	65%	\$77	\$252,000	\$348,000	\$456,000
UCF	18,068	9,636	53%	231,539	59,596	26%	57,900	81,000	104,200	28%	\$103	72%	\$77	\$4,880,000	\$6,827,000	\$8,782,000
UF	22,465	10,777	48%	305,098	68,416	22%	76,300	106,800	137,300	63%	\$103	37%	\$77	\$7,125,000	\$9,973,000	\$12,821,000
UNF	3,882	2,095	54%	49,359	12,342	25%	12,300	17,300	22,200	21%	\$103	79%	\$77	\$1,014,000	\$1,427,000	\$1,831,000
USF	11,374	6,938	61%	155,213	44,660	29%	38,800	54,300	69,800	28%	\$103	72%	\$77	\$3,270,000	\$4,576,000	\$5,883,000
UWF	1,852	1,004	54%	23,767	5,724	24%	5,900	8,300	10,700	22%	\$103	78%	\$77	\$488,000	\$687,000	\$885,000
SUS	90,518	46,033	51%	1,198,350	288,480	24%	299,500	419,400	539,200	36%	\$103	64%	\$77	\$25,922,000	\$36,298,000	\$46,671,000

SOURCE: Board of Governors staff. NOTES: (1) Actual data reflect Bright Futures Academic, Medallion, and Gold Seal Vocational (GSV) Scholars who enrolled in Spring 2015 and then subsequently enrolled in Summer 2015. (2) Academic and Medallion/GSV Scholar percentages are based on Spring 2015 enrollments, and \$ per FTE are based on 2016-17 award amounts.

II. Return on Investment

According to the aforementioned BOG staff analysis, summer Bright Futures scholarships will likely have a positive impact:

The benefits to students include faster time-to-degree, quicker entry into the job market, and the likelihood of reduced debt. The benefit to the State includes a better educated workforce as students earn baccalaureate degrees more quickly; more room in the baccalaureate degree pipeline as time-to-degree is shortened; and more efficient use of campus facilities throughout the entire year.

Expanding Bright Futures to the summer term promotes higher educational attainment, which correlates with increased earning power; decreased unemployment; lower instances of poverty, dependence, incarceration; longer, healthier life expectancy, with lower health costs; children more likely to perform well in school; and more likelihood to participate in community organizations and political events. According to at least one study, “investments in education are expected to return three dollars in net benefits (more taxes for the state and less spending for social services and prisons) for every dollar put into education” (University of California Berkeley, 2005). In other words, the net public benefits of this investment should exceed 300% of the costs.

A single additional semester pursuing a bachelor’s degree equates to 4 months of lost earnings for that individual – and thus a negative impact on the student, the economy, and the state. Bright Futures scholarships for the summer term will provide students an opportunity to accelerate their time-to-degree and a more rapid entry into the state’s workforce, thereby creating the potential for an increase in State revenue from the new income earning expenditures. The 2016 BOG system work plan states that the median wages of bachelor’s recipients will be \$36K for the 2014-15 student cohort. The personal opportunity cost for a single student to stay in college a single extra semester is \$12K, which is above and beyond the \$10K average SUS cost per semester for tuition and fees, books and supplies, room and board, transportation, and other educational expenses (BOG Cost of Attendance data, 2016-17). The true cost of delaying graduation by one semester then approaches \$22K.

Furthermore, reducing student debt is a national concern. The state should take a leadership position in providing solutions for reducing the cost to attain a baccalaureate degree in Florida with as little debt as possible. By reducing time-to-degree by anywhere from one semester to a full year of studies, students will not have to turn to additional student loans to pay for summer studies.

Lastly, by funding Bright Future awards during the summer term, the SUS will increase classroom utilization. Additional enrollments will lead to additional course sections, which will lead to fewer classrooms sitting empty over the summer term. This is consistent with the Board of Governors 2012-2025 Strategic Plan with a stated goal to “seek ways to organize and collaborate for increased efficiencies and a stronger System and State.”

In conclusion, the return on investment for this request is three-fold. This request is consistent with previously-articulated goals of the Governor, the Legislature, and the Board of Governors to increase student graduation rates, to reduce student debt, and to increase classroom usage during the summer term.

III. Facilities

	Facility Project Title	Fiscal Year	Amount Requested	Priority Number
1.	n/a			
2.				

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: TEAm Grant Initiative Update

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

In May 2012, then Board of Governors Chair Dean Colson created the Commission on Florida Higher Education Access and Degree Attainment in order to focus on how Florida was addressing the statewide need for future degree attainment. In 2013, the Board of Governors was provided \$15 million in legislatively appropriated funds to make awards on a competitive basis to address high demand program areas identified in the Commission's gap analysis. High demand programs in which more than 1,000 unfilled annual openings in Florida requiring at least a bachelor's degree included computer information and technology, and accounting and finance. In March of 2014, the Board of Governors approved four partnerships of universities and Florida College System institutions to receive \$15 million in funding for the Targeted Educational Attainment Grant Program, also known as the TEAm Grant Initiative.

Board staff will provide a brief status report of the TEAm Grant Initiative's accomplishments as of July 2016, with regard to increases in enrollments, degree completions, employment and salary information, and grant expenditures.

Supporting Documentation Included: None

Facilitators/Presenters: Board Staff

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Florida Center for Cybersecurity Report

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Mr. Sri Sridharan, Managing Director and Chief Operating Officer, Florida Center for Cybersecurity, University of South Florida, will provide an update regarding the Florida Center for Cybersecurity.

Supporting Documentation Included: 2015-2016 Report

Facilitators/Presenters: Mr. Sri Sridharanm, Mr. Brian Murphy



*Board of Governors Report
July 2015 – June 2016*

September 21, 2016

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OVERVIEW

LEGISLATIVE LANGUAGE

The Center's mission was articulated by the 2014 Legislature:

1. The Florida Center for Cybersecurity (FC²) is established within the University of South Florida.
2. The goals of the Center are to:
 - a) Position Florida as the national leader in cybersecurity and its related workforce through education, research and community engagement.
 - b) Assist in the creation of jobs in the state's cybersecurity industry and enhance the existing cybersecurity workforce.
 - c) Act as a cooperative facilitator of state business and higher education communities to share cybersecurity knowledge, resources and training.
 - d) Seek out partnerships with major military installations to assist, when possible, in homeland security defense initiatives.
 - e) Attract cybersecurity companies to the state with an emphasis on defense, finance, health care, transportation, and utility sectors.

Source: HB 5101; 1004.444, Florida Statutes

MISSION

The mission of the Florida Center for Cybersecurity is to:

- Position Florida as the national leader in cybersecurity and its related workforce through education, community engagement and innovative, interdisciplinary research.
- Create thousands of new high-paying jobs in the state's cybersecurity industry.
- Serve as a facilitator for cybersecurity education - providing degrees, certificates, and training while contributing to Board of Governors priorities and encouraging students in non-IT majors to obtain industry-recognized cybersecurity specializations to enhance employability and wages upon earning their degrees.
- Enhance Florida's cybersecurity workforce, including reintegrating military veterans by utilizing their specialized skills and training.
- Act as a cybersecurity clearinghouse for statewide business and higher education communities - sharing knowledge, resources, and training opportunities to help mitigate cybersecurity threats, and optimizing investment to eliminate unnecessary duplication.
- Attract new financial, healthcare, transportation, utility and defense companies to Florida.

EXECUTIVE SUMMARY

The Florida Center for Cybersecurity, hosted at the University of South Florida, works with all of the 12 State University System (SUS of Florida) institutions to promote cybersecurity education and workforce development, research, and outreach across the state.

Here are a few highlights for FY 2015-16:

- **Annual Conference:** The Center hosted its Second Annual Conference in October 2015 with attendance of more than 600 people, a significant increase over the inaugural conference. About 20 percent of those attending were students and faculty who were able to bring knowledge back to the classroom and further their work in cybersecurity. All attendees had the opportunity to gain insights into many areas of the cybersecurity profession and gain a better understanding of industry needs and current trends.
- **Seed Grant Program:** For the second consecutive year, the Center awarded \$500,000 in collaborative seed grants for cybersecurity research in the SUS. For 2016, the program funded 10 projects from eight SUS institutions. Through the grants, SUS students gain practical experience in cybersecurity research methodology. Grant recipients also were highlighted during a day-long research symposium that was held as part of the annual conference in October.
- **Veterans Reintegration:** In January 2016, the Center launched New Skills for a New Fight (NSNF), a pilot cybersecurity training and certification program for military veterans funded by JPMorgan Chase & Co. Twenty veterans were chosen for the pilot, which will conclude in November 2016. The program transitions veterans into a career in cybersecurity in less than a year. Nearly 25 employers were engaged as part of the program.
- **Summer K-12 Programs:** The Center developed a summer cybersecurity programs for high school students and teachers. This includes GenCyber, which was funded through a \$100,000 grant from the National Security Agency, and a program offered in partnership with USF Pre-College. The programs were offered in July 2016.
- **Speaking Engagements:** FC² staff spoke about cybersecurity best practices and workforce development at more than 25 events across Florida and in four additional states. This is in addition to numerous media interviews.
- **Cybersecurity “WarGame” Simulation:** The Center partnered with Booz Allen Hamilton to offer a cybersecurity “wargame” simulation for senior officials in the public and private sectors. The session, held in conjunction with the annual conference in October 2015, educated senior leaders, especially those with non-IT responsibilities, on the strategic threats and risks associated with cybersecurity.
- **Board of Advisors:** The Center’s Board of Advisors was formed, meeting for the first time in September 2015. The Board -- chaired by retired Vice Adm. Mike McConnell, former Director of National Intelligence -- met three times during 2015-16 to serve as a resource, providing strategic direction and guidance to the Center.
- **Cybersecurity Training Lab:** The Center opened a dedicated cybersecurity training lab at USF’s Tampa campus in January 2016. The lab, designed to model a security operations center (SOC), was developed with input from the region’s law enforcement agencies, faculty and industry. The lab has been used as a classroom and training room for NSNF and was used for a pilot high school summer program in July.

BOARD OF ADVISORS

The Florida Center for Cybersecurity Board of Advisors provides strategic direction and guidance to the Center. The Board, representing the public and private sectors, brings perspective built on years of experience, and is committed to helping FC² fulfill its mission. Since its formation, the Board has:

- Leveraged its networks and relationships to build awareness of FC² at the national level.
- Shared first-hand knowledge regarding challenges and emerging opportunities on the evolving cybersecurity landscape.
- Provided perspective regarding cybersecurity workforce needs, policy and other topics.
- Helped the Center define priorities to support its mission.



First meeting of the Florida Center for Cybersecurity Board of Advisors in September 2015.

The 10-member Board is chaired by retired Vice Adm. Mike McConnell, a Senior Executive Advisor and former Vice Chairman of Booz Allen Hamilton. He served as a member of the National Security Council and managed the U.S. Intelligence Community's global engagement in support of U.S. and allied national security objectives. From 1992 to 1996, he served as the Director of the National Security Agency (NSA), and from 2008-09 he served as the Director of National Intelligence.

Board of Advisors members are:

- **Vice Adm. (retired) Mike McConnell**, Former Director of National Intelligence/Senior Executive Advisor, Booz Allen Hamilton, Chairman
- **Mark G. Clancy**, CEO, Soltra, An FS-ISAC and DTCC Company
- **Arthur W. Coviello, Jr.**, Executive Chairman (retired), RSA, The Security Division of EMC
- **William P. Crowell**, Partner, Alsop Louie Partners
- **Christopher Day**, CEO, Chymera Group LLC
- **Ed Giorgio**, Founder and President, Bridgery Technologies
- **Patrick Gorman**, President, Strategy and Product Development, CyberGRX
- **Lt. Gen. (retired) Kenneth A. Minihan**, Managing Director, Paladin Capital Group
- **Bob Rose**, Senior Strategic Advisor to the CEO, Securonix, Inc.
- **Dr. Ronald P. Sanders**, Vice President and Fellow, Booz Allen Hamilton

The Board of Advisors held its first meeting in September 2015 at USF. Subsequent meetings took place in January 2016 (Tampa) and May 2016 (Washington, D.C.). Under the leadership of Vice Adm. McConnell and through participation of a nationally-recognized Board of Advisors, the Center has grown its profile nationally and helped position Florida as an emerging player in cybersecurity.

EDUCATION REPORT



High school students participate in the Cyber Defense Boot Camp, a weeklong summer program launched in July 2016 by FC² in partnership with USF Pre-College and the USF Whitehatters Computer Security Club.

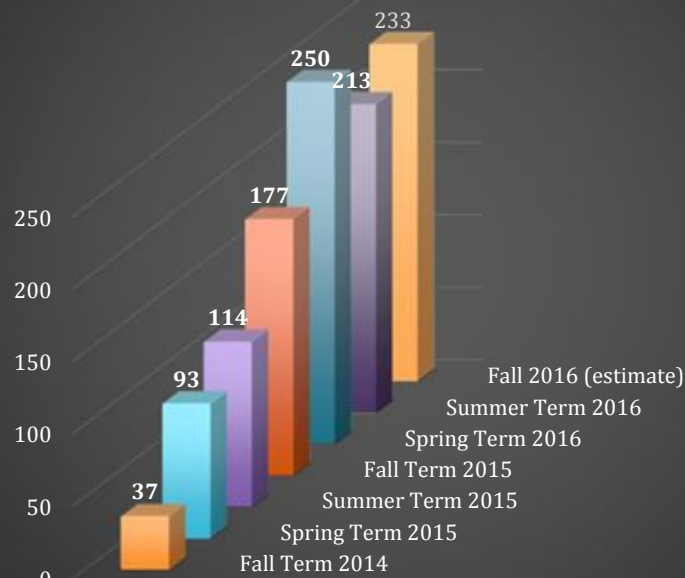
In keeping with its mission, the Florida Center for Cybersecurity has made strides in addressing the workforce gap that exists in cybersecurity, and students in Florida are reaping the benefits. The Center is focused on three key areas:

- Continuing support for USF's graduate program in cybersecurity.
- Developing cybersecurity pipeline programs for veterans and K-12 students.
- Leading collaboration between SUS universities.

To illustrate the demand, consider:

- More than 209,000 cybersecurity jobs in the United States are unfilled, according to U.S. Bureau of Labor statistics.
- The demand for cybersecurity jobs is expected to grow by 53 percent through 2018, according to U.S. Bureau of Labor statistics.
- Globally, the demand is expected to reach to 6 million by 2019, with a projected shortfall of 1.5 million, according to Symantec Research.

USF Master's in Cybersecurity Program Enrollment (by semester)



DEGREE PROGRAMS

Early in its inception, the Florida Center for Cybersecurity identified the need for a graduate program tailored to the needs of professionals already working in the cybersecurity field as well as highly qualified potential career changers, such as military members and veterans. To meet the demands of these students, address the cybersecurity workforce gap and provide readily accessible pathways for graduate-level education on a statewide scale, in Fall Term 2014, the Center partnered with USF to develop a fully online master's and graduate certificate program aligned to the needs of industry and government.

Here are a few highlights for the Master's in Cybersecurity program:

- Annual headcount tripled to nearly 300 students (2014-15 vs. 2015-16) and is expected to increase to 350 for 2016-17.
- A total of 46 students have earned master's degrees since Summer 2015, and 55 graduates are anticipated for fall 2016.
- A fourth concentration, Computer Security Fundamentals, was added.
- The degree was one of 10 master's programs highlighted in *The Christian Science Monitor* and was ranked as one of the top 10 cybersecurity graduate programs by universities.com.

During FY 2015-16, FC² also:

- Supported the hiring of an additional six faculty members to support cybersecurity education and research at USF. The faculty are having a positive impact on five colleges at USF and are helping to grow USF and Florida's reputation in the areas of cybersecurity education and research.

- Provided assistance to the College of Engineering and the Muma College of Business to develop a concentration in cybersecurity for two bachelor's degree programs. The concentrations are expected to begin in Fall Term 2016.
- Launched a reassessment initiative for the USF master's program to determine whether content is still relevant to current and projected workforce needs to ensure that USF cybersecurity graduates leave the program with appropriate knowledge and skills. Working with faculty, the Center developed a survey tool using the National Cybersecurity Workforce Framework as a guideline. Results from the survey have been compiled and mapped against existing curriculum and recommendations will be offered based on the findings.



Students who are part of New Skills for a New Fight participate in The Challenge: Cyber Defense on June 17, 2016.

VETERANS REINTEGRATION

Veterans are well-positioned to transition into high-demand, post-military careers in cybersecurity. This mutual need is outlined by current workforce statistics:

- Each year, more than 175,000 Americans leave military service.
- The unemployment rate for veterans is substantially higher than the overall national average (9 percent vs. 6 percent).
- Florida has the third largest population of veterans in the nation with over 1.5 million, and is home to 20 military installations.
- Cybersecurity is a focus area for veteran reintegration efforts because cybersecurity professionals are in high demand (near 0 percent unemployment), and starting salaries are much higher than the national average.

- Many veterans have been exposed to security procedures and have acquired security clearances during service that can help them compete for positions, bringing even more value to potential employers.

In January 2016, the Center, in partnership with the University of South Florida and three training and certification providers, launched New Skills for a New Fight, a free, 40-week cybersecurity training and certification program for veterans funded through a \$300,000 grant from JPMorgan Chase & Co. The pilot program offers 25 weeks of classroom and hands-on learning followed by a 15-week internship or mentorship.

Participants earn certifications and college credit toward a bachelor's degree. Tuition, books, online fees and exam vouchers are provided free of charge. Through the accelerated program, students can complete the program and land cybersecurity positions in less than a year.

NSNF is unique in that it incorporates traditional academic curriculum, practical, skills-based training and on-the-job experience to rapidly field cybersecurity practitioners aligned to a specific job role.

The program was highly competitive, with 60 applicants applying for 20 slots in the pilot program. The students chosen include:

- Veterans from four military branches (12 Army, five Marines, two Navy, and one Air Force).
- Two female students.
- Four active reservists.

The high level of engagement from the community, employers and from USF, as well as the hands-on approach to learning, have contributed to the program's overall success. The students who completed the program have received job offers as high as \$72,000.

The classroom portion of the program concluded with a cyber defense competition, where students were able to showcase their skills and network with nearly 20 prospective employers.

To achieve its goal of full employment, FC² reached out to dozens of Tampa Bay corporations. Students engaged with employers through classroom presentations and visits to Tampa Bay area security operations centers (SOCs).

Training and classes were held in FC²'s new, high-tech cybersecurity lab at USF's Tampa campus, which models a security operations center.

In May, the program was highlighted as part of a panel discussion at the U.S. Special Operations Command Care Coalition Conference in Tampa. In January, the program was highlighted during the Women in Government State Directors Conference. In April, the program also was highlighted on ATM screens across the Tampa region through the partnership with Chase.

The ultimate goal of the pilot program is to enhance, grow and successfully replicate it, providing a model for increasing the pipeline of cyber talent on a statewide level and beyond. Veteran students across the state will benefit from academic cybersecurity programs designed specifically for their skillsets and backgrounds.

SUMMER PROGRAMS

With the tremendous national need for cybersecurity professionals, it is crucial for Florida to strengthen the academic pipeline leading to cybersecurity careers. The Center partnered with the University of South Florida to launch two cybersecurity summer programs in July 2016.

- **Cyber Defense Boot Camp:** Fourteen high school students completed a one-week program offered through USF Pre-College. USF's Whitehatters Computer Security Club (WCSC), under the direction of faculty, developed the curriculum and led the program, which was offered at USF's Tampa campus.
- **GenCyber High School Program:** USF received a \$100,000 grant from the National Security Agency (NSA) to offer two weeklong cybersecurity camps for high school students. The curriculum was developed through the USF College of Education and led by students from WCSC, who provided one-on-one training. A total of 40 students were selected for the pilot program through a partnership with Hillsborough County Public Schools (HCPS). Two one-week sessions were offered in July 2016. GenCyber also included a one-day teacher camp, developed through the Florida Center for Instructional Technology (FCIT), for up to 20 HCPS teachers. The curriculum for the student and teacher camps was shared through a partnership with the University of West Florida and the Escambia County School District.

The Center is assessing the success of the programs.

CYBERSECURITY DATA CENTER

The Center supports courses and educational programs through its data center. Center staff, through the data center:

- Hosted USF's Master of Science in Cybersecurity Digital Forensics lab using EnCase and FTK imagers, industry-standard forensic tools.
- Supported USF's Practical Cybersecurity Course, which was offered for the first time in Spring Term 2016.
- Supported the senior project for four USF information technology students, setting up Kali Linux for penetration testing. The program will continue in Fall Term 2016.
- Hosted a worldwide capture the flag (CTF) security competition in April with over 1,000 teams. The virtual competition gave students the opportunity to compete with professionals. DEFKOR, of South Korea, won the competition. Another competition is planned in October 2016 in conjunction with the annual conference.

CAREER SERVICES

To connect cybersecurity students with potential employers, FC² partnered with USF Career Services in February 2016 to support the first cybersecurity-focused career fair to be held at USF. The Center assisted Career Services with the planning and publicity for the event, and also provided industry contacts. Nearly 24 employers in areas of cybersecurity, criminal justice and government affairs attended the on-campus fair.

The Center also has leveraged its connections to industry to help place students in cybersecurity internships. Employer partners include: Abacode, CACI and ReliaQuest.



The SUS Advisory Council held meetings in May at UWF (left) and in February at USF (right).

SUS ADVISORY COUNCIL ON CYBERSECURITY

FC² staff collaborate with all 12 Florida universities through the SUS Advisory Council on Cybersecurity. This collaboration helps the Center to map current offerings across the state, identify opportunities for programmatic improvement, align resources to address underserved stakeholder segments and market needs, and work to eliminate redundancy and duplication of effort across the SUS.

Among the highlights in 2015-16:

- Meetings at four SUS universities: University of North Florida (July), Florida Atlantic University (December), University of South Florida (February) and University of West Florida (May).
- Formation of the Curriculum Committee, which is focused on identifying curriculum needs and aligning courses to identify gaps and workforce needs.
- Formation of the Cyber Risk Management Committee, made up of CISOs and CIOs throughout the SUS. In February 2016, the sub-committee facilitated two weeklong U.S. Department of Homeland Security cybersecurity training courses, hosted by Florida State University. Thirty-eight FSU employees attended along with technology professionals from Florida A&M University, University of West Florida, University of Central Florida, University of South Florida and Florida Gulf Coast University. The committee also has promoted collaboration between the 12 SUS institutions through monthly conference calls, in-person meetings and other information exchanges.

COMMUNITY ENGAGEMENT



Clockwise from left: Conference keynote speaker (retired) Gen. Keith B. Alexander; session at annual conference; "wargame" simulation held at annual conference; St. Petersburg College New Ideas Conference.

Community engagement is important to FC²'s cybersecurity initiative. High value is placed on offering educational programs for industry and citizens, facilitating and hosting cybersecurity events and speaking engagements, and providing businesses statewide with a clearer understanding of cybersecurity threats and defenses.

This engagement is offered through:

- Partnerships
- Events
- Speaking engagements
- Media relations

PARTNERSHIPS

Through FC², discussions have taken place to develop a number of partnerships that will be beneficial to students and faculty in Florida, including:

- Global consulting firm **Booz Allen Hamilton**.

- **Team Orlando**, a collaborative alliance formed by the nation's leading military simulation commands, to help align research priorities and incentivize collaboration between faculty, students and government researchers.
- **JPMorgan Chase & Co.** in support of New Skills for a New Fight veterans training program.
- **U.S. Army Reserve Cyber Private Public Partnership initiative (P3i)** to help shape the future of education for the Army Reserves cyber mission force.
- **USF Florida Institute of Government** to explore cybersecurity awareness and training programs for county and municipal governments in Florida.

ANNUAL CONFERENCE

More than 600 people attended the Center's annual conference Oct. 13-14, 2015, a significant increase over attendance at the inaugural conference in 2014. The conference, held in conjunction with National Cyber Security Awareness Month, brought together experts and decision-makers from industry, military, government and academia, as well as students.

A highlight of the conference was a keynote speech from retired Gen. Keith B. Alexander, former NSA director, on "Staying Ahead of the Curve: Securing a Nation Amid Change." The speech was part of the SunTrust Foundation Lecture Series.

The first day offered an array of important topics, including: cybersecurity workforce development, cybersecurity national policy, analyzing purpose-built malware, and medical device security.

The second day offered the Center's first research symposium, with presentations from faculty researchers who were awarded funding through the Collaborative Seed Grant Program. Faculty and student researchers from 10 SUS institutions participated. The symposium served to highlight cybersecurity research in Florida and also to draw the attention of investors interested in commercializing and launching new businesses. The symposium concluded with an industry panel discussion.

The conference also included a cybersecurity "wargame" simulation for senior officials in the public and private sectors. The wargame educated senior leaders, especially those with non-IT responsibilities, on the strategic threats and risks associated with cybersecurity. Dr. Ronald P. Sanders, former U.S. Intelligence Community Associate Director of National Intelligence, and current Booz Allen Vice President, helped facilitate the exercise.

About 20 percent of those who attended the conference were students and faculty who were exposed to some of the brightest cybersecurity minds in the nation and were able to learn cybersecurity practices from top-ranked professionals. Students were able to bring this knowledge back to the classroom and further their work in this field. Faculty were able to gain insights into many areas of the cybersecurity profession and current trends.

The 2016 conference will be held at the Tampa Convention Center on Oct. 25. TCC will allow the Center to grow attendance and also offer space for additional sessions and demonstrations.

SPEAKING ENGAGEMENTS

As the reputation of the Center continues to grow, requests for staff to offer expertise through speeches, presentations and panel discussions also have increased. Over the past year, Center staff have given more than 25 speeches or presentations at community and business meetings, providing an opportunity to present information about cyber trends, to raise awareness of cybersecurity best practices and to highlight cybersecurity initiatives.

Following are just a few examples of recent speaking engagements:

- **Women in Government Conference** in Tampa, focusing on key topics for the 2016 state legislative sessions, including economic development, education and workforce development. FC² offered a presentation on the veterans' cybersecurity certification program and participated in a panel discussion that included representatives from JPMorgan Chase & Co. and Walmart (January).
- **Concerto Cloud Services Consumer Advisory Council** in Orlando (January).
- **Sixth Annual Continuing Education Training Institute (CETI)** in Orlando (March).
- **Annual New Ideas Conference** at St. Petersburg College. The forum, hosted and moderated by Congressman David Jolly, focused on emerging threats of terrorism (April).
- **Council of State Governments Workforce Panel** in Seattle (May).
- **Manatee Chamber of Commerce** in Sarasota (May).
- **National Homeland Security Association Conference** in Tampa (June).

SPONSORSHIPS

Through sponsorships, the Center supports external events that impact current and future SUS students. Sponsorships also help to raise awareness of cybersecurity, of FC², and are helping to position Florida as a leader in cybersecurity.

Sponsorships in 2015-16 included:

- **The Fourth Annual Security B-Sides Orlando Conference**, held March 12-13 at the University of Central Florida. The community driven conference brought together students, those interested in information security careers and working professionals. FC² was a presenting sponsor for the event. Of the more than 500 attendees, 150 were high school or college students. As part of the sponsorship, staff from the Center presented during the opening ceremonies and distributed materials in the exhibitor area.
- **Mil-OSS Hackathon & Working Group 7**, held May 21-23 in Tampa. The event brought together military and civilian government employees, contractors, industry experts and students to discuss the defense-industry open source advocacy. This year's event was held in conjunction with the Special Operations Forces Industry Conference (SOFIC).

MEDIA RELATIONS

The Center provided or facilitated more than 30 media interviews, serving as a resource about cybersecurity best practices, education, research and trends, during the 2015-16 fiscal year. The interviews help to position the Center as a leading voice in cybersecurity awareness and education, and also to position Florida as a leader in this emerging field.

Since July 2015, the Center has been mentioned more than 350 times on television stations in 42 states. TV interviews focused on a number of topics important to industry and consumers, including:

- Ransomware threats.
- Social media best practices.
- Protecting personal information on mobile devices.
- Research into power grid vulnerabilities.

- Password best practices.

The Center also received news coverage in print and online, providing experts on a number of important cybersecurity topics. A few examples include:

- A ForbesBrand Voice article that focused on cybersecurity threats affecting businesses.
- An *Orlando Sentinel* article on data breaches affecting Florida customers.
- An article in the *Naples Daily News* that helped residents understand a possible data breach at the Lee County Board of Elections.
- A *Tampa Bay Times* article on the data encryption debate.

RESEARCH REPORT



From left: October Research Symposium; USF Cybersecurity Research Roundtable

For the second consecutive year, the Florida Center for Cybersecurity awarded \$500,000 in research grants to invest in ideas and promote collaboration among cybersecurity faculty across the State University System (SUS).

Ten projects, representing eight SUS institutions, each received \$50,000 one-year seed grants. The grants were announced officially at the SUS Advisory Council on Cybersecurity meeting at USF on Feb. 24.

In addition to working on many of these projects, SUS students and faculty also will benefit from the cybersecurity discoveries generated through this research.

For the first time, officials from Team Orlando, a collaborative alliance formed by the nation's leading military simulation command, helped with the selection process.

The Seed Grant program is having a positive impact on cybersecurity research not only in Florida but also internationally.

Examples include:

- **Yian Zhou, You Zhou and Shingang Chen** from UF, and **O. Patrick Kreidl** from UNF, 2015 seed grant recipients, presented research on malware at the Seventh International Conference on Network & Communication Security in Sydney, Australia, in December 2015.
- USF Associate Professor **Jay Ligatti**, who received a 2015 grant and a renewal in 2016, received the Test of Time Award at the ACM conference on Computer and Communications Security (CSS) for a paper, "Control Flow Integrity" in November 2015. He has played a critical role in preventing Android injection attacks.
- UCF Assistant Professor **Yier Jin**, a 2016 recipient, who was awarded a \$750,000 U.S. Department of Energy grant in May for research to help prevent cyber breaches.

Florida Center for Cybersecurity Collaborative Seed Grant Program Awards

PROPOSAL TITLE	INSTITUTIONS	PI/CO-PI'S
Nonlinear Model-Based Cyber Attack-Resilient Grid Control	USF/UF	Dr. Zhixin Miao/Dr. My T. Thai
Creative and Composing Software Defined Networking (SDN) Security Modules	USF/FIU	Dr. Kaiqi Xiong/Dr. Xin Sun
Trusted Internet of Things Using Cross-layer Leveraging of Reconfigurable Device Signatures*	USF/UCF	Dr. Selcuk Kose/Dr. Ronald DeMara
Toward Software Defined Networking Enabled Cyber Resilience	FIU/FAU	Dr. Kemal Akkaya/Dr. Mehrdad Nojournian
Smart Grid Security Protection through Cross-Layer Approaches *	UCF/USF	Dr. Yier Jin/Dr. Yao Liu/Dr. Cliff Zou
Cyber Resilience for Injection Attacks*	USF/FIU	Dr. Jay Ligatti/Dr. Geoffrey Smith
Securing the Internet of Things from Hardware and Architecture Perspectives	UCF/UF	Dr. Jiann-Shiun Yuan/Dr. Xiaolin Li
Security Analyses and Applications of Complex Networks: From Theory to Practice	UCF/FIU	Dr. Mainak Chatterjee/Dr. Jason Liu
Toward Critical Infrastructure Resilience Against Cyber-Induced Attacks	FSU/FAMU	Dr. Xiuwen Liu/Dr. Ming Yu
New Technologies in Defense Maneuver Against Distributed Denial-of-Service Attacks	UF/UNF	Dr. Shigang Chen/Dr. Patrick Kreidl

* 2015 renewal

RESEARCH SUPPORT

FC² through its data support staff, is a growing technical resource for cybersecurity research faculty and students at USF. During 2015-16, the Center provided the technical knowledge and resources to support start-up projects for five USF faculty members. The support helped them as they applied for grants and other outside funding.

CYBERSECURITY RESEARCH ROUNDTABLE

In February, the Center invited cybersecurity research faculty from across USF to attend a research roundtable. More than 20 faculty and staff – representing the College of Business, College of Education and College of Engineering – attended the meeting. The session gave faculty the opportunity for interactive and idea exchanges.

Two additional sessions are planned in Fall Term 2016 with the ultimate goal to expand the meetings to include cybersecurity research faculty from across the SUS. Through these meetings, FC² is creating collaboration among cybersecurity research faculty.

SUS ACADEMIC PROGRAMS

SUS University	Bachelor's Degree	Undergrad Certificate	Master's Degree	Graduate Certificate	Programs	CAE Designation
Florida A&M	✓ ¹	✓ ²	✓ ¹			CAE- IAE 4Y
Florida Atlantic	✓ ²	✓ ²	✓ ²			CAE- IA-R CAE-R
Florida Gulf Coast						
Florida International			✓			CAE-CDE 4Y CAE- R
Florida State	✓ ²		✓ ²		CyberCorps Scholarships	CAE-CDE 4Y CAE-R
Florida Poly	✓					
New College						
University of Central Florida		✓	✓ ²	✓	Summer program (K-12)	CAE-CDE 4Y
University of Florida						CAE- R
University of North Florida						
University of South Florida	✓ ¹		✓	✓	Summer programs (K-12), veterans training	CAE-CDE 4Y
University of West Florida	✓	✓	✓	✓	Summer program (K-12)	CAE- CDE 4Y
TOTALS	7	9	13	6		8

CAE-IAE: National Centers of Academic Excellence in Information Assurance Education

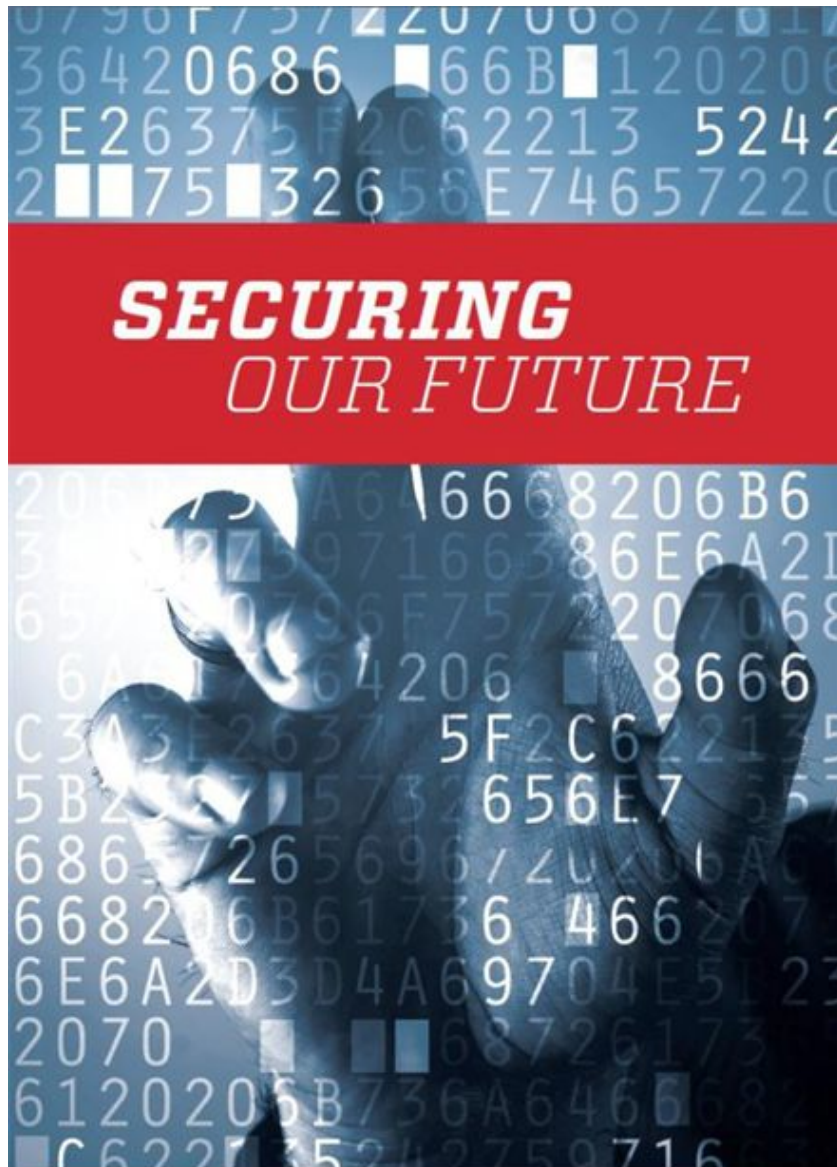
CAE-IA-R: National Centers of Academic Excellence in Information Assurance Research

CAE-R: National Center of Academic Excellence in Cyber Defense Research

CAE-CDE: National Centers of Academic Excellence in Cyber Defense Education

¹ Concentration/Specialization

² Cybersecurity related field



thefc2.org

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: SUS Council of Academic Vice Presidents (CAVP)

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Dr. Gary Perry, chair of the State University System Council of Academic Vice Presidents (CAVP), will provide an update on current CAVP activities and issues.

Supporting Documentation Included: None

Facilitators/Presenters: Dr. Gary Perry

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: SUS Council for Student Affairs (CSA)

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Dr. Corey King, Chair of the State University System (SUS) Council for Student Affairs, will provide an update on recent activities of the Council for Student Affairs.

Supporting Documentation Included: None

Facilitators/Presenters: Dr. Corey King

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Academic and Student Affairs Committee
September 21, 2016**

SUBJECT: Florida Student Association

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Governor Jacob Hebert, President of the Florida Student Association, will update the Committee on recent Association activities.

Supporting Documentation Included:	None
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Facilitators / Presenters:	Governor Jacob Hebert
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STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

AGENDA
Health Initiatives Committee
Main Auditorium
Harry Sudakoff Conference Center
New College of Florida
5845 General Dougher Place
Sarasota, Florida 34243
September 21, 2016
11:15 a.m. - 11:45 a.m.

or

Upon Adjournment of Previous Meetings

Chair: Mr. Ed Morton; Vice Chair: Dr. Fernando Valverde
Members: Beard, Doyle, Jordan, Levine, Tyson

- 1. Call to Order and Opening Remarks** **Governor Ed Morton**
- 2. Minutes of Committee Meeting** **Governor Morton**
Minutes, June 23, 2016
- 3. Legislative Budget Requests** **Dr. Christy England**
*Associate Vice Chancellor
for Academic Research and Policy*
 - A. Graduate Medical Education (GME)
Expansion
 - B. Nursing Faculty Recruitment and Retention
- 4. Concluding Remarks and Adjournment** **Governor Morton**

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Health Initiatives Committee
September 21, 2016**

SUBJECT: Minutes of Health Initiatives Committee Meeting held June 23

PROPOSED COMMITTEE ACTION

Consider for approval the minutes of the Health Initiatives Committee meeting held on June 23, 2016 at University of Central Florida

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Committee members will review and consider for approval the minutes of the meeting held on June 23, 2016.

Supporting Documentation Included: Minutes, June 23, 2016

Facilitators/Presenters: Governor Ed Morton

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
HEALTH INITIATIVES COMMITTEE
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FLORIDA
JUNE 23, 2016

*Video or audio archives of the meetings of the Board of Governors
and its Committees are accessible at <http://www.flbog.edu>*

1. Call to Order

Chair Ed Morton convened the meeting at 8:35 a.m. on June 23, 2016 with the following members present and answering roll call: Governor Beard, Governor Doyle, Governor Robinson, and Governor Valverde.

2. Meeting Minutes

Governor Valverde moved that the Committee approve the minutes of the January 21, 2016 committee meeting and the February 11 committee workshop, as presented. Governor Doyle seconded the motion and members concurred.

3. Update on the Strategic Plan Priorities: Request for Proposals and LBR Process

Governor Morton introduced the staff presentation with an overview of the critical role that healthcare plays in the state of Florida. He noted that half of all research funding to the state's universities goes towards health-related research and that approximately six to seven employment gaps in the state are in health-related fields. Governor Morton stated that health care is a critical area for job growth in the state and that it also improves quality of life. Governor Morton reminded the Committee that their environmental scan identified two challenges that are being addressed through the Board's strategic plan – that is increasing the number of physicians and nurses to serve Florida's growing population.

Dr. Christy England-Siegerdt, Associate Vice Chancellor for Academic Policy and Research, presented an overview of the Committee's planning process that led to the development of the Board's strategic plan for health followed by an overview of two programs developed to support the two highest priority strategies outlined in the plan.

Dr. England-Siegerdt noted that the impetus for proposing the Graduate Medical Education (GME) Expansion Program was the shortage of residency positions available in Florida, which is a critical component for attracting future physicians. She noted that

Florida needs 3,350 additional residency positions to be at the national average of residents per 100,000 population and that Florida retains 78% of Florida medical school students who are able to stay in Florida for their residency, which is the fourth highest retention rate in the country. Dr. England-Siegerdt stated that the proposed program will provide much-needed start-up funds to support the creation of new GME programs or to expand existing GME programs because most of the existing funds for GME are not available to universities for the purpose of starting or expanding programs. She noted that if even \$50 million dollars were available to support a GME expansion program, approximately 500 new first-year resident positions could be created which translates into 2,000 total new positions when the GME programs reach full capacity after approximately four years. She also stated that it is important to keep in mind some residency programs are more expensive than others and as previously noted, residency programs also vary in length. She then noted that all GME programs would be required to address a documented specialty or geographic need and performance of the funded programs would be monitored for six years to give ample time for start-up or expansion and then to assess the sustainability of the new residency positions. Dr. England-Siegerdt also noted that the proposed program was developed in close collaboration with the deans of the SUS medical schools and the Council of Florida Medical Schools and builds on the information provided to the Committee during its February workshop.

Dr. England-Siegerdt then described the proposed nursing faculty incentive program. She stated that one of the biggest challenges to expanding the capacity of the SUS nursing programs is recruiting and retaining qualified nursing faculty. She noted that the proposed program, if funded at \$15 million, would provide funds to scale existing best practices and support the implementation of innovative strategies for recruiting and retaining nursing faculty across the system. Dr. England-Siegerdt stated that projects that include a commitment of additional university support for nursing faculty salaries should be given priority. She also noted the program should be evaluated on the number of new faculty hired and the number of faculty retained. Participating nursing schools should also project and track additional program capacity in specific nursing programs that will result from increased numbers of nursing faculty.

Governor Robinson noted that in addition to recruiting additional nursing faculty, there will be a large number of nursing faculty positions vacant due to retirement that will need to be filled as well. She also noted that it is becoming increasingly difficult to identify and successfully hire qualified nursing faculty due to the large numbers of nursing faculty retiring and due to low salaries paid to nursing faculty. The Committee also discussed the difficulties associated with securing adequate clinical space required to train more nurses.

Dr. England-Siegerdt informed the Committee that legislative budget requests for both proposed programs would be presented for its approval at the September Board meeting.

4. Closing Remarks and Adjournment

Chair Morton adjourned the meeting at 9:10 a.m.

Ed Morton, Chair

Christy England-Siegerdt, Ph.D.,
Associate Vice Chancellor, Academic and Student Affairs

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Health Initiatives Committee
September 21, 2016**

SUBJECT: Graduate Medical Education (GME) Expansion Legislative Budget Request

PROPOSED COMMITTEE ACTION

Consideration for approval of the Graduate Medical Education (GME) Expansion Legislative Budget Request

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Health Initiatives Committee will consider for approval a legislative budget request to fund residency positions in Florida. The Graduate Medical Education Expansion Program was developed as a response to the findings from a year-long environmental scan of health topics undertaken by the Health Initiatives Committee of the Board of Governors. A gap analysis of Florida's future workforce needs, conducted as part of the environmental scan, found that Florida will face a shortage of nearly 3,700 physicians annually by 2025. The barrier to meeting the demand for physicians in Florida is based upon a lack of available residencies, which is a required and critical part of every physician's training.

Closing the gaps in the physician workforce requires a strategic expansion of graduate medical education (GME) in Florida. This legislative budget request is for \$80,000,000 in nonrecurring funds to support the creation of approximately 800 first-year residency positions. Residency positions created through this program will result in the training of an additional 3,000 physicians within the next three to seven years.

Supporting Documentation Included:	Legislative Budget Request Form I
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Facilitators / Presenters:	Dr. Christy England
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**State University System
Education and General
2017-2018 Legislative Budget Request
Form I**

University(s):	6 SUS institutions with medical schools: Florida State University, University of Florida, University of Central Florida, University of South Florida, Florida Atlantic University, and Florida International University
Issue Title:	Graduate Medical Education (GME) Expansion Program
Priority Number	
Recurring Funds Requested:	
Non-Recurring Funds Requested:	\$80,000,000
Total Funds Requested:	\$80,000,000
Please check the issue type below:	
Shared Services/System-Wide Issue for Fiscal Year 2017-2018	<input checked="" type="checkbox"/>
New Issue for Fiscal Year 2017-2018	<input checked="" type="checkbox"/>

- I. Description** – 1. Describe the service or program to be provided and how this issue aligns with the goals and objectives of the strategic priorities and the 2016 Work Plan established by your institution (include whether this is a new or expanded service/program). If expanded, what has been accomplished with the current service/program? 2. Describe any projected impact on academic programs, student enrollments, and student services.

The Graduate Medical Education Expansion Program was developed as a response to the findings from a year-long environmental scan of health topics undertaken by the Health Initiatives Committee of the Board of Governors. A scan of Florida's healthcare workforce, healthcare delivery and health-related research as they relate to the State University System informed the Committee's development of a Health Strategic Plan. The priorities and strategies established in the Strategic Plan, which serve as the foundational work of this initiative, was guided by a collaboration between the Board of Governors and an advisory group to the Health Initiatives Committee consisting of representatives of the Florida Council of Medical School Deans, the Florida Department of Health, the Florida Medical Association, the Florida Center for Nursing, the Florida

2017-2018 LBR

Hospital Association, and the SUS Vice Presidents for Research. The Health Strategic Plan was unanimously approved by the Board of Governors in March 2016.

The first goal of the Health Strategic Plan is to meet the health workforce needs of Florida. The gap analysis of Florida's future workforce needs, conducted as part of the environmental scan, found that Florida will definitely face a shortage of physicians. The barrier to meeting the demand for physicians in Florida is based upon a lack of available residencies, which is a required and critical part of every physician's training. Closing the gaps in the physician workforce requires a strategic expansion of graduate medical education (GME) in Florida.

By the year 2020, it is projected that Florida will have approximately 500 more medical school graduates per year than the number of first year graduate medical education positions currently available. Unless the number of Florida GME positions is increased concomitantly, many Florida medical school graduates who want to complete GME training in Florida will be forced to leave the state -- and statistics show that most of them will never return to Florida to practice. Further, the supply of physicians in Florida has not kept pace with the growth in the state's population. Florida is far below the average number of residents in training per 100,000 population, with only 19.9 GME positions per 100,000 population compared to 36.9 nationally. Florida needs approximately 3,350 more allopathic residency positions just to meet the national average ratio of medical residents per 100,000 state population according to the Association of American Medical Colleges (AAMC).

A supply and demand analysis conducted in 2014 by IHS Global on behalf of the Safety Net Hospital Alliance of Florida found that shortfalls exist in specific medical specialties, including the primary care specialties, and for specific regions the gaps will not be filled completely and that gaps will remain in 2025. The report cites a shortfall of 4,620 physicians in 2013 that only decreases to a gap of 3,690 in 2025 if current workforce participation patterns and the number of new entrants to the workforce remain unchanged. Florida medical schools cannot meet the current or future needs and demands of the state.

To address this shortfall of physicians, the SUS Board of Governors has set a goal of increasing the number of first-year residency positions in Florida by 500-800 positions. When new or expanded GME programs are operating at full capacity and supported by sustainable funds, it is expected that support for this first-year position goal will be leveraged to add a total of roughly 3,000 residency positions to graduate medical education in Florida. These 3,000 positions represent multiple years within residency programs, which range from 3-7 years in length depending on the physician specialty area.

# of First-year Residents	Cost for Start-Up / Expansion	# Residents at Full Capacity
800	\$80 million	3,200
500	\$50 million	2,000

The State University System is seeking \$80 million in state support for an initiative that will competitively award funds to Florida's medical schools to cover start-up and expansion costs that cannot be covered by federal and state graduate medical education programs. The medical schools are expected to demonstrate how one-time funds will lead to the development or expansion of residency programs, which will ultimately qualify for sustainable funds from other sources and also expand the overall GME capacity in Florida. The program is intended to meet the state's needs for more physicians by supporting Florida's medical schools leadership role in GME development.

With SUS medical schools serving as the sponsoring institutions for more than half of the current resident positions in Florida, they are leaders in developing innovative GME programs and incur significant costs developing, maintaining, and coordinating GME programs in affiliation with their hospital partners or communities. However, Medicare GME funding and Medicaid GME funding is primarily available to hospitals, and not directly available to the medical schools. Federal and state funds to support new residency positions are also only available to hospitals and some federally qualified health centers, and cannot be accessed by medical schools. Despite the recent, significant investments being made in GME, no funds are available to offset the substantial resources that medical schools incur in establishing and expanding GME programs.

Florida's medical schools will prepare project proposals to support the creation or expansion of graduate medical education residency programs in areas of well-documented, greatest need. All medical schools in Florida are eligible, as long as they partner with a medical school associated with a university that is a member of the State University System of Florida. The SUS medical schools may also develop projects by themselves. Eligible projects must address documented statewide, regional, or local needs. New or expanded residency programs will prepare physicians to practice in needed specialties, underserved areas, or in high-need settings in a manner that addresses current and projected needs for Florida's physician workforce. Projects that demonstrate the greatest potential for addressing multiple needs will be given priority.

The receipt of non-recurring funds will allow the State University System medical schools to leverage on-going partnerships and encourage the development of new partnerships between Florida's medical schools and GME providers so that they may ultimately secure sustainable funding for any newly developed program(s) or position(s). Examples of support include new allocations of Medicare funding for GME by establishing new programs in Medicare-naïve training sites, funding from the U.S. Department of Veterans Affairs, private funding, and funding opportunities of the Florida Statewide Medicaid Residency Program.

The majority of projects supported by these non-recurring funds will include some of the following types of activities, all of which are directly related to the creation of new residency programs or the expansion of existing programs:

- developing or expanding partnerships with providers of graduate medical education and/or developing consortia of providers,
- hiring and/or training additional faculty or staff to establish or expand the program,
- developing a curriculum for new programs or enhancing curricula in ways that allow for program expansion,
- addressing tasks related to accreditation application and coordination, and
- promoting the program to recruit residents.

The Graduate Medical Education Expansion Program should provide support for program start-up costs and the initial direct medical expenses, including first-year salary support for new residents, to ensure the viability of new programs until they receive accreditation and secure additional funding from other sources. Funded projects will have three years to spend the one-time funds and will report on project outcomes for six years. Projects that receive support from this program will be evaluated on the number of new residency positions created, how the new positions fill an unmet need in the state, and the likelihood that the program can be sustained. Where innovative training and quality-improvement projects can also be tied to a proposed increase in the number of residency positions, these projects will receive preference.

II. Return on Investment - *Describe the outcome(s) anticipated, dashboard indicator(s) to be improved, or return on investment. Be specific. For example, if this issue focuses on improving retention rates, indicate the current retention rate and the expected increase in the retention rate. Similarly, if it focuses on expanding access to academic programs or student services, indicate the current and expected outcomes.*

The following categories of benefits are expected from implementation of the competitive program:

1) Efficient Retention and Recruitment for the Physician Pipeline

- Florida currently makes significant investments in undergraduate medical education and the training of medical students. An increased investment in graduate medical education would allow more of these medical school graduates to remain in Florida and continue their training to become Florida physicians. Other large states have set a ratio goal of maintaining 1:1 residency slots for every medical school graduate. Florida currently has more medical school graduates than available first-year allopathic residency positions, with a ratio of 0.9 allopathic residency positions per medical school graduate. By investing in a robust and high-quality physician education pipeline, Florida can address physician shortages through increased retention and recruitment opportunities and eliminate the current bottleneck of GME training.

- An increased number of high-quality residency positions would make Florida more attractive for retaining the best medical school graduates in Florida and competing for top graduates nationally.

2) Better Healthcare Aims

- By addressing physician shortages through an expanded GME pipeline, Florida's health care services can keep up with additional demands due to population growth and Florida's unique demographics.
- The program is designed to address documented, unmet needs in shortage specialties and regions. This strategic investment will increase the likelihood that physicians will fill current gaps in care across Florida.
- Florida's public and private medical schools are leaders in developing innovative curricula and incorporating changing models of healthcare delivery into physician training. There is a beneficial role for medical schools to work with their GME partners to develop quality curricula and increase the chances of successful accreditation of innovative programs. Better-prepared doctors will provide higher quality care in a healthcare landscape that continues to change.

3) Economic Development and Workforce Aims

- Florida can expect additional economic development through long-term gains in healthcare innovation and the training of high-wage professionals who stay in Florida to practice. An economic impact study conducted for the American Medical Association showed that in 2012, Florida's 43,000 physicians generated an estimated \$76.4 billion in direct and indirect sales revenues and approximately \$2.3 billion in state and local tax revenue. They also supported, directly or indirectly, more than a half a million jobs that provided \$40 billion in wages and benefits for Floridians. Florida physicians lead those in Texas, New York, Virginia, and Georgia on all of the above metrics with the exception of state and local taxes.
- The design of the program focuses on physician shortages in areas of documented need and reports on outcomes, thereby coordinating wider GME needs in Florida rather than solely responding to individual hospital or provider needs. This approach also increases accountability for the state investment and provides a basis for statewide physician workforce efforts.

III. Facilities *(If this issue requires an expansion or construction of a facility, please complete the following table.):*

	Facility Project Title	Fiscal Year	Amount Requested	Priority Number
1.	N/A			
2.				

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Health Initiatives Committee
September 21, 2016**

SUBJECT: Nursing Faculty Recruitment and Retention Program Legislative Budget Request

PROPOSED COMMITTEE ACTION

For information and consideration of the Nursing Faculty Recruitment and Retention Program Legislative Budget Request

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Health Initiatives Committee will consider for approval a legislative budget request to fund the Nursing Faculty Recruitment and Retention Program. The Program was developed as a response to the findings from a year-long environmental scan of health topics undertaken by the Health Initiatives Committee of the Board of Governors. In conducting a gap analysis of Florida's future workforce needs, the Committee found that Florida will definitely face a shortage of nurses. Currently the SUS nursing programs only contribute 11% of all new nurses licensed annually. The Committee also learned that the number one limitation to meeting the demand for nurses in Florida is a shortage of nursing faculty.

Recruiting and retaining faculty in the short-term and building up the nursing faculty pipeline in the long-term are critical steps in meeting student demand for SUS nursing programs and employer demand for nurses with advanced education. This legislative budget request is for \$15,000,000 in nonrecurring funds to support the recruitment and retention of nursing faculty.

Supporting Documentation Included:	Legislative Budget Request Form I
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Facilitators / Presenters:	Dr. Christy England
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**State University System
Education and General
2017-2018 Legislative Budget Request
Form I**

University(s):	10 SUS institutions with nursing schools: University of West Florida, University of North Florida, Florida A&M University, Florida State University, University of Florida, University of Central Florida, University of South Florida, Florida Gulf Coast University, Florida Atlantic University, and Florida International University
Issue Title:	Nursing Faculty Recruitment and Retention Program
Priority Number	
Recurring Funds Requested:	
Non-Recurring Funds Requested:	\$15,000,000
Total Funds Requested:	\$15,000,000
Please check the issue type below:	
Shared Services/System-Wide Issue for Fiscal Year 2017-2018	<input checked="" type="checkbox"/>
New Issue for Fiscal Year 2017-2018	<input checked="" type="checkbox"/>

- I. Description** – 1. Describe the service or program to be provided and how this issue aligns with the goals and objectives of the strategic priorities and the 2016 Work Plan established by your institution (include whether this is a new or expanded service/program). If expanded, what has been accomplished with the current service/program? 2. Describe any projected impact on academic programs, student enrollments, and student services.

The Nursing Faculty Recruitment and Retention Program was developed as a response to the findings from a year-long environmental scan of health topics undertaken by the Health Initiatives Committee of the Board of Governors. A scan of Florida's healthcare workforce, healthcare delivery and health-related research as they relate to the State University System (SUS) informed the Committee's development of a Health Strategic Plan. The Health Strategic Plan was unanimously approved by the Board of Governors in March 2016.

2017-2018 LBR

The priorities and strategies established in the Strategic Plan, which serve as the foundational work of this program, was guided by a collaboration between the Board of Governors and an advisory group to the Health Initiatives Committee consisting of representatives of the Florida Council of Medical School Deans, the Florida Department of Health, the Florida Medical Association, the Florida Center for Nursing, the Florida Hospital Association, and the SUS Vice Presidents for Research. The Deans and Directors of the SUS nursing programs also provided input on the barriers they face in increasing the capacity of the bachelors, masters, and doctoral nursing programs.

The first goal of the Health Strategic Plan is to meet the health workforce needs of Florida. In conducting a gap analysis of Florida's future workforce needs, the Committee found that Florida will definitely face a shortage of nurses. Currently the SUS nursing programs only contribute 11% of all new nurses licensed annually. The Committee also learned that the number one limitation to meeting the demand for nurses in Florida is a shortage of nursing faculty.

A strategic increase in the number of nursing faculty for the SUS would achieve two aims. First, it would move Florida forward on the percent of registered nurses with bachelor's degrees, as recommended in the 2010 Institute of Medicine report *The Future of Nursing: Leading Change, Advancing Health*. The report suggests 80% of registered nurses nationally should hold a baccalaureate degree by 2020. Currently, only 46% of working registered nurses in Florida hold a baccalaureate degree or higher. Despite the addition of RN-BSN completion programs across the state, Florida will not be able to reach the 80% goal by 2020 without expanding program capacity -- which requires more nursing faculty. Second, an increase in the number of faculty would also allow programs to increase capacity in the masters and doctoral programs. These programs prepare Ph.D. students to become faculty and fill leadership roles in clinical settings and prepare Advanced Practice Nurses who can teach as clinical faculty.

Recruiting and retaining faculty in the short-term and building up the nursing faculty pipeline in the long-term are critical steps in meeting student demand for SUS nursing programs and employer demand for nurses with advanced education. Faculty shortages are of particular concern with a national nursing faculty vacancy rate of 7.1% according to the most recent survey conducted by the American Association of Colleges of Nursing. This vacancy rate translates into 1,328 faculty vacancies across 741 nursing colleges and schools nationally (AACN, 2015). Nursing school who need to hire additional full-time faculty cited the following barriers: insufficient funds to hire new faculty (65.4%), unwillingness of administration to commit to additional full-time positions (53.1%), inability to recruit qualified faculty due to competition in other job markets (36.2%), and a critical shortage of qualified applicants (22.3%). AACN also reported that 90.7% of faculty vacancies require or prefer doctoral degree, however there is a critical shortage of nurses prepared at this level.

The SUS is seeking \$15 million in state support for a program that will award funds to cover innovative strategies that target the recruitment and/or retention of nursing faculty. The nursing schools are expected to demonstrate how one-time funds will increase the number of nursing faculty in Florida, and how that will in turn lead to increases in SUS

nursing program capacity. Program funds will be used to cover direct expenses incurred in the recruitment or retention of nursing faculty.

Proposed activities may include:

a) one-time funds used for non-recurring strategies associated with the recruitment and hiring process such as sign-on bonuses, loan forgiveness, start-up packages for research, or partnership development for joint appointments and/or

b) multi-year, but short-term, funding for retention strategies (such as providing release time for mentoring, awarding pre- and post-doctoral researcher salaries, employing adjuncts to fill voids for low teaching loads, or creating professional development opportunities).

The SUS nursing schools will prepare project proposals that support the recruitment and/or retention of nursing faculty through innovative programs showing a clear return on investment and capacity-building for educating additional nurses in Florida. Each of the SUS nursing schools may apply for a grant by themselves or they may partner with other SUS nursing schools if a greater return on investment is expected. Funded projects will have three years to spend the one-time funds and will report on project outcomes for six years.

Projects that receive support from this program will be evaluated on the number of new faculty hired and the number of faculty retained. Participating nursing schools will also project and track additional program capacity in specific nursing programs that will result from increased numbers of nursing faculty. Projects that include a commitment of additional university support for nursing faculty salaries should be given priority.

II. Return on Investment - *Describe the outcome(s) anticipated, dashboard indicator(s) to be improved, or return on investment. Be specific. For example, if this issue focuses on improving retention rates, indicate the current retention rate and the expected increase in the retention rate. Similarly, if it focuses on expanding access to academic programs or student services, indicate the current and expected outcomes.*

The following categories of benefits are expected from implementation of the competitive program:

1) Efficient Retention and Recruitment for the Nursing Faculty Pipeline

- Investment in the program is expected to increase the nurse faculty pipeline in both the short and long-term. The pipeline can be increased in the long-term if schools put new faculty efforts towards growth in Ph.D. programs or ARNP tracks that emphasize teaching.
- The program allows the SUS nursing schools to be competitive in a tight national market for nursing faculty.
- Where faculty salaries are increased with university funds under this program, the nursing schools are more likely to successfully recruit practicing clinical nurses with valuable skills who can educate the next generation of nurses and nursing faculty.

2) Better Healthcare Aims

- Increasing the education level of nurses has been demonstrated to improve health outcomes for patients. Several recent studies show that a 10% increase in the number of nurses with a BSN result in a 7-10% decrease in patient mortality.
- Research efforts of new and retained faculty will contribute to better teaching and better care in the field. Programs that put new faculty resources into graduate programs may enhance the research training of students as well. These students will go on to apply research skills in leadership positions within healthcare.

3) Economic Development and Workforce Aims

- Nurses with advanced education make higher salaries.
- A financial boost to the faculty recruitment and retention efforts of the SUS nursing programs will contribute to sustainable capacity growth across the System. The investment will create programs that can employ new faculty resources to meet student demand for high-quality and affordable nursing programs.
- The ultimate outcome expected from the investment is meeting the workforce demand and reducing shortages of nurses at all levels by expanding SUS program capacity.

III. Facilities *(If this issue requires an expansion or construction of a facility, please complete the following table.):*

	Facility Project Title	Fiscal Year	Amount Requested	Priority Number
1.	N/A			
2.				



AGENDA

Innovation and Online Committee

Main Auditorium

Harry Sudakoff Conference Center

New College of Florida

5845 General Dougher Place

Sarasota, Florida 34243

September 21, 2016

11:45 a.m. – 12:00 p.m.

or

Upon Adjournment of Previous Meetings

Chair: Mr. Ned Lautenbach; Vice Chair: Mr. Ed Morton

Members: Beard, Colson, Link, Stewart, Tripp, Tyson

- 1. Call to Order and Opening Remarks** **Governor Ned Lautenbach**
- 2. Minutes of Committee Meeting** **Governor Lautenbach**
Minutes, June 22, 2016
- 3. Implementation of the 2025** **Dr. Joyce Elam**
Strategic Plan for Online Education *Interim Vice Provost*
Florida International University and
Chair, Implementation Committee
- 4. Concluding Remarks and Adjournment** **Governor Lautenbach**

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Innovation and Online Committee
September 21, 2016**

SUBJECT: Minutes of Meeting held June 22, 2016

PROPOSED COMMITTEE ACTION

Approval of minutes of meeting held on June 22, 2016.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Board members will review and approve the minutes of the meeting held on June 22, 2016.

Supporting Documentation Included: Minutes: June 22, 2016

Facilitators/Presenters: Governor Ned Lautenbach

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
INNOVATION AND ONLINE COMMITTEE
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FL
June 22, 2016

1. Call to Order

Chair Lautenbach convened the meeting at 2:41 p.m. on June 22, 2016, with the following members present: Governors Dick Beard, Dean Colson, Wendy Link, Ed Morton, Kathy Robinson, and Norman Tripp. A quorum was established.

2. Approval of Committee Meeting Minutes

Governor Morton moved that the committee approve the minutes of the meeting held on March 17, 2016, as presented. Governor Colson seconded the motion, and the members concurred.

3. Opt-in, Common Learning Management System

Dr. Nancy McKee stated that Canvas was selected in January 2016 as the common, opt-in learning management system. At that point, five universities were using Canvas; since then, five more have decided to transition to Canvas. Florida International University and Florida A&M University have not completed their evaluation processes. President Elmira Mangum stated that she anticipated FAMU would complete its evaluation process in October, after faculty had returned to campus. FIU Provost Ken Furton indicated that, similarly, FIU would complete its evaluation process in the Fall term, after evaluating costs and the impact on other systems.

4. Implementation of the 2025 Strategic Plan for Online Education

Dr. McKee indicated that two committees were formed to help guide the implementation of the Strategic Plan for Online Education: an Implementation Committee, chaired by Dr. Joyce Elam from FIU, and a Steering Committee, a subset of provosts, chaired by Dr. Joe Glover from the University of Florida. An implementation plan has been approved by these groups and contains deliverables and due dates to ensure the plan moves forward. The plan's 50 tactics were assigned to seven workgroups. Dr. McKee mentioned some of the issues the workgroups are addressing now: shared courses and programs; adaptive learning; standards for quality of courses; ensuring online students have access to services equivalent to those accessed by

campus-based students; expanding and enhancing professional development; and determining the cost of online education, which will be the focus of the September Innovation and Online Committee meeting. She said that the chairs of the workgroups meet weekly by phone and, in most cases, the workgroups or their subgroups meet on a weekly or bi-weekly basis. She indicated that a web page for online education has been created and can be accessed through the Board of Governors home page.

Chair Lautenbach stated that he was sure each Board member supports keeping college education affordable and building strategies that help university students graduate in four years. He said the committee would help with Governor Scott's "Finish in Four, Save More" challenge by fully understanding in September the cost to institutions of providing online education and the costs to students of taking online courses vs. face-to-face courses. Chair Lautenbach wants the committee to be presented with the strategies that are being used or developed that provide cost savings and cost avoidances for online education. He said the committee will also be looking at data that show student progress and completion.

Governor Kuntz suggested that the committee publish a document that easily explains what the data mean, where the system is, and where it is going.

6. Concluding Remarks and Adjournment

Governor Lautenbach adjourned the meeting at 2:53 p.m.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Innovation and Online Committee
September 21, 2016**

SUBJECT: Implementation of the *2025 Strategic Plan for Online Education*

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Board approved the *2025 Strategic Plan for Online Education* at its meeting in November 2015. Dr. Joyce Elam, chair of the *Plan's* Implementation Committee, will provide a progress report on its implementation.

Supporting Documentation Included: Progress Report

Facilitators/Presenters: Dr. Joyce Elam

ONLINE EDUCATION: 2025 STRATEGIC PLAN PROGRESS REPORT SEPTEMBER 21, 2016

The 2025 Strategic Plan for Online Education was approved by the Board of Governors at its November 2015 meeting. Two committees were formed to oversee the implementation of the strategic plan – the Implementation Committee, composed of representatives of each SUS institution, and a Steering Committee, composed of a group of provosts. In December 2015, an initial meeting with these committees was held to discuss the process of implementing the 49 tactics contained in the strategic plan. Subsequently, seven workgroups were established, each responsible for completing a number of action steps related to the tactics. These workgroups are: Quality Workgroup, Professional Development Workgroup, Affordability Workgroup, Data Workgroup, Student Services Workgroup, Infrastructure Workgroup, and Online Programs Workgroup.

The Implementation Committee and the Steering Committee have met twice since their initial meeting, in March, 2016, and June, 2016. During these meetings, progress on completing the tactics contained in the strategic plan was discussed, recommendations approved, and next steps planned. This report details the progress in addressing the tactics to date. Dates for deliverables were staggered and sequenced to ensure completion in a timely manner. Approximately one-third of the tactics have been addressed. Out of 15 tactics associated with Quality, five have been completed and significant progress has been made toward one. Out of 18 tactics associated with Access, five have been completed. Out of 16 tactics associated with Affordability, five have been completed.

The Professional Development Workgroup, Affordability Workgroup, and Data Workgroup had deliverables due June 2016. Other workgroups -- Quality Workgroup, Student Services Workgroup, Infrastructure Workgroup, and Online Programs Workgroup -- have been meeting and formulating surveys, reports, and recommendations, but their first deliverables are not due until December 2016.

Below is a summary of the progress to date in implementing the 2025 Strategic Plan for Online Education.

DATA COLLECTION

Many of the tactics required data that needed to be collected from SUS and Florida College institutions, such as current methods and processes used to certify the quality of online courses, support services offered to online students, online faculty development, and online student success. A survey, designed by the Data Workgroup, was sent to all SUS institutions in the spring of 2016. Twenty-three institutions (10 SUS institutions and 13 Florida Colleges) completed this survey and the results are being used by the various workgroups to complete their assigned tactics.

In addition to administering the survey, the Data Workgroup has reviewed current system-wide terms and definitions related to online education along with current data definitions for identifying fully online and primarily online degree programs and determined that no revisions need to be made. With data definitions in place, the 2015-16 online education accountability

report can be prepared and the system-wide inventory of fully online and primarily online degree programs can be created.

The Infrastructure Workgroup has designed a survey to collect data on the technology infrastructure in place at each SUS institution that will be distributed through the FLVC in fall 2016. The purpose of this survey is to assess current processes and/or technology practices at the different institutions. The data collected will help in the development of the Workgroup's final recommendation. The survey will also request SUS institutions to confirm that students, including students with disabilities, can easily access online courses.

The Student Services Workgroup has designed a scorecard to measure how student support services normally offered to campus-based students are provided to fully online students. A survey will be distributed to SUS institutions through the FLVC in the fall 2016 so that data for each SUS institution can be used to populate the scorecard.

QUALITY CERTIFICATION OF ONLINE COURSES

Having all SUS institutions use the same (or equivalent) system to assess quality of online courses will enable identification of best-in-class courses for incentives and recognitions. The recommendation made by the Quality Workgroup related to this issue was approved at the June 2016 meeting. The approach approved will use Quality Matters or a newly developed set of standards, complete with a rubric and process to support it, specific for Florida colleges and universities, to certify quality and high-quality courses. In addition, institutions with a quality certification process already in place will be able to provide a matrix of how their standards/process meet the new Florida standards. Having a standard process of identifying quality and high-quality courses will allow the Quality Workgroup to proceed to address the coding of quality and high-quality courses in the FLVC course catalog and to address the state-wide recognition of exceptional online courses.

PROFESSIONAL DEVELOPMENT

All three tactics associated with the goal of “Expanding support for professional development” have been addressed.

The Professional Development workgroup, in collaboration with the Florida Virtual Campus (FLVC), will establish an instructional designer network (community) that provides access to resources and educational content to instructional design professionals across the State of Florida. SUS and State Colleges will collaborate using a variety of materials, communities and support systems to share best practices, current research and upcoming events to support instructional designers in developing their skills and knowledge to assist faculty in incorporating new technologies. These materials will be available via listservs, discussion board, webinars, and blogs.

The FLVC, in collaboration with the Professional Development Workgroup, will develop and host a resource tab on their website titled “professional development for online leaders. This tab will be monitored by an appointed representative of the FLVC Members Council. In addition to monitoring and posting relevant professional development opportunities (i.e. conferences, workshops, research), a coordinated statewide half-day session will be held in conjunction with one of the three annual FLVC meetings. A professional development opportunity will be offered on the day preceding the standing meeting. This professional development opportunity will be

designed to coincide with one of the SUS/State College initiatives focusing on Quality, Affordability, and Access.

On March 2016, the Professional Development Workgroup gained the approval from the Council of Academic Vice Presidents to fund UCF to develop a tool kit, called Teaching Online Preparation Toolkit (TOPkit), and to offer a two-day annual workshop for institutional staff who are responsible for professional development activities for faculty who teach online courses. In addition, an Online Learning and Faculty Development Community Practice will be created and housed within TOPkit. The CAVP agreed to fund recurring costs for four years after the first year startup. The Florida Virtual Campus agreed to fund first year start-up, nonrecurring costs. The kickoff meeting for this project was held April 15, 2016. The project is on track for completion of the online toolkit by February 1, 2017 and the inaugural workshop March 22-23, 2017. The pre-launch page (topkit.org) gives visitors the opportunity to sign up for regular updates along with a bi-weekly TOPkit Digest, which provides a brief preview of some of the content that will be contained in the toolkit. To date the TOPkit Digest has over 370 registrants. A state-wide advisory board has been appointed. The next advisory board meeting on September 26, 2016, will be a final review of the TOPkit site content.

UF ONLINE

The strategic plan calls for support for the development and delivery of affordable, high quality, fully online baccalaureate degree programs by UF Online in accordance with section 1001.7065, Florida Statutes.

The Implementation Committee, with the concurrence of the Steering Committee, recommended the formation of an expert consortium in the design and deployment of STEM labs for online students in support of UF Online efforts and those across the SUS. This Virtual Labs Task Force, comprised of SUS faculty and academic affairs experts, will be charged with evaluating options for deployment systemwide in the areas of Chemistry, Biology, and Physics for undergraduates. Members for this task force are in the process of being identified.

REGULATIONS

The strategic plan called for the review of four Board of Governors regulations that could potentially impact the provision of online education. These regulations have been reviewed and proposed changes to two regulations were approved by the Steering Committee at the June 2016 meeting. The Steering Committee approved exploring through the Board's process for amending regulations the proposed revisions to Board Regulations 6.016 Summer Session Enrollment and 7.006 Limitation on Non-Resident Student Enrollment, acknowledging that the process will allow for the language to be fine-tuned before going to the Board of Governors for consideration for noticing.

The Implementation Committee recommended and the Steering Committee concurred that no changes be proposed for the two remaining Board regulations, Regulation 7.001 Tuition and Fees and Regulation 7.003 Fees, Fines and Penalties.

AFFORDABILITY

The strategic plan called for the determination and definition of the elements that should be

included in a model for determining the distance learning fee, to obtain and analyze data from institutions using this model, and to develop models to achieve cost savings and cost avoidances in the development and delivery of online education. The Affordability Workgroup has been working on these tactics since the beginning of the year. A preliminary cost model was discussed at the June 2016 joint meeting of the Steering Committee and Implementation Committee, and the Affordability Workgroup is on track to present the Cost of Online Education report to the Innovation and Online Committee at its October 18 meeting.

COLLABORATION

The strategic plan called for the creation of a statewide online education research consortium with members from Florida institutions interested in sharing and presenting research, determining research needs in online education, and identifying collaborative research projects. UF Online has taken the lead in organizing the consortium. Members from each SUS institution have been identified and the consortium has already had an introductory conference call and is planning a face-to-face meeting this fall.



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

AGENDA

Select Committee on 2+2 Articulation

Main Auditorium

Harry Sudakoff Conference Center

New College of Florida

5845 General Dougher Place

Sarasota, Florida 34243

September 21, 2016

1:00 p.m. - 1:30 p.m.

or

Upon Adjournment of Previous Meetings

Chair: Mr. Alan Levine; Vice Chair: Ms. Wendy Link

Members: Huizenga, Lautenbach, Stewart, Tripp

1. Call to Order and Opening Remarks Governor Alan Levine
2. Minutes of Committee Meeting Governor Levine
Minutes, June 23, 2016
3. 2+2 Best Practice: University of Central Florida's Dr. J. Jeffrey Jones
DirectConnect *Vice Provost for Regional Campuses*
University of Central Florida
4. 2+2 Best Practice: University of South Florida's Dr. Paul Dosal
FUSE Program *Vice President for Student Affairs and Student Success*
University of South Florida
5. Concluding Remarks and Adjournment Governor Levine

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Select Committee on 2+2 Articulation
September 21, 2016**

SUBJECT: Minutes of Select Committee Meeting held June 23, 2016

PROPOSED COMMITTEE ACTION

Consider for approval the minutes of the Select Committee on 2+2 Articulation meeting held on June 23, 2016 at University of Central Florida

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Committee members will review and consider for approval the minutes of the meeting held on June 23, 2016 at University of Central Florida.

Supporting Documentation Included: Minutes, June 23, 2016

Facilitators/Presenters: Governor Alan Levine

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
SELECT COMMITTEE ON 2+2 ARTICULATION
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FLORIDA
JUNE 23, 2016

*Video or audio archives of the meetings of the Board of Governors
and its Committees are accessible at <http://www.flbog.edu>.*

1. Call to Order and Opening Remarks

Vice Chair Wendy Link convened the meeting on June 23, 2016 at 9:11 a.m. with the following members present and answering roll call: Governors Link, Lautenbach, Stewart (phone), and Tripp (phone). A quorum was established.

2. Florida College System Research and Issues in 2+2 Transfer and Articulation

Vice Chair Link introduced Ms. Madeline Pumariega, Chancellor of the Division of Florida Colleges, Department of Education, to provide an overview of findings from research and discussion of issues that address 2+2 articulation from the Florida College System (FCS) perspective based on questions raised during the Committee's March 17 meeting.

Ms. Pumariega described Florida's articulation system as a national model based on strong partnerships between the colleges and the state universities. She noted that the FCS is a national leader in the number of Associate of Arts (A.A.) degrees awarded. Ms. Pumariega stated that approximately 64 percent of A.A. graduates, or 35,000 graduates, apply for admission to a state university. Of those who apply, 85 percent are accepted, and of those who apply, 83 percent enroll. It was noted that the grade point averages and graduation rates of A.A. transfer students are on par with native state university students. Ms. Pumariega noted that FCS staff have also been tracking A.A. graduates who do not enroll in a state university and found that many find jobs earning an average of \$33,000 annually one year after completing their A.A.

Ms. Pumariega went on to describe numerous mechanisms that increase the access and success of A.A. graduates. She first noted that the 2+2 policies are critical, particularly the common course pre-requisites that ensure students understand what courses are needed for their intended program of study at the state university. Ms. Pumariega also commented that the colleges and universities have and will continue to align learning outcomes, particularly for math and science. Ms. Pumariega stated that joint use space is another key mechanism and highlighted the University of Central Florida (UCF)

Direct Connect program, which sends UCF advisors to Florida college campuses and also provides programs for A.A. students on college campuses. Ms. Pumariaga also mentioned the numerous collaborations taking place to award A.A. degrees to students who complete A.A. requirements at a state university within their first year at the university.

Ms. Pumariaga also described the numerous articulation agreements between individual colleges and universities. She noted that these agreements are important because they align to regional needs. Ms. Pumariaga stated that these agreements are successful because students are told from the start what their pathway looks like. Students can identify with a program right away and in some instances – such as the Get Into UF program at Santa Fe - they have an opportunity to work directly with university faculty and take the first engineering lab course while enrolled at Santa Fe.

Ms. Pumariaga then noted that many of the FCS students are place bound and tend to enroll in a nearby university. She stated that the top majors that FCS students intend to pursue are business and management, health sciences, life science, and engineering.

The Committee also discussed the extent to which the SUS needs to increase the number of A.A. recipients, how to ensure a smooth transition, particularly for students who may not have taken the necessary pre-requisite courses, and how to expand best practices. Ms. Pumariaga noted that the A.A. degree is comprised of 36 hours of general education credits and 24 hours of electives, which are essentially comprised of courses related to their intended program of study to ensure students will look similar to native juniors at the universities. The Committee discussed the need to have colleges and universities work more closely together. Several university presidents also noted the importance and success of 2+2 and their agreements with the colleges, while acknowledging a need to continue collaborations to ensure student success. Chair Kuntz noted that the purpose of the Committee was to identify what's working well and attempt to create dialogs that will lead to improvements and greater collaboration based on best practices.

3. Amended Committee Two-Year Work Plan

Vice Chair Link pointed out that a revised two-year work plan was provided in the meeting materials as an information item and for future committee use. It lays out the schedule of activities and topics that will help the committee identify opportunities for the Board of Governors and the universities to enhance 2+2 articulation for transfer students.

Chair Kuntz requested that at the September meeting, this Committee should have a clear pathway as to where they are going.

Having no further business, Vice Chair Link adjourned the meeting at 10:13 a.m.

Alan Levine, Chair

Christy England-Siegerdt, Ph.D.,
Associate Vice Chancellor, Academic and Student Affairs

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Select Committee on 2+2 Articulation
September 21, 2016**

SUBJECT: University of Central Florida DirectConnect

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Representatives from the University of Central Florida will provide an overview of the highly-successful DirectConnect to UCF 2+2 program, which guarantees admission (consistent with university policy) for associate-degree graduates from any of six partner state colleges. The presentation will highlight strategies for creating, maintaining, and improving 2+2 partnerships.

Supporting Documentation Included: None

Facilitators/Presenters: Dr. J. Jeffrey Jones

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Select Committee on 2+2 Articulation
September 21, 2016**

SUBJECT: 2+2 Best Practice: University of South Florida's FUSE Program

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Representatives from the University of South Florida will provide an overview of the FUSE Program, a 2+2 program designed to promote timely degree completion for students transferring from Hillsborough Community College. The presentation will highlight best practices for implementing effective 2+2 agreements.

Supporting Documentation Included: None

Facilitators/Presenters: Dr. Paul Dosal



AGENDA

Select Committee on Florida Polytechnic University

Main Auditorium

Harry Sudakoff Conference Center

New College of Florida

5845 General Dougher Place

Sarasota, Florida 34243

September 21, 2016

1:30 p.m. - 1:45 p.m.

or

Upon Adjournment of Previous Meeting

Chair: Ms. Wendy Link

Members: Doyle, Jordan, Morton, Valverde

- 1. Call to Order and Opening Remarks** **Governor Wendy Link**

- 2. Minutes of Select Committee Meeting** **Governor Link**
Minutes, June 21, 2016
Minutes, June 22, 2016

- 3. Florida Polytechnic University Implementation Update** **Dr. Randy K. Avent**
President
Florida Polytechnic University

- 4. Concluding Remarks and Adjournment** **Governor Link**

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Select Committee on Florida Polytechnic University
September 21, 2016**

SUBJECT: Minutes of Select Committee on Florida Polytechnic University Meetings
held on June 21, 2016, and June 22, 2016

PROPOSED COMMITTEE ACTION

Consider for approval the June 21, 2016, and June 22, 2016 minutes of meetings of the
Select Committee on Florida Polytechnic

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Select Committee on Florida Polytechnic University will consider for approval the
summary minutes of its June 21, 2016, and June 22, 2016 meetings.

Supporting Documentation Included: Minutes: June 21, 2016; June 22, 2016

Facilitators/Presenters: Governor Wendy Link

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
SELECT COMMITTEE ON FLORIDA POLYTECHNIC UNIVERSITY
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FLORIDA
June 21, 2016

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and its Committees are accessible at <http://www.flbog.edu>*

1. Call to Order

Governor Wendy Link called the meeting to order on June 21, 2016. Other Committee members in attendance were Governors Doyle, Jordan, and Morton. Other Board of Governors members in attendance were Governors Colson and Robinson. A quorum was established.

2. Florida Polytechnic University Roundtable Discussion

The meeting was conducted as a roundtable discussion between Board of Governors members, Florida Polytechnic University Board of Trustees members, President Avent and Poly staff, and Board of Governors staff. The focus of the meeting was on the University's 2016 Work Plan.

Chancellor Criser gave a brief explanation of the purpose of the meeting, providing background information on the process for Board of Governors staff review of university Work Plans, and the briefing of Board members on each university's Work Plan in preparation for the June 2016 meeting. He noted that Florida Polytechnic's Work Plan approved by the University Board was not completed in accordance with instructions provided, which necessitated corrections to the Plan and, as there was no time for the corrected Work Plan to be reviewed and approved by the University Board prior to the June 2016 Board of Governors meeting, it was not appropriate for it to be presented and considered by the Board of Governors.

President Avent agreed that there had been misunderstanding of how the metrics in the Work Plan should be projected, and explained that as a new university there was no existing data to build on. He further explained the assumptions on which projections were based. He said that the percentage of graduates found working should be high because workforce demand is high for engineers and computer technologists, the only types of programs offered. He said, further, that Poly should score well on the median

wages metric, because starting salaries are typically higher for the engineering and computer technology fields. President Avent indicated that Poly will probably never match the other universities on cost-to-degree because of the size of its student population, and because STEM degree programs are more expensive to operate. Dr. Avent said that after achieving SACSCOC accreditation, the University plans to re-engineer its curriculum to provide pathways to graduation for students who are unsuccessful in the engineering programs.

Governor Robinson questioned whether the projection of 75 percent found employed one year after graduation was realistic, since President Avent had noted that 20 percent of the graduates might become self-employed, and there is currently no mechanism for tracking self-employment. President Avent agreed that it might have an impact, but that it was too early to determine if such impact would be significant enough to change projections.

Governor Colson questioned whether the ten year plan for 1,000 graduates was realistic. President Avent stated that he had discussed this issue on other occasions with the current and previous Board of Governors' Chairs and had agreed to slow down on achieving that goal due to the requirement for additional facilities. He also noted that this would affect the overall cost-to-degree.

Governors Link, Colson, and Morton all expressed their desire that Florida Polytechnic University set itself apart from the other universities and become the crown jewel for engineering and technology in the State University system. President Avent acknowledged that desire and stated that he wanted the University to become the most selective institution in the State University System. Governor Morton also reiterated the importance of achieving timely accreditation on the ability of the University to achieve its goal of selective admissions, and this was acknowledged by President Avent.

Governor Link expressed her concern to the Poly Trustees in attendance that a Work Plan had been approved that did not contain numerical goals. Trustee Wilson apologized and stated that there was a misunderstanding between staffs as to the metrics definitions and how projections could be made. Trustee Martin assured Governor Link that going forward, trustees would work more closely with University and Board staff to understand the metrics and ensure that each category was completed properly and accurately.

Governor Colson asked when Poly could provide a vision of what it would look like in ten years. President Avent stated that the University was in the process of developing its new Strategic Plan and should be able to provide such a vision when it was completed.

Governor Link noted that the statutory mandate to reach 1,244 student FTEs by December 2017 could create a challenge for achieving selectivity and quality because the University may have to admit lower qualified students to achieve that goal. She questioned whether an attempt should be made to change the statute. There was no consensus that such action was needed.

Governor Link thanked everyone for their participation in the meeting and reemphasized the Board's aspirational goal for Florida Polytechnic University to become the crown jewel for engineering and technology in the State University System of Florida.

3. Closing Remarks and Adjournment

There being no further business to come before the Committee the meeting was adjourned.

Wendy Link, Chair

R.E. LeMon,
Associate Vice Chancellor,
Academic and Student Affairs

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
SELECT COMMITTEE ON FLORIDA POLYTECHNIC UNIVERSITY
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FLORIDA
JUNE 22, 2016

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and its committees are accessible at <http://www.flbog.edu>*

1. Call to Order

Governor Wendy Link called the meeting to order at 1:28 p.m. on June 22, 2016. Other Committee members present were Governors Doyle, Jordan, Morton, and Valverde. A quorum was established. Other Board members in attendance were Governors Beard, Kuntz, Levine, Lautenbach, Robinson, and Tripp (by telephone).

2. Meeting Minutes

Governor Link asked for a motion to approve minutes of the Committee's March 17, 2016 meeting. A motion was made by Governor Doyle, seconded by Governor Valverde, and the motion carried unanimously.

3. Florida Polytechnic University Implementation Update

Governor Link indicated that the University's 2016 Work Plan had been taken off the Strategic Planning Committee's agenda and that it would be taken up at that Committee's September meeting. She noted that the Polytechnic Monthly Update template had been revised, and called on Vice Chancellor Ignash to advise the Committee relative to the changes. Vice Chancellor Ignash said that the revised template included a place for Board staff input and identified critical dates which, if not met, could have an effect on future dates, including other critical dates. Chair Link thanked Vice Chancellor Ignash, and then called on President Avent to provide the Committee with the University's latest implementation update.

President Avent said that just the previous Monday SACS had approved the University for accreditation candidacy. He said that the next major step was for Poly to meet compliance certification. President Avent said that he expected that final compliance certification would be submitted to SACS in December 2016. He said, further, that the

University's understanding of the definitions for the colors on the Monthly Update were now in sync with the Board's understanding. With regard to discipline-specific accreditation, President Avent indicated that University representatives had attended ABET meetings and that Poly was in the process of hiring two faculty with ABET accreditation experience.

With regard to enrollments, President Avent said that applications were decreasing but that admissions were increasing. He noted that two Fulbright Scholars had been admitted for the coming year. He projected that the University would enjoy rapid growth for the next few years, with a flat projection in years after. President Avent said that he was certain that Poly would meet the 1,244 FTE threshold in 2017.

4. Florida Polytechnic Cost Per Degree

President Avent said that initial start-up for the University would result in a high cost per degree due to the small size of the student population but that it was sure to go down with increasing enrollments. He said that some cost reduction could be achieved by increasing centralized services. He noted that the cloud-based information technology infrastructure that Poly intended to use was expensive and that STEM programs and the laboratories associated with them were inherently expensive. President Avent noted that STEM faculty typically cost 40 percent more than other faculty.

5. Closing Remarks and Adjournment

Closing remarks centered on the University's Work Plan. President Avent said that his expectations were that graduation and retentions rates would initially be problematic. With regard to graduation and retention, he indicated that courses in calculus, chemistry, and philosophy were challenges for students. He said that retention strategies included more tutoring, boot camps for mathematics, and one-on-one success coaches. He said, further, that upon receiving SACS accreditation, Poly would be in a position to consider curricular changes and perhaps new programs.

President Avent noted that, per the discussions relative to cost per degree, Poly would be challenged in this area unless the University changed its mission. Chair Link said that a mission change was not an option and that, in fact, Poly's engineering programs needed to be even better than the already excellent engineering programs found elsewhere in the State University System. She then asked for any further observations by Committee or Board members.

Governor Kuntz noted that there had been multiple conversations between Poly and Board staff. He queried as to whether there was any disconnect between Board staff expectations and the information that would be provided by Poly. President Avent said that he believed there was no disconnect. Governor Kuntz said that he was looking forward to seeing a complete 2016 Poly Work Plan in September. Governor Kuntz also asked President Avent when he expected the University to participate in performance-based funding. President Avent said that real data would not be available until 2020. Governor Kuntz noted that it was to the University's advantage to begin participating in performance-based funding as soon as possible.

Chair Link queried as to whether President Avent thought that Florida's two-plus-two transfer system was appropriate to the institution. President Avent said that this would be a good subject for further discussion.

There being no further business to come before the Committee, Governor Link adjourned the meeting.

Wendy Link, Chair

R.E. LeMon
Associate Vice Chancellor,
Academic and Student Affairs

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Select Committee on Florida Polytechnic University
September 21, 2016**

SUBJECT: Florida Polytechnic University Implementation Update and Progress Report

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

In 2012, the Florida Legislature created and Governor Scott signed legislation establishing Florida Polytechnic University. The Florida Legislature has stipulated that, by December 31, 2017, the University shall achieve accreditation from the Commission on Colleges of the Southern Association of Colleges and Schools; initiate new programs in STEM fields; seek discipline-specific accreditation for programs; attain a minimum FTE enrollment of 1,244, with at least 50 percent of that FTE in STEM fields and 20 percent in programs related to STEM fields; complete facilities and infrastructure; and have the ability to provide administration of financial aid, admissions, student support, information technology, and finance and accounting with an internal audit function. The University enrolled its first students in Fall 2014.

Representatives from Florida Polytechnic University will provide brief remarks and respond to any questions from the Select Committee concerning the institution's latest progress update, including accreditation, student enrollment, faculty recruitment, curriculum development, scholarship support, and budget and facilities.

Supporting Documentation Included: Latest 2016 Progress Report

Facilitators/Presenters: President Randy K. Avent



Monthly Update to the Select Committee on Florida Polytechnic University

Implementation Tracking Report (September 2016)

Implementation Status Summary			
Criteria	Directives	Completed	Good Progress
A. STEM Academic Programs	5	5	✓
B. Student Enrollment	4	4	✓
C. Administrative Capability	2	2	✓
D. Accreditation	14	6	3 (5 dependent on SACSCOC)
E. Discipline Specific Accreditation	1	1	✓
F. Facilities & Construction	3	3	✓
TOTAL	29	21	3

Legend:	✓ Completed	● Good Progress	● Slow Progress	● Poor Progress
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Criterion A – Initial Development of New STEM Programs		
Statutory Due Date: 12/31/2017 (per 2016 legislature)		Progress Indicator
A1 - New degree program proposals approved by the Florida Polytechnic university Board of Trustees	January 2014: COMPLETED - Program proposals were considered and approved by the Academic Affairs Committee of the Florida Polytechnic University Board of Trustees.	✓
A2 - New degree program proposals reviewed by BOG staff for inclusion in the SUS Academic Degree Program Inventory.	February 2014: COMPLETED – BOG has accepted the new degree program proposals and entered them into the SUS Academic Degree Program Inventory.	✓
A3 – Prerequisite courses approved by the Oversight Committee of the Articulation Coordinating Committee (ACC) and the ACC itself.	July 2014: The Oversight Committee voted to approve the University's prerequisite courses. The ACC approved the University's prerequisite courses on June 28, 2014.	✓
A4 – All college credit courses are entered into the Statewide Course Numbering System.	<p>July 2014: All courses have been approved by the Statewide Course Numbering System. DOE has begun entering the University's courses in the Common Course Numbering System.</p> <p>August 2014: Courses continue to be input into the Common Course Numbering System.</p> <p>September 2014: Courses have been input into the Common Course Numbering System.</p>	✓
A5 – Program faculty and general education faculty are in place.	<p>July 2014: Sufficient program faculty are in place to develop curricula. We have hired 23 of 25 fulltime faculty¹. Fifteen adjunct faculty have been selected and ten have been signed.</p> <p>August 2014: Ten of the 15 selected adjunct faculty have been signed.</p> <p>September 2014: COMPLETED All full-time and adjunct faculty have been hired (23 full-time and 18 adjunct). Additional faculty continue to be hired in preparation for additional students.</p>	✓

¹Florida Poly needs 25 instead of 30 fulltime faculty because of a higher than projected number of freshmen admitted.

Criterion B – Enrollment of 1,244 FTE			
Statutory Due Date: 12/31/2017 (per 2016 legislature)		Progress Indicator	Board Staff Progress Indicator
B1 – Total students enrolled	Fall 2016 (Drop/Add): Total Students – 1,318 <i>Undergraduates – 1,284 (97.4%) Graduates – 32 (2.4%)</i> <i>Non-Degree Seeking – 2 (0.2%)</i> <i>New Students – 535 (Undergraduates: 522, Graduates: 11, Non-degree seeking: 2)</i> <i>Retention Rate (Fall 2015 to Fall 2016) – 81%</i> <i>Gender – Male 83% Female 17%</i> <i>Race/Ethnicity – American Indian 0.5%; Asian 3.8%; Black or African American 4.7%; Hispanic 18.6%; Native Hawaiian 0.3%; Non-Resident Alien 1.9%; Two or More Races 2.6%; White 65.9%; Not Reported 1.7%</i> <i>Residency – In-State 96%; Out-of-State 4%</i> <i>Total Credit Hours – 18,011 (Undergraduate: 17,766; Graduate: 245)</i> <i>Average Credit Load – 13.9 (Undergraduate: 14.0; Graduate: 7.2)</i> <u>2016-17 FTE – 1,279 (actual for summer 2016, fall 2016, and projected spring 2017)</u>	✓	
B2 – Number of completed applications received	February 2014: 2,846 (exceeds the goal for applications) July 2014: 2,983 (as of July 30 th , 2014; 119% of goal for number of applications) Spring 2015: 241 Fall 2015 (as of July 30, 2015): 2,255	✓	
B3 – Number of students admitted	February 2014: 922 (90% of the goal to be admitted) July 2014: 1,029 (100% of the goal for the number of students expected to be admitted) Spring 2015: 58 (Goal Met) Fall 2015 (as of July 30, 2015): 1,117	✓	
B4 –Actual enrollments in each degree program.	Fall 2016 (Drop/Add): Computer Engineering – 232 (17.6%) Electrical Engineering – 116 (8.8%) Mechanical Engineering – 280 (21.2%) Advanced Technology – 47 (3.6%) Computer Science & Information Technology – 561 (42.6%) Science & Technology Management – 16 (2.7%) Engineering (MS) – 16 (1.2%) Innovation & Technology (MS) – 3 (1.2%) Undeclared – 13 (1.0%) Non-Degree Seeking – 2 (0.1%)	✓	

Criterion C – Administrative Capability		
Statutory Due Date: 12/31/2017 (per 2016 legislature)		Progress Indicator
C1 – Capability to administer financial aid, admissions, and student support.	Fall 2014: Florida Polytechnic University has established offices for financial aid, admissions and student services.	✓
C2 – Capability to administer information technology, and finance & accounting with internal audit function.	Fall 2014: Florida Polytechnic University has a shared services agreement with UF and has hired an Executive Budget Director and a CIO.	✓
Criterion D – Accreditation		

Activity	Necessary Action by SACSCOC or by University	Estimated Completion Date	Actual Completion Date	FPU Progress Indicator	Board Staff Progress Indicator
D1 – Pre-Application Workshop (optional)	The institution attended an optional pre-application workshop.	December 2013	December 2013	✓	✓
D2 – Submit pre-application for regional accreditation	<p>The institution engaged a technical advisor to assist with preparing the application for regional accreditation.</p> <p>The initial application was submitted to the regional accreditation agency.</p> <p>Additional assessment evidence was submitted to the regional accreditation agency as planned.</p> <p>The institution received a SACSCOC request for application updates with a turn-around by August 2015. Dr. Belle Wheelan president of SACSCOC, addressed the institution's BOT at its June 4, 2015 meeting.</p> <p>The institution submitted an updated application to SACSCOC.</p>	December 2014	December 2014	✓	✓
D3 – Regional Accrerator Candidacy site visit	A SACSCOC Candidacy site visit was scheduled and conducted.	March 2016	March 2016	✓	✓
D4 – Candidacy Committee submits report to SACSCOC Board	The Candidacy Committee submitted its report to the SACSCOC Board.	March 2016	March 2016	✓	✓
D5 – Institution responds to Candidacy Report	The institution responded to the Candidacy Report.	This activity was waived by the University		✓	✓
D6 – SACSCOC Board makes candidacy determination	The SACSCOC Board will make a candidacy determination.	June 2016	June 2016	✓	✓
D7 – Institution submits Compliance Certification to SACSCOC	The institution will submit Compliance Certification to SACSCOC.	December 15, 2016		●	Critical date which, if not met, could have an effect on future dates, including other critical dates.

D8 – SACSCOC Staff site visit	SACSCOC staff will conduct a site visit.	January 13, 2017			
D9 – Committee Chair site visit for Initial Accreditation	The Committee Chair will conduct a site visit for Initial Accreditation.	January 13, 2017			
D10 – Committee site visit for Initial Accreditation	A Committee will make a site visit for Initial Accreditation.	February 17, 2017		●	Critical date which, if not met, could have an effect on future dates, including other critical dates.
D11 – Committee report for Full Initial Accreditation sent to Institution & SACSCOC Committee on Compliance and Reports	A Committee report for Full Initial Accreditation will be sent to the institution and to the SACSCOC Committee on Compliance and Reports.	March 10, 2017			
D12 – Institution responds to Full Initial Accreditation Committee Report	The institution will respond to the Full Initial Accreditation Committee Report.	August 10, 2017			Critical date which, if not met, could have an effect on future dates, including other critical dates.
D13 – Committee Report for Full Initial Accreditation, institution response, Committee Chair review of institution response sent to SACSCOC Board	The Committee Chair will review the institution's response. The Committee Chair's review of the institution's response will be sent to the SACSCOC Board.	October 11, 2017			
D14 – SACSCOC Board makes determination for Full Initial Accreditation	The SACSCOC Board will make a determination for Full Initial Accreditation.	December 15, 2017		●	Critical date which, if not met, will result in non-accreditation by deadline.

Criterion E – Seek Discipline Specific Accreditation		
Statutory Due Date: 12/31/2017 (per 2016 legislature)		Progress Indicator
E1 – Contact discipline specific accrediting bodies.	Spring 2015: Although program (ABET) accreditation cannot be sought until regional accreditation is achieved, as regional accreditation requirements are being addressed these requirements also address ABET requirements.	✓

	March 2016: Contacted ABET to discuss their accreditation process. We will continue to develop our programs to support ABET accreditation as we proceed through the SACSCOC accreditation process.	
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Criterion F – Facilities and Infrastructure		
Statutory Due Date: 12/31/2017 (per 2016 legislature)		Progress Indicator
F1 – Complete the Innovation, Science and Technology Building for Fall 2014 start of classes.	December 2014: COMPLETED	✓
F2 – Complete the Residence Hall for 241 students.	September 2014: COMPLETED - Construction is complete and all 241 beds are occupied as of the target move-in date of August 20, 2014.	✓
F3 – Complete the Phase I of Wellness Center and other site facilities or infrastructure.	Fall 2014: COMPLETED - The Wellness Center, Phase I is complete and operating as of the target date of August 20, 2014. It includes cafeteria, bookstore, exercise equipment, student services offices.	✓

Facilities

Construction of Florida Poly's first building, the Innovation Science and Technology building (IST) is within budget and opened as planned for classes on August 25, 2014. The total appropriation for constructing the campus is \$134 million with \$60 million of that targeted for the IST.

Table 6: Facilities Construction Project Final Budgets, Costs, and Balances (June 2016) (In Millions)

Component	Progress	Budget (Feb. 2014)	Budget (Revised March 2014)	Final Funded Budget (June 2015)	Final Total Expenses / (Costs)	Balance (June 2015)
IST Building	Completed	\$78.3	\$60.0 ¹	\$60.0	\$59.7	\$ 0.4
Site and Infrastructure Reimbursement by Donor	Completed	40.0	40.0	33.1 ⁵	33.1	0.0 10.0 ⁷
Engineering, Design, Land, and other soft costs	Completed	-	22.0 ²	20.8	20.7	0.1
Campus Control Center	Completed	3.5	3.9 ³	3.9	3.9	0.0
Classroom and laboratory furniture, fixtures & equipment	Completed	7.0	- ⁴	-	-	-
Contingency	NA	1.9	2.9	- ⁶	-	-
Total Original Projects		\$134.4	\$128.8	\$117.88	\$117.4	\$10.4
Admissions Center	Completed	-	1.3	1.3	1.3	0.0
Housing Utilities and Integration	Completed	-	1.2	1.2	1.2	0.0
Wellness Center – Phase 1	Completed	-	4.5	4.5	4.5	0.0
Perimeter Fencing	Completed	-	0.4	0.4	0.4	0.0
Total All Projects		\$134.4	\$136.2	\$125.2	\$124.8	\$10.4

¹ Budget (\$18.3 M) was moved to a separate budget line entitled Engineering, Design, Land and other soft costs.

² \$3.7 M of land related costs were erroneously left out of initial report and is now included in the line for Engineering, Design, Land and other soft costs, bringing the total for this budget center to \$22.0 M.

³ BOT increased budget in 2014 so the University could place a cell phone signal amplification system at the Campus Control Center which enhanced the cell phone reception within each of the buildings on the main campus.

⁴ \$7 M was originally budgeted to be spent on classroom and laboratory furniture, fixtures & equipment. \$1 M was moved back to the overall Contingency line item. Ultimately, \$6 M of assets were purchased, and the funding came from the State of Florida's Consolidated Equipment Financing Program and the University's operating funds. No construction funds were used.

⁵ BOT elected to not move forward with the certain infrastructure development (nor fund) on portions of the southern half of the main campus during the construction of the initial campus facilities, opting to defer development until a later date. This election reduced the budget and funding necessary to open the campus from \$40.0 M down to \$33.1 M. This is the budget which was ultimately funded.

⁶ Contingency budget funding for main campus construction was deemed in 2014 to not be necessary, and therefore never became a funded budget line item. No construction funds were used.

⁷ Pursuant to a 2010 agreement with Polk County, Florida Poly received reimbursement during 2014 of \$10 M for the University's construction of the campus main road. The reimbursement came after the full required funding and construction of the main road, hence the \$10 M was labeled "balance" for this final report. These funds are available to the University for other campus projects.

The University received in March 2015 approval from the Board of Governors for a 529 bed housing project to be developed on campus using a Public-Private Partnership. This second residence hall project is also being developed by Vestcor, the winner of the public solicitation process. Construction began in June of 2015 and the facility is scheduled to be open for the Fall of 2016 term.



AGENDA
Strategic Planning Committee
Main Auditorium
Harry Sudakoff Conference Center
New College of Florida
5845 General Dougher Place
Sarasota, Florida 34243
September 21, 2016
1:45 p.m. – 5:00 p.m.
or
Upon Adjournment of Previous Meetings

Chair: Mr. Dean Colson; Vice Chair: Ms. Patricia Frost
Members: Beard, Jordan, Lautenbach, Levine, Morton, Tyson

- 1. Call to Order and Opening Remarks** **Governor Dean Colson**
- 2. Minutes of Committee Meeting** **Governor Colson**
Minutes, June 21-22, 2016
- 3. Florida Polytechnic University Revised** **University Representatives**
2016-2017 Work Plan
- 4. System Summary of University 2016 Work Plans** **Dr. Jan Ignash**
and Alignment with 2025 Strategic Plan Goals *Vice Chancellor*
Academic and Student Affairs
- 5. University of Central Florida Five-year Plan** **University Representatives**
for Emerging State Research University
Preeminence

- | | |
|---|-----------------------------------|
| 6. Florida State University Plan for
Top Twenty-five Ranking of Public Universities | University Representatives |
| 7. University of Florida Plan for
Top Ten Ranking of Public Universities | University Representatives |
| 8. Reclassification of UF Health – Jacksonville from
a Special Purpose Center to a Type III Campus | University Representatives |
| 9. Concluding Remarks and Adjournment | Governor Colson |

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Strategic Planning Committee
September 21, 2016**

SUBJECT: Minutes of Committee Meeting held June 21-22, 2016

PROPOSED COMMITTEE ACTION

Consider approval of the minutes of the Strategic Planning Committee's June 21-22, 2016 meeting

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Strategic Planning Committee will consider for approval the minutes of its June 21-22, 2016 meeting at the University of Central Florida.

Supporting Documentation Included: Minutes: June 21-22, 2016

Facilitators/Presenters: Governor Dean Colson

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
STRATEGIC PLANNING COMMITTEE
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FLORIDA
June 21-22, 2016

*Video or audio archives of the meetings of the Board of Governors
and its Committees are accessible at <http://www.flbog.edu/>.*

1. Call to Order on June 21, 2016

Governor Colson convened the meeting of the Strategic Planning Committee at 12:45 p.m. on June 21, 2016 with the following members present: Governors Beard, Jordan, Lautenbach, Levine, Morton, and Robinson. A quorum was established. Other Board members present were Governors Kuntz, Doyle, Hebert, Huizenga, Link, Tripp (by telephone), and Valverde.

2. Approval of Minutes from Committee Meeting March 17, 2016

Governor Lautenbach moved that the Committee approve the minutes of the meeting held on March 17, 2016. Governor Levine seconded the motion, and the motion carried unanimously.

Incoming Senate President Joe Negron then visited the Board, saying that he wished to lay out his vision for postsecondary education. He said that higher education would be his number one priority while he served as Senate President. He said that it was his goal that Florida's universities become national destination universities and he said that a good start had been made toward that goal. He noted that the roles of the Board of Governors and the Florida Legislature were different but complementary.

Senator Negron said that his four-day tour of SUS universities had been fruitful. He said that he learned, first and foremost, that centers of excellence existed at all SUS institutions. He referenced FSU's criminology program, UF's engineering programs, FAMU's programs in business and in pharmacy, FAU's accounting program, and FIU's law school. Secondly, he said he learned that financial insecurity was a major issue on SUS campuses. Relative to that, he said that he had no interest whatsoever in six-year graduation rates. Rather, his focus was on four-year rates, noting that exceptions needed to be allowed. Senator Negron said that one of his goals was to provide for summer use of Bright Futures. He said that students attending school part-time and

working many hours a week should not have to worry about financial insecurity. He said, further, that he was open to the idea of block tuition and that he wanted to see more students taking fifteen credits rather than twelve. He noted that he believed that Florida's two-plus-two model was working well and hoped that it could become even more seamless. He noted that he was glad to see that this was one of the Board's priorities. Senator Negrón made reference to UCF's DirectConnect program as an excellent model.

Senator Negrón said that performance-based funding was working and that it was achieving dramatic results. He said that performance-based funding would be one of his priorities.

Senator Negrón noted that research was a hallmark of destination universities. He said that he thought that an SUS goal should be to see an increase in external and federal funding. As economic engines, he said that it was important that SUS universities have a seat at the table.

Chair Kuntz noted how pleased he was that the Board's priorities complemented the priorities of the Legislature. Governor Colson, as Chair of the Strategic Planning Committee, said that over the course of two days his Committee would be going over University Work Plans. Governor Huizenga said that he would be concerned if the Legislature mandated that specific courses had to be inserted into the curriculum. Senator Negrón said that he believed that there were ample checks and balances between the Board, university boards, presidents, and provosts.

Governor Levine asked whether Senator Negrón had ideas as to how to make investments in university research. Senator Negrón said that it was his pledge to provide \$1B over a two-year period. He said that the Legislature wasn't in a position to instruct the universities as to how and why to conduct specific research.

Governor Kuntz brought up the issue of facilities. He said that maintenance of existing facilities was a priority rather than the construction of new facilities, and that many SUS facilities were not configured for the future. Senator Negrón agreed and said that a priority would be on building and maintaining existing buildings. He said that a strategy might be to set aside a portion of facilities dollars to ensure maintenance of that facility.

Relative to online learning, Senator Negrón cautioned that the best qualities and practices of traditional delivery models should not be lost. He said that learning was a communal activity and noted that online courses and programs should be of the same quality as traditional courses.

Governor Morton brought up the issue of Florida's need for approximately 3,000 more medical residencies. Senator Negrón agreed. He said that he applauded the fact that SUS medical school graduates were leaving Florida to do their residencies at prestigious institutions but that Florida must be equally competitive in order to attract graduates from outside of Florida.

UWF President Bense queried Senator Negrón as to the role of the smaller regional campuses. Senator Negrón said it should not be left to the Legislature to dictate a role but that he did believe that regional universities needed to be the best that they could be. He said that the first question students should ask themselves was what they wanted to study rather than where they wanted to study. He said that there was room for all institutions to do well.

In closing, Senator Negrón pledged that he was committed to higher education in Florida, that great strides had been made, and that, under his legislative leadership, he would work with the State University System to get to the next level.

3. Baccalaureate Follow-up Study

The Committee was presented with the findings of the Follow-up Study of the Baccalaureate Class of 2013-14. Governor Colson said that the Board has asked for this study to respond to questions about how well SUS graduates do in the world of work and in going on to graduate school. He said that Board staff has been working for more than two years to develop a follow-up study that is accurate and focused and can be repeated reliably year after year so that the Board can continue to track how well State University System graduates do.

Governor Colson said that four major questions guided the Baccalaureate Follow-up Study. (1) Do graduates get jobs? (2) Do graduates continue their education? (3) Do graduates work while they are pursuing further education? (4) What are their salaries? Chair Colson then recognized Associate Vice Chancellor Christy England-Siegerdt to make a presentation.

Dr. England-Siegerdt said that graduate salary data was now available in 39 states, Washington D.C., and Puerto Rico as well as in Florida. She indicated, further, that next year data from two additional states will be available.

Dr. England-Siegerdt shared several key results from the report. She said, first, that 89% of the more than 58,700 graduates in the Class of 2013-14 had been tracked. She indicated that of the 89%, four out of five were employed, and that 66% of those were employed full-time. Dr. England-Siegerdt said that, of the graduates who had been tracked, 27% were continuing with further education, while only 20% of those tracked were both working and continuing education. With regard to salaries, Dr. England-

Siegerdt indicated that the annual median wage of SUS graduates working full-time one year after graduation was \$36,300.

Dr. England-Siegerdt said that staff had been able to conduct a more granular analysis with respect to results by broad curriculum major areas, as well as by race/ethnicity and gender. She indicated that, as examples, (1) at \$56,300, engineering majors earned the highest average salaries, (2) at \$27,100, graduates in the field of biological sciences earned among the lowest average salaries, (3) the average median wages for females was \$4,400 less than for male graduates, (4) the annual median wage for Black/ African American graduates was \$3,100 less than for all graduates, and (5) Hispanic graduates' annual median wage was \$600 higher than all graduates.

Dr. England-Siegerdt said that next steps were to repeat the one-year-out study of SUS graduates on a regular basis, and to track SUS students five and nine years after baccalaureate graduation to better track the employment, salaries, and additional education of graduates over time. She said that the five- and nine-year-out studies might be completed as early as next March, just prior to the legislative session.

Chair Colson thanked Dr. England-Siegerdt and indicated that this report would be important in demonstrating to the Florida Legislature, the Governor, students, parents, and other stakeholders the value of an SUS baccalaureate degree.

4. Consideration of 2016 University Work Plans

Mr. Colson indicated that the Committee would next take up 2016 University Work Plans and invited the University of Central Florida to make its presentation.

A. University of Central Florida

After the University of Central Florida presented its Work Plan, members questioned the University. Key issues and specific discussion included increasing the number of National Academy Members, projected areas for excellence, the costs associated with bringing those programs to excellence, high student-to-faculty ratio, the increase in full-time students, enrollment decreases at regional campuses, the possibility of shutting down regional campuses, the efficacy of exploring genetics as a research and degree area, the significant reduction in the student default rate, the holding constant of tuition, and ways to improve four-year graduation rates. After the discussion, Governor Levine moved that the committee recommend that the full Board approve the UCF 2016 Work Plan, excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board

regulations and that the Board accept the out-year portions of the Work Plan. Governor Lautenbach seconded the motion, and the motion carried unanimously.

B. Florida Atlantic University

After Florida Atlantic University presented its Work Plan, members questioned the University. Key issues and specific discussion included the appropriateness of the University's transfer-to-FTIC ratio, plans for the Broward Campus, improvement on six-year graduation rates, the University's minimum GPA for admission, the status of agreements with the Max Planck Institute and Scripps, and the need for a robust counseling system to improve four-year graduation rates. After the discussion, Governor Levine moved that the Committee recommend that the full Board approve the FAU 2016 Work Plan excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board regulations, and that the Board accept the out-year portions of the Work Plan. Governor Lautenbach seconded the motion, and the motion carried unanimously.

C. Florida State University

After Florida State University presented its Work Plan, members questioned the University. Specific discussion included FSU's CARE Program, including its number of students; the extent to which participants are low income, minority, and Pell recipients; the Program's 99% retention rate; the Program's increasing number of applications, and the extent to which participants are college-ready. Board members also asked about FSU's provision of undergraduate research opportunities; FSU's number of students with major fellowships such as Frost Fellowships; the increase in the average number of credit hours taken associated with FSU's 15 hour per semester program; changes to FSU's financial aid program, such as providing aid dollars prior to the beginning of the semester; FSU's low student default rate; licensure pass rates; lack of movement in Top 25 metrics; the need for a plan and associated costs to achieve Top 25 status; the success of the Mag Lab; the need for expansion of research facilities; the relatively low costs associated with increasing FSU traditional areas of strength, such as in the liberal and performing arts; the efficacy of hiring teams of preeminent faculty and the associated expenses; and the extent to which Top 25 status correlates to the path to AAU membership or vice versa. After the discussion, Governor Lautenbach moved that the Committee recommend that the full Board approve the FSU 2016 Work Plan, excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board regulations and that the Board accept the out-year portions of the Work Plan. Governor Beard seconded the motion, and the motion carried unanimously. In addition, Governor Beard moved to approve FSU's annual plan with regard to the Preeminent State Research University Program and allocate \$10M in preeminence funding. The motion was seconded by Governor Robinson, and the motion carried unanimously.

D. Florida Gulf Coast University

After Florida Gulf Coast University presented its Work Plan, members questioned the University. Specific discussion included the inappropriateness of plans for programs in pharmacy and in dentistry, the extent to which the University would expand its nursing program, the necessity of looking at performance metrics such as graduation rates rather than panning for programs in pharmacy and dentistry, the need for the University to strengthen programs that it already has, and, in the case of Governor Kuntz, disappointment in the University's Work Plan. After the discussion, Governor Lautenbach moved that the Committee recommend that the full Board approve the FGCU 2016 Work Plan, excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board regulations, and that the Board accept the out-year portions of the Work Plan. Governor Link seconded the motion, and the motion carried unanimously.

E. University of North Florida

After the University of North Florida presented its Work Plan, the University was commended for the articulation of its Mission, Vision, Strategies, Strengths and Opportunities, Key Initiatives and Investments; and for the careful consideration of its goals. Governor Robinson then moved that the Committee recommend that the full Board approve the UNF 2016 Work Plan, excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board regulations, and that the Board accept the out-year portions of the Work Plan. Governor Beard seconded the motion, and the motion carried unanimously.

F. University of South Florida

After the University of South Florida presented its Work Plan, members questioned the University. Specific discussion included the excellent progress that the University has made on virtually all performance metrics, the positive transformation that the University has made from a commuter school to an on-campus institution, and the status of the University's capital campaign. After the discussion, Governor Lautenbach moved that the Committee recommend that the full Board approve the USF 2016 Work Plan, excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board regulations, and that the Board accept the out-year portions of the Work Plan. Governor Morton seconded the motion, and the motion carried unanimously. In addition, Governor Beard moved to approve USF's five-year plan with regard to the Emerging Preeminent State Research University Program and allocate \$5M in preeminence funding. The motion was seconded by Governor Lautenbach, and the motion carried unanimously.

5. Adjournment

The meeting was adjourned at 5:45 p.m. on June 21, 2016.

6. Call to Order on June 22, 2016

Chair Colson reconvened the meeting of the Strategic Planning Committee at 8:36 a.m. on June 22, 2016 with Governors Beard, Jordan (entered at 8:43), Lautenbach (entered at 8:47), Levine, Morton, and Robinson. A quorum was established. Other Board members present were Governors Kuntz, Doyle (entered at 9:06), Hebert, Link, Tripp (by telephone), and Valverde. Governor Colson invited the University of West Florida to present its 2016 Work Plan.

7. Consideration of 2016 University Work Plans

A. University of West Florida

After the University of West Florida presented its Work Plan, members questioned the University. Specific discussion included the University's intention of implementing a Bachelor's of General Studies, the need for more student counseling, intentions of expanding clinical experiences in the Master's of Social Work beyond Pensacola, and whether the University has had discussions with regard to implementing a degree in health informatics. After the discussion, Governor Lautenbach moved that the Committee recommend that the full Board approve the UWF 2016 Work Plan, excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board regulations, and that the Board accept the out-year portions of the Work Plan. Governor Beard seconded the motion, and the motion carried unanimously.

B. Florida International University

After Florida International University presented its Work Plan, members questioned the University. Specific discussion included whether the University's Board of Trustees intends to consider progress on performance metrics as it reviews the President's performance, the University's ambitious intentions for growth, existing partnerships with Miami Dade College, the need for caution relative to intended growth due to costs associated with facilities expansion, the University's drop in graduation rates, possibilities for certification programs rather than degree programs, and aspirations for preeminence and the programs that will make the University preeminent. Board members stated that they were not approving the University's intention to explore new degrees in either pharmacy or veterinary medicine. Governor Lautenbach moved that

the Committee recommend that the full Board approve the FIU 2016 Work Plan, excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board regulations, and that the Board accept the out-year portions of the Work Plan. Governor Valverde seconded the motion, and the motion carried unanimously.

C. New College of Florida

After New College of Florida presented its Work Plan, members questioned the College. Specific discussions included the institution's low four-year graduation rate, low salaries for program graduates, the high rate of the institution's graduates who are in graduate school after five years, funding that would be necessary for the institution to increase its enrollment to 1,200 students, and the inability of performance-based funding to capture certain of the institution's excellent performance. After the discussion, Governor Lautenbach moved that the Committee recommend that the full Board approve the NCF 2016 Work Plan excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board regulations, and that the Board accept the out-year portions of the Work Plan. Governor Valverde seconded the motion, and the motion carried unanimously.

D. University of Florida

After the University of Florida presented its Work Plan, members questioned the University. Specific discussion included why the University's Top Ten performance metrics have not substantially improved, whether the Top Ten ranking is discussed at the University's Board of Trustees meetings, a five- or ten-year plan that would include addressing the funding necessary to achieve Top Ten ranking and the length of time it would take, articulation of the return on investment associated with Top Ten ranking, and the University's ranking relative to the National Institutes of Health. After the discussion, Governor Lautenbach moved that the Committee recommend that the full Board approve the UF 2016 Work Plan excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board regulations, and that the Board accept the out-year portions of the Work Plan. Governor Levine seconded the motion, and the motion carried unanimously. In addition, Governor Lautenbach moved to approve UF's annual plan with regard to the Preeminent State Research University Program and allocate \$10M in preeminence funding. The motion was seconded by Governor Levine, and the motion carried unanimously.

E. Florida Agricultural and Mechanical University

After Florida Agricultural and Mechanical University presented its Work Plan, members questioned the University. Specific discussion included the extent to which

the Bachelor's in Interdisciplinary Studies has an effect on performance-based funding metrics, the improvement exhibited from last year's Work Plan, the University's high cost-to-degree, caution relative to admitting students to academic programs in which they may not succeed, and improving graduation rates for athletes. After the discussion, Governor Lautenbach moved that the Committee recommend that the full Board approve the FAMU 2016 Work Plan excluding those sections of the Work Plan that require any additional regulatory or procedural review or approval pursuant to law or Board regulations, and that the Board accept the out-year portions of the Work Plan. Governor Levine seconded the motion, and the motion carried unanimously.

8. Next Steps and Closing Remarks

Having no further business, the meeting was adjourned on June 22, 2016 at 12:30 p.m.

Dean Colson, Chair

R.E. LeMon, Associate Vice Chancellor

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Strategic Planning Committee
September 21, 2016**

SUBJECT: Revised Florida Polytechnic University 2016-2017 University Work Plan

PROPOSED COMMITTEE ACTION

Consider for approval the revised Florida Polytechnic University 2016-2017 Work Plan

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation 2.002

BACKGROUND INFORMATION

Board of Governors Regulation 2.002 requires the development of University Work Plans. Work Plans, in conjunction with the annual Accountability Report, are designed to inform strategic planning, budgeting, and other policy decisions for the State University System.

University Work Plans are intended to reflect the institution's distinctive mission and focus on core strengths within the context of State University System goals and regional and statewide needs. Work Plans outline top priorities, strategic direction, and specific actions and financial plans for achieving those priorities, as well as performance expectations and outcomes on institutional- and System-wide goals.

At the June meeting of the Strategic Planning Committee, consideration of Florida Polytechnic University's 2016 Work Plan was deferred until the Committee's September meeting, because the Work Plan provided at that time did not include metrics on specific goals per the Board's direction. The University has submitted a revised Work Plan and will make a brief presentation on its revised 2016 Work Plan, after which Committee members will have the opportunity to engage in discussion and questioning. A recommendation from the Committee will be provided to the full Board for consideration.

Supporting Documentation Included:	Florida Polytechnic University 2016 Revised Work Plan
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Facilitators / Presenters:	University Representatives
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FLORIDA POLYTECHNIC UNIVERSITY 2016 Work Plan



Florida Polytechnic University
University Work Plan Presentation
for Board of Governors June 2016 Meeting

BOT APPROVED: SEPTEMBER 7, 2016

STATE UNIVERSITY SYSTEM *of* FLORIDA | **Board of Governors**



INTRODUCTION

The State University System of Florida has developed three tools that aid in guiding the System's future.

- 1) The Board of Governors' 2025 System Strategic Plan is driven by goals and associated metrics that stake out where the System is headed;*
- 2) The Board's Annual Accountability Report provides yearly tracking for how the System is progressing toward its goals;*
- 3) Institutional Work Plans connect the two and create an opportunity for greater dialogue relative to how each institution contributes to the System's overall vision.*

These three documents assist the Board with strategic planning and with setting short-, mid- and long-term goals. The Board will use these documents to help advocate for all System institutions and foster even greater coordination with the institutions and their Boards of Trustees.

Longer-term goals will inform future agendas of the Board's Strategic Planning Committee. The Board's acceptance of a work plan does not constitute approval of any particular component, nor does it supersede any necessary approval processes that may be required for each component.



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1. STRATEGY

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- a. Teaching & Learning
- b. Institution Specific Goals

4. ENROLLMENT PLANNING

5. ACADEMIC PROGRAM COORDINATION

6. STUDENT DEBT & NET COST

7. UNIVERSITY REVENUES

8. TUITION, FEES AND HOUSING PROJECTIONS

9. DEFINITIONS



MISSION STATEMENT (What is your purpose?)

The mission of Florida Polytechnic University is to prepare 21st century learners in advanced fields of science, technology, engineering, and mathematics (STEM) to become innovative problem-solvers and high-tech professionals through interdisciplinary teaching, leading-edge research, and collaborative local, regional and global partnerships.

VISION STATEMENT (What do you aspire to?)

Florida Polytechnic University will be a world-renowned “University of Innovation” for producing a dynamic pool of info-tech talent with real-world solutions and the capacity to lead global high-tech industries through customized undergraduate and graduate STEM-enriched academic curriculum, operating space and facilities, entrepreneurial research and interactive business industry partnerships.

STATEMENT OF STRATEGY (How will you get there?)

Given your mission, vision, strengths and available resources, provide a brief description of your market and your strategy for addressing and leading it.

The University’s market comprises (1) accomplished faculty who have experience working with industry as well as stellar teaching skills, (2) high-achieving, STEM-focused college seekers and (3) high tech-firms in growing STEM industries. Florida Poly’s faculty market includes distinguished researchers, entrepreneurs and academics from higher education and industry. The student market includes high school, transfer and graduate students who meet or exceed Florida Polytechnic University’s rigid admissions requirements. They must also demonstrate an entrepreneurial spirit and aptitude in STEM fields through their academic and extracurricular achievements. Florida Poly’s market for industry partnerships consists of firms that specialize in or rely on advanced technology to perform their core business functions.

To achieve its Mission and Vision, Florida Polytechnic University must be a top-tier institution that conducts cutting edge research and educates its students so that they can seamlessly enter the high-tech workforce. Building this university requires that we hire distinguished STEM faculty, enroll students who are among the best and brightest and form close relationships with high-tech industry partners. The University will do this by focusing on the following key objectives:

- Deliver a project based, core STEM education in fast-growing high-technology areas
- Prepare students to work in and start new high tech firms that create high paying jobs for Florida’s economy
- Build research capacity that establishes the university as a leader in cutting edge, problem-driven applied research
- Establish institutes and centers that conduct research on complex problems facing our state and nation
- Form industry and community partnerships for mutual benefit
- Continue to enhance the university’s Academic Support Services
- Operate in an efficient and cost-effective manner.



STRENGTHS AND OPPORTUNITIES *(within 3 years)*

What are your core capabilities, opportunities and challenges for improvement?

Florida Polytechnic University's greatest strengths are:

- Its dedicated focus on the core STEM subjects of Technology and Engineering.
- Its strategic location in Lakeland which provides close proximity (within 40 miles) of more than 11,000 high-tech firms with our commitment to build jobs for Florida.
- Its agility, which allows for a culture of innovation and responsiveness to the needs of industry.
- Strong academic experience in both industry and higher education with a start-up culture nimble enough to test and evaluate new strategies.

KEY INITIATIVES & INVESTMENTS *(within 3 years)*

Describe your top three key initiatives for the next three years that will drive improvement in Academic Quality, Operational Efficiency, and Return on Investment.

Retention and Graduation Rate - While it is too soon to calculate our graduation rate, our goal is to graduate Florida Poly students at a significantly higher rate than most engineering programs in Florida and the nation. We can and have taken significant steps to ensure that our retention rate is among the best.

Florida Poly has implemented and is continuing to develop innovative ways of tracking student progress and addressing their academic needs. Programs such as embedded classroom tutors and modularization of math intensive courses are examples. Results have been promising and in our limited history, our retention rate is moving in the proper direction.

We implemented a success coach to support students from the time they commit to attend the University through career placement. It expands upon a traditional academic advisor role and extends into student success initiatives (including tutoring and workshops) and career development services.

Florida Poly modularized classes for Calculus 1 by splitting the course content into discreet modules. Each unit focuses on a specific skill and concept. Students who progress through a lower-level unit are prepared to move on to a higher-level unit. Modularized calculus courses help the faculty and support services identify struggling students and assist them while they can still succeed in the course. Poly Primers was developed to assist students with key math concepts that are essential for success in Calculus. Poly Primers are a Learning Management System-based learning opportunity where students take a benchmark test. After taking the test, lessons are recommended for the student. Poly professors and graduate students lead videos that walk students through essential math concepts and students are given practice problems. The Poly Primers program is supported by an open-source (free) textbook. Math Boot Camp is a program that reviews math concepts from geometry, pre-calculus and trigonometry. This one day camp aims to connect in-coming students with Poly academic support services while encouraging participation in Poly Primers.

All of these programs work in coordination with Phoenix Balance. This program encourages and introduces a culture of wellness by providing evidence-based resources, tools, programs, individualized and group activities to reinforce practicing healthy choices to create a more successful and balanced student lifestyle.



Research Infrastructure to Support Economic Development - With support from the Board of Governors, Legislature and Governor Florida Poly will continue to build the research infrastructure at the university. Research is one of the two key areas for addressing our mission to catalyze economic development in Florida. There are several components necessary for addressing this initiative. We must continue the establishment and growth of laboratories along with procuring the best equipment for our faculty and students working in those labs. We have been successful at attracting industry partners and are now working to deepen those relationships. Specifically, we must accelerate the number and complexity of research opportunities provided by our industry partners to our students and faculty. Additionally we are developing the Institute for Technology Entrepreneurship to help students and faculty commercialize their research products. The number one priority in this initiative is to construct the Applied Research Center for which we have obtained \$10 million. Even though we have only completed two years of operating with students, the university has attracted research dollars, including \$5 million to establish a health informatics program. Such early success has dictated that we convert some of our classroom space to research space temporarily. However, this is a very short term solution as the converted classroom space will be needed to accommodate the growing student enrollment.

Faculty Recruitment - The success of efforts to provide students with the best quality classroom education and practical learning through research and laboratory study is dependent on having high quality faculty. This is especially true for Florida Poly. In addition, the faculty with the credentials to implement our project based curriculum and focus on applied research with industry partners are in great demand. We are continually seeking ways to recruit and retain the best faculty using the latest recruitment strategies. An additional challenge in recruiting faculty is that Florida Poly seeks those who have an entrepreneurial mindset and are willing to explore innovative teaching and research methods.



PERFORMANCE BASED FUNDING METRICS

	2015 ACTUAL	2016 ACTUAL	2017 GOALS	2018 GOALS	2019 GOALS	2020 GOALS
Percent of Bachelor's Graduates Enrolled or Employed (\$25,000+) <i>within the U.S. One Year After Graduation</i>	75%	75%
	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18
Median Wages of Bachelor's Graduates Employed Full-time <i>in Florida One-Year After Graduation</i>	\$50,000	\$50,000
	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18
Cost per Bachelor's Degree <i>Costs to the University</i>	\$75,000	\$75,000
	2010-14	2011-15	2012-16	2013-17	2014-18	2015-19
FTIC 6 year Graduation Rate <i>for full- and part-time students</i>
	2008-14	2009-15	2010-16	2011-17	2012-18	2013-19
Academic Progress Rate <i>FTIC 2 year Retention Rate with GPA>2</i>	.	72.9%	74%	75%	78%	80%
	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19
Bachelor's Degrees Awarded Within Programs of Strategic Emphasis	.	.	.	100%	100%	100%
	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19
University Access Rate <i>Percent of Fall Undergraduates with a Pell grant</i>	27.5%	30%
	Fall 2013	Fall 2014	Fall 2015	Fall 2016	Fall 2017	Fall 2018
Graduate Degrees Awarded Within Programs of Strategic Emphasis	.	.	.	100%	100%	100%
	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19

Note: Metrics are defined in appendix. For more information about the PBF model visit: http://www.flbog.edu/about/budget/performance_funding.php.

Note: Florida Polytechnic University is not yet under Performance Metrics and therefore goals are pending or preliminary.



KEY PERFORMANCE INDICATORS

Teaching & Learning Metrics (from 2025 System Strategic Plan that are not included in PBF or Preeminence)

	2015 ACTUAL	2016 ACTUAL	2017 GOALS	2018 GOALS	2019 GOALS	2020 GOALS
Freshmen in Top 10% of Graduating High School Class	. Fall 2014	. Fall 2015	35% Fall 2016	40% Fall 2017	40% Fall 2018	40% Fall 2019
Professional Licensure & Certification Exam Pass Rates Above Benchmarks	. 2013-14	. 2014-15	. 2015-16	. 2016-17	. 2017-18	. 2018-19
Time to Degree <i>Mean Years for FTICs in 120hr programs</i>	. 2013-14	. 2014-15	. 2015-16	. 2016-17	5.5 2017-18	5.5 2018-19
Four-Year FTIC Graduation Rates <i>full- and part-time students</i>	. 2010-14	. 2011-15	. 2012-16	. 2013-17	60% 2014-18	65% 2015-19
Bachelor's Degrees Awarded <i>First Majors Only</i>	. 2013-14	. 2014-15	. 2015-16	11 2016-17	223 2017-18	271 2018-19
Graduate Degrees Awarded <i>First Majors Only</i>	. 2013-14	. 2014-15	. 2015-16	23 2016-17	11 2017-18	16 2018-19
Bachelor's Degrees Awarded to African-American & Hispanic Students	. 2013-14	. 2014-15	. 2015-16	23% 2016-17	24% 2017-18	25% 2018-19
Adult (Aged 25+) Undergraduates Enrolled	. Fall 2013	8% Fall 2014	8% Fall 2015	9% Fall 2016	10% Fall 2017	10% Fall 2018
Percent of Undergraduate FTE in Online Courses	. 2013-14	0% 2014-15	0% 2015-16	0% 2016-17	0% 2017-18	7% 2018-19
Percent of Bachelor's Degrees in STEM & Health	. 2013-14	. 2014-15	. 2015-16	100% 2016-17	100% 2017-18	100% 2018-19
Percent of Graduate Degrees in STEM & Health	. 2013-14	. 2014-15	. 2015-16	100% 2016-17	100% 2017-18	100% 2018-19
IMPROVING METRICS		n/a	n/a	n/a	n/a	n/a

Note: Florida Polytechnic University is not yet under Performance Metrics and therefore goals are pending or preliminary.



KEY PERFORMANCE INDICATORS (continued)

Institution Specific Goals

To further distinguish the university's distinctive mission, the university should provide additional narrative and five metric goals that are based on the university's own strategic plan.

Narrative Goals.

To achieve its Mission and Vision, Florida Polytechnic University has goals that reflect educational quality and workforce preparation so that our students can seamlessly enter the high-tech workforce.

	2015 ACTUAL	2016 ACTUAL	2017 GOALS	2018 GOALS	2019 GOALS	2020 GOALS
% of Students Beginning a Startup Company or Working in a Startup	.	.	10% 2014	15% 2015	18% 2016	20% 2017
# of Industry Partnerships Providing Employment & Research Opportunities for Students and/or Faculty	.	.	23 2016	25 2017	30 2018	35 2019
% of Graduates Who Completed an Internship Programs	.	.	60% 2014	65% 2015	73% 2016	80% 2017
FTIC Targeted Academic Quality Relative to Selectivity (Avg. of Normalized GPA, SAT, ACT)	84% 2014	84% 2015	85% 2016	86% 2017	86% 2018	86% 2019
Student to Faculty Ratio	18:1 2014	20:1 2015	20:1 2016	20:1 2017	20:1 2018	20:1 2019



ENROLLMENT PLANNING

Planned Headcount Enrollment by Student Type *(for all students at all campuses)*

	FALL 2013 ACTUAL	FALL 2014 ACTUAL	FALL 2015 ACTUAL	FALL 2016 PLAN	FALL 2017 PLAN	FALL 2018 PLAN	FALL 2019 PLAN
UNDERGRADUATE							
FTIC		396	699	969	1,174	1,269	1,288
AA Transfers ¹		88	65	218	264	260	264
Other ²		38	123	86	104	102	103
Subtotal		522	887	1,273	1,542	1,631	1,655
GRADUATE³							
Master's		24	37	61	73	86	87
Research Doctoral		0	0	0	0	0	0
Professional Doctoral		0	0	0	0	0	0
Subtotal		24	37	61	73	86	0
UNCLASSIFIED							
H.S. Dual Enrolled		1	0	1	1	5	5
Other ⁴		0	0	0	0	0	0
Subtotal		1	0	1	20	0	0
TOTAL		547	924	1,335	1,616	1,722	1,748

Notes: This table reports the number of students enrolled at the university by student type categories. The determination for undergraduate, graduate and unclassified is based on the institutional class level values. Unclassified refers to a student who has not yet been formally admitted into a degree program but is enrolled. The student type for undergraduates is based on the Type of Student at Time of Most Recent Admission. The student type for graduates is based on the degree that is sought and the student CIP code. (1) Includes AA Transfers from the Florida College System. (2) Undergraduate – Other includes Post-Baccalaureates who are seeking a degree. (3) Includes Medical students. (4) Unclassified – Other includes Post-Baccalaureates who are not seeking a degree.

Planned FTE Enrollment by Method of Instruction *(for all students at all campuses)*

	2012-13 ACTUAL	2013-14 ACTUAL	2014-15 ACTUAL	2015-16 PLAN	2016-17 PLAN	2017-18 PLAN	2018-19 PLAN
UNDERGRADUATE							
Distance (80-100%)	.	.	0	0	0	0	87
Hybrid (50-79%)	.	.	0	0	0	0	0
Traditional (0-50%)	.	.	498	861	1,207	1,220	1,159
Subtotal	.	.	498	861	1,207	1,220	1,246
GRADUATE							
Distance (80-100%)	.	.	0	0	0	0	0
Hybrid (50-79%)	.	.	0	0	0	0	0
Traditional (0-50%)	.	.	19	23	37	51	52
Subtotal	.	.	19	23	37	51	52

Note: Full-time Equivalent (FTE) student is a measure of instructional activity that is based on the number of credit hours that students enroll. FTE is based on the standard national definition, which divides undergraduate credit hours by 30 and graduate credit hours by 24. **Distance Learning** is a course in which at least 80 percent of the direct instruction of the course is delivered using some form of technology when the student and instructor are separated by time or space, or both (per 1009.24(17), F.S.). **Hybrid** is a course where 50% to 79% of the instruction is delivered using some form of technology, when the student and instructor are separated by time or space, or both (per SUDS data element 2052). **Traditional** refers to primarily face to face instruction utilizing some form of technology for delivery of supplemental course materials for no more than 49% of instruction (per SUDS data element 2052).

**ENROLLMENT PLANNING (continued)****Planned FTE Enrollment Plan by Student Level**

	2014-15 ACTUAL	2015-16 ESTIMATE	2016-17 PLAN	2017-18 PLAN	2018-19 PLAN	2019-20 PLAN	2020-21 PLAN	2021-22 PLAN	Planned Annual Growth Rate*
STATE FUNDABLE									
RESIDENT									
LOWER	448	779	946	887	906	912	914	927	-0.4%
UPPER	24	52	202	249	254	228	229	233	2.9%
GRAD I	15	19	35	47	48	60	60	61	11.5%
GRAD II	0	0	0	0	0	0	0	0	0.0%
TOTAL	487	850	1,183	1,183	1,208	1,200	1,203	1,180	0.6%
NON RESIDENT									
LOWER	24	28	49	66	68	90	90	91	13.2%
UPPER	3	2	10	18	19	22	22	22	17.1%
GRAD I	4	4	2	4	4	6	6	6	26.4%
GRAD II	0	0	0	0	0	0	0	0	0.0%
TOTAL	31	33	61	88	91	118	156	160	14.4%
TOTAL									
LOWER	472	807	995	953	974	1,002	1,004	1,018	0.5%
UPPER	27	54	212	267	283	250	251	255	3.8%
GRAD I	19	23	37	51	52	66	66	67	12.4%
GRAD II	0	0	0	0	0	0	0	0	0.0%
TOTAL	518	883	1,244	1,271	1,298	1,318	1,321	1,340	1.8%
NOT STATE FUNDABLE									
LOWER	1	1	1	1	1	1	1	2	5.6%
UPPER	0	0	0	0	1	1	1	1	18.1%
GRAD I	0	1	1	1	1	1	1	1	0.0%
GRAD II	0	0	0	0	0	0	0	0	0.0%
TOTAL	1	2	2	2	3	3	3	4	5.2%

Note: Full-time Equivalent (FTE) student is a measure of instructional activity that is based on the number of credit hours that students enroll. FTE is based on the standard national definition, which divides undergraduate credit hours by 30 and graduate credit hours by 24. Note*: The Planned Annual Growth Rate is a compounded rate based on the following formula: (2021-22 value divided by the 2016-17 value) to the (1/5) exponent minus one.



ACADEMIC PROGRAM COORDINATION

New Programs For Consideration by University in AY 2016-17

The S.U.S. Council of Academic Vice Presidents (CAVP) Academic Program Coordination Work Group will review these programs as part of their on-going coordination efforts. The programs listed below are based on the 2015 Work Plan list for programs under consideration for 2016-17.

PROGRAM TITLES	CIP CODE 6-digit	AREA OF STRATEGIC EMPHASIS	OTHER UNIVERSITIES WITH SAME PROGRAM	OFFERED VIA DISTANCE LEARNING IN SYSTEM	PROJECTED ENROLLMENT <i>in 5th year</i>	PROPOSED DATE OF SUBMISSION TO UBOT
BACHELOR'S PROGRAMS						

MASTER'S, SPECIALIST AND OTHER ADVANCED MASTER'S PROGRAMS

DOCTORAL PROGRAMS

New Programs For Consideration by University in 2017-19

These programs will be used in the 2017 Work Plan list for programs under consideration for 2017-18.

PROGRAM TITLES	CIP CODE 6-digit	AREA OF STRATEGIC EMPHASIS	OTHER UNIVERSITIES WITH SAME PROGRAM	OFFERED VIA DISTANCE LEARNING IN SYSTEM	PROJECTED ENROLLMENT <i>in 5th year</i>	PROPOSED DATE OF SUBMISSION TO UBOT
BACHELOR'S PROGRAMS						

MASTER'S, SPECIALIST AND OTHER ADVANCED MASTER'S PROGRAMS

DOCTORAL PROGRAMS

Florida Polytechnic University is currently investigating STEM programs relevant to successful job placement and economic development. As the University nears accreditation, these programs will be processed for approval.



NET COST

Cost of Attendance *(for Full-Time Undergraduate Florida Residents in the Fall and Spring of 2015-16)*

	TUITION & FEES	BOOKS & SUPPLIES	ROOM & BOARD	TRANSPORTATION	OTHER EXPENSES	TOTAL
ON-CAMPUS	\$4,940	\$1,200	\$11,800	\$4,000	\$	\$21,940
AT HOME	\$4,940	\$1,200	\$3,900	\$4,000	\$	\$14,040

Estimated Net Cost by Family Income *(for Full-Time Undergraduate Florida Residents in the Fall and Spring of 2015-16)*

FAMILY INCOME GROUPS	FULL-TIME RESIDENT UNDERGRADUATES HEADCOUNT	PERCENT	AVG. NET COST OF ATTENDANCE	AVG. NET TUITION & FEES	AVG. GIFT AID AMOUNT	AVG. LOAN AMOUNT
Below \$40,000	x,xxx	xx%	\$x,000	\$x,000	\$x,000	\$x,000
\$40,000-\$59,999	x,xxx	xx%	\$x,000	\$x,000	\$x,000	\$x,000
\$60,000-\$79,999	x,xxx			00	\$x,000	\$x,000
\$80,000-\$99,999	x,xxx			00	\$x,000	\$x,000
\$100,000 Above	x,xxx			00	\$x,000	\$x,000
Not Reported	x,xxx			00	\$x,000	\$x,000
TOTAL	x,xxx	100%	AVERAGE	\$x,000*	\$x,000	\$x,000

No FASFA Records at this
time for Florida Poly

Notes: This data only represents Fall and Spring financial aid data and is accurate as of March 31, 2016. Please note that small changes to Spring 2016 awards are possible before the data is finalized. **Family Income Groups** are based on the Total Family Income (including untaxed income) as reported on student FAFSA records. **Full-time Students** is a headcount based on at least 24 credit hours during Fall and Spring terms. **Average Gift Aid** includes all grants and scholarships from Federal, State, University and other private sources administered by the Financial Aid Office. Student waivers are also included in the Gift Aid amount. Gift Aid does not include the parental contribution towards EFC. **Net Cost of Attendance** is the actual average of the total Costs of Attendance (which will vary by income group due to the diversity of students living on- & off- campus) *minus* the average Gift Aid amount. **Net Tuition & Fees** is the actual average of the total costs of tuition and fees (which will vary by income group due to the amount of credit hours students are enrolled) *minus* the average Gift Aid amount (see page 16 for list of fees that are included). **Average Loan Amount** includes Federal (Perkins, Stafford, Ford Direct, and PLUS loans) and all private loans. 'Not Reported' represents the students who did not file a FAFSA. The bottom-line **Total/Average** represents the average of all full-time undergraduate Florida residents (note*: the total Net Cost of Attendance does not include students who did not report their family income data).



UNIVERSITY REVENUES

University Revenues *(in Millions of Dollars)*

EDUCATION & GENERAL	2014-15	2015-16
Main Operations		
State Funds	\$ 30.7	\$ 30.8
Tuition	\$ 2.2	\$ 3.5
Phosphate Trust Fund	\$ 5.1	\$ 5.1
E&G TOTAL	\$ 37.97	\$ 40.92
OTHER BUDGET ENTITIES		
Auxiliary Enterprises	\$ 0.99	\$ 1.9
Contracts & Grants	\$ 0.79	\$ 0.3
Local Funds	\$ 3.9	\$ 1.6
Faculty Practice Plans	\$ 0	\$ 0

Note: State funds include recurring and non-recurring General Revenue funds, Lottery funds appropriated by the Florida Legislature. Actual tuition includes base tuition and tuition differential fee revenues for resident and non-resident undergraduate and graduate students net of waivers. Source: Tables 1A & 1E of the annual Accountability Report.



UNIVERSITY TUITION, FEES AND HOUSING PROJECTIONS

University: Florida Polytechnic University							
Undergraduate Students	Actual			Projected			
	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
Tuition:							
Base Tuition - (0% inc. for 2016-17 to 2019-20)	\$105.07	\$105.07	\$105.07	\$105.07	\$105.07	\$105.07	\$105.07
Tuition Differential ⁵							
Total Base Tuition & Differential per Credit Hour	\$105.07	\$105.07	\$105.07	\$105.07	\$105.07	\$105.07	\$105.07
% Change		0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Fees (per credit hour):							
Student Financial Aid ¹		\$5.25	\$5.25	\$5.25	\$5.25	\$5.25	\$5.25
Capital Improvement ²		\$4.76	\$4.76	\$4.76	\$4.76	\$4.76	\$4.76
Activity & Service		\$17.62	\$17.62	\$17.62	\$17.62	\$17.62	\$17.62
Health		\$9.58	\$9.58	\$9.58	\$9.58	\$9.58	\$9.58
Athletic		\$14.12	\$14.12	\$14.12	\$14.12	\$14.12	\$14.12
Transportation Access		\$3.00	\$3.00	\$3.00	\$3.00	\$3.00	\$3.00
Technology ¹		\$5.25	\$5.25	\$5.25	\$5.25	\$5.25	\$5.25
Green Fee (USF, NCF, UWF only)							
Student Life & Services Fee (UNF only)							
Marshall Center Fee (USF only)							
Student Affairs Facility Use Fee (FSU only)							
Total Fees	\$0.00	\$59.58	\$59.58	\$59.58	\$59.58	\$59.58	\$59.58
Total Tuition and Fees per Credit Hour	\$105.07	\$164.65	\$164.65	\$164.65	\$164.65	\$164.65	\$164.65
% Change		56.7%	0.0%	0.0%	0.0%	0.0%	0.0%
Fees (block per term):							
Activity & Service							
Health							
Athletic							
Transportation Access							
Marshall Center Fee (USF only)							
Student Affairs Facility Use Fee (FSU only)							
List any new fee proposed							
Total Block Fees per term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
% Change		#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Total Tuition for 30 Credit Hours	\$3,152.10	\$3,152.10	\$3,152.10	\$3,152.10	\$3,152.10	\$3,152.10	\$3,152.10
Total Fees for 30 Credit Hours	\$0.00	\$1,787.40	\$1,787.40	\$1,787.40	\$1,787.40	\$1,787.40	\$1,787.40
Total Tuition and Fees for 30 Credit Hours	\$3,152.10	\$4,939.50	\$4,939.50	\$4,939.50	\$4,939.50	\$4,939.50	\$4,939.50
\$ Change		\$1,787.40	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
% Change		56.7%	0.0%	0.0%	0.0%	0.0%	0.0%
Out-of-State Fees							
Out-of-State Undergraduate Fee		\$510.00	\$510.00	\$510.00	\$510.00	\$510.00	\$510.00
Out-of-State Undergraduate Student Financial Aid ³		\$5.25	\$5.25	\$5.25	\$5.25	\$5.25	\$5.25
Total per credit hour	\$0.00	\$515.25	\$515.25	\$515.25	\$515.25	\$515.25	\$515.25
% Change		#DIV/0!	0.0%	0.0%	0.0%	0.0%	0.0%
Total Tuition for 30 Credit Hours	\$3,152.10	\$18,452.10	\$18,452.10	\$18,452.10	\$18,452.10	\$18,452.10	\$18,452.10
Total Fees for 30 Credit Hours	\$0.00	\$1,944.90	\$1,944.90	\$1,944.90	\$1,944.90	\$1,944.90	\$1,944.90
Total Tuition and Fees for 30 Credit Hours	\$3,152.10	\$20,397.00	\$20,397.00	\$20,397.00	\$20,397.00	\$20,397.00	\$20,397.00
\$ Change		\$17,244.90	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
% Change		547.1%	0.0%	0.0%	0.0%	0.0%	0.0%
Housing/Dining⁴		\$11,800.00	\$11,800.00	\$11,800.00	\$11,800.00	\$11,800.00	\$11,800.00
\$ Change		\$11,800.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
% Change		#DIV/0!	0.0%	0.0%	0.0%	0.0%	0.0%
¹ can be no more than 5% of tuition. ² as approved by the Board of Governors. ³ can be no more than 5% of tuition and the out-of-state fee. ⁴ combine the most popular housing and dining plans provided to students ⁵ report current tuition differential. Only UF or FSU can reflect potential increases up to 6%.							



DEFINITIONS

Key Performance Indicators	
Teaching & Learning Metrics	
Freshmen in Top 10% of HS Graduating Class	Percent of all degree-seeking, first-time, first-year (freshman) students who had high school class rank within the top 10% of their graduating high school class. As reported by the university to the Common Data Set (C10).
Professional/Licensure Exam First-time Pass Rates	The number of exams with first-time pass rates above and below the national or state average, as reported in the annual Accountability report, including: Nursing, Law, Medicine (3 subtests), Veterinary, Pharmacy, Dental (2 subtests), Physical Therapy, and Occupational Therapy.
Average Time to Degree for FTIC in 120hr programs	This metric is the <i>mean</i> number of years between the start date (using date of most recent admission) and the end date (using the last month in the term degree was granted) for a graduating class of first-time, single-major baccalaureates in 120 credit hour programs within a (Summer, Fall, Spring) year.
FTIC Graduation Rates In 4 years (or less)	As reported in the annual Accountability report (table 4D), First-time-in-college (FTIC) cohort is defined as undergraduates entering in fall term (or summer continuing to fall) with fewer than 12 hours earned since high school graduation. The rate is the percentage of the initial cohort that has either graduated from or is still enrolled in the <u>same</u> institution by the fourth academic year. Both full-time and part-time students are used in the calculation. The initial cohort is revised to remove students, who have allowable exclusions as defined by IPEDS, from the cohort.
Bachelor's Degrees Awarded	This is a count of baccalaureate degrees awarded as reported in the annual Accountability Report (Table 4G).
Graduate Degrees Awarded	This is a count of graduate degrees awarded as reported in the Accountability Report (Table 5B).
Bachelor's Degrees Awarded To African-American and Hispanic Students	Non-Hispanic Black and Hispanic do not include students classified as Non-Resident Alien or students with a missing race code – as reported in the Accountability Report (table 4I). Students who earn two distinct degrees in the same term are counted twice – whether their degrees are from the same six-digit CIP code or different CIP codes. Students who earn only one degree are counted once – even if they completed multiple majors or tracks. Percentage of Degrees is based on the number of baccalaureate degrees awarded to non-Hispanic Black and Hispanic students divided by the total degrees awarded - excluding those awarded to non-resident aliens and unreported.
Adult (Aged 25+) Undergraduates Enrolled	This metric is based on the age of the student at the time of enrollment (not upon entry). Age acts as a surrogate variable that captures a large, heterogeneous population of adult students who often have family and work responsibilities as well as other life circumstances that can interfere with successful completion of educational objectives.
Percent of Undergraduate FTE Enrolled in Online Courses	Full-time Equivalent (FTE) student is a measure of instructional activity that is based on the number of credit hours that students enroll. FTE is based on the US definition, which divides undergraduate credit hours by 30. Distance Learning is a course in which at least 80 percent of the direct instruction of the course is delivered using some form of technology when the student and instructor are separated by time or space, or both (per 1009.24(17), F.S.).
Percent of Bachelor's Degrees in STEM & Health	The percentage of baccalaureate degrees that are classified as STEM by the Board of Governors in the SUS program inventory as reported in the annual Accountability Report (Table 4H).
Percent of Graduate Degrees in STEM & Health	The percentage of baccalaureate degrees that are classified as STEM by the Board of Governors in the SUS program inventory as reported in the annual Accountability Report (Table 5C).



Student Debt Summary

Percent of Bachelor's Recipients with Debt

This is the percentage of bachelor's graduates in a given academic year who entered the university as a first-time-in-college (FTIC) student and who borrowed through any loan programs (institutional, state, Federal Perkins, Federal Stafford Subsidized and unsubsidized, private) that were certified by your institution - excludes parent loans. Source: Common Dataset (H4).

Average Amount of Debt for Bachelor's who have graduated with debt

This is the average amount of cumulative principal borrowed (from any loan program certified by the institution) for each native, FTIC bachelor's recipient in a given academic year that graduated with debt – see metric definition above. This average does NOT include students who did not enter a loan program that was certified by the institution. Source: Common Dataset (H5).

Student Loan Cohort Default Rate (3rd Year)

Student loan cohort default rate (CDR) data includes undergraduate and graduate students, and refers to the three federal fiscal year period when the borrower enters repayment and ends on the second fiscal year following the fiscal year in which the borrower entered repayment. Cohort default rates are based on the number of borrowers who enter repayment, not the number and type of loans that enter repayment. A borrower with multiple loans from the same school whose loans enter repayment during the same cohort fiscal year will be included in the formula only once for that cohort fiscal year. Default rate debt includes: Federal Stafford Loans, and Direct Stafford/Ford Loans – for more information see: <http://ifap.ed.gov/DefaultManagement/CDRGuideMaster.html>.

Three Year CDR			
Cohort Fiscal Year	Year Published	Borrowers in the Numerator Borrowers in the Denominator	3-Yr Time Period (Numerator) 1-Yr Time Period (Denominator)
2009	2012	Borrowers who entered repayment in 2009 and defaulted in 2009, 2010 or 2011 Borrowers who entered repayment in 2009	10/01/2008 to 9/30/2011 10/01/2008 to 9/30/2009
2010	2013	Borrowers who entered repayment in 2010 and defaulted in 2010, 2011 or 2012 Borrowers who entered repayment in 2010	10/01/2009 to 9/30/2012 10/01/2009 to 9/30/2010
2011	2014*	Borrowers who entered repayment in 2011 and defaulted in 2011, 2012 or 2013 Borrowers who entered repayment in 2011	10/01/2010 to 9/30/2013 10/01/2010 to 9/30/2011
2012	2015	Borrowers who entered repayment in 2012 and defaulted in 2012, 2013 or 2014 Borrowers who entered repayment in 2012	10/01/2011 to 9/30/2014 10/01/2011 to 9/30/2012
2013	2016	Borrowers who entered repayment in 2013 and defaulted in 2013, 2014 or 2015 Borrowers who entered repayment in 2013	10/01/2012 to 9/30/2015 10/01/2012 to 9/30/2013
2014	2017	Borrowers who entered repayment in 2014 and defaulted in 2014, 2015 or 2016 Borrowers who entered repayment in 2014	10/01/2013 to 9/30/2016 10/01/2013 to 9/30/2014
2015	2018	Borrowers who entered repayment in 2015 and defaulted in 2015, 2016 or 2017 Borrowers who entered repayment in 2015	10/01/2014 to 9/30/2017 10/01/2014 to 9/30/2015

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Strategic Planning Committee
September 21, 2016**

SUBJECT: System Summary of University 2016 Work Plans and Alignment with 2025 Strategic Plan Goals

PROPOSED COMMITTEE ACTION

Identify key System-wide issues and trends based on 2016 Work Plans and determine alignment with 2025 Strategic Plan Goals

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation 2.002

BACKGROUND INFORMATION

At its June 21-22, 2016 meeting, the Strategic Planning Committee considered for approval 2016 University Work Plans. As a result of 2016 University Work Plan submissions, presentations, and dialogue, staff analyzed data and information on key performance indicators regarding System-wide issues, statewide and national trends, and the extent to which the State University System is on a course toward meeting its 2025 Strategic Plan goals. In addition, longer-term retrospective data for the System are provided on key metrics, such as six-year graduation rates and baccalaureate degrees awarded, over the past 15 to 20 years. Vice Chancellor Ignash will present findings.

Supporting Documentation Included:	System Summary of University 2016 Work Plans
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Facilitators / Presenters:	Dr. Jan Ignash
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2016

SYSTEM SUMMARY OF UNIVERSITY WORK PLANS

REVISED 09/09/2016
to include FL. POLYTECH



STATE UNIVERSITY SYSTEM *of* FLORIDA
Board of Governors



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Introduction

The State University System of Florida has developed three tools that aid in guiding the System's future.

- 1) *The Board of Governors' **2025 System Strategic Plan** is driven by goals and associated metrics that stake out where the System is headed;*
- 2) *The Board's **Annual Accountability Report** provides yearly tracking for how the System is progressing toward its goals;*
- 3) *Institutional **Work Plans** connect the two and create an opportunity for greater dialogue relative to how each institution contributes to the System's overall vision.*

These three documents assist the Board with strategic planning and with setting short-, mid- and long-term goals. The Board will use these documents to help advocate for all System institutions and foster even greater coordination with the institutions and their Boards of Trustees.

Once a Work Plan is approved by each institution's respective Board of Trustees, the Board of Governors will review and consider the plan for potential acceptance. Longer-term components will inform future agendas of the Board's Strategic Planning Committee. The Board's acceptance of a Work Plan does not constitute approval of any particular component, nor does it supersede any necessary approval processes that may be required for each component.

More information is available at www.flbog.edu.

SYSTEM SUMMARY
OF UNIVERSITY WORK PLANS
2016



STATE UNIVERSITY SYSTEM of FLORIDA
Board of Governors

09/09/2016

Performance Funding: Common Metrics

1. PERCENT OF BACHELOR'S GRADUATES ENROLLED OR EMPLOYED (EARNING \$25,000+) IN THE U.S. ONE-YEAR AFTER GRADUATION

COHORTS	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2012-13	59.2	66.7	65.6	70.9	.	60.3	42.1	64.3	66.2	66.1	65.3	57.7	64.9
2013-14	59.4	68.1	64.2	68.4	.	59.1	43.1	64.7	65.7	66.1	66.8	60.5	64.9
2014-15	59	70	66	70	.	61	45	65	66	68	67	66	66
2015-16	61	73	67	71	.	62	47	66	67	69	70	68	68
2016-17	63	76	68	73	75	64	49	67	67	70	72	69	69
2017-18	65	80	69	74	75	66	51	68	67	71	74	70	70

This table reports the percentage of a graduating class of bachelor's degree recipients who are employed full-time or continuing their education anywhere in the nation. Sources: State University Database System (SUDS), Florida Education & Training Placement Information Program (FETPIP), National Student Clearinghouse. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System goals are mathematically derived from the university goals.

2. MEDIAN WAGES OF BACHELOR'S RECIPIENTS EMPLOYED FULL-TIME IN FLORIDA ONE-YEAR AFTER GRADUATION (\$)

COHORTS	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2011-12	30,000	34,900	32,900	35,100	.	30,300	21,200	33,700	33,100	34,200	34,600	31,000	33,500
2012-13	28,800	36,000	35,300	36,200	.	31,600	26,300	34,900	34,800	34,700	35,200	32,900	34,700
2013-14	31,100	36,500	35,200	36,900	.	32,700	24,800	36,200	35,200	35,900	36,300	34,900	35,600
2014-15	31,100	37,000	37,000	37,000	.	34,500	26,700	36,600	35,500	37,500	36,300	34,000	36,000
2015-16	31,300	37,500	37,500	37,500	.	36,000	26,900	37,000	35,500	39,000	36,800	34,500	36,600
2016-17	31,400	38,000	38,200	39,000	50,000	37,000	27,100	37,300	36,200	40,500	37,400	35,000	37,400
2017-18	31,500	38,500	39,000	40,000	50,000	38,000	27,300	37,600	36,200	42,000	37,900	35,000	37,900

This table reports the annualized Unemployment Insurance (UI) wage data (rounded to the nearest hundred) by cohort years from the fourth fiscal quarter after graduation for bachelor's recipients. The cohort year refers to the year of the graduating class, and the data is their median wage a year after graduation. This UI wage data does not include individuals who are self-employed, employed out of state, employed by the military or federal government, those without a valid social security number. Sources: State University Database System (SUDS), Florida Education & Training Placement Information Program (FETPIP). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System goals are mathematically derived from the university goals.

SYSTEM SUMMARY
OF UNIVERSITY WORK PLANS
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STATE UNIVERSITY SYSTEM of FLORIDA
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09/09/2016

Performance Funding: Common Metrics (continued)

3. AVERAGE COST PER BACHELOR'S DEGREE (\$) (COSTS TO THE UNIVERSITY)

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2009-13	37,250	28,450	29,240	25,630	.	25,580	73,900	21,060	24,940	29,350	24,340	31,080	26,400
2010-14	40,080	27,690	29,390	25,580	.	26,700	76,720	22,430	25,450	30,750	25,490	32,970	27,200
2011-15	44,520	28,270	30,080	25,990	.	27,820	79,250	24,190	26,450	32,630	26,990	31,830	28,500
2012-16	47,680	28,840	29,980	26,100	.	29,170	82,250	24,500	26,480	32,930	26,990	33,000	29,300
2013-17	48,220	29,410	30,280	26,200	.	29,700	81,250	24,660	26,480	32,230	26,990	33,000	29,300
2014-18	45,370	30,000	30,580	26,300	75,000	30,300	80,250	24,810	26,480	33,530	26,990	33,000	28,800
2015-19	42,720	30,600	30,880	26,400	75,000	31,000	79,250	24,990	26,480	33,830	26,990	32,500	29,100

Annual undergraduate direct and indirect expenditures were divided by the undergraduate fundable student credit hours to create a cost per credit hour for each year. This annual cost per credit hour was multiplied by 30 credit hours to derive an average annual cost. The average annual cost for each of the four years was summed to provide an average cost per degree for a baccalaureate degree. Sources: State University Database System (SUDS), Expenditure Analysis: Report IV. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted.

4. SIX-YEAR GRADUATION RATES FOR FTICs (INCLUDES FULL- AND PART-TIME STUDENTS)

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS SAME	SUS ANY
2005-11	39.7	41.2	43.8	41.5	.	73.7	67.9	62.1	83.5	48.8	51.7	46.4	60.2	65.1
2006-12	39.5	40.4	43.9	47.2	.	74.9	69.1	64.6	84.9	46.9	56.5	43.6	61.8	66.5
2007-13	40.8	40.1	43.2	49.8	.	76.7	65.8	66.6	86.3	48.6	63.2	41.9	63.5	67.9
2008-14	39.3	45.0	48.8	53.1	.	79.0	69.4	69.2	87.5	54.8	66.1	50.5	65.9	70.5
2009-15	38.6	48.4	43.0	56.8	.	79.3	70.5	70.1	86.5	54.0	67.8	46.7	66.2	71.0
2010-16	43	49	45	52	.	80	63	70	87	55	66.7	51	66	70
2011-17	49	52	47	54	.	80	67	72	88	56	69.6	53	68	72
2012-18	62	55	50	62	.	81	72	73	89	57	70.9	55	70	74
2013-19	69	60	53	70	.	82	74	74	89	58	73.5	57	73	77

This table reports the percentage of first-time-in-college (FTIC) students who started in the Fall (or summer continuing to Fall) term and had graduated from the same institution within six years. Source: State University Database System (SUDS). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System goals are mathematically derived from the university goals.

SYSTEM SUMMARY
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STATE UNIVERSITY SYSTEM of FLORIDA
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Performance Funding: Common Metrics (continued)

5. ACADEMIC PROGRESS RATE (PERCENT OF FTIC RETAINED IN 2ND FALL WITH AT LEAST 2.0 GPA)

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	54.0	70.4	66.5	73.3	.	90.2	86.3	84.5	94.3	74.5	81.3	68.3	81.5
2011-12	63.3	71.4	68.3	72.7	.	88.8	82.7	85.0	94.0	77.5	83.8	62.4	82.5
2012-13	69.0	67.7	69.6	75.5	.	89.4	81.2	84.9	95.7	76.1	84.5	61.0	83.3
2013-14	70.1	65.9	71.7	76.9	.	90.5	80.2	85.0	95.2	77.8	85.3	64.6	84.0
2014-15	75.4	71.9	73.5	80.4	72.9	91.0	81.3	86.6	94.6	74.6	85.1	64.3	85.2
2015-16	76	74	74	83	74	92	83	88	96	77	86	67	85
2016-17	77	76	75	86	75	92	85	89	96	78	87	70	86
2017-18	80	80	76	88	78	92	86	90	97	79	88	73	87
2018-19	85	85	77	90	80	93	87	91	97	80	89	76	88

This table reports the percentage of first-time-in-college (FTIC) students who started in the Fall (or summer continuing to Fall) term and were enrolled full-time in their first semester and were still enrolled in the same institution during the Fall term following their first year with a grade point average (GPA) of at least 2.0 at the end of their first year (Fall, Spring, Summer). Source: State University Database System (SUDS). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System goals are mathematically derived from the university goals.

7. UNIVERSITY ACCESS RATE (PERCENT OF UNDERGRADUATES WITH A PELL GRANT)

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
Fall 2010	67.7	36.8	30.3	46.2	.	29.7	28.9	32.2	30.9	32.6	38.9	35.3	36.6
Fall 2011	68.5	42.0	34.0	51.5	.	30.0	30.1	36.2	33.2	36.7	42.1	38.3	39.9
Fall 2012	65.8	41.5	35.4	49.6	.	30.6	28.8	38.0	32.8	36.2	42.0	39.9	39.8
Fall 2013	61.6	41.2	35.0	51.0	.	30.0	28.6	38.4	32.4	33.5	42.1	40.5	39.6
Fall 2014	64.7	40.9	33.8	50.5	.	28.4	30.0	39.0	31.6	32.7	41.6	40.6	39.1
Fall 2015	60	39	36	52	.	28	28	40	30	33	40	41	39
Fall 2016	60	39	37	53	.	30	30	40	30	34	40	41	39
Fall 2017	60	40	38	53	27.5	30	30	41	30	35	40	41	40
Fall 2018	60	41	39	53	30	31	31	41	30	36	40	41	40

This table reports the number of undergraduates, enrolled during the fall term, who received a Pell-grant during the fall term. Unclassified students, who are not eligible for Pell-grants, were excluded from this metric. Source: State University Database System (SUDS). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System goals are mathematically derived from the university goals.

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Performance Funding: Common Metrics (continued)

6. PERCENTAGE OF BACHELOR'S DEGREES IN PROGRAMS OF STRATEGIC EMPHASIS

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF ¹	UCF	UF	UNF	USF	UWF	SUS
2010-11	50.2	49.9	45.2	48.7	.	38.2	40.1	45.5	50.1	48.1	45.8	47.8	46.1
2011-12	48.5	51.3	42.4	46.0	.	36.7	33.5	44.7	52.9	45.4	48.3	40.8	45.8
2012-13	50.3	52.9	43.8	45.5	.	38.3	41.9	46.2	52.2	44.6	49.5	45.0	46.6
2013-14	51.1	55.1	45.2	46.1	.	37.5	42.4	48.9	54.7	44.8	51.0	50.1	48.1
2014-15	49.6	54.2	44.7	46.9	.	39.1	39.5	49.7	56.1	44.7	54.6	51.1	49.3
2015-16	51	53	47	48	.	41	44	50	56	48	55	51	50
2016-17	53	54	48	48	100	43	45	51	56	49	55	51	51
2017-18	55	54	49	49	100	44	46	52	57	50	55	52	52
2018-19	57	55	50	50	100	45	47	53	57	51	55	53	53

8. PERCENTAGE OF GRADUATE DEGREES IN PROGRAMS OF STRATEGIC EMPHASIS

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF ²	UCF	UF	UNF	USF	UWF	SUS
2010-11	45.3	52.7	58.9	53.6	.	39.2	.	59.7	66.3	48.2	67.7	48.5	57.3
2011-12	48.8	54.5	52.9	49.0	.	38.0	.	61.7	67.0	49.0	67.4	42.1	56.8
2012-13	43.5	51.3	66.2	49.3	.	38.1	.	61.2	69.0	51.2	69.1	43.4	57.4
2013-14	43.3	55.5	63.6	52.4	.	38.5	.	57.4	69.8	50.2	69.0	46.6	58.4
2014-15	51.5	61.2	60.2	54.1	.	42.0	.	61.7	69.2	50.0	72.7	38.8	60.1
2015-16	49	58	62	56	.	46	.	62	71	51	74	44	62
2016-17	50	60	64	58	100	47	.	62	71	52	74	48	63
2017-18	51	62	66	60	100	47	.	62	72	53	74	51	63
2018-19	52	65	68	60	100	48	.	63	72	54	73	56	64

These tables report the percentage of degrees awarded within the academic disciplines designated by the Board of Governors as 'Programs of Strategic Emphasis' (as revised by the Board of Governors in 11/2013). A student who has multiple majors in the subset of targeted Classification of Instruction Program codes will be counted twice (i.e., double-majors are included). Source: State University Database System (SUDS). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System goals are mathematically derived from the university goals. Note 1: This data is provided by NCF staff and not by BOG staff. Note 2: The Board of Governors selected an alternative metric for NCF – see the following page.

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Performance Funding: Board of Governors Metrics

BACHELOR'S DEGREES WITHOUT EXCESS HOURS

	FAMU	FAU	FGCU	FIU	POLY	FSU ¹	NCF ¹	UCF	UF ¹	UNF	USF	UWF	SUS
2012-13	31.4	71.3	71.9	65.5	.	75	12	67.0	74	69.4	58.2	65.2	66.8
2013-14	34.0	72.9	72.3	67.6	.	74	19	66.9	77	71.1	63.9	72.8	68.5
2014-15	29.0	74.6	75.9	68.9	.	78	35	69.2	80	71.9	65.8	75.8	71.2
2015-16	35	74	76	71	.	.	.	69	.	73	68	80	72
2016-17	45	75	77	74	.	.	.	70	.	74	71	80	74
2017-18	55	76	78	78	.	.	.	71	.	75	73	80	75
2018-19	60	77	79	80	.	.	.	71	.	76	75	80	76

This table reports the percentage of baccalaureate degrees awarded within 110% of the credit hours required for a degree based on the Board of Governors Academic Program Inventory. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System goals are mathematically derived from the university goals. Note 1: FSU, NCF and UF data are provided for context, but Excess Hours is not one of their PBF metrics.

FACULTY AWARDS

	2009	2010	2011	2012	2013	2014	2015	2016	2017
FSU	7	9	11	7	2	6	8	9	10
UF	22	22	18	20	15	21	22	23	25

This table reports the number of awards that faculty have earned in the arts, humanities, science, engineering and health fields as reported in the annual 'Top American Research Universities' report. Twenty-three of the most prominent awards are considered, including: Getty Scholars in Residence, Guggenheim Fellows, Howard Hughes Medical Institute Investigators, MacArthur Foundation Fellows, National Endowment for the Humanities (NEH) Fellows, National Medal of Science and National Medal of Technology, Robert Wood Johnson Policy Fellows, Sloan Research Fellows, Woodrow Wilson Fellows, to name a few awards. Source: Center for Measuring University Performance, Annual Report of the Top American Research Universities (TARU). Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

TOP 50 RANKINGS

	2015	2016	2017	2018	2019	2020
NCF	5	5	5	5	5	5

This metric reports the number of Top 50 university rankings that NCF earned from the following list of publications: US News and World Report, Forbes, Kiplinger, Washington Monthly, Center for Measuring University Performance, Times Higher Education World University Rankings, QS World University Ranking, and the Academic Ranking of World Universities. Source: Board of Governors staff review. Actual data is shown in the highlighted column, and planned/projected data are not highlighted.

PERCENT OF FRESHMEN IN TOP 10% OF HIGH SCHOOL GRADUATING CLASS¹

	2010	2011	2012	2013	2014	2015	2016	2017	2018
NCF	50	43	35	41	45	43	44	45	46

This table reports the percent of all degree-seeking, first-time, first-year (freshman) students who had high school class rank within the top 10% of their graduating high school class. Source: New College of Florida. Note 1: This metric replaces the 'Graduate Degrees in Programs of Strategic Emphasis' for NCF. Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

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Performance Funding: Board of Trustees Metrics

PERCENT OF R&D FUNDED BY EXTERNAL SOURCES

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18
FAMU	88	86	80	80	80	80	80	80

This table reports the amount of research expenditures that was funded from federal, private industry and other (non-state and non-institutional) sources as reported to the National Science Foundation annual survey of Higher Education Research and Development (HERD). Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

BACHELOR'S AWARDED TO HISPANIC & AFRICAN-AMERICANS

	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19
FAU	42%	42%	43%	45%	46%	47%	48%	49%
FGCU	307	427	452	504	524	544	564	584
FIU	81%	82%	84%	85%	86%	86%	86%	87%

This table reports the number, or percentage, of baccalaureate degrees granted in an academic year to Non-Hispanic African-American and Hispanic students. Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

NATIONAL RANK COMPARED TO FINANCIAL RESOURCES RANK (NATIONAL RANK | FINANCIAL RANK)

	2014	2015	2016	2017	2018	2019	2020
FSU	91 211	95 214	96 210	88 208	86 207	85 205	84 205

This table reports the university's overall National rank and the Financial Resources rank as calculated by U.S. News in their annual ranking of National Universities. Financial resources is based on a two-year average of spending per student on instruction, research, student services and related educational expenditures - spending on sports, dorms and hospitals doesn't count. Source: US News and World Report's annual National University rankings. Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

PERCENT OF UNDERGRADUATE SENIORS PARTICIPATING IN A RESEARCH COURSE

	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19
NCF	100	100	100	100	100	100

This table reports the percentage of undergraduate seniors who participate in a research course during their senior year. Source: New College of Florida. Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

NUMBER OF BACHELOR'S DEGREES AWARDED ANNUALLY

	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19
UCF	11,515	12,321	12,372	12,629	12,850	13,100	13,325	13,500

This table reports the number of baccalaureate degrees granted in an academic year. Students who earned two distinct degrees in the same academic year were counted twice; students who completed multiple majors or tracks were only counted once. Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

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Performance Funding: Board of Trustees Metrics

TOTAL RESEARCH EXPENDITURES (\$M)

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18
UF	740	697	695	709	740	747	762	777

This table reports the total expenditures (includes non-science & engineering fields) for research & development activities within a given fiscal year. Source: National Science Foundation annual survey of Higher Education Research and Development (HERD). Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

PERCENT OF UNDERGRADUATE FTE IN ONLINE COURSES

	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19
UNF	6	8	11	14	15	16	17	18

This table reports the percentage undergraduate instructional activity that is delivered via Distance Learning. Distance Learning is a course in which at least 80 percent of the direct instruction of the course is delivered using some form of technology when the student and instructor are separated by time or space, or both (per 1009.24(17), F.S.). Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

SPECIAL NOTE: This is a new metric Pending BOT approval in June (replacing the prior metric: Percent of Course Sections offered via Distance Learning).

NUMBER OF POST-DOCTORAL APPOINTEES

	Fall 2010	Fall 2011	Fall 2012	Fall 2013	Fall 2014	Fall 2015	Fall 2016	Fall 2017
USF	293	304	289	321	298	282	290	297

This table reports the number of post-doctoral appointees at the beginning of the academic year. A postdoctoral researcher has recently earned a doctoral (or foreign equivalent) degree and has a temporary paid appointment to focus on specialized research/scholarship under the supervision of a senior scholar. Source: National Science Foundation/National Institutes of Health annual Survey of Graduate Students and Postdoctorates in Science and Engineering (GSS). Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

PERCENT OF ENROLLED UNDERGRADUATES WHO WERE 25 OR OLDER

	Fall 2011	Fall 2012	Fall 2013	Fall 2014	Fall 2015	Fall 2016	Fall 2017	Fall 2018
UWF	31	31	32	31	32	32	33	34

This table reports the percentage of undergraduates enrolled during the fall term who are at least 25 years old at the time of enrollment. This does not include undergraduates who have already earned a Bachelor's degree or higher. Actual data is shown in the highlighted columns, and planned/projected data are not highlighted.

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Preeminent Research University: 2016 Evaluation

CRITERIA	MEASURE	SOURCE	YEAR	BENCHMARK	FAMU	FAU	FIU	FSU	UCF	UF	USF TAMPA
A	Average GPA and SAT Score for 2 subtests for incoming freshman in Fall semester	Board of Governors	Fall 2015	4.0 GPA 1200 SAT	3.4 964	3.9 1067	3.9 1120	4.1 1241	4.0 1261	4.3 1273	4.1 1223
B	National Public University Ranking	See footnotes	March 2016	Top 50 in 2 or more publications	0	0	1	7	1	10	4
C	Freshman Retention Rate (Full-time, FTIC)	I.P.E.D.S.	2014-15	≥ 90%	85%	78%	88%	93%	89%	96%	88%
D	6-year Graduation Rate (Full-time, FTIC)	I.P.E.D.S.	2009-15	≥ 70%	39%	49%	58%	79%	70%	87%	68%
E	National Academy Memberships	Top American Research Universities	2013	6	0	2	1	6	1	24	3
		Academy Directory	2016		0	1	1	6	1	26	8
F	Total Annual Research Expenditures (Science & Engineering only)	National Science Foundation	2014-15	≥ \$200 M	\$32	\$20	\$125	\$237	\$170	\$700	\$420
G	Total Annual Research Expenditures in Diversified Non-Medical Sciences (Science & Engineering only)	National Science Foundation	2014-15	≥ \$150 M	\$22	\$15	\$114	\$228	\$168	\$518	\$229
H	National Ranking in Research Expenditures in at least 5 STEM disciplines as reported by NSF (includes public & private institutions)	National Science Foundation	2013-14	5 in Top 100	0	0	3	7	7	8	7
I	Patents Awarded (over 3 year period)	US Patent Office	2013-15	≥ 100	14	13	9	101	174	303	297
J	Doctoral Degrees Awarded Annually includes Research Doctoral Degrees and Medical/Health Professional Doctoral Degrees	Board of Governors	2014-15	≥ 400	201	168	327	558	423	1,592	601
K	Number of Post-Doctoral Appointees	Top American Research Universities	Fall 2012	≥ 200	20	18	55	235	55	674	289
L	Endowment Size (\$M)	Board of Governors	2014-15	>\$500 M	\$121	\$205	\$179	\$605	\$151	\$1,556	\$417
NUMBER OF CRITERIA MET:					0	0	0	12	6	12	9

SYSTEM SUMMARY
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Preeminent Research Metrics

1A. AVERAGE HIGH SCHOOL GPA FOR ENTERING FRESHMEN

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
Fall 2011	3.1	3.5	3.7	3.9	3.9	4.2	3.9
Fall 2012	3.2	3.6	3.7	4.0	3.9	4.3	3.9
Fall 2013	3.3	3.6	3.8	4.0	3.9	4.3	4.0
Fall 2014	3.3	3.8	3.9	4.0	3.9	4.3	4.0
Fall 2015	3.4	3.9	3.9	4.1	4.0	4.3	4.0
Fall 2016	3.5	4.0	4.0	4.1	4.0	4.3	4.0
Fall 2017	3.5	4.0	4.0	4.1	4.0	4.3	4.0
Fall 2018	3.6	4.1	4.0	4.1	4.1	4.3	4.1
Fall 2019	3.6	4.1	4.0	4.1	4.1	4.3	4.1

This table reports the average weighted High School Grade Point Average (GPA) for fall semester incoming freshmen, as reported annually in the admissions data that universities submit to the Board of Governors. This data includes registered FTIC (student type='B','E') with an admission action of admitted or provisionally admitted ('A','P','X'). Actual data are shown in the highlighted rows, and planned/projected data are not highlighted.

1B. AVERAGE SAT SCORES FOR ENTERING FRESHMEN (Math & Verbal Subtests)

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
Fall 2011	958	1094	1139	1229	1250	1283	1204
Fall 2012	968	1094	1141	1228	1244	1289	1210
Fall 2013	956	1075	1150	1222	1248	1287	1200
Fall 2014	958	1082	1121	1239	1257	1285	1197
Fall 2015	964	1067	1120	1241	1261	1273	1223
Fall 2016	980	1100	1140	1244	1263	1273	1220
Fall 2017	990	1125	1160	1247	1265	1280	1222
Fall 2018	1000	1150	1180	1250	1267	1280	1224
Fall 2019	1010	1200	1200	1253	1270	1290	1226

This table reports the average SAT score for fall semester incoming freshmen, as reported annually in the admissions data that universities submit to the Board of Governors. This data includes registered FTIC (student type='B','E') with an admission action of admitted or provisionally admitted ('A','P','X'). Actual data are shown in the highlighted rows, and planned/projected data are not highlighted. Note: College Board issued a redesigned SAT that replace the prior SAT in March 2016 – concordance tables have been used so these scores are comparable.

SYSTEM SUMMARY
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Preeminent Research Metrics (continued)

2. NUMBER OF PUBLICATIONS (ON BOG OFFICIAL LIST) RANKING UNIVERSITY WITHIN TOP 50

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
2016	0	0	1	7	1	10	4
2017	1	0	1	7	2	10	5
2018	1	0	1	7	2	10	5
2019	1	0	1	8	3	10	5
2020	1	0	1	8	3	10	5

This table reports the number of top-50 rankings on well-known national public university rankings, includes: Princeton Review 'Top 50 Colleges That Pay You Back', Fiske Guide, QS World University Ranking, Times Higher Education World University Ranking, Academic Ranking of World University, US News and World Report National University, US News and World Report National Public University, US News and World Report Liberal Arts Colleges, Forbes, Kiplinger, Washington Monthly Liberal Arts Colleges, Washington Monthly National University, and Center for Measuring University Performance.

3. FRESHMEN RETENTION RATE (FULL-TIME, FTIC)

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
2010-11	79	79	82	92	87	95	88
2011-12	80	78	82	91	88	96	90
2012-13	82	77	84	92	87	96	89
2013-14	81	75	84	92	88	96	89
2014-15	85	77	87	93	89	96	88
2015-16	86	79	88	93	89	97	90
2016-17	87	81	90	93	90	97	91
2017-18	88	85	91	93	91	97	92
2018-19	90	90	92	94	92	97	93

This table reports the second fall retention rate for full-time FTICs as reported annually to the Integrated Postsecondary Education Data System (IPEDS).

4. SIX-YEAR FTIC GRADUATION RATE (FULL-TIME ONLY)

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
2005-11	40	43	43	74	63	84	52
2006-12	40	41	49	75	65	85	57
2007-13	41	41	52	77	67	87	63
2008-14	40	46	54	79	70	88	67
2009-15	39	49	58	79	70	87	68
2010-16	43	50	54	80	70	88	67
2011-17	49	53	56	80	72	89	70
2012-18	62	56	64	81	73	89	72
2013-19	69	62	70	82	74	89	74

This table reports the six-year graduation rate for full-time FTICs as reported annually to the Integrated Postsecondary Education Data System (IPEDS).

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Preeminent Research Metrics (continued)

5. NATIONAL ACADEMY MEMBERS

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
2016	0	1	1	6	1	25	8
2017	0	1	1	7	2	25	9
2018	0	2	2	7	3	25	10
2019	1	3	3	8	4	25	10
2020	1	4	6	7	6	26	10

This table reports the total number of faculty that have been inducted into the National Academies (of Sciences, Engineering, and Medicine) based on the online member directories. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted.

6. SCIENCE & ENGINEERING EXPENDITURES (\$M) *(Does not include non-S&E research)*

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
2010-11	34	47	98	217	97	686	344
2011-12	31	47	84	208	103	650	395
2012-13	34	23	92	224	109	643	411
2013-14	29	22	107	231	143	652	438
2014-15	32	20	125	237	170	700	420
2015-16	32	18	130	206	174	707	421
2016-17	32	21	138	202	182	721	427
2017-18	33	22	149	203	189	735	434
2018-19	34	26	163	204	202	750	440

7. NON-MEDICAL SCIENCE & ENGINEERING EXPENDITURES (\$M) *(Does not include non-S&E research)*

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
2010-11	29	37	88	208	95	508	136
2011-12	25	38	78	200	100	480	142
2012-13	26	17	86	217	105	482	193
2013-14	21	16	100	226	132	480	239
2014-15	22	15	116	228	168	518	229
2015-16	23	14	122	196	171	523	230
2016-17	24	16	129	193	176	534	233
2017-18	26	17	139	194	181	544	237
2018-19	27	20	151	195	184	555	241

These tables report the university research expenditures as reported to the National Science Foundation annual survey of Higher Education Research and Development (HERD). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted.

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Preeminent Research Metrics (continued)

8. NUMBER OF SCIENCE & ENGINEERING DISCIPLINES RANKED IN TOP 100 BY EXPENDITURES (OUT OF 8 BROAD DISCIPLINES)

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
2008-09	0	2	4	7	4	8	5
2009-10	0	2	2	7	4	8	5
2010-11	0	2	4	7	4	8	5
2011-12	0	2	2	7	3	8	5
2012-13	0	0	2	7	3	8	7
2013-14	0	0	4	7	7	8	7
2014-15	1	0	5	7	7	8	7
2015-16	1	0	5	7	7	8	8
2016-17	1	1	5	7	7	8	8
2017-18	1	2	6	7	7	8	8

This table reports the Top 100 rankings for Science & Engineering disciplines among public and private universities based on the National Science Foundation's annual survey for R&D expenditures. The rankings consider eight broad disciplines within Science & Engineering (Computer Science, Engineering, Environmental Science, Life Science, Mathematical Sciences, Physical Sciences, Psychology, and Social Sciences). Actual data are shown in the highlighted rows, and planned/projected data are not highlighted.

2013-14 NATIONAL RANKING IN RESEARCH EXPENDITURES BY BROAD DISCIPLINE CATEGORY

UNIV	Computer Science	Engineering	Environ. Science	Life Science	Mathematics	Physical Sciences	Psychology	Social Sciences	TOP 100
FAMU	174	220	131	181	291	265	305	216	0
FAU	147	198	235	201	298	251	152	418	0
FIU	45	100	115	133	197	151	52	80	3
FSU	72	69	36	153	40	12	2	48	7
UCF	33	92	77	159	44	43	98	43	7
UF	93	31	54	23	87	52	60	50	8
USF-T	62	39	44	43	82	105	31	19	7

This table reports the rank of campus-level R&D expenditures by Science & Engineering disciplines for public and private universities based on the National Science Foundation's annual survey for R&D expenditures (data was extracted on March 17, 2016). Rankings include private universities.

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Preeminent Research Metrics (continued)

9. PATENTS AWARDED OVER A THREE-YEAR PERIOD

	FAMU	FAU	FIU	FSU	UCF	UF	USF
2009-11	5	10	6	74	203	169	218
2010-12	11	12	6	92	221	186	261
2011-13	11	13	6	103	205	232	270
2012-14	17	15	6	109	198	263	291
2013-15	14	13	11	101	174	303	297
2014-16	20	2	23	100	208	270	291
2015-17	20	2	34	100	217	273	273
2016-18	20	2	52	100	229	276	276
2017-19	25	2	75	100	240	279	279

This table reports the number of total patents awarded by the United States Patent and Trademark Office (USPTO) for the most recent three calendar year period. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted.

10. DOCTORAL DEGREES AWARDED

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
2010-11	174	88	197	536	285	1,711	425
2011-12	179	117	196	562	266	1,609	417
2012-13	177	103	239	497	280	1,595	448
2013-14	159	128	257	551	356	1,671	546
2014-15	201	168	327	558	423	1,592	601
2015-16	207	188	326	510	445	1,592	645
2016-17	215	179	337	534	455	1,600	650
2017-18	222	193	400	540	465	1,600	655
2018-19	230	195	420	544	475	1,600	660

This table reports the number of research doctoral degrees awarded annually and professional doctoral degrees awarded in medical and health care disciplines (CIP=51). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted.

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Preeminent Research Metrics (continued)

11. NUMBER OF POST-DOCTORAL APPOINTEES

	FAMU	FAU	FIU	FSU	UCF	UF	USF-T
Fall 2009	33	6	43	258	74	597	261
Fall 2010	16	9	40	241	58	648	293
Fall 2011	20	10	51	218	65	625	304
Fall 2012	20	18	55	235	55	674	289
Fall 2013	21	9	49	212	52	677	321
Fall 2014	22	12	64	211	64	680	298
Fall 2015	23	15	74	215	68	690	277
Fall 2016	24	20	200	217	85	690	285

This table reports the number of post-doctoral appointments as reported by the Center for Measuring University Performance's annual 'Top American Research Universities' report, which is based on the National Science Foundation Survey of Graduate Students and Postdoctorates in Science & Engineering (GSS). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted.

12. ENDOWMENT SIZE (\$M)

	FAMU	FAU	FIU	FSU	UCF	UF	USF
2010-11	112	180	136	525	127	1,295	344
2011-12	108	172	133	498	123	1,263	334
2012-13	115	189	149	548	135	1,360	364
2013-14	127	209	177	625	155	1,520	417
2014-15	121	205	179	605	151	1,560	417
2015-16	120	260	225	575	169	1,630	395
2016-17	125	265	250	600	182	1,750	412
2017-18	135	270	275	625	194	1,900	432
2018-19	155	276	275	650	200	1,920	448

This table reports the university's endowment value at the end of the fiscal year, as reported in the annual NACUBO Endowment Study. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted.

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Key Performance Indicators: Teaching & Learning Metrics

from the 2025 System Strategic Plan that are not included in PBF or Preeminence

PERCENTAGE OF FRESHMEN IN TOP 10% OF HIGH SCHOOL GRADUATING CLASS

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
Fall 2014	13	12	15	21	.	40	41	31	75	13	28	17	32
Fall 2015	14	11	13	18	.	38	45	33	72	19	30	14	32
Fall 2016	15	14	14	19	35	41	43	34	72	20	30	14	33
Fall 2017	16	15	15	20	40	42	44	35	72	20	31	14	34
Fall 2018	17	17	16	21	40	42	45	36	72	21	32	15	35
Fall 2019	18	20	17	22	40	43	46	37	72	21	32	16	36

This table reports the percent of all degree-seeking, first-time, first-year (freshman) students who had high school class rank within the top 10% of their graduating high school class. As reported by the university to the Common Data Set (C10). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System out-year goals are mathematically derived from the university goals.

PROFESSIONAL/LICENSURE EXAMS FIRST-TIME PASS RATES COMPARED TO NATIONAL/STATE AVERAGES

OF EXAMS ABOVE (or EQUAL TO) BENCHMARK | NUMBER OF EXAMS BELOW BENCHMARK

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2011-12	0 5	1 0	2 1	3 2	.	4 0	.	3 0	11 0	1 1	4 1	1 0	20 10
2012-13	1 5	2 0	2 1	4 3	.	5 0	.	4 1	11 0	2 0	5 0	1 0	27 10
2013-14	0 4	2 0	2 0	5 1	.	3 2	.	4 1	11 0	2 0	3 2	1 0	23 10
2014-15	0 4	4 0	2 0	3 3	.	2 3	.	5 0	10 0	2 0	5 0	0 1	24 11
2015-16	0 4	4 0	2 0	5 1	.	4 1	.	5 0	11 0	2 0	6 0	1 0	30 6
2016-17	4 0	4 0	2 0	6 0	.	5 0	.	5 0	11 0	2 0	6 0	1 0	36 0
2017-18	4 0	4 0	2 0	6 0	.	5 0	.	5 0	11 0	2 0	6 0	1 0	36 0
2018-19	4 0	4 0	2 0	6 0	.	5 0	.	5 0	11 0	2 0	6 0	1 0	36 0

This table reports the pass rates for first-time examinees for ten Professional/Licensure exams relative to the national average benchmark – the benchmark for Law is the average of Florida institutions (public and private) on the Florida Bar exam. The national average benchmarks include public and private institutions. The ten exams include: Nursing, Law, Medicine (3 subtests), Veterinary, Pharmacy, Dental (2 subtests), and Physical Therapy – see Accountability reports for actual pass rates. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System out-year goals are mathematically derived from the university goals.

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Key Performance Indicators: Teaching & Learning (continued)

TIME TO DEGREE (MEAN YEARS FOR FTICs IN 120HR PROGRAMS)

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	5.8	5.2	4.4	5.3	.	4.3	.	4.5	4.1	5.0	5.2	5.1	4.7
2011-12	5.6	5.1	4.5	5.5	.	4.3	.	4.5	4.1	4.9	5.0	4.7	4.7
2012-13	5.5	5.0	4.6	5.6	.	4.2	.	4.5	4.1	4.9	5.1	4.6	4.6
2013-14	5.2	5.1	4.5	5.4	.	4.2	.	4.6	4.1	4.9	4.9	4.7	4.6
2014-15	5.0	4.9	4.5	5.3	.	4.1	.	4.4	4.0	4.9	4.7	4.7	4.5
2015-16	5.0	4.9	4.2	4.5	.	4.0	.	4.3	4.1	4.8	4.5	4.6	4.4
2016-17	4.9	4.8	4.2	4.5	.	3.9	.	4.2	4.1	4.7	4.3	4.6	4.3
2017-18	4.7	4.7	4.1	4.4	5.5	3.9	.	4.1	4.1	4.6	4.3	4.6	4.2
2018-19	4.5	4.5	4.0	4.4	5.5	3.8	.	4.1	4.1	4.5	4.2	4.6	4.2

This table reports the number of years between the start date (using date of first entry) and the end date (using the last month in the term degree was granted) for a graduating class of first-time, single-major baccalaureates in 120 credit hour programs within a (Summer, Fall, Spring) year. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted.

FOUR-YEAR FTIC GRADUATION RATES (INCLUDES FULL- AND PART-TIME STUDENTS)

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS SAME	SUS ANY
2007-11	12	15	21	19	.	56	57	35	65	20	35	20	38	39
2008-12	12	17	23	23	.	61	57	40	67	25	37	27	40	42
2009-13	11	19	21	27	.	61	63	40	66	26	42	26	41	43
2010-14	12	19	20	24	.	60	54	40	67	26	43	26	40	42
2011-15	13	23	21	26	.	62	57	40	67	30	48	19	42	44
2012-16	18	24	21	28	.	62	56	42	67	31	50	20	43	45
2013-17	20	25	22	31	.	63	57	43	68	31	53	21	45	47
2014-18	25	26	23	33	60	64	58	44	68	32	56	22	46	48
2015-19	30	27	30	35	65	65	59	45	69	32	58	23	48	50

This table reports the percentage of First-Time-in-College (FTIC) undergraduates who enter the institution in the Fall term (or Summer term and continue into the Fall term) and who have graduated from the same institution within four years. The State University System (SUS) includes a second graduation rate which includes all graduates within the System – including those who transfer between universities. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System out-year goals are mathematically derived from the university goals.

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Key Performance Indicators: Teaching & Learning (continued)

BACHELOR'S DEGREES AWARDED (First-majors only)

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	1,296	4,593	1,616	6,637	.	7,886	167	10,646	8,685	2,995	8,190	1,903	54,614
2011-12	1,466	4,892	1,744	7,240	.	7,860	179	11,515	8,601	3,113	8,827	2,053	57,490
2012-13	1,489	5,124	1,875	7,746	.	7,938	198	12,321	8,245	3,221	8,999	1,969	59,125
2013-14	1,560	5,017	1,864	8,067	.	8,105	144	12,372	8,515	3,177	9,390	1,923	60,134
2014-15	1,508	5,473	2,062	8,494	.	8,421	177	12,629	8,604	3,207	9,290	1,926	61,791
2015-16	1,590	5,625	2,132	8,600	.	8,750	180	12,850	8,515	3,300	9,081	2,000	62,623
2016-17	1,620	5,591	2,163	8,800	11	8,750	185	13,100	8,515	3,350	9,225	2,010	63,320
2017-18	1,625	5,703	2,200	8,900	223	8,900	190	13,325	8,515	3,400	9,358	2,020	64,359
2018-19	1,650	5,817	2,300	9,000	271	9,050	195	13,500	8,600	3,450	9,492	2,030	65,355

GRADUATE DEGREES AWARDED (First-majors only)

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	630	1,463	409	2,971	.	3,095	.	2,538	6,075	595	3,010	621	21,407
2011-12	607	1,405	397	3,383	.	3,051	.	2,679	5,949	620	3,159	581	21,831
2012-13	678	1,543	385	3,440	.	3,104	.	2,587	5,981	582	3,209	625	22,134
2013-14	615	1,518	368	3,610	.	2,927	.	2,918	6,241	590	3,401	674	22,862
2014-15	585	1,575	339	3,684	.	3,019	.	2,673	5,612	598	3,773	792	22,650
2015-16	625	1,618	350	3,597	.	2,930	0	2,770	5,620	600	3,877	790	22,777
2016-17	628	1,650	370	3,596	23	2,980	7	2,800	5,650	605	3,954	795	23,058
2017-18	635	1,683	375	3,628	11	3,050	15	2,825	5,700	610	4,035	800	23,367
2018-19	642	1,717	400	3,638	16	3,100	15	2,950	5,800	615	4,092	805	23,790

These tables report the counts of distinct baccalaureate and graduate degrees. In those cases where baccalaureate degrees are awarded under two different degree CIPs, a distinction is made between "dual degrees" and "dual majors." Dual degrees are counted as separate degrees (i.e., counted twice), and include those cases where the second major differs substantially from the first because either the college is different, the degree designation is different (e.g., BA, BS, BBA, BFA, etc.), or the degree CIP is in a different 2-digit range (e.g., 51* vs. 52*); in these cases, the second degree CIP receives a "degree fraction" of 1.0. If these conditions do not apply, the second major is considered a dual major, and the degree associated with it is not counted a second time; in these cases, each dual major degree CIP receives a degree fraction of .5 apiece. The calculation of degree fractions is made according to each institution's criteria. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System out-year goals are mathematically derived from the university goals.

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Key Performance Indicators: Teaching & Learning (continued)

PERCENTAGE OF BACHELOR'S DEGREES AWARDED TO AFRICAN-AMERICAN & HISPANIC STUDENTS

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	96	39	18	81	.	22	12	25	27	16	26	13	33
2011-12	97	42	18	81	.	24	15	26	27	17	27	16	35
2012-13	97	42	23	82	.	24	14	28	27	17	28	15	36
2013-14	96	44	25	84	.	26	14	30	26	18	28	17	37
2014-15	97	45	25	85	.	25	11	31	26	19	30	18	39
2015-16	97	46	25	86	.	26	23	32	26	20	30	19	39
2016-17	95	47	26	86	23	27	24	33	26	20	30	20	39
2017-18	95	48	26	86	24	28	25	34	26	21	30	21	39
2018-19	94	49	26	87	25	29	26	35	26	21	31	22	40

This table reports the number of baccalaureate degrees awarded to non-Hispanic Black and Hispanic students divided by the total degrees (excluding those awarded to non-resident aliens and those who chose not to report their race). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System out-year goals are mathematically derived from the university goals.

PERCENTAGE OF UNDERGRADUATES AT LEAST 25 YEARS OLD

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
FALL 2011	10	30	15	25	.	7	2	20	6	23	26	31	19
FALL 2012	11	29	14	24	.	7	2	21	6	24	26	31	19
FALL 2013	11	28	13	24	.	7	1	21	6	25	24	32	19
FALL 2014	10	28	13	24	8	6	1	21	6	23	24	32	18
FALL 2015	10	28	13	24	8	6	1	21	6	23	24	31	18
FALL 2016	10	28	13	24	9	6	1	21	6	23	23	32	18
FALL 2017	10	28	14	24	10	6	1	22	6	23	23	32	19
FALL 2018	10	28	15	24	10	6	1	22	6	23	22	33	19
FALL 2019	10	28	16	24	10	6	1	23	6	23	22	34	19

This table reports the age of the student at the time of enrollment (not upon entry). Age acts as a surrogate variable that captures a large, heterogeneous population of adult students who often have family and work responsibilities as well as other life circumstances that can interfere with successful completion of educational objectives. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System out-year goals are mathematically derived from the university goals.

PERCENTAGE OF UNDERGRADUATE FTE IN ONLINE COURSES

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	0	6	16	17	.	3	0	24	14	4	20	26	14
2011-12	0	7	15	20	.	4	0	25	15	6	22	25	15
2012-13	1	9	15	21	.	6	0	27	20	8	23	29	18
2013-14	1	10	16	24	.	9	0	28	26	11	23	30	20
2014-15	2	11	18	25	0	11	0	30	27	14	26	29	22
2015-16	2	19	19	28	0	13	0	31	27	15	28	30	24
2016-17	4	20	20	31	0	14	0	32	28	16	28	31	25
2017-18	8	22	25	35	0	16	0	33	29	17	29	32	27
2018-19	13	25	30	40	7	17	0	35	30	18	30	33	29

This table reports the percent of undergraduate Full-time Equivalent (FTE) instructional activity based on the US definition, which divides undergraduate credit hours by 30. Distance Learning is a course in which at least 80 percent of the direct instruction of the course is delivered using some form of technology when the student and instructor are separated by time or space, or both (per 1009.24(17), F.S.). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System out-year goals are mathematically derived from the university goals.

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Key Performance Indicators: Teaching & Learning (continued)

PERCENT OF BACHELOR'S DEGREES IN STEM & HEALTH

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	36	24	27	22	.	17	30	26	37	27	25	26	26
2011-12	35	25	26	21	.	18	25	27	40	26	29	27	27
2012-13	39	27	28	20	.	20	29	29	40	26	32	31	28
2013-14	39	31	30	22	.	19	34	30	42	29	34	36	30
2014-15	40	31	31	23	.	22	27	33	43	30	39	38	32
2015-16	41	33	32	24	.	24	39	34	44	31	41	39	34
2016-17	43	33	33	24	100	26	40	35	44	32	41	40	34
2017-18	45	34	34	25	100	28	41	36	45	33	43	41	36
2018-19	46	35	35	25	100	29	42	36	45	34	43	42	36

PERCENT OF GRADUATE DEGREES IN STEM & HEALTH

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	40	29	27	33	.	24	.	40	54	31	47	19	40
2011-12	44	30	29	29	.	23	.	43	56	32	46	17	40
2012-13	41	30	32	32	.	24	.	42	58	33	50	19	42
2013-14	41	33	35	31	.	25	.	41	58	34	52	20	42
2014-15	51	44	37	32	.	26	.	44	58	35	57	21	44
2015-16	49	43	38	33	.	29	.	45	58	36	60	21	46
2016-17	49	44	39	34	100	30	100	45	59	37	61	23	46
2017-18	50	45	40	34	100	31	100	46	59	38	62	24	47
2018-19	51	46	41	35	100	32	100	46	59	39	63	25	48

These tables report the percentage of baccalaureate and graduate majors within the specific disciplines of Science, Technology, Engineering, or Mathematics (STEM) and Health Professions. Both the numerator and denominator include second majors. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System out-year goals are mathematically derived from the university goals.

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Key Performance Indicators: Specific to Research Universities

from the 2025 System Strategic Plan that are not included in PBF or Preeminence

FACULTY AWARDS

	FAMU	FAU	FIU	FSU	UCF	UF	USF
2009	2	1	7	7	3	22	10
2010	2	1	11	9	4	22	7
2011	1	4	5	11	4	18	10
2012	2	1	8	7	7	20	5
2013	1	3	4	2	6	15	8
2014	2	5	8	6	12	21	7
2015	2	6	8	8	14	22	8
2016	2	7	8	9	15	23	9
2017	3	8	8	10	18	25	10

This table reports the number of awards faculty received during the year based on the 23 awards tracked by the Center for Measuring University Performance's annual 'Top American Research Universities' report. Actual data is shown in highlighted rows, planned/projected goals are not highlighted.

TOTAL RESEARCH EXPENDITURES (\$M)

	FAMU	FAU	FIU	FSU	UCF	UF	USF
2010-11	53	62*	110	230	109	740	401
2011-12	52	65*	118	225	122	697	451
2012-13	51	24	128	251	127	695	467
2013-14	46	23	133	253	186	709	497
2014-15	47	21	163	256	216	740	494
2015-16	47	23	166	223	218	747	495
2016-17	50	29	173	219	226	762	510
2017-18	53	32	183	220	238	777	525
2018-19	55	34	200	221	250	793	541

PERCENTAGE OF RESEARCH EXPENDITURES FROM EXTERNAL SOURCES

	FAMU	FAU	FIU	FSU	UCF	UF	USF
2010-11	88	34*	69	64	73	49	70
2011-12	86	35*	69	66	75	53	62
2012-13	80	79	62	64	69	51	59
2013-14	81	84	64	66	46	54	60
2014-15	81	79	52	60	50	52	55
2015-16	80	88	53	57	53	52	56
2016-17	80	89	54	57	54	52	57
2017-18	80	90	55	58	55	52	58
2018-19	83	91	57	59	56	52	59

The two research expenditure tables report the total expenditures for all research activities (including non-science and engineering activities) as reported in the National Science Foundation annual survey of Higher Education Research and Development (HERD). The percentage of research expenditures reports the amount of expenditures that were funded from federal, private industry and other (non-state and non-institutional) sources.

Actual data is shown in highlighted rows, planned/projected goals are not highlighted. Note*: Starting in 2012-13, FAU modified the methodology to align with NSF change in reporting requirements.

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Key Performance Indicators: Research Universities (continued)

LICENSES/OPTIONS EXECUTED

	FAMU	FAU	FIU	FSU	UCF	UF	USF
2009-10	0	6	1	6	12	92	37
2010-11	0	5	0	10	14	131	36
2011-12	0	2	0	13	11	129	52
2012-13	0	6	3	15	17	140	75
2013-14	0	17	3	17	23	147	91
2014-15	4	13	2	11	31	225	119
2015-16	4	16	3	16	33	230	120
2016-17	6	20	4	17	34	235	121
2017-18	6	30	4	17	36	240	122

This table reports the number of licenses/options executed as reported in the annual Accountability Reports. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted.

NUMBER OF START-UP COMPANIES

	FAMU	FAU	FIU	FSU	UCF	UF	USF
2009-10	0	0	0	2	7	9	5
2010-11	0	0	0	4	1	12	8
2011-12	0	0	0	0	5	15	10
2012-13	0	1	1	2	3	16	11
2013-14	0	0	2	8	8	15	11
2014-15	2	2	2	2	10	17	8
2015-16	2	4	3	4	12	17	9
2016-17	2	5	3	5	14	18	10
2017-18	2	6	4	5	16	18	11

This table reports the number of start-up companies that were dependent upon the licensing of University technology for initiation as reported in the annual Accountability Reports. Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted.

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Planned Enrollment

Distance Learning as a Percentage of Total FTE Enrollment

UNDERGRADUATE

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	0	6	16	17	.	3	0	24	14	4	20	26	14
2011-12	0	7	15	20	.	4	0	25	15	6	22	25	15
2012-13	1	9	15	21	.	6	0	27	20	8	23	29	18
2013-14	1	10	16	24	.	9	0	28	26	11	23	30	20
2014-15	2	11	18	25	0	11	0	30	27	14	26	29	22
2015-16	2	19	19	28	0	13	0	31	27	15	28	30	24
2016-17	4	20	20	31	0	14	0	32	28	16	28	31	25
2017-18	8	22	25	35	0	16	0	33	29	17	29	32	27
2018-19	13	25	30	40	7	17	0	35	30	18	30	33	29

GRADUATE

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	0	22	24	13	.	8	.	30	13	9	21	55	17
2011-12	2	23	26	17	.	7	.	29	11	10	20	54	17
2012-13	4	25	25	18	.	9	.	29	23	13	22	63	21
2013-14	3	26	25	18	.	11	.	28	26	15	22	68	22
2014-15	3	27	27	19	0	12	0	28	28	18	24	70	24
2015-16	3	30	36	28	0	14	0	29	27	16	24	71	26
2016-17	3	31	37	30	0	1	0	29	28	16	25	72	25
2017-18	4	33	38	36	0	16	0	29	28	17	25	73	28
2018-19	5	34	39	39	0	17	0	29	28	18	25	74	29

Note: This table reports the percentages of FTE enrollment that is classified as Distance Learning for all students at all campuses regardless of funding source. Distance Learning is a course in which at least 80 percent of the direct instruction of the course is delivered using some form of technology when the student and instructor are separated by time or space, or both (per 1009.24(17), F.S.). Actual data are shown in the highlighted rows, and planned/projected goals are not highlighted. The System out-year goals are mathematically derived from the university goals.

SYSTEM SUMMARY
OF UNIVERSITY WORK PLANS
2016



STATE UNIVERSITY SYSTEM of FLORIDA
Board of Governors

09/09/2016

Planned Enrollment (continued)

TOTAL UNDERGRADUATE FTE ENROLLMENT BY ACADEMIC YEAR

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	11,281	19,296	9,244	30,879	.	32,713	917	43,539	32,641	12,691	34,005	8,373	235,579
2011-12	10,655	20,401	9,898	33,550	.	33,815	961	45,446	32,457	12,766	34,093	8,620	242,661
2012-13	9,632	20,736	10,384	34,130	.	33,505	946	45,565	32,315	12,712	34,282	8,810	243,015
2013-14	8,656	21,011	10,943	35,637	.	31,448	896	45,138	32,617	12,462	33,843	8,588	241,238
2014-15	8,090	20,781	11,431	36,257	500	31,558	957	45,796	32,664	12,302	33,680	8,516	242,532
2015-16	7,924	21,308	11,738	36,520	862	30,566	959	47,283	33,715	11,904	34,169	8,565	245,513
2016-17	7,489	21,201	11,943	38,201	1,208	31,223	933	48,104	35,109	11,994	34,481	8,570	250,456
2017-18	7,550	21,424	12,223	38,938	1,221	31,399	960	48,890	36,692	12,125	34,773	8,577	254,772
2018-19	7,826	21,650	12,510	39,894	1,259	31,566	990	49,645	38,177	12,255	35,073	8,586	259,431
2019-20	8,057	21,878	12,803	40,980	1,254	31,753	1,018	50,586	39,578	12,389	35,328	8,600	264,224
2020-21	8,328	22,111	13,105	42,595	1,257	31,938	1,041	51,453	40,916	12,525	35,648	8,618	269,535
2021-22	8,467	22,344	13,414	43,314	1,276	32,125	1,062	51,655	42,089	12,662	35,977	8,637	273,022
ACTUAL ANNUAL %CHANGE	-8.0%	1.9%	5.5%	4.1%	.	-0.9%	1.1%	1.3%	0.0%	-0.8%	-0.2%	0.4%	0.7%
PLANNED ANNUAL %CHANGE	2.5%	1.1%	2.4%	2.5%	1.1%	0.6%	2.6%	1.4%	3.7%	1.1%	0.9%	0.2%	1.7%

This table reports the actual and planned Full-time Equivalent (FTE) enrollment by Academic Year. Full-time Equivalent (FTE) student is a measure of instructional activity that is based on the number of credit hours that students enroll. This FTE data is based on the US definition, which divides undergraduate credit hours by 30 and graduate credit hours by 24. The planned annual percent change is based on the growth rate from 2016-17 to 2021-22. Actual data are shown in the highlighted rows, and planned/projected goals are rounded to the nearest tens digit and are not highlighted. The System out-year goals are mathematically derived from the university goals.

SYSTEM SUMMARY
OF UNIVERSITY WORK PLANS
2016



STATE UNIVERSITY SYSTEM of FLORIDA
Board of Governors

09/09/2016

Planned Enrollment (continued)

TOTAL GRADUATE FTE ENROLLMENT BY ACADEMIC YEAR

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	2,069	3,369	1,025	7,532	.	7,711	.	5,956	14,945	1,375	7,800	1,340	53,122
2011-12	2,119	3,263	981	7,903	.	7,772	.	5,851	14,609	1,335	7,806	1,353	52,993
2012-13	2,072	3,304	906	7,946	.	7,553	.	5,892	14,518	1,277	7,968	1,430	52,867
2013-14	1,861	3,163	859	7,967	.	7,454	.	5,738	14,129	1,279	8,282	1,550	52,281
2014-15	1,796	3,133	837	8,015	20	7,337	.	5,518	14,036	1,364	8,555	1,633	52,244
2015-16	1,721	3,181	823	8,032	24	7,131	4	5,532	14,412	1,361	8,675	1,688	52,584
2016-17	1,627	3,216	836	8,685	38	7,335	22	5,801	14,715	1,403	8,844	1,701	54,223
2017-18	1,640	3,251	853	8,675	52	7,464	30	6,019	14,771	1,424	9,017	1,720	54,916
2018-19	1,700	3,287	876	8,887	53	7,611	30	6,219	14,775	1,445	9,195	1,746	55,824
2019-20	1,750	3,326	900	9,130	67	7,777	30	6,417	14,779	1,467	9,376	1,780	56,799
2020-21	1,809	3,363	925	9,490	67	7,944	30	6,627	14,784	1,488	9,561	1,818	57,906
2021-22	1,839	3,403	952	9,649	68	8,124	30	6,730	14,790	1,512	9,751	1,865	58,713
ACTUAL ANNUAL %CHANGE	-3.5%	-1.8%	-4.9%	1.6%	.	-1.2%	.	-1.9%	-1.6%	-0.2%	2.3%	5.1%	-0.4%
PLANNED ANNUAL %CHANGE	2.5%	1.1%	2.6%	2.1%	12.3%	2.1%	6.4%	3.0%	0.1%	1.5%	2.0%	1.9%	1.6%

This table reports the actual and planned Full-time Equivalent (FTE) enrollment by Academic Year. Full-time Equivalent (FTE) student is a measure of instructional activity that is based on the number of credit hours that students enroll. This FTE data is based on the US definition, which divides undergraduate credit hours by 30 and graduate credit hours by 24. The planned annual percent change is based on the growth rate from 2016-17 to 2021-22. Actual data are shown in the highlighted rows, and planned/projected goals are rounded to the nearest tens digit and are not highlighted. The System out-year goals are mathematically derived from the university goals.

SYSTEM SUMMARY
OF UNIVERSITY WORK PLANS
2016



STATE UNIVERSITY SYSTEM of FLORIDA
Board of Governors

09/09/2016

Planned Academic Programs

New Programs To Be Considered in 2016-17 by the Universities

CIP	CIP TITLE	PSE	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF TAMPA	USF SP	USF SM	UWF
NUMBER OF NEW PROGRAMS		55	8	3	2	11	0	6	0	9	2	4	2	1	0	7
PROGRAMS OF STRATEGIC EMPHASIS (PSE)		46	8	3	2	11	0	4	0	6	1	2	2	1	0	6
BACHELOR'S																
01.1001	Food Science	Y	•													
03.0103	Environmental Studies	Y								•						
09.0101	Communication Studies	Y										•				
09.0702	Digital Communication and Media	Y	•			•										
09.0900	Public Relations, Advertising	Y				•							•			
11.0104	Informatics	Y			•											
14.1801	Materials Science and Engineering	Y								•						
14.1901	Mechanical Engineering	Y														•
15.1001	Construction Management	Y			•											
15.1003	Concrete Industry Management	Y				•										
15.9999	Internet of Things	Y				•										
26.0102	Biomedical Sciences	Y														•
26.1501	Neuroscience	Y						•								
30.0000	General Studies															•
30.0101	Honors Science	Y		•												
44.9999	Nonprofit Management									•						
51.0000	Interprofessional Health Sciences	Y		•												
51.2201	Public Health	Y	•			•										
52.0203	Supply Chain Management	Y	•			•										
52.0701	Entrepreneurship							•								
MASTER'S, SPECIALIST AND OTHER ADVANCED MASTER'S PROGRAMS																
09.0900	Public Relations, Advertising	Y											•			
11.0103	Information Technology	Y														•
11.0199	Data Science	Y				•										
13.0401	Educational Leadership											•				
13.0406	Higher Education Administration											•				
14.0201	Aerospace Engineering	Y	•					•								
16.0399	East Asian Languages and Cultures	Y						•								
26.1307	Conservation Biology	Y												•		
30.2501	Cognitive Sciences and Systems	Y								•						
30.3201	Marine & Coastal Sciences	Y		•												
43.0118	Law Enforcement Intelligence							•								
43.0302	Emergency and Crisis Mgmt									•						
45.0702	GIS Administration	Y														•
51.0701	Healthcare Administration	Y														•
51.0913	Athletic Training	Y										•				
51.1503	Social Work	Y														•
51.9999	Genetics Counseling	Y								•						
52.0203	Supply Chain Management	Y	•			•										
52.0701	Entrepreneurship									•						
DOCTORAL																
14.0201	Aerospace Engineering	Y	•					•								
16.0102	Linguistics	Y				•										
19.0701	Family, Youth & Comm Science										•					
27.0101	Mathematical Science	Y				•										
27.0501	Data Analytics	Y								•						
30.1701	Integrative Anthropological Sciences	Y								•						
51.2306	Occupational Therapy	Y									•					
51.3818	Doctor of Nursing Practice	Y	•													
52.1101	Business Admin. International	Y				•										

SYSTEM SUMMARY
OF UNIVERSITY WORK PLANS

2016



STATE UNIVERSITY SYSTEM of FLORIDA

Board of Governors

09/09/2016

Gift Aid & Net Tuition by Family Income Group

PERCENT OF 2015-16 FULL-TIME FLORIDA RESIDENT UNDERGRADUATES BY FAMILY INCOME

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
BELOW \$40,000	60	36	23	53	.	22	25	34	25	31	33	36	33
\$40,000-\$59,999	14	11	9	11	.	9	12	10	9	12	12	11	10
\$60,000-\$79,999	8	8	7	6	.	8	8	8	7	10	9	9	8
\$80,000-\$99,999	5	5	7	4	.	8	5	7	6	9	8	8	6
\$100,000 ABOVE	11	15	17	11	.	32	34	23	25	26	22	19	22
NOT REPORTED	3	25	36	15	.	20	16	19	29	13	16	19	21

AVERAGE ANNUAL GIFT AID BY FAMILY INCOME (\$)

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
BELOW \$40,000	7,880	7,230	10,070	7,290	.	9,420	10,490	7,850	10,350	9,040	8,830	7,300	8,450
\$40,000-\$59,999	5,480	5,120	5,810	4,730	.	6,580	9,570	6,300	6,620	6,930	6,780	4,970	6,090
\$60,000-\$79,999	3,610	2,840	3,610	3,090	.	4,110	7,220	4,670	4,210	4,710	4,510	2,500	4,020
\$80,000-\$99,999	3,550	2,040	3,040	2,740	.	3,350	6,120	4,120	3,390	3,210	3,360	1,860	3,280
\$100,000 ABOVE	3,440	1,720	2,600	2,440	.	2,950	5,510	4,000	3,150	2,760	3,390	1,540	3,090
NOT REPORTED	270	900	0	1,210	.	3,100	4,880	2,110	2,810	3,400	2,520	1,110	2,080
TOTAL	6,310	4,000	3,820	3,580	.	4,890	7,330	4,840	5,090	5,560	5,550	3,990	4,770

AVERAGE ANNUAL NET TUITION & FEES BY FAMILY INCOME

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
BELOW \$40,000	-2,380	-1,820	1,750	-70	.	-3,420	-4,040	-1,970	-4,040	-3,280	-3,050	-1,730	-2,100
\$40,000-\$59,999	50	340	3,650	2,630	.	-550	-3,280	-470	-310	-1,150	-970	650	170
\$60,000-\$79,999	2,020	2,620	4,900	4,100	.	1,950	-910	1,070	2,100	1,080	1,420	3,120	2,150
\$80,000-\$99,999	2,130	3,420	4,840	4,720	.	2,720	160	1,750	2,920	2,530	2,590	3,720	2,910
\$100,000 ABOVE	2,240	3,770	4,950	4,890	.	3,120	600	1,890	3,160	2,990	2,590	4,150	3,070
NOT REPORTED	5,260	3,980	5,490	5,570	.	3,010	1,090	3,810	3,500	2,130	3,400	4,500	3,890
TOTAL	-770	1,300	2,290	3,640	.	1,170	-1,110	1,010	1,220	180	330	1,620	1,320

These tables report Fall and Spring financial aid data that are accurate as of March 31, 2016. Please note that small changes to Spring 2016 awards are possible before the data is finalized. **Family Income Groups** are based on the Total Family Income (including untaxed income) as reported on student FAFSA records. Full-time Students is a headcount based on at least 24 credit hours during Fall and Spring terms. **Net Tuition & Fees** is the actual average of the total costs of tuition and fees (which will vary by income group due to the amount of credit hours students are enrolled) minus the average Gift Aid amount. **Average Gift Aid** includes all grants and scholarships from Federal, State, University and other private sources administered by the Financial Aid Office. Student waivers are also included in the Gift Aid amount. Gift Aid does not include the parental contribution towards EFC. The bottom-line Average represents the average of all full-time undergraduate Florida residents. "Not Reported" represents students who did not file a Free Application for Federal Student Aid (FAFSA).

SYSTEM SUMMARY
OF UNIVERSITY WORK PLANS

2016



STATE UNIVERSITY SYSTEM of FLORIDA
Board of Governors

09/09/2016

Student Debt

PERCENT OF BACHELOR'S RECIPIENTS WITH DEBT

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	84	49	45	47	.	54	32	49	38	43	53	48	49
2011-12	85	46	47	46	.	51	39	52	41	41	57	52	50
2012-13	86	50	49	49	.	53	39	48	43	49	59	55	51
2013-14	87	53	46	48	.	54	38	50	44	49	59	56	52
2014-15	88	54	46	48	.	52	48	52	43	49	59	56	52

This table reports the percentage of bachelor's graduates in a given academic year who entered the university as a first-time-in-college (FTIC) student and who borrowed through any loan programs (institutional, state, Federal Perkins, Federal Stafford Subsidized and unsubsidized, private) that were certified by the university - excludes parent loans. Source: Common Dataset (H4). Only actual data are provided.

AVERAGE AMOUNT OF DEBT FOR ALL BACHELOR'S GRADUATES (\$)

	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2010-11	24,800	9,400	7,500	8,100	.	11,400	4,500	9,700	6,700	7,100	11,500	8,400	9,500
2011-12	25,200	9,200	8,400	8,100	.	11,900	7,100	11,100	8,100	6,900	12,900	9,800	10,400
2012-13	26,900	10,700	10,500	8,800	.	12,100	7,000	11,100	8,900	8,900	13,400	11,000	11,000
2013-14	27,300	10,800	10,600	8,900	.	13,100	6,700	11,700	9,100	9,700	13,300	11,800	11,400
2014-15	28,500	12,500	11,300	9,100	.	11,900	7,200	11,300	9,400	10,100	13,400	12,700	11,500

This table reports the average amount of cumulative principal borrowed (from any loan program certified by the institution) for each native, FTIC bachelor's recipient in a given academic year. This data is calculated by multiplying the 'Percent of Bachelor's with Debt' and the 'Avg Amount of Debt for Bachelor's with Debt' as reported by universities to the Common Dataset CDS (H5). Only actual data are provided.

STUDENT LOAN DEFAULT RATES (3YR RATES)

DEFAULT YEARS	FAMU	FAU	FGCU	FIU	POLY	FSU	NCF	UCF	UF	UNF	USF	UWF	SUS
2009-12	18.9	8.5	8.2	10.5	.	6.9	6.9	7.1	3.8	8.8	10.0	10.6	8.7
2010-13	14.7	7.6	6.3	8.9	.	5.8	1.0	5.4	3.6	7.5	7.4	9.7	7.1
2011-14	14.7	6.2	4.6	6.8	.	5.0	5.4	4.3	3.0	4.7	5.3	7.5	5.7
2012-15 PRELIM.	14.0	5.2	6.0	5.5	.	4.4	5.4	4.9	2.1	4.5	5.2	7.2	5.4

This table reports the Student loan cohort default rate (CDR) data and includes undergraduate and graduate students. This data refers to the three federal fiscal year period when the borrower enters repayment. Default rate debt includes: Federal Stafford Loans, and Direct Stafford/Ford Loans – for more information see: <http://ifap.ed.gov/DefaultManagement/CDRGuideMaster.html>. Actual data are shown in the highlighted rows. The 2012-15 data is preliminary and not finalized until September. The preliminary System data is mathematically derived from the university preliminary data.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Strategic Planning Committee
September 21, 2016**

SUBJECT: UCF Five-year Plan for Emerging State Research University Preeminence

PROPOSED COMMITTEE ACTION

Consider for approval the UCF Five-year Plan for Emerging State Research University Preeminence

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

UCF has submitted a Five-year Plan associated with the Emerging Preeminent State Research Universities Program created by the 2016 Legislature. UCF's Emerging Preeminence Plan was presented to and accepted by the University of Central Florida's Board of Trustees at its meeting on July 28, 2016. If the Committee approves UCF's Emerging Preeminent State Research Universities Program Five-year Plan, its approval would be forwarded to the full Board of Governors for consideration and the \$5M associated with Program would be released.

Supporting Documentation Included:	UCF Emerging Preeminence Five-year Plan
Facilitators / Presenters:	University Representatives



Path to Preeminence: Five-year Benchmarking Plan

University of Central Florida

July 2016

In 2016, the University of Central Florida was designated as an emerging preeminent state research university by the authority of Florida Statute 1001.7065. Subsection (5)(b) requires that a state university designated as an emerging preeminent state research university submit to the Board of Governors a five-year benchmark plan with target rankings on key performance metrics for national excellence.

UCF's plan will achieve full preeminence within five years.

In addition to the state's preeminent metrics, another indicator of national excellence is the Carnegie Classifications of Institutions of Higher Education. In 2015, when Carnegie released updated rankings, UCF maintained the highest possible ranking of R1: Doctoral Universities – Highest Research Activity. This ranking was awarded to only 2.5 percent of all evaluated institutions and was achieved by only 81 public institutions. Additionally, UCF is one of only 47 public institutions that pairs Carnegie's highest research activity classification with its optional Carnegie Community Engagement Classification of "Curricular Engagement and Outreach and Partnerships." The pairing of these classifications is recognition of UCF's commitment to teaching, research, and service.

Preeminence Metrics Achieved

In 2016, UCF achieved six of the 12 preeminent metrics as defined in Florida Statute 1001.7065 qualifying UCF for the "Emerging Preeminence" designation. The six metrics are detailed in Table 1, including current performance and annual projections over the next five years.

UCF newly approved strategic plan, UCF Collective Impact, has embedded the preeminent metrics among the various goals included within the plan.

The university and its leadership will remain diligent in the continued improvement of our performance in these six metrics.

Table 1: Preeminent Metrics Achieved			
	BENCH-MARK	2016 ACTUAL	2021 GOAL
Average GPA and SAT Score <i>for incoming freshman in Fall semester</i>	4.0 GPA 1200 SAT	4.0 1261 <small>Fall 2015</small>	4.1 1273 <small>Fall 2020</small>
6-year Graduation Rate <i>Full-time, FTIC</i>	70%	70.4% <small>2009-15</small>	75% <small>2014-20</small>
Non-Medical Science & Engineering Research Expenditures (\$M)	\$150 M	\$168 <small>2014-15</small>	\$202 <small>2019-20</small>
National Ranking in S.T.E.M. Research Expenditures <i>includes public and private institutions</i>	Top 100 <small>in 5 of 8 disciplines</small>	7 <small>2013-14</small>	7 <small>2018-19</small>
Patents Awarded <i>over 3-year period</i>	100	179 <small>2013-15</small>	245 <small>2018-20</small>
Doctoral Degrees Awarded Annually	400	423 <small>2014-15</small>	490 <small>2019-20</small>



Metrics That Require Steady Work

This plan outlines how UCF will achieve an additional five metrics (for a total of 11 of 12) to attain the full preeminent state research university designation in 2021. Table 2 details the metrics where UCF's performance is below the preeminent benchmark as of 2016. The table also presents current performance and annual projections for the each of the metrics over the next five years.

Public University National Ranking

The preeminent benchmark requires two top 50 rankings among public institutions. This benchmark aligns with the Florida Board of Governors' 2025 System Strategic Plan for the State University System that calls for five institutions to be in the Top 50 by 2025. UCF currently has one ranking in the top 50 among public institutions. Kiplinger's (2015) "Best Values in Public Education" lists UCF as number 36.

UCF is ranked 54th among public institutions by *Washington Monthly's* "College Guide Rankings 2015 – National Universities." *Washington Monthly's* rankings incorporate social mobility for low-income students, quality scholarship, and public service. With UCF's continued commitment to access through DirectConnect to UCF, our advances in research expenditures, and our demonstrated focus on community engagement make achieving a top 50 by *Washington Monthly* a realistic near-term goal.

UCF is ranked 91st among public institutions by *U.S. News & World Report's* "Best Colleges" (2016). To better understand our performance, UCF conducted an in-depth review and generated a metric by metric breakdown. We also limited the comparison benchmark to only include the 81 public R1 institutions. The breakdown of this ranking shows that **UCF is already performing in the Top 50 in all student quality and outcome performance metrics**. Areas needing improvement include financial resources (benefiting from additional state allocations), faculty resources (benefiting from new tenure and tenure-track faculty lines), and peer assessment score.

The projected target for this metric is to achieve three top 50 rankings by 2021.

Freshman Retention Rate

The preeminent benchmark requires a 90 percent freshman retention rate. UCF achieved an 89.1 percent freshmen retention rate in 2016 (2014-15 cohort). Improving this metric remains a critical goal within UCF's 2016-17 Work Plan and also is embedded within UCF's Challenge 2020 (internal targets set by each college) and as a metric in UCF's Collective Impact strategic plan.

UCF has six major initiatives focused on improving student success by focusing on themes such as mapping and tracking, predictive analytics, intensive advising, consortia networking, and re-imagining the first year. These activities represent \$3.6 million in new recurring investments toward scholarships,

Table 2: Metrics to Achieve

	BENCH-MARK	2016 ACTUAL	2021 GOAL
Public University National Ranking <i>in more than one national ranking</i>	Top 50	1 2016	3 2021
Freshman Retention Rate <i>Full-time, FTIC</i>	90%	89% 2014-15	92% 2016-17
National Academy Memberships	6	1 2016	6 2021
Science & Engineering Research Expenditures (\$M)	\$200 M	\$170 2014-15	\$218 2019-20
Number of Post-Doctoral Appointees	200	55 Fall 2012	120 Fall 2017 205 Fall 2020
Endowment Size (\$M)	\$500 M	\$151 2014-15	\$215.0 2019-20



personnel, and software licenses. Additionally, \$1.5 million in new grant funding is providing critical resources toward retention projects. The projected target for freshman retention is 92 percent by 2021.

National Academy Memberships

The preeminent benchmark requires six national academy memberships. UCF had one national academy member as of 2016 (Fall 2015) and has secured a second member, who will begin in Fall 2016. The metric is embedded within the Faculty Prominence section of UCF's Collective Impact plan. Additional tenure-track faculty lines funded through Performance Based Funding and Emerging Preeminence allocations will allow UCF to recruit additional National Academy members and complementary additional tenure-track faculty members of all levels. UCF has committed \$3 million toward National Academy recruitment during fiscal year 2017 (\$1.2 million from preeminent funding). The projection for this metric is six National Academy members by 2021.

Science and Engineering Research Expenditures

The preeminent benchmark requires \$200 million in science and engineering research expenditures. UCF had \$170 million in science and engineering research expenditures as of 2016 (2014-15 fiscal year). One of the key charges in the university's Collective Impact strategic plan includes a goal of doubling research awards by 2021 and becoming a top 50 research university by 2035.

UCF has achieved two related preeminent metrics with \$150 million in non-medical science and engineering research expenditures and seven of eight research disciplines with expenditures in the top 100 among both public and private institutions. Achieving these metrics demonstrates UCF's national performance in STEM-research expenditures. Additionally, 58 percent of all new faculty lines (121 of 209) allocated in the past two years have been directed to science- and engineering-focused colleges, translating to a new recurring investment of \$14.5 million. The projection for total science and engineering research expenditures is \$218 million by 2021.

Post-Doctoral Appointees

The preeminent benchmark requires 200 post-doctoral appointees. UCF had 55 reported post-doctoral appointees in 2016 (Fall 2012 data). During Fall 2015 there were 68 post-doctoral appointees on campus. The metric is embedded within the Research Engagement section of the UCF Collective Impact strategic plan. UCF allocated nearly 40 percent (\$1.9 million) of its first year emerging preeminence funds into initiatives designed to revitalize and grow our post-doctoral appointees program. The projection for this metric is to steadily increase and have 205 post-doctoral appointees on campus by 2021.

Endowment Size

The preeminent benchmark requires \$500 million in endowment. UCF had \$151 million in endowment in 2016 (2014-15 fiscal year). UCF is committed to growing our endowment and is currently engaged in a \$500 million capital campaign publically announced in early September. UCF's Collective Impact strategic plan has set targets related to endowment, overall donor count, and increasing the volume of annual large gifts. The projection for this metric is \$215 million in 2021, and we do not anticipate meeting the preeminent benchmark.



UCF's Strategic Plan

UCF Collective Impact declares that “we use the power of scale and the pursuit of excellence to solve tomorrow’s greatest challenges and to make a better future for our students and society. Through learning, discovery, and partnerships, we transform lives and livelihoods.”

The plan continues by detailing “Our Promise” as the following.

- Harness the power of scale to transform lives and livelihoods.
- Attract and cultivate exceptional and diverse faculty, students, and staff whose collective contributions strengthen us.
- Deploy our distinctive assets to solve society’s greatest challenges.
- Create partnership at every level that amplify our academic, economic, social, and cultural impact and reputation.
- Innovate academic, operational, and financial models to transform higher education.

UCF Collective Impact also provides “Our Charge” for the next five years and through 2035. “Our Promise” and related charges provide the foundation and direction for UCF to drive improvements in strategic areas. As UCF continues to pursue excellence, attainment of additional preeminence metrics will be a byproduct of our everyday advances.

Conclusion

The University of Central Florida is grateful to Governor Scott, the Florida Legislature, and the Board of Governors for their support of the preeminent and emerging preeminent programs. The designation enhances UCF’s national prominence and demonstrates the state’s commitment to nurture the best higher education system in the nation.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Strategic Planning Committee
September 21, 2016**

SUBJECT: Florida State University Plan for Top Twenty-five Ranking of Public Universities

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

In the context of its 2016 University Work Plan presented in June 2016, Florida State University was asked to consider the particular initiatives and associated resources necessary to achieve a top twenty-five ranking of public universities. Florida State University will provide initiative plans and associated resource estimates on this topic.

Supporting Documentation Included:	None
Facilitators / Presenters:	University Representatives

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Strategic Planning Committee
September 21, 2016**

SUBJECT: University of Florida Plan for Top Ten Ranking of Public Universities

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

In the context of its 2016 University Work Plan presented in June 2016, the University of Florida was asked to consider the particular initiatives and associated resources necessary to achieve a top ten ranking of public universities. The University of Florida will provide initiative plans and associated resource estimates on this topic.

Supporting Documentation Included:	None
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Facilitators / Presenters:	University Representatives
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**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Strategic Planning Committee
September 21, 2016**

SUBJECT: University of Florida Health-Jacksonville Campus Reclassification

PROPOSED COMMITTEE ACTION

Consider reclassification of a Special Purpose Center to a Type III Campus for the University of Florida Health-Jacksonville site

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board Regulation 8.009

BACKGROUND INFORMATION

The University of Florida (UF) requests authorization to reclassify its Health-Jacksonville site from a Special Purpose Center to a Type III Campus in accordance with Board of Governors Regulation 8.009. The University intends to offer full degree programs, and the student FTE numbers in these programs will approach the 300 FTE threshold associated with a Type III Campus. UF intends to offer the Accelerated Bachelor of Science in Nursing and the Doctor of Pharmacy at the site.

The UF Board of Trustees approved the reclassification on April 1, 2016. If approved by the Board of Governors, UF expects to operate the site as a Type III Campus effective Fall 2016.

Supporting Documentation Included: University Proposal

Facilitators/Presenters: University Representatives

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**FLORIDA BOARD OF GOVERNORS
PROPOSAL TO RECLASSIFY A TYPE I, II, OR III CAMPUS OR A SPECIAL
PURPOSE CENTER**

<u>University of Florida</u> <u>University Submitting Proposal</u> <u>Special Purpose Center</u> <u>Current Type of Educational Site</u> <u>1602**</u> <u>Current Site ID</u> <u>Type III campus</u> <u>Proposed Type of Educational Site</u>	<u>UF Health - Jacksonville</u> <u>Educational Site Name</u> <u>653 West 8th Street</u> <u>Jacksonville, FL 32209*</u> <u>Physical Address (US Site: address, city, state, zip)</u> (International site: street address, number, city, county/province, country) <u>Summer 2016 ABSN</u> <u>Reclassification Effective Term</u> (First date and term under new classification)
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The submission of this proposal constitutes a commitment by the university that, if the proposal is approved, the necessary actions to accommodate students and faculty will have been met prior to final reclassifying the educational site.

April 1, 2016

Date Approved by the University Board of Trustees

President

8/2/16
Date

Signature of Chair,
Board of Trustees

Date

Vice President for Academic Affairs

8/8/16
Date

Provide current headcount (HC) and full-time equivalent (FTE) student enrollment at the educational site. Provide current headcount (HC) and full-time equivalent (FTE) of faculty and staff employed at the educational site. Indicate the current expenditures by fund source for the educational site.***

Students, Faculty, and Staff		
CURRENT	HC	FTE
Undergraduate	24	9
Graduate	180	67.5
Faculty	17	7.3
Staff	3	3.0

Site Expenditures***				
E&G Funds	Contract & Grants Funds	Auxiliary Funds	Other	Total
378,339				378,339

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PROPOSED	HC	FTE	E&G Funds	Contract & Grants Funds	Auxiliary Funds	Other	Total
Undergraduate	96	36	463,874				463,874
Graduate	220	82.5					
Faculty	20	10.3					
Staff	6	6.0					

*UF has SACSOC approval for this address (Nursing) as well as 633 W 8th Street (Medicine) and 580 W. 8th Street (Pharmacy).

**This is not an instructional site code, and changing to an instructional code for this facility is inappropriate. Instruction is one of many activities that occur at UF Health – Jacksonville, and is not now, nor will it ever be, a primary activity for the site.

***See Appendix A, Table 2 for financial information on the ABSN program.

****Enrollment projections are for the proposed ABSN program and PharmD; other onsite activities are internships and clinical research.

I. Introduction

A. Provide a short description of the educational site for which the request to reclassify is being made, including the main purpose for this site (research, instruction, administration, student services, etc.).

The University of Florida has been offering courses, clinical training, and recertification programs at UF Health – Jacksonville as a special purpose center, through its health sciences colleges, for many years. UF proposes at this time to reclassify the UF Health – Jacksonville facility as a Type III campus under BOG Regulation 8.009. For-credit undergraduate and professional courses have historically been offered at the facility by the colleges of Pharmacy and Nursing; however, the aggregate enrollments normally hover around 200 students. The proposed introduction of a Nursing accelerated bachelor's degree will bring enrollments close to the >300 threshold levied by the Regulation.

UF Health – Jacksonville is a fully-integrated, centrally-administered UF special purpose center. Activities predominately focus on the provision of health care to Northeast Florida and Jacksonville. For complete information on the facilities and relationship between the University of Florida and UF Health – Jacksonville, see <http://ufhealthjax.org/>. Academic courses, programs, and other instructional activities are, and will continue to be, incidental to the mission of the facility, but are useful to UF students and faculty in the development of health care skills and health sciences research, and also contribute to public health and workforce needs.

II. Need and Demand Assessment

A. Provide a narrative rationale for the request to reclassify the educational site

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that includes a need and demand assessment. The need and demand assessment for reclassifying an educational site to a new category in the taxonomy might address reduced or increased demand for access at a particular location or increased costs for instructional delivery that cannot be offset by increased enrollments.

UF Health - Jacksonville has long played a vital role in the University's health care offerings and programs. As is the case on the Gainesville campus, the College of Medicine is integrated with UF Health - Jacksonville's facilities through faculty exchanges, clinical training, residencies, and use of the facilities for faculty and student research. The UF College of Pharmacy makes available to Jacksonville residents and professionals a robust PharmD program that enrolls an average of 180 students/year. The College of Public Health and Health Professions affiliates with UF Health Jacksonville for internships in the DPT program, using on-site preceptors. UF's College of Nursing has had a presence at the UF Health - Jacksonville facility since 1979. Jacksonville, the most populous city in the state, is a highly diverse community, with a significant population of medically underserved individuals and families. By housing UF academic programs, clinical training, and other instructional activities in Jacksonville, UF helps meet the medical needs of the population while at the same time providing opportunities for UF faculty and staff to develop critical health-care skills. The College of Nursing and PharmD programs specifically recruit students from the Duval County area, which has a population that is 30% African-American/Black and 8% Hispanic.

The redesigned UF College of Nursing Accelerated Bachelor of Science in Nursing (ABSN) program is slated to be offered at the UF Health - Jacksonville location. This program was previously offered at the facility, but enrollments were phased out about seven years ago to allow for curricular redesign and reintegration with the College's mission. The College of Nursing proposes to enroll a cohort of students from the Jacksonville area who will receive their clinical training at the UF Health - Jacksonville hospital. The accelerated BSN is endorsed by the American Association of Colleges of Nursing (AACN) and the Robert Wood Johnson Foundation, among others, as an innovative, efficient educational model to increase nursing workforce capacity while maintaining program quality and integrity.

Demographic changes indicate that the state of Florida and the US at large will face a nursing shortage as more nurses reach retirement age. The shortage will be especially acute for BSN-prepared nurses. Since its inception, the UF College of Nursing has set high standards of excellence in educational programs. Extending UF's successful accelerated program to the Jacksonville community will contribute to the College's social mandate to prepare the next generation of nurses to meet the health care need of the citizens of Florida. Further, the program will contribute to increasing the diversity

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of the future nursing workforce, which was identified by the Institute of Medicine (2011) as a critical need for health care in our country.

The United States Department of Labor has projected the need for more than a million new nurses by 2018; the relative shortage of nurses is projected to be even more acute in the state of Florida. According to the Florida Department of Economic Opportunity "Help Wanted On-line" data, there were 929 *average monthly* job posting for nurses in Workforce Region 08 (Duval, Nassau, St Johns. Putnam, Clay, and Baker counties) for the first 7 months of 2015. Most hospital settings preferentially or exclusively hire BSN-prepared nurses, but these data do not differentiate between open BSN-prepared nurse positions from other registered nurse vacancies. According to the most recent report from the Florida Center for Nursing (2013), 43.9% of nurses employed in 5-county Northeast Florida Region hold the BSN degree or higher, far short of the recommended goal of 80% (Assessing Progress on the Institute of Medicine Report *The Future of Nursing*, 2015).

The programs UF engages in at the JAX facility contribute to UF's goal of developing an *exceptional academic environment that reflects the breadth of thought essential for preeminence, achieved by a community of students, faculty, and staff who have diverse experiences and backgrounds* (Goal1), *an outstanding and accessible education that prepares students for work, citizenship, and life* (Goal 2), and *a strengthened public engagement of the university's programs with local, national, and international communities* (Goal 5).¹ Furthermore, the College of Nursing's accelerated program will contribute to the Florida Board of Governors strategic goal to increase the number of degrees awarded in STEM/health.

III. Academic Programs and Courses

- A. Provide a list of the degree programs, partial programs, or college credit certificates and courses currently offered at the site. Indentify any degree programs to be closed or implemented as a result of reclassifying the educational site. Explain the extent to which the proposed reclassification will have an impact on overall university enrollments, enrollment planning, and degree production.**

--Accelerated Baccalaureate on Science in Nursing (BSN) CIP 51.3800 (See Appendix B)

--Doctor of Pharmacy (Pharm.D.) CIP 51.2001 (See

<http://pharmacy.ufl.edu/education/student-affairs/doctor-of-pharmacy-curriculum/>)

Entry-Level PharmD

Working Professionals PharmD

¹ UF is in the process of setting goals for the next 10 years and a Task Force has developed the draft document currently under discussion. The document is available at <http://president.ufl.edu/initiatives/goal-setting-task-force/>

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The PharmD program offered at UF Health - Jacksonville is not replicated at any other SUS or State College in the area. Recertification programs, or shared residencies or Ph.D. study sites, do not duplicate degree programs with SUS or Florida College System institutions. The College of Nursing's accelerated BSN program will be similar to that offered by the University of North Florida.

UF does not anticipate any changes in the current enrollments for the PharmD program, which has a well-established track record of recruitment and placement. The demand for pharmacists in the U.S. is stable, and the current enrollment pattern meets Florida needs. The NAPLEX and MPJE board exam pass rates are 94-99% over the last 5 years, which is similar to our peer institutions. The program's placement rate is 100% within 6 months of graduation.

In terms of the proposed College of Nursing program, there are two ABSN programs in the Jacksonville area run by the University of North Florida and Jacksonville University. Total capacity of those programs is approximately 115 students per year. Demand for seats exceeds capacity with approximately 150 applicants being denied admission. According to the Office of Program Policy Analysis & Government Accountability report published in 2015, enrollment in second-degree BSN programs in Florida decreased by 69% from 2012-2013 to 2013-2014, but there is no data reported by geographic area. Despite increased capacity in nursing education programs overall in Florida (among all types of program across the state), over 13,000 qualified applicants were not admitted in 2013-2014.

UF's BSN program will utilize the excellent clinical facilities of UF Health - Jacksonville. The College has initiated plans with the Vice-President for Nursing and Patient Care, Dr. Patrice Jones, for appointment of UF Health staff nurses and nurse leaders to serve as clinical faculty. This close integration with the clinical nurses will provide a rich learning experience for UF students and at the same time, enhance patient care outcomes and nurse satisfaction. The College also has established a relationship with Nemours Children's Specialty Care as an excellent site for clinical education of our students. The University of Florida has an educational affiliation agreement with The Nemours Foundation for pediatric subspecialty rotation for the Colleges of Medicine, Nursing, Pharmacy, Public and Health Professions, and School of Physician Assistant Studies. (See Appendix C.) The College has had several discussions regarding the proposed program with Dr. Pamela Chally, Dean of the Brooks College of Health at the University of North Florida, who is supportive of our program. Both institutions (UF and UNF) face similar challenges (i.e., constrained clinical facilities, lack of faculty resources, limited funding) in meeting the nursing workforce needs in the state of Florida, yet both colleges are committed to an innovative and collaborative approach to address concerns. Letters of support from the Provost and relevant dean of the University of North Florida are attached here as Appendix D.

B. Provide an explanation of the manner in which the University intends to accommodate any students or faculty who will be adversely affected by reclassification of the educational site. Describe the steps that have been taken to inform students and faculty of the President's intent to recommend to the Board of Trustees that the site be reclassified.

All academic standards and policies, including admission criteria and progression expectations, are the same at both campuses for all programs and courses. All programs and courses are offered by UF health colleges, utilizing facilities and training opportunities at UF Health - Jacksonville. We do not anticipate that any students, staff, or faculty will be negatively affected by this reclassification.

The UF College of Pharmacy offers a four-year Doctor of Pharmacy (Pharm.D.) Program at UF Health - Jacksonville. About 50 new students are accepted each year, with a total of ~180 students/year enrolled. The program can be completed entirely in Jacksonville, but is managed and offered through the main campus college. Faculty are shared with main campus, and some course work is offered on line. An assistant dean and associate professor, Dr. Carol Motycka, serves as Director of the College of Pharmacy's UF Health - Jacksonville program.

The accelerated nursing program will follow the same curriculum as the ABSN program at the Gainesville campus. There will be local Jacksonville faculty and a clinical coordinator but the Director of the Undergraduate Programs and the Associate Dean for Academic Affairs will exercise centralized oversight. The College curriculum committee will be responsible for first-level review of all curricular changes. As noted above, the UF Health - Jacksonville facilities have been in place supporting a range of UF's programs, faculty and students for many years. With the reintroduction of the accelerated program projected BSN enrollments added to the current PharmD average of 180/year, overall course enrollment will approach 300 per year.

UF Health - Jacksonville is included in the University's accreditation with the Southern Association of Schools and Colleges for the colleges of Medicine, Nursing, and Pharmacy. The PharmD program is fully accredited by the Accreditation Council for Pharmacy Education (ACPE).

In terms of the accelerated Nursing program, the goal is to enroll an initial, pilot cohort of 24 students in 2016. The College has hired a full-time faculty member (starting January, 2016) to join the current Jacksonville faculty (2.5 FTE) in planning for the program launch. The College has also hired a .5 FTE academic advisor devoted to the ABSN students. The curriculum is in place on the Gainesville campus and is fully accredited by the Commission on Collegiate Nursing Education (CCNE) Board of Commissioners until 2022. The College will submit a Substantive Change Report to that

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accrediting body when the program is launched at the Jacksonville site. Full implementation of the program in Jacksonville is planned for 2017 with an incoming cohort of 48 students. The College has committed to hire another 1.0 FTE faculty member in 2017.

(X) The University certifies that it has a plan for complying with all related regulations of the Southern Association of Colleges and Schools and any other relevant discipline-based accrediting agencies for reclassifying an educational site.

IV. Administration and Student Support Services

A. Describe any changes in the administrative structure of the reclassified site and how it will relate to the central administration of the university.

UF Health - Jacksonville is the Southeast's most comprehensive academic health center, encompassing hospitals, clinics, physician practices, colleges, centers, institutes, programs and services across northeast and north-central Florida. UF Health includes facilities in both Gainesville and Jacksonville, including UF Health Shands Hospital, UF Health Jacksonville, UF Health Shands Psychiatric Hospital, and UF Health Shands Rehab Hospital. UF Health includes the colleges of Dentistry, Medicine, Nursing, Pharmacy, Public Health and Health Professions and Veterinary Medicine, nine research institutes and centers, as well as full spectrum patient-care services in hospitals and numerous clinical programs throughout north central and northeast Florida.

All College of Medicine, College of Nursing, and College of Pharmacy program offerings—whether residencies, recertification courses, clinical training, or academic programs—are fully coordinated from the Gainesville campus in close partnership with Jacksonville faculty and administrators. Colleges using the UF Health - Jacksonville facilities are responsible for administration of their program offerings, including conformance with all UF requirements for curriculum review and student services.

The College of Nursing established a presence in Jacksonville in 1979, and faculty have been teaching courses through web-based or in-person delivery continuously since that time. Currently, the College has a suite of offices in the Learning Resources Center, and access to classroom instruction and laboratory space. Faculty members located in Jacksonville are assigned to one of two academic departments and report to department chairs on the Gainesville campus. Faculty attend departmental meetings, participating through distance technology, participate on faculty committees, and in governance. The dean travels to Jacksonville at least once a month through the year. All academic offerings taught by Jacksonville-located faculty are administered by the College of Nursing, under the leadership of Dean Anna McDaniel.

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All UF offerings at the Jacksonville UF Health facilities have a mix of Jacksonville and Gainesville services. The Jacksonville facility includes study space, clinical sites, and research laboratories. For the PharmD, a dedicated on-site Student Affairs Coordinator provides advising and assistance to students. The Gainesville campus Student Affairs office also provides some infrastructure support for the Jacksonville students. Students participate in student organizations, local orientation activities, and have access to local student services, including mental health counseling, financial aid assistance, and Career Day events.

The College of Nursing has hired an undergraduate academic advisor who will be assigned to work with Jacksonville students at 0.5 FTE. He will communicate with students online and will travel to Jacksonville for onsite meetings as needed. Students also have access to all student services available in Gainesville, and a contract for local counseling services is planned. The placement rate for students is nearly 100% given the severe nursing shortage in Florida. All students will be accommodated in clinical rotations at UF Health Jacksonville facilities.

Students have onsite access to the Borland Health Sciences Library, a division of the UF Health Science Center Library (HSCL). Located on the second floor of the Learning Resources Center, the library houses books, reference materials, course reserves, and journals, as well as computers with Internet access, allowing access to the licensed database content and electronic journals of the main HSCL in Gainesville. Library resources are also accessible from off-campus computers and through interlibrary loan. UF Health – Jacksonville also has laboratories and associated facilities for research and teaching projects.

V. Budget and Facilities

- A. Include an explanation of how reclassification will result in any increase, decrease, or repurposing of facilities at the site. Describe any lease or joint-use agreements that will be modified or terminated as a result of reclassification, including land leases from the State of Florida (Board of Trustees of the Internal Improvement Trust Fund).**

No additional facilities or changes to existing facilities are required.

- B. Provide a narrative description of how the operational budget for the educational site will be affected by reclassification. Describe the impact that reclassifying the educational site will have on the overall university budget. Identify any special funding necessary to implement reclassification of the educational site.**

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The Provost Office has approved “seed funding” in the amount of \$500,000 over two years to establish the accelerated program in Jacksonville. Other than that start-up assistance, the program will rely on tuition and fees. See Appendix A, Table 2 for financial information on the ABSN degree program.



AGENDA

Audit and Compliance Committee

Main Auditorium

Harry Sudakoff Conference Center

New College of Florida

5845 General Dougher Place

Sarasota, Florida 34243

September 22, 2016

8:30 a.m. - 9:00 a.m.

or

Upon Adjournment of Previous Meeting

Chair: Mr. Alan Levine; Vice Chair: Mr. Ed Morton

Members: Huizenga, Jordan, Lautenbach, Link, Valverde

1. **Call to Order and Opening Remarks** **Governor Alan Levine**
2. **Minutes of Committee Meeting** **Governor Levine**
 - Minutes, June 22, 2016
3. **Public Notice of Intent to Create Board of Governors Regulations** **Mr. Joseph Maleszewski,**
*Inspector General and
Director of Compliance*
 - Regulation 4.001 University System Processes For Complaints of Waste, Fraud, or Financial Mismanagement
 - Regulation 4.002 State University System Chief Audit Executives
 - Regulation 4.003 State University System Compliance and Ethics Programs
 - Regulation 4.004 Board of Governors Oversight Enforcement Authority

- | | | |
|-----------|---|------------------------|
| 4. | 2015-2016 OIGC Annual Report | Mr. Maleszewski |
| 5. | Auditor General Operational Audit of
Board of Governors Office | Mr. Maleszewski |
| 6. | Florida A&M University 2013 Corrective
Action Plan | Mr. Maleszewski |
| 7. | Summary of SUS Audit Reports | Mr. Maleszewski |
| 8. | Concluding Remarks and Adjournment | Governor Levine |

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
September 22, 2016**

SUBJECT: Minutes of Committee Meeting held June 22, 2016

PROPOSED COMMITTEE ACTION

Approval of the June 22, 2016, Committee meeting minutes

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Committee members will consider approval of the minutes of the June 22, 2016, Committee meeting.

Supporting Documentation Included: Minutes: June 22, 2016

Facilitators/Presenters: Governor Alan Levine

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
AUDIT AND COMPLIANCE COMMITTEE MEETING
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FLORIDA
JUNE 22, 2016

*Video or audio archives of the meetings of the Board of Governors
and its Committees are accessible at <http://www.flbog.edu/>.*

Chair Alan Levine convened the meeting of the Audit and Compliance Committee at 2:53 p.m., at the Grand Ballroom in the UCF FAIRWINDS Alumni Center on the University of Central Florida campus, in Orlando, Florida. The following Audit and Compliance Committee members were present: Darlene Jordan, Ned Lautenbach, Wendy Link, Ed Morton, and Fernando Valverde. The following members of the Board were also present: Richard Beard, Dean Colson, Daniel Doyle, Tom Kuntz, Katherine Robinson, and Norman Tripp (by phone).

1. Call to Order

Mr. Levine called the meeting to order.

2. Approval of Minutes

Ms. Link motioned that the Committee approve the minutes of the Audit and Compliance Committee held March 17, 2016, as presented. Mr. Valverde seconded the motion. The minutes were approved.

3. Discussion of Draft Regulations

Mr. Maleszewski, the Board of Governors Inspector General and Director of Compliance, described the Regulations Drafts Workshop, held the previous evening (Tuesday, June 21, 2016). Participants included university chief audit executives, chief compliance officers, general counsels, and other interested parties. The workshop's focus was to provide a setting where affected and interested parties could discuss the four draft regulations created the Office of Inspector General and Director of Compliance (OIGC). The four regulations are:

- 4.001 *State University System Processes for Complaints of Waste, Fraud, or Financial Mismanagement;*
- 4.002 *State University System Chief Audit Executives;*
- 4.003 *State University System Compliance and Ethics Programs; and*

- 4.004 *Board of Governors Oversight Enforcement Authority.*

Mr. Levine underscored the importance of these regulations as they are the first we have created that address, system-wide, the handling of waste, fraud, or financial mismanagement complaints; and the standardization and structure of our SUS chief audit executives and their functions. He said the purpose of these regulations is not to dictate to universities how to operate; they need to determine that themselves. These regulations provide them with the standards to guide their operations in these specific areas. Of the four draft regulations, according to Mr. Levine, the most critical is the one requiring the establishment of a compliance and ethics program at each university. With regard to compliance, Mr. Levine said each university is doing something different; they have varying maturity levels and reporting structures for their compliance and ethics activities. Additionally, we do not have a system-wide requirement for evaluation of university compliance and ethics activities or programs.

Mr. Levine stressed that university boards of trustees should consider which committee handles audit issues. Typically, audit committees have their own function. Having compliance combined with audit is fine, he said, but combining it with another function such as finance can create a conflict of interest. He asked university presidents to provide feedback and consider the possibility of separating the audit function from conflicting areas and in accordance with best practices.

Mr. Maleszewski said the draft regulations will be prepared for Board of Governors notice at its September 2016 meeting with an expectation they will be ready for Board approval at the November 2016 meeting.

4. Approval, 2016-2017 Risk Assessment and Work Plan

Mr. Maleszewski directed the Committee's attention to the OIGC Annual Work Plan (Tab 10.4 of the Diligent Books meeting materials). The OIGC is required to prepare a work plan annually for Board review and approval. He explained that the Plan is risk-based to provide the most effective coverage of Board Office operations and System responsibilities with the three professional staff positions in the OIGC.

The Plan includes two audit projects for the year in addition to reserving 10% of staff time to be responsive to the Board's needs for any special requests. One audit will focus on IT Governance, and the other on the Board's Foundation. Additionally, Mr. Maleszewski stated that each SUS institution will be required to conduct an audit of their Performance-based Funding Data Integrity, which will need to be certified by each university president and board chair.

Mr. Lautenbach motioned the Committee to approve the OIGC 2016-2017 Work Plan. Ms. Jordan seconded the motion. The Work Plan was approved.

5. Update, Florida A&M University 2013 Corrective Action Plan

Mr. Maleszewski provided an update on the on-going partnership between the Office of Inspector General and Director of Compliance and Florida A&M University regarding the 2013 Corrective Action Plan (CAP). The CAP was created from a variety of audit and investigative reports concerning issues at the university in 2012 and 2013.

In October 2014, Mr. Maleszewski had reported that 29 of the 30 original, high-level items being monitored had been satisfactorily corrected. The remaining item was the intercollegiate athletics cash deficit. At that time, the Board of Governors added two items for monitoring: 1) interim appointments, focusing on the President's appointment of a stable and permanent leadership team; and 2) two facilities construction investigations.

Discussion took place regarding the effects of the university's athletics deficit on student services. FAMU Board of Trustees Chair, Kelvin Lawson, committed that the university's board is focused on and will own the corrective action plan for addressing the athletics budget deficit. Additionally, he said they will work with the Board of Governors Inspector General.

Mr. Maleszewski provided an update on the number of interim appointments and the President's efforts to establish a permanent and stable leadership team. He recommended the Board of Governors continue to monitor the university's evaluation of the President and whether or not her contract is renewed or allowed to run out by April 1, 2017.

Additionally, Mr. Maleszewski stated that the President had reassigned the Vice President and CFO for Finance and Administration to a position of External Compliance Officer. He recommended that action be coordinated with the Board of Trustees, which would make it consistent with the university's Audit and Compliance Charter. This is another item, he said, the Board of Governors should monitor.

The remaining item being monitored, facilities construction investigations, are completed and no longer require monitoring.

6. Concluding Remarks and Adjournment

The meeting of the Audit Committee was adjourned at 3:43 p.m.

Alan Levine, Chair

Lori Clark, Compliance and Audit Specialist

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
September 22, 2016**

SUBJECT: Public Notice of Intent to Create Regulation 4.001 University System
Processes for Complaints of Waste, Fraud, or Financial Mismanagement

PROPOSED COMMITTEE ACTION

Approve public notice of intent to create Regulation 4.001 entitled *University System Processes for Complaints of Waste, Fraud, or Financial Mismanagement*.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 20.155, Florida Statutes; and Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Regulation draft 4.001 University System Processes for Complaints of Waste, Fraud, or Financial Mismanagement was developed to capture information in Section 20.155, Florida Statutes. This draft regulation includes the Board of Governors' requirement to address allegations of waste, fraud, or financial mismanagement in instances where a university board of trustees is either unwilling or unable to do so. This draft was created to provide Board guidance on implementation of these provisions.

The regulation draft is the result of almost two years of communications with State University System (SUS) Chief Audit Executives, Chief Compliance Officers, Provosts, Boards of Trustees Audit Committees, and General Counsels. In coordination with the June 2016 Board of Governors meeting, the Office of Inspector General and Director of Compliance (OIGC) hosted a Regulations Development Workshop, led by Audit and Compliance Committee Chair, Alan Levine. Chief Audit Executives, Compliance Officers, and General Counsels were invited to participate to discuss this regulation along with three other draft regulations (also being considered for public notice at this meeting).

Pursuant to the regulation procedure adopted by the Board at its meeting March 23, 2006, the Board is required to provide public notice by publication on its website at least 30 days before adoption of the proposed regulation.

Supporting Documentation Included: Draft Regulation 4.001

Facilitators/Presenters: Mr. Joseph Maleszewski

4.001 University System Processes for Complaints of Waste, Fraud, or Financial Mismanagement

- (1) The Office of Inspector General and Director of Compliance (OIGC) for the State University System of Florida Board of Governors shall be organized to promote accountability, efficiency, and effectiveness, and to detect fraud and abuse within state universities. The OIGC charter is incorporated herein by this reference.
- (2) Each board of trustees shall have a process for university staff, faculty, students, and board of trustees members to report allegations of waste, fraud, or financial mismanagement to the university chief audit executive.
- (3) Significant and credible allegations are those that, in the judgment of the chief audit executive, require the attention of those charged with governance and have indicia of reliability. For significant and credible allegations of waste, fraud, or financial mismanagement within the university and its board of trustees' operational authority, the chief audit executive shall timely provide the OIGC sufficient information to demonstrate that the board of trustees is both willing and able to address the allegation(s). If the information provided by the chief audit executive does not clearly demonstrate that the board of trustees is both willing and able to address the allegation(s), then the OIGC will conduct a preliminary inquiry in accordance with section 10.2.a of the OIGC Charter.
- (4) Upon the OIGC's receipt of a complaint, the OIGC will evaluate the nature of the allegation(s) to determine operational authority, proper handling, and disposition. University-related allegations will be handled as described below:
 - (a) Such allegations will be referred to the university chief audit executive for appropriate action without regard to the final responsible entity at the university. As appropriate, a copy of the referral will be provided to the chief compliance officer and general counsel. For significant and credible allegations of waste, fraud, or financial mismanagement, the chief audit executive shall provide the OIGC with university action and final case disposition information sufficient to demonstrate that the board of trustees was both willing and able to address such allegations.
 - (b) When case disposition information does not clearly demonstrate that the board of trustees was both willing and able to address significant and credible allegation(s), then the OIGC will conduct a preliminary inquiry in accordance with section 10.2.a of the OIGC Charter.
- (5) Each board of trustees shall adopt a regulation which requires timely notification to the Board of Governors, through the OIGC, of any significant and credible allegation(s)

of fraud, waste, mismanagement, misconduct, and other abuses made against the university president or a board of trustees member. Such allegations will be handled as follows:

- (a) The chair of the board of trustees (or chair of the board of trustees' committee responsible for handling audit matters if the allegations involve the board chair), in consultation with the chair of the Board of Governors, shall review the matter and may ask the OIGC to conduct a preliminary inquiry, in accordance with section 10.2.a of the OIGC Charter. If it is determined that an investigation is warranted, it shall take one of the following forms:
 - 1. The board of trustees will hire an independent outside firm to conduct the investigation with OIGC guidance and monitoring; or
 - 2. The OIGC will perform the investigation.
- (b) At the conclusion of such investigation, the report shall be submitted to the subject, who shall have twenty (20) working days from the date of the report to submit a written response. The subject's response and the investigator's rebuttal to the response, if any, shall be included in the final report presented to the chair of the board of trustees and the Board of Governor's Audit and Compliance Committee.
- (6) The board of trustees' regulation shall articulate how the university will address any significant and credible allegation(s) of fraud, waste, mismanagement, misconduct, and other abuses made against the chief audit executive or chief compliance officer.

Authority: Section 7(d), Art. IX, Fla. Const., History – New M-D-YY.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
September 22, 2016**

SUBJECT: Public Notice of Intent to Create Regulation 4.002 State University Chief Audit Executives

PROPOSED COMMITTEE ACTION

Approve public notice of intent to create Regulation 4.002 entitled *State University Chief Audit Executives*.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 20.055, Florida Statutes; and Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Regulation draft 4.002 State University Chief Audit Executives was developed to capture information in Section 20.055, Florida Statutes. It recognizes that State University System of Florida Chief Audit Executives are a point for coordination of and responsibility for activities that promote accountability, integrity, and efficiency.

The regulation draft is the result of almost two years of communications with State University System (SUS) Chief Audit Executives, Chief Compliance Officers, Provosts, Boards of Trustees Audit Committees, and General Counsels. In coordination with the June 2016 Board of Governors meeting, the Office of Inspector General and Director of Compliance (OIGC) hosted a Regulations Development Workshop, led by Audit and Compliance Committee Chair, Alan Levine. Chief Audit Executives, Compliance Officers, and General Counsels were invited to participate to discuss this regulation along with three other draft regulations (also being considered for public notice at this meeting).

Pursuant to the regulation procedure adopted by the Board at its meeting March 23, 2006, the Board is required to provide public notice by publication on its website at least 30 days before adoption of the proposed regulation.

Supporting Documentation Included: Draft Regulation 4.002

Facilitators/Presenters: Mr. Joseph Maleszewski

4.002 State University System Chief Audit Executives

- (1) Each university shall have an office of chief audit executive as a point for coordination of and responsibility for activities that promote accountability, integrity, and efficiency in the operations of the university.
- (2) Each board of trustees shall establish a committee responsible for addressing audit, financial- and fraud-related compliance, controls, and investigative matters. For purposes of this regulation, this committee will be referred to as the audit and compliance committee. This committee shall have a charter approved by the board of trustees and reviewed at least every three (3) years for consistency with applicable Board of Governors and university regulations, professional standards, and best practices.
- (3) Each board of trustees shall adopt a charter which defines the duties and responsibilities of the office of chief audit executive. The charter shall be reviewed at least every three (3) years for consistency with applicable Board of Governors and university regulations, professional standards, and best practices. At a minimum, the charter shall specify that the chief audit executive:
 - (a) Provide direction for, supervise, and coordinate audits and investigations which promote economy, efficiency, and effectiveness in the administration of university programs and operations including, but not limited to, auxiliary facilities and services, direct support organizations, and other component units.
 - (b) Conduct, supervise, or coordinate activities for the purpose of preventing and detecting fraud and abuse within university programs and operations including, but not limited to, auxiliary facilities and services, direct support organizations, and other component units.
 - (c) Address significant and credible allegations relating to waste, fraud, or financial mismanagement as provided in Board of Governors Regulation 4.001.
 - (d) Keep the president and board of trustees informed concerning significant and credible allegations and known occurrences of waste, fraud, mismanagement, abuses, and deficiencies relating to university programs and operations; recommend corrective actions; and report on the progress made in implementing corrective actions.
 - (e) Promote, in collaboration with other appropriate university officials, effective coordination between the university and the Florida Auditor General, federal auditors, accrediting bodies, and other governmental or oversight bodies.

- (f) Review and make recommendations, as appropriate, concerning policies and regulations related to the university's programs and operations including, but not limited to, auxiliary facilities and services, direct support organizations, and other component units.
 - (g) Communicate to the president and the board of trustees, at least annually, the office's plans and resource requirements, including significant changes, and the impact of resource limitations.
 - (h) Provide training and outreach, to the extent practicable, designed to promote accountability and address topics such as fraud awareness, risk management, controls, and other related subject matter.
 - (i) Coordinate or request audit, financial- and fraud-related compliance, controls, and investigative information or assistance as may be necessary from any university, federal, state, or local government entity.
 - (j) Develop and maintain a quality assurance and improvement program for the office of chief audit executive.
 - (k) Establish policies which articulate the steps for reporting and escalating matters of alleged misconduct, including criminal conduct, when there are reasonable grounds to believe such conduct has occurred.
 - (l) Inform the board of trustees when contracting for specific instances of audit or investigative assistance.
- (4) The board of trustees must obtain Board of Governors' approval before outsourcing the chief audit executive's entire audit or investigative function.
- (5) Each board of trustees shall ensure that the university chief audit executive is organizationally independent and objective to perform the responsibilities of the position. The chief audit executive shall:
- (a) Report functionally to the board of trustees and administratively to the president.
 - (b) Report routinely to the board of trustees on matters including significant risk exposures, control issues, fraud risks, governance issues, and other matters requested by the president and the board of trustees.

- (c) Conduct and report on audits, investigations, and other inquiries free of actual or perceived impairment to the independence of the chief audit executive's office.
 - (d) Have timely access to any records, data, and other information in possession or control of the university including information reported to the university's hotline/helpline.
 - (e) Notify the chair of the board of trustees' audit committee or the president, as appropriate, of any unresolved restriction or barrier imposed by any individual on the scope of an inquiry, or the failure to provide access to necessary information or people for the purposes of such inquiry. The chief audit executive shall work with the board of trustees and university management to remedy scope or access limitations. If the university is not able to remedy such limitations, the chief audit executive shall timely notify the Board of Governors, through the OIGC, of any such restriction, barrier, or limitation.
- (6) In carrying out the auditing duties and responsibilities set forth in this regulation, each chief audit executive shall review and evaluate controls necessary to enhance and promote the accountability of the university. The chief audit executive shall perform or supervise audits and prepare reports of their findings, recommendations, and opinions. The scope and assignment of the audits shall be determined by the chief audit executive; however, the president and board of trustees may request the chief audit executive direct, perform, or supervise audit engagements.
- (a) Audit engagements shall be performed in accordance with the *International Professional Practices Framework*, published by the Institute of Internal Auditors, Inc.; the *Government Auditing Standards*, published by the United States Government Accountability Office; and/or the *Information Systems Auditing Standards* published by ISACA. All audit reports shall describe the extent to which standards were followed.
 - (b) At the conclusion of each audit engagement, the chief audit executive shall prepare a report to communicate the audit results and action plans to the board of trustees and university management. A copy of the final audit report will be provided to the Board of Governors consistent with Board of Governors Regulation 1.001(6)(g).
 - (c) The chief audit executive shall monitor the disposition of results communicated to university management and determine whether corrective actions have been effectively implemented or that senior management or the board of trustees, as

appropriate, has accepted the risk of not taking corrective action. If, in the chief audit executive's judgment, senior management or the board of trustees has chosen not to take corrective actions to address substantiated instances of waste, fraud, or financial mismanagement, then the chief audit executive shall timely notify the Board of Governors, through the OIGC.

- (d) The chief audit executive shall develop audit plans based on the results of periodic risk assessments. The plans shall be submitted to the board of trustees for approval. A copy of approved audit plans will be provided to appropriate university management and the Board of Governors.
- (e) The chief audit executive must develop and maintain a quality assurance and improvement program in accordance with professional audit standards. This program must include an external assessment conducted at least once every five (5) years. The external assessment report and any related improvement plans shall be presented to the board of trustees, with a copy provided to the Board of Governors.

(7) Each chief audit executive shall initiate, conduct, supervise, or coordinate investigations that fall within the purview of the chief audit executive's office and be designated by their board of trustees as the employee to review statutory whistleblower information and coordinate all activities of the university as required by the Florida Whistle-blower's Act. Investigative assignments shall be performed in accordance with professional standards issued for the State University System. All final investigative reports shall be submitted to the appropriate action officials, board of trustees, and the Board of Governors if, in the chief audit executive's judgment, the allegations are determined to be significant and credible. Such reports shall be redacted to protect confidential information and the identity of individuals, when provided for by law.

(8) By September 30th of each year, the chief audit executive shall prepare a report summarizing the activities of the office for the preceding fiscal year. The report shall be provided to the president, board of trustees, and the Board of Governors.

Authority: Section 7(d), Art. IX, Fla. Const., History – New M-D-YY.

STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
September 22, 2016

SUBJECT: Public Notice of Intent to Create Regulation 4.003 State University System Compliance and Ethics Programs

PROPOSED COMMITTEE ACTION

Approve public notice of intent to create Board of Governors Regulation 4.003 entitled *State University System Compliance and Ethics Programs*.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; and Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Board of Governors Regulation draft 4.003 State University System Compliance and Ethics Programs was developed to capture information in Chapter 8 of the Federal Sentencing Guidelines. The draft regulation was developed at the direction of the Board of Governors Audit and Compliance Committee and is designed to set minimum requirements for university compliance and ethics programs based upon the guidelines in the Federal Sentencing Guidelines Manual.

The regulation draft is the result of almost two years of communications with State University System (SUS) Chief Audit Executives, Chief Compliance Officers, Provosts, Boards of Trustees Audit Committees, and General Counsels. In coordination with the June 2016 Board of Governors meeting, the Office of Inspector General and Director of Compliance (OIGC) hosted a Regulations Development Workshop, led by Audit and Compliance Committee Chair, Alan Levine. Chief Audit Executives, Compliance Officers, and General Counsels were invited to participate to discuss this regulation along with three other draft regulations (also being considered for public notice at this meeting).

Pursuant to the regulation procedure adopted by the Board at its meeting March 23, 2006, the Board is required to provide public notice by publication on its website at least 30 days before adoption of the proposed regulation.

Supporting Documentation Included: Draft Regulation 4.003

Facilitators/Presenters: Mr. Joseph Maleszewski

4.003 State University System Compliance and Ethics Programs

(1) Each board of trustees shall implement a university-wide compliance and ethics program (Program) as a point for coordination of and responsibility for activities that promote ethical conduct and maximize compliance with applicable laws, regulations, rules, policies, and procedures.

(2) The Program shall be:

- (a) Reasonably designed to optimize its effectiveness in preventing or detecting non-compliance, unethical behavior, and criminal conduct, as appropriate to the institution's mission, size, activities, and unique risk profile;
- (b) Developed consistent with the Code of Ethics for Public Officers and Employees contained in Part III, Chapter 112, Florida Statutes; other applicable codes of ethics; and the Federal Sentencing Guidelines Manual, Chapter 8, Part B, Section 2.1(b); and
- (c) Implemented within two (2) years of the effective date of this regulation.

(3) Each board of trustees shall assign responsibility for providing governance oversight of the Program to the committee of the board responsible for audit and compliance. The charter required by Board of Governors Regulation 4.002(3) shall address governance oversight for the Program.

(4) Each university, in coordination with its board of trustees, shall designate a senior-level administrator as the chief compliance and ethics officer (herein referred to as the chief compliance officer). The chief compliance officer is the individual responsible for managing or coordinating the Program. Universities may have multiple compliance officers; however, the highest ranking compliance officer shall be designated the chief compliance officer. Nothing in this regulation shall be construed to conflict with the General Counsel's responsibility to provide legal advice on ethics laws.

(5) The chief compliance officer shall report functionally to the board of trustees and administratively to the president. If the university has an established compliance program in which the chief compliance officer reports either administratively or functionally to the chief audit executive, then the university shall have five (5) years from the effective date of this regulation to transition the reporting relationship of the chief compliance officer to report functionally to the board of trustees and administratively to the president.

(6) The office of the chief compliance officer shall be governed by a charter approved by the board of trustees and reviewed at least every three (3) years for consistency with

applicable Board of Governors and university regulations, professional standards, and best practices.

(7) The Program shall address the following components:

- (a) The president and board of trustees shall be knowledgeable about the Program and shall exercise oversight with respect to its implementation and effectiveness. The board of trustees shall approve a Program plan and any subsequent changes. A copy of the approved plan shall be provided to the Board of Governors.
- (b) University employees and board of trustees' members shall receive training regarding their responsibility and accountability for ethical conduct and compliance with applicable laws, regulations, rules, policies, and procedures. The Program plan shall specify when and how often this training shall occur.
- (c) At least once every five (5) years, the president and board of trustees shall be provided with an external review of the Program's design and effectiveness and any recommendations for improvement, as appropriate. The first external review shall be initiated within five (5) years from the effective date of this regulation. The assessment shall be approved by the board of trustees and a copy provided to the Board of Governors.
- (d) The Program may designate compliance officers for various program areas throughout the university based on an assessment of risk in any particular program or area. If so designated, the individual shall coordinate and communicate with the chief compliance officer on matters relating to the Program.
- (e) The Program shall require the university, in a manner which promotes visibility, to publicize a mechanism for individuals to report potential or actual misconduct and violations of university policy, regulations, or law, and to ensure that no individual faces retaliation for reporting a potential or actual violation when such report is made in good faith. If the chief compliance officer determines the reporting process is being abused by an individual, he or she may recommend actions to prevent such abuse.
- (f) The Program shall articulate the steps for reporting and escalating matters of alleged misconduct, including criminal conduct, when there are reasonable grounds to believe such conduct has occurred.
- (g) The chief compliance officer shall:

- i. Have the independence and objectivity to perform the responsibilities of the chief compliance officer function;
- ii. Have adequate resources and appropriate authority;
- iii. Communicate routinely to the president and board of trustees regarding Program activities;
- iv. Conduct and report on compliance and ethics activities and inquiries free of actual or perceived impairment to the independence of the chief compliance officer;
- v. Have timely access to any records, data, and other information in possession or control of the university, including information reported to the university's hotline/helpline;
- vi. Coordinate or request compliance activity information or assistance as may be necessary from any university, federal, state, or local government entity;
- vii. Notify the president, or the administrative supervisor of the chief compliance officer, of any unresolved restriction or barrier imposed by any individual on the scope of any inquiry, or the failure to provide access to necessary information or people for the purposes of such inquiry. In such circumstances, the chief compliance officer shall request the president remedy the restrictions. If unresolved by the president or if the president is imposing the inappropriate restrictions, the chief compliance officer shall notify the chair of the board of trustees committee charged with governance oversight of the Program. If the matter is not resolved by the board of trustees, the chief compliance officer shall notify the Board of Governors through the Office of Inspector General and Director of Compliance (OIGC);
- viii. Report at least annually on the effectiveness of the Program. Any Program plan revisions, based on the chief compliance officer's report shall be approved by the board of trustees. A copy of the report and revised plan shall be provided to the Board of Governors;
- ix. Promote and enforce the Program, in consultation with the president and board of trustees, consistently through appropriate incentives and disciplinary measures to encourage a culture of compliance and ethics. Failures in compliance or ethics shall be addressed through appropriate measures, including education or disciplinary action;

- x. Initiate, conduct, supervise, coordinate, or refer to other appropriate offices (such as human resources, audit, Title IX, or general counsel) such inquiries, investigations, or reviews as deemed appropriate and in accordance with university regulations and policies; and
 - xi. Submit final reports to appropriate action officials.
- (h) When non-compliance, unethical behavior, or criminal conduct has been detected, the university shall take reasonable steps to prevent further similar behavior, including making any necessary modifications to the Program.
- (8) The university shall use reasonable efforts not to include within the university and its affiliated organizations individuals whom it knew, or should have known (through the exercise of due diligence), to have engaged in conduct not consistent with an effective Program.

Authority: Section 7(d), Art. IX, Fla. Const., History – New M-D-YY.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
September 22, 2016**

SUBJECT: Public Notice of Intent to Create Regulation 4.004 Board of Governors
Oversight Enforcement Authority

PROPOSED COMMITTEE ACTION

Approve public notice of intent to create Regulation 4.004 entitled *Board of Governors Oversight Enforcement Authority*.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 1008.322, Florida Statutes; and Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Regulation draft 4.004 Board of Governors Oversight Enforcement Authority was developed to implement the requirements contained in Section 1008.322, Florida Statutes. This statute relates to the Joint Legislative Auditing Committee and the Board of Governors' escalation procedures for repeat Auditor General audit findings and other university noncompliance.

The regulation draft is the result of almost two years of communications with State University System (SUS) Chief Audit Executives, Chief Compliance Officers, Provosts, Boards of Trustees Audit Committees, and General Counsels. In coordination with the June 2016 Board of Governors meeting, the Office of Inspector General and Director of Compliance (OIGC) hosted a Regulations Development Workshop, led by Audit and Compliance Committee Chair, Alan Levine. Chief Audit Executives, Compliance Officers, and General Counsels were invited to participate to discuss this regulation along with three other draft regulations (also being considered for public notice at this meeting).

Pursuant to the regulation procedure adopted by the Board at its meeting March 23, 2006, the Board is required to provide public notice by publication on its website at least 30 days before adoption of the proposed regulation.

Supporting Documentation Included: Draft Regulation 4.004

Facilitators/Presenters: Mr. Joseph Maleszewski

4.004 Board of Governors Oversight Enforcement Authority

(1) The Joint Legislative Auditing Committee (JLAC) of the Florida Legislature has the authority to address state universities that have failed to take full corrective action in response to audit findings included in the two (2) preceding financial or operational audit reports in accordance with section 11.45(7)(j), Florida Statutes. The JLAC may request from a board of trustees a written statement explaining why full corrective action has not been taken or, if the board of trustees intends to take full corrective action, describing the corrective action to be taken and when it will occur. If the JLAC determines that the written statement is not sufficient, it may require the chair of the board of trustees, or the chair's designee, to appear before the JLAC. If the JLAC determines that the state university has failed to take full corrective action for which there is no justifiable reason or has failed to comply with their requests made pursuant to section 11.45(7)(j), Florida Statutes, the JLAC shall refer the matter to the Board of Governors to proceed in accordance with this regulation.

(2) The Office of Inspector General and Director of Compliance (OIGC) Charter is incorporated herein by this reference.

(3) In addition to OIGC investigative responsibilities outlined in the OIGC charter, the chancellor may determine that allegations of material non-compliance with any law or Board of Governors regulations warrant an investigation. The Board of Governors' inspector general shall provide direction for, supervise, and coordinate such investigations. When appropriate, matters of alleged non-compliance will be forwarded to the proper university for handling. In addition, the Board of Governors' inspector general will review all instances referred to the Board of Governors by the JLAC as described in paragraph (1) above.

(4) The Board of Governors' inspector general shall submit the investigatory findings to the chair of the university's board of trustees, or the chair's designee, which shall have twenty (20) working days from the receipt of the draft report to submit a written response to the findings. The university's response and the inspector general's rebuttal to the response, if any, shall be included in the final report presented to the Board of Governor's Audit and Compliance Committee and the chair of the university's board of trustees or the chair's designee.

(5) The Board of Governors may require the university board of trustees to document that it has come into compliance with the law or Board of Governors regulation or that it is taking reasonable and diligent steps to come into compliance. If, after being provided the opportunity to demonstrate compliance, the university board of trustees cannot satisfactorily document that it is in compliance or will come into compliance within a reasonable period of time, the Board of Governors may order compliance within a specified timeframe.

(6) If non-compliance is substantiated, and the Board of Governors determines that a university board of trustees is unwilling or unable to comply with any law, Board of Governors regulation, or audit recommendation within the specified timeframe, the Board of Governors may initiate any of the following actions:

- (a) Withhold the transfer of state funds, discretionary grant funds, discretionary lottery funds, or any other funds appropriated to the Board of Governors by the Legislature for disbursement to the state university until the university complies with the law or Board of Governors' regulation.
- (b) Declare the state university ineligible for competitive grants disbursed by the Board of Governors until the university complies with the law or Board of Governors' regulation.
- (c) Require monthly or periodic reporting on the situation related to noncompliance until it is remedied.
- (d) Report to the Legislature that the state university is unwilling or unable to comply with the law or Board of Governors' regulation and recommend action to be taken by the Legislature.

(7) Any actions taken by the Board of Governors pursuant to this regulation will be commensurate with, and take into account, the nature and severity of the non-compliance, the criticality of the compliance, and the reason for the university's failure to come into compliance.

Authority: Section 7(d), Art. IX, Fla. Const., Section 1008.322, F.S., History – New M-D-YY.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
September 22, 2016**

SUBJECT: 2015-2016 OIGC Annual Report

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 20.055, Florida Statutes

BACKGROUND INFORMATION

As required by Subsection 20.055(8)(a), Florida Statutes, the Board of Governors Inspector General has prepared an annual report summarizing his office's activities for the 2015-2016 fiscal year.

Supporting Documentation Included: 2015-2016 OIGC Annual Report

Facilitators/Presenters: Mr. Joseph Maleszewski

Office of Inspector General and Director of Compliance

Annual Report
2015-2016



STATE
UNIVERSITY
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of FLORIDA

Board of Governors

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INTRODUCTION

In compliance with Florida's Inspector General Act, Section 20.055, Florida Statutes, the Office of Inspector General and Director of Compliance (OIGC) has prepared this Annual Report to summarize the office's activities for the Fiscal Year 2015-2016. This report is submitted to the Chancellor of the State University System of Florida (System) Board of Governors, members of the Board of Governors (Board), and the Auditor General of Florida.



AUTHORITY, STANDARDS, AND FUNCTIONS

AUTHORITY

As authorized by Article IX, Section 7 of the Florida Constitution and Section 20.155(5), Florida Statutes, the Board established the OIGC in July 2007 to promote accountability, integrity, and efficiency by providing quality audits, investigations, management reviews, and technical assistance. Subsequently, the Board adopted the *Audit and Compliance Committee Charter* and the *Office of the Inspector General and Director of Compliance Charter* (Charters). The OIGC charter identifies the authority under which the OIGC operates, and specifies its mission, organization, standards of work, code of ethics, access, independence, and objectivity as well as the OIGC responsibilities related to the Board Office and the System.

To achieve and maintain independence and objectivity, the Inspector General and Director of Compliance (Inspector General) reports directly to the Board, through the Chair of the Audit and Compliance Committee (Committee), and administratively to the Chancellor. The dual reporting relationship is designed to promote effective communication and coordination of OIGC activities, while ensuring that the Inspector General is not impaired in any manner from performing his mandated duties and responsibilities.

In accordance with Section 20.155(5), Florida Statutes, the OIGC shall have access to all information and personnel necessary to perform its duties and responsibilities, and shall have all of the powers, duties, and responsibilities authorized in Florida's Inspectors General Act [Section 20.055, Florida Statutes]. For example, as it relates to the Board Office, the Inspector General must review and evaluate internal controls to ensure fiscal accountability by conducting operational, financial, and compliance audits or reviews and develop an annual audit plan based upon a systematic risk assessment of Board Office operations. In addition, the Inspector General shall initiate and conduct investigations into fraud, waste, mismanagement, misconduct, or abuse, and report results of investigative activities, including those complaints filed by a Board employee pursuant to the Florida Whistle-blower's Act [Sections 112.3187-112.31895, Florida Statutes].

If the Board, based upon the recommendation by the Committee, determines that a state university board of trustees is unwilling or unable to address credible allegations made by any person relating to waste,



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fraud, or financial mismanagement, the Inspector General shall conduct, coordinate, or request investigations [Section 20.155 (5), Florida Statutes].

STANDARDS

All work in the OIGC is conducted in accordance with the *Principles and Standards for Offices of Inspector General*, published by the Association of Inspectors General.

Audit engagements are performed in accordance with the *International Professional Practices Framework (Standards for the Professional Practice of Internal Auditing)*, published by the Institute of Internal Auditors, Inc.; or, where appropriate, the *Government Auditing Standards*, published by the United States Government Accountability Office; or the *Information Systems Auditing Standards* published by ISACA.¹ These standards require that auditors plan and perform the audit to obtain sufficient and appropriate evidence that provides a reasonable basis for findings and conclusions.

Investigative assignments are performed in accordance with the Quality Standards for Investigations included in the *Principles and Standards for Offices of Inspector General*, published by the Association of Inspectors General.

FUNCTIONS

In accordance with the Charters, the OIGC's three main areas of responsibility are audit, investigations, and compliance.

1. Audit

As defined by the Institute of Internal Auditors,

Internal auditing is an independent, objective assurance and consulting activity designed to add value and improve an organization's operations. It helps an organization accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes.

The OIGC promotes integrity, accountability, and process improvement by providing objective, timely, sufficient and value-added audit services. The Inspector General conducts audits of the Board Office and prepares

¹ Previously known as the Information Systems Audit and Control Association, ISACA now goes by its acronym only, to reflect the broad range of Information Technology governance professionals it serves.



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reports of his findings. The Inspector General determines the scope and assignment of audits; however, the Committee Chair and the Chancellor may at any time direct the Inspector General to perform an audit of a special program, function, or organizational unit.

Pursuant to Section 20.055, Florida Statutes, the internal audit activity of the OIGC includes developing audit plans; conducting audits; following-up on audits and related management corrective actions; developing a Quality Assurance and Improvement Program; and assisting in consulting engagements for initiatives, rules, and regulations.

The Inspector General represents the Board of Governors on the State University Audit Council (SUAC), which is composed of System universities chief audit executives. SUAC meets biannually to address common issues and share best practices.

2. Investigations

The goal of the OIGC's investigative responsibilities is to deter, detect, and investigate internal and external fraud, waste, mismanagement, misconduct, and other abuses in the Board Office.

The OIGC also manages a hotline webpage. This webpage provides information and forms for individuals to file complaints on a variety of matters to appropriate jurisdictions depending on the nature of the complaint, the matters involved, and the individual making the complaint. The webpage can be accessed from the Board website either by selecting the "Contact Us" link or through the OIGC webpage.

<http://flbog.edu/contact/complaint/>

The image shows two overlapping screenshots of the State University System of Florida Board of Governors website. The left screenshot displays a complaint form titled "YOUR CONTACT INFORMATION - You may remain anonymous but it is most beneficial if we have the ability to contact you for additional clarification of the issues you are reporting." The form includes fields for Date, First Name, Last Name, Home Phone No., Cell Phone, E-mail Address, Home Mailing Address, City, State (pre-filled with Florida), Your employer, Work Phone No., Work Address, City, State (pre-filled with Florida), and a dropdown for "How would you prefer we contact you?". Below this is a section "What is your status with the Board of Governors or a Board of Regents?" with radio button options for: Current employee of the Board of Governors, Former employee of the Board of Governors, An applicant for a position with the Board of Governors, Current employee of a State University, Former employee of a State University, and Other. The right screenshot shows the "HOW TO FILE A COMPLAINT:" section, which lists various complaint categories with links to file complaints, such as "Students - Assistance with Solving Problems", "Office of Inspector General and Director of Compliance (OIGC) Complaint", "University Complaints", "Ethics Complaints", "Florida Commission on Human Relations", "CFR Get Loan Hotline", "Whistle Blower's Hotline", "U.S. Department of Education - Office of Civil Rights", and "U.S. Department of Education - Office of Inspector General".



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As provided in its Charter, the Committee can direct the Inspector General to conduct an inquiry or investigation if it has reasonable cause to believe a university board of trustees is unwilling or unable to provide for objective investigation of credible allegations of fraud or other substantial financial impropriety. In those instances where the Inspector General determines that no further action is warranted, the Board has delegated authority to the Committee Chair to make a final determination regarding a complaint.

3. Compliance

In the area of Compliance, the OIGC is responsible for promoting and supporting a culture of compliance, risk mitigation, and accountability. The office assists in the prevention and detection of conduct that is contrary to applicable laws, regulations, policies, or procedures; and to promote a culture that encourages a commitment to compliance.

The Inspector General represents the Board of Governors on the State University System of Florida Compliance and Ethics Consortium, which is composed of compliance directors and representatives from each of the System universities. The Consortium was established to provide an avenue for member institutions to discuss the development and implementation of compliance and ethics programs, new federal and state regulations, best practices, and common issues.

4. Support for the Audit and Compliance Committee

The OIGC provides staffing support for the Committee, which meets face-to-face or by conference call four times per year or as needed.

Activities in support of the Committee routinely include:

1. Holding one-on-one briefings with Board members prior to Board meetings to discuss agenda topics.
2. Preparing meeting materials and making presentations to the Committee for face-to-face meetings or conference calls.
3. Communicating by phone and email with the Committee Chair for guidance in handling concerns under the Committee's purview.
4. Assisting Committee members in staying abreast of applicable regulations and statutes.



AUDIT ACTIVITIES

During Fiscal Year 2015-2016, the OIGC engaged in the following internal audit-related activities:

- Performance Based Funding Data Integrity Certification and Audits
- Regulation Development Update
- FGCU Review
- University Corrective Action Plan Follow-Up
- Other OIGC Audit Activities
 - Legislative Budget Request
 - Chief Audit Executive Reporting System (CAERS) Application Update
- Trustee Summit and Breakout Session
- OIGC Risk Assessment and Work Plan

Each of these topics is covered in detail on the pages that follow.



PERFORMANCE-BASED FUNDING DATA INTEGRITY CERTIFICATION AND AUDITS

Over the past few years, Florida has joined a number of states who are moving away from funding that incentivizes student access and is based on the number of full-time equivalent students. Florida has adopted a funding model aligned with state goals and priorities that provides incentives for system institutions to help students successfully complete degree programs. The model has four guiding principles:

1. Use metrics that align with State University System Strategic Plan goals;
2. Reward excellence or improvement;
3. Have a few clear, simple metrics; and
4. Acknowledge the unique mission of each institution.

Key components of the Performance-based Funding Model include:

- Institutions are evaluated on either excellence or improvement for each metric.
- Information is based on one-year data.
- The benchmarks for excellence are based on the Board of Governors 2025 System Strategic Plan goals and analysis of relevant data trends, whereas the benchmarks for improvement are determined after reviewing data trends for each metric.
- The Florida Legislature and Governor determine the amount of new state funding and a proportional amount of institutional funding that would come from each university's recurring state base appropriation.

This Performance-based Funding Model includes 10 metrics that evaluate the institutions on a range of issues. Two of the 10 metrics are Choice metrics; one picked by the Board and one by the university boards of trustees.

The success of the Performance-based Funding Model is tied to the integrity of the data submitted by each institution. To provide assurance that the data submitted for this process is reliable, accurate, and complete, the Board of Governors developed a Data Integrity Certification process.

In his June 25, 2015, letter and instructions to each university president and board of trustees chair, then Board of Governors Chair, Mori Hosseini, announced that each university president shall execute a Data Integrity Certification affirmatively certifying the accuracy and



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completeness of information provided to the Board of Governors for Performance-based Funding decision-making.

Additionally, Chair Hosseini requested each university's board of trustees direct the university's chief audit executive to perform an audit of the university's processes that ensure the completeness, accuracy, and timeliness of data submissions to the Board of Governors. Universities were instructed to develop and submit, for Board of Governors approval, a corrective action plan designed to correct any audit findings.

At the March 2016 Board of Governors Audit and Compliance Committee meeting, members were provided an update on the results of the Performance-based Funding Data Integrity Certification process including the certifications submitted, audits conducted, and corrective action plans. These results are summarized by university in the following table.

Performance Based Funding Data Integrity Audits, Observations, and Certifications Summary

UNIV.	CONCLUSIONS	OBSERVED ISSUES	CERTIFICATION
FAMU	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> Timeliness of Submissions Information Technology Access Controls 	Unmodified Certification - late data submissions
FAU	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> Timeliness of Submissions 	Unmodified Certification
FGCU	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> Timeliness of Submissions Immaterial Degree Indicator Data Errors 	Unmodified Certification
FIU	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> Access Controls Immaterial Admission Dates Data Errors 	Unmodified Certification
FPU	N/A	N/A	N/A
FSU	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> Timeliness of Submissions 	Modified Certification -late data submissions
NCF	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> Timeliness of Submissions 	Unmodified Certification
UCF	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> No observed issues 	Unmodified Certification
UF	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> No observed issues 	Unmodified Certification
UNF	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> Information Technology Access Controls Policies and Procedures - Data Submissions Process 	Unmodified Certification
USF	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> Policies and Procedures - Graduate Students and Postdoctorates in Science and Engineering Survey 	Unmodified Certification
UWF	<ul style="list-style-type: none"> Controls Adequate Basis for Certification 	<ul style="list-style-type: none"> GPA Calculation Data Errors Numerous Data Resubmissions 	Unmodified Certification



REGULATIONS DEVELOPMENT UPDATE

The OIGC initiated regulations development regarding audit and investigations matters in fall 2014 to help ensure a shared and common understanding about the role of the OIGC and the offices of Chief Audit Executive across the State University System. In January 2015, the scope of this work expanded to include compliance matters.

These regulation development efforts culminated in a regulations development workshop held in conjunction with the June 2016 Board of Governors meetings. Governor Levine called this workshop to elicit direct feedback and input from the university General Counsels, Chief Audit Executives, and Chief Compliance Officers.

The workshop was well attended and included spirited discussion of the draft regulations. Governor Levine provided thoughtful and measured consideration of the feedback when assessing whether any changes to the draft regulations were appropriate.

We have made revisions to the following draft regulations in preparation for presenting them to the Audit and Compliance Committee for formal notice at its September 2016 meeting.

4.001 - University System Process for Complaints of Waste, Fraud, or Financial Mismanagement

This draft regulation addresses the creation and duties of the OIGC. It includes the responsibility to address allegations of waste, fraud, or financial mismanagement in instances where a university board of trustees is either unwilling or unable to do so.

4.002 - State University System Chief Audit Executives

This draft regulation recognizes that State University System of Florida chief audit executives are a point for responsibility for activities that promote accountability, integrity, and efficiency. It addresses standards, audit and investigative practices, and other chief audit executive activities and protocols.

4.003 - State University System Compliance and Ethics Programs

This draft regulation was developed at the direction of the Board of Governors Audit and Compliance Committee and is designed to set minimum requirements for university compliance and ethics programs based upon the guidelines in the Federal Sentencing Guidelines Manual.



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4.004 - Board of Governors Oversight Enforcement Authority

This draft regulation is modeled after section 1008.322, Florida Statutes. This statute relates to the Joint Legislative Auditing Committee and the Board of Governors' escalation procedures for repeat Auditor General audit findings and other university noncompliance.

FLORIDA GULF COAST UNIVERSITY REVIEW

At request of Chair Hosseini, the OIGC reviewed the Florida Gulf Coast University's (FGCU) practices for contracting with senior administrators and the Edwards Hall 3rd Floor renovations to the space now occupied by FGCU's Vice President and Chief of Staff.

Our report, issued in December 2015, concluded that while the FGCU employment contracting practices complied with pertinent regulations, they raised an important question about how Board of Governors' delegated authority should be shared. At issue was the division of delegated responsibilities between a university president and his or her board of trustees.

With regard to Edwards Hall renovations, we concluded that the university's remodeling efforts generally conformed with applicable regulations and policies for the approval, procurement, and payment of the Edwards Hall 3rd floor renovations. We also observed the space to be functional, and consistent with other office space throughout Edwards Hall in size, design, furnishings, fixtures, and amenities.

UNIVERSITY CORRECTIVE ACTION PLAN FOLLOW-UP

The Board of Governors began monitoring 30 high-level corrective actions for a state university based upon findings contained in various audit and investigative reports. Collectively, these reports raised issues of operational control and governance at the university.

The remaining item of corrective action related to the Intercollegiate Athletics Cash Deficit. In October 2014, the Audit and Compliance Committee directed follow-up on this item as well as two additional items related to the university president's efforts to establish a permanent and stable leadership team and two facilities investigations.

At the June 2016 Audit and Compliance Committee meeting, the OIGC provided an update on each of these items and the committee approved additional follow-up on two remaining items related to the Intercollegiate



Athletics Cash Deficit and the president's efforts to establish a permanent and stable leadership team.

OTHER OIGC AUDIT ACTIVITIES

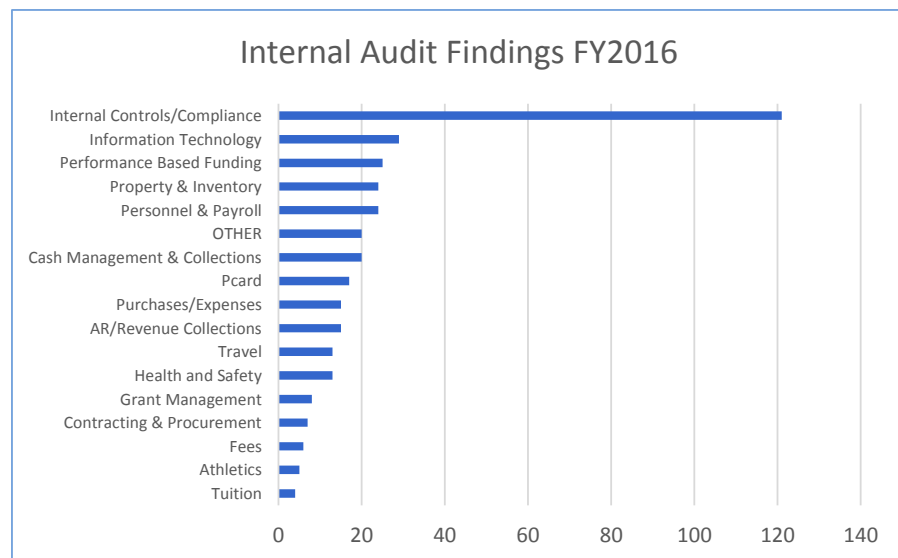
Legislative Budget Request – Schedule IX

The OIGC prepares Schedule IX of the Board Office's Legislative Budget Request entitled *Major Audit Findings and Recommendations*. Schedule IX is designed to inform decision makers by summarizing major findings and recommendations from Auditor General and OIGC audit reports issued during the current and previous fiscal years. There were no major findings or recommendations to report in Schedule IX for this period.

CAERS Application Update

On July 1, 2015, the OIGC partnered with our Office of Information Resources to deploy the Chief Audit Executives Reports System (CAERS), which provides an easy-to-use and flexible tool for university chief audit executives to upload and submit internal audit reports (and other documents) to the OIGC, in accordance with Board of Governors Regulation 1.001.

Since the implementation of CAERS, the OIGC has received and logged 77 internal audit reports from the state's 12 public universities. These internal audits included 366 total findings, categorized as follows:





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TRUSTEE SUMMIT AND BREAKOUT SESSION

The OIGC participated in the November 2015 Trustee Orientation and Summit. The Summit included breakout sessions including an audit-related session proctored by University of Florida's Chief Audit Executive, Brian Mikell, Florida International University's Chief Audit Executive, Allen Vann, and the Board of Governors Inspector General.



The Inspector General also participated in the Trustee Orientation Session and emphasized the role of the Board of Governors AACC and the OIGC. Topics covered included:

- Florida higher education governance;
- University risks;
- BOT Powers and Duties;
- Association of Governing Board's basic responsibilities;
- Fiduciary duties and principles; and
- Key Florida laws including the Government-in-the-Sunshine Law (Chapter 286, Florida Statutes), the Public Records Law (Chapter 119, Florida Statutes), and the Code of Ethics for Public Officers and Employees (Chapter 112, Part II, Florida Statutes).



OIGC RISK ASSESSMENT AND WORK PLAN

Section 20.055, Florida Statutes, specifies that the Inspector General develop long-term and annual audit plans based on the findings of periodic risk assessments, and that the plan contain audits to be conducted during each year and related resources to be devoted to the respective audits. By statute, the plan is submitted to the Committee, the Board, and the Chancellor for approval, and a copy of the approved plan is submitted to the Auditor General.

This fiscal year, the OIGC initiated a risk assessment that included each of the organizational units that comprise the Board Office, and a review of Board regulations, the Florida Constitution, applicable statutes, financial records, internal operational manuals, handbooks, organizational charts, and various reports including prior audit reports and other available data.

The Committee approved and the Board ratified *The Office of the Inspector General and Director of Compliance Work Plan 2016-2017* at the June 2016 Board meeting. The plan contains six audit projects and other projects designed to enhance OIGC operations.

Annual Work Plan - FY 2016-2017	
Project Title	Status
AUDIT ACTIVITIES - ASSURANCE AND CONSULTING	
Information Resource Management - Information Technology Governance	Not Begun
State University System of Florida Board of Governors Foundation	Not Begun
Performance Based Funding Model - University Data Integrity	Initiated
Board of Governors Regulations - Benchmarking	Not Begun
Board Office Operational Audit Follow-up	Not Begun
FAMU Corrective Action Plan Follow-up	Ongoing
INVESTIGATIVE ACTIVITIES	
Complaint Intake and Triage	Ongoing
Preliminary Inquiries	Ongoing
Investigations	None Initiated
COMPLIANCE ACTIVITIES	
Board Office Compliance Program Development	Initiated
Board of Governors Regulations - Compliance	Not Begun
Non-profits Audit Report Submissions and Compliance	Not Begun
OIGC OPERATIONAL ACTIVITIES	
Risk Assessment and Audit Plan - 2017-2018	Not Begun
OIGC Annual Report - 2015-2016	Not Begun
Regulation Development - Fraud and Independent Audit Coverage	Initiated
Chief Audit Executive - Investigative Standards/Guidelines	Initiated
Data Request System for Collection of SUS External Audit Reports	Initiated
Board of Trustees Orientation and Summit	Ongoing
Committee and Board Meeting Preparations	Ongoing



INVESTIGATIVE ACTIVITIES

In accordance with Section 20.055, Florida Statutes, the OIGC is responsible for initiating, conducting, supervising, and coordinating investigations designed to detect, deter, prevent, and eradicate fraud, waste, mismanagement, misconduct, and other abuses in the Board Office.

The OIGC receives complaints from various sources. In accordance with Section 20.155, Florida Statutes, if the Board of Governors determines that a state university board of trustees is unwilling or unable to address allegations made by any person relating to waste, fraud, or financial mismanagement, the OIGC shall conduct, coordinate, or request an investigation.

In addition, Board policy requires the Inspector General to review and approve Pre-Employment Background Screenings of board staff. This past fiscal year, the OIGC reviewed and approved the background screenings for 14 new Board Office staff members.

PRELIMINARY INQUIRIES

The purpose of a preliminary inquiry is to determine whether, in the opinion of the Inspector General, the allegations are credible and, if so, whether they warrant further investigation by the Board of Governors. The preliminary inquiry may include:

1. Identifying and obtaining statements from all relevant and material witnesses to the extent practicable; and
2. Identifying, gathering, and preserving all other relevant and material evidence.

The Inspector General reports the results of the preliminary inquiry to the Audit Committee Chair and Chancellor (as appropriate), and recommends appropriate action in cases of credible allegations.

The Audit Committee Chair considers the results of the preliminary inquiry along with the Inspector General's recommendation. If the Inspector General's recommendation is for no further action, the Board of Governors has delegated the authority to the Audit Committee Chair to make a final determination regarding a Report of Preliminary Inquiry in those instances where the Inspector General recommends no further



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Board action is warranted. In all other situations, the Audit Committee will consider the matter at its next meeting.

The following section summarizes the most significant investigative activities the OIGC handled during this reporting period.

MATTERS, COMPLAINTS, AND REQUESTS RECEIVED

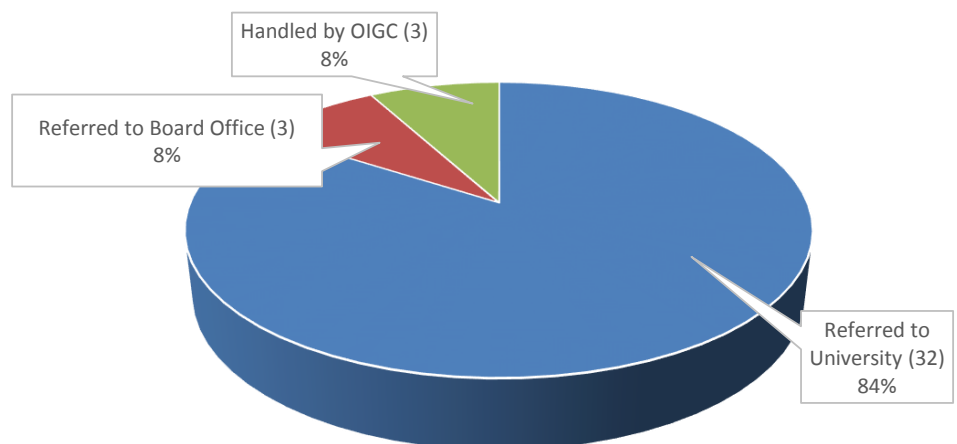
During the past year, the OIGC received a variety of matters, complaints, and other requests for assistance from current or prospective students, parents, university faculty and staff, and the public. The OIGC categorized, indexed, and assigned a case number to these items for tracking and follow-up purposes.

This reporting period, OIGC staff received and reviewed a total of 43 matters, complaints, or other requests. Of those, the OIGC closed 38 in the following ways:

- Referred 32 to the appropriate university for handling;
- Referred 3 to a department within the Board Office for handling; and
- Handled 3 within the OIGC.

The following chart and table depict the above activity:

Closed Matters, Complaints, and Other Requests





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Category of Activity	Total
Referred to university (CAE, BOT, General Counsel or Police Department) on the following matters: <ol style="list-style-type: none"> 1. Personnel (4) 2. Student Complaints (8) 3. Public Records Issues (3) 4. Management Issues (7) 5. Employee Misconduct (6) 6. Client Complaint (2) 7. Conflict of Interest (1) 8. University Fees (1) 	32
Referred to a department within the Board Office on the following matters: <ol style="list-style-type: none"> 1. Financial Aid (2) 2. General Inquiry (1) 	3
OIGC Handled the following matters: <ol style="list-style-type: none"> 1. Private University Complaints (2) 2. Discrimination (Florida College System) (1) 	3
TOTAL	38

In addition to the items described above, the OIGC maintained a correspondence log for other types of items that did not require formal action. For this reporting period, the OIGC recorded eight items in the correspondence log, which included one (1) phone call, four (4) e-mails, and three (3) internal Board of Governors' staff consultations. Each of these correspondences were addressed and referred as appropriate.

COMPLAINTS DISPOSITION

During the 2014-2015 Fiscal Year, the OIGC conducted an internal quality assessment review of complaints received between 2009 and August 2014. Seventy-five complaints were reviewed, resulting in 61 closures. During the 2015-2016 Fiscal Year, the OIGC conducted follow-up activity on the remaining 14 files, resulting in nine (9) closures. Five (5) files remain open, pending review and resolution.



INVESTIGATIVE PROCEDURES

This year, the Office of Inspector General has provided guidance on investigative procedures to a number of Universities including Florida A&M University, New College of Florida, University of Central Florida, Florida Gulf Coast University, and Florida International University. When prompted with questions regarding investigative policies, the OIGC provided Board Office policies and procedures. These procedures have been developed to help ensure compliance with the *Commission for Florida Law Enforcement Accreditation, Inc., The Florida Inspectors General Standards Manual* Edition 1.0.09 revised in October 2014. These procedures also help ensure compliance with the *Principles and Standards for Offices of Inspectors General, Quality Standards for Investigations* published by the Association of Inspectors General and those being established for the State University System of Florida.

In addition, the OIGC provided external assistance to the chief audit executives by providing information on the following:

- Employee cooperation with investigations;
- Criminal verses administrative investigations (“Garrity” issues and Workplace Searches);
- Interaction with university and local police during investigations of suspected criminal activity; and
- Legislative updates and bill analyses on legislation that could affect the universities.

WHISTLE-BLOWER ACTIVITY

The “Whistle-blower’s Act,” Sections 112.3187-112.31895, F.S., plays a critical role in ensuring accountability. The statute prohibits adverse personnel action for certain individuals reporting information.



In fulfilling responsibilities to receive complaints and coordinate all activities as required by the “Whistle-blower’s Act” for the Board Office, the OIGC assesses every complaint received to determine if provisions of the “Whistle-blower’s Act” might apply. This year, the OIGC did not receive any complaints that qualified for handling under the provisions of the “Whistle-blower’s Act.”

In accordance with Section 112.3189(1), F.S., each university’s Board of Trustees is responsible for designating an individual whom, for purposes of Sections 112.3189 and 112.3187(5), F.S., shall be deemed an agency



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inspector general. Therefore, the state universities, and not the OIGC, receive complaints and coordinate all activities as required by the “Whistle-blower’s Act” for their respective university.

COMPLIANCE ACTIVITIES

In fulfilling its compliance responsibilities for this reporting period, the OIGC performed the following functions:

SYSTEM FINANCIAL AND OPERATIONAL AUDIT REPORTS

As required by law, the Auditor General conducts annual financial audits for each system university, and operational audits at least every three years [Section 11.45(2)f., Florida Statutes]. The OIGC receives final reports of all Auditor General audits of the State University System. Any findings common across the university reports are tracked and discussed with the appropriate interested parties for follow-up or to request additional information.

In accordance with Section 1008.322, Florida Statutes, the Board of Governors shall oversee the performance of state university boards of trustees in the enforcement of laws, rules, and regulations. This includes OIGC follow-up and liaison responsibilities regarding audit follow-up for findings repeated for three years without a satisfactory corrective action plan. The OIGC has drafted a corresponding regulation to implement this statute and expects to present it to the Audit and Compliance Committee for formal notice at its September meeting.

SYSTEM INTERNAL AUDIT REPORTS

In September 2010, Board Regulation 1.001, University Board of Trustees Powers and Duties, was amended to require that all state universities submit to the OIGC their final internal audit reports [Board Regulation 1.001(6)(g)].

The OIGC logs and tracks the number and name of the audit reports received and audit topics covered by each university. OIGC staff monitors reports to identify any trends and confirm with a given university that it is addressing any adverse trends.



STATE UNIVERSITY SYSTEM OF FLORIDA COMPLIANCE AND ETHICS CONSORTIUM

The State University System of Florida Compliance and Ethics Consortium was formed in June 2013. The Inspector General represents the Board of Governors on the State University System of Florida Compliance and Ethics Consortium, which is composed of compliance directors and representatives from each of the System universities. Their mission is to provide an avenue for System institutions to discuss the development and improvement of compliance and ethics programs, new federal and state regulations, best practices, and issues they may be facing. Additionally, the Consortium provides an opportunity for System institutions without a formal compliance and ethics program to appoint a representative to participate in these activities. The Consortium met four times this year:

August 12, 2015 - During this conference call, the Consortium approved a motion that Chair Rhonda Bishop continue in her position for the next year. A brief overview of the updated draft BOG Regulations 4.001, 4.002, 4.003, and 4.004 ensued. The group also discussed compliance plans and agreed that peer reviews would be beneficial to enhance compliance programs.

September 11, 2015 - The group discussed the December 2015 in-person meeting hosted by UCF during this conference call. Inspector General Maleszewski also outlined the BOG regulations drafts. An overview of the planned activities for national Compliance and Ethics week followed.

December 3, 2015 - During this face-to-face meeting at UCF, Matt Packard, Compliance Officer at UWF, presented the UWF compliance database and gave an overview of its creation, the information collected, and how the database will be used to support compliance efforts. Karyn Boston, Chief Compliance Officer at FIU, gave a presentation on the benchmarking assessment results. Open discussions continued throughout the meeting including topics on employment of relatives and nepotism, response to international incidents, training before leaving on international travel, and insurance plans.

March 22, 2016 - During this conference call, Inspector General Maleszewski provided an update on the draft BOG regulations. A discussion on policies and procedures for background checks on employees and volunteers at camps followed. Also discussed was concerns surrounding tracking and monitoring minors on campuses, and which department should have oversight over this action.



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OFFICE ACTIVITIES

BOARD OF TRUSTEES ORIENTATION AND SUMMIT

One of the outcomes of the March 2015 Audit Committees Workshop was greater involvement of the OIGC in the Board Office's Board of Trustees Orientation and Summit preparations. The OIGC assisted other Board Office staff in enhancing the orientation materials to address Florida's higher education governance structure and emphasize trustees' obligation to abide by Florida laws, board regulations, and the Association of Governing Board's fiduciary principles and duties.

OIGC NEWSLETTER

OIGC Newsletters are prepared and disseminated on a quarterly basis. The Newsletter is designed to supplement Board of Governors meetings and briefings to keep the Audit and Compliance Committee informed regarding OIGC activities and updates.



Copies of OIGC News are available at:

<http://www.flbog.edu/about/cod/igoffice.php>



STATE UNIVERSITY AUDIT COUNCIL MEETINGS

The Inspector General represents the Board of Governors on the State University Audit Council (SUAC), which is composed of the chief audit executive from each of the System universities. This fiscal year, SUAC met three times:

October 13-14, 2015, Ft. Myers

Topics including:

- Board Office PBF Data Integrity Audit – progress of projects
- IT Risk Assessment/Cybersecurity Audit – Governor Levine’s Requests and Challenges
- November Trustee Summit/Orientation
- Board Office Regulation Drafts and Notice
- Chief Audit Executive Reports System (CAERS) update for submitting audit reports

May 11-12, 2016, Miami

Topics including:

- Performance-based Funding Data Integrity Audits and Certifications
- Regulations Drafts and Investigative Standards Status
- OIGC Distribution of Complaints to CAE and CCO
- Communications Improvement Proposal
- Legislative Update
- Matrix of SUS Internal Audit Reports
- Chief Audit Executives Report System for submitting audit reports
- Cybersecurity Risk Assessment



June 22, 2016, Orlando

- Regulations Drafts and Investigative Standards Status



LEGISLATIVE BILL ANALYSIS

The OIGC provided staff analysis on bills potentially affecting offices of inspectors general and university chief audit executives. The topics of various bill analyses included:

- Public Records and Information Technology Exemptions;
- Performance-based Funding Model;
- Government Accountability; and
- Public Employees' Whistleblower Act.



STAFF

Joseph K. (Joe) Maleszewski, MBA, CIG, CIGI, CIGA, CIA, CGAP, CISA, CCEP

Inspector General and Director of Compliance, State University System of Florida Board of Governors



Mr. Maleszewski joined the Board of Governors' Senior Staff in September 2013. He received an MBA degree from the Florida State University School of Business and holds the following professional certifications:

- Certified Inspector General (CIG),
- Certified Inspector General Investigator (CIGI),
- Certified Inspector General Auditor (CIGA),
- Certified Internal Auditor (CIA),
- Certified Government Auditing Professional (CGAP),
- Certified Information Systems Auditor (CISA), and
- Certified Compliance and Ethics Professional (CCEP).

Mr. Maleszewski has over 25 years of service in state government, serving primarily in Offices of Inspector General at four State agencies.² He also served as State-wide Computer Security Administrator for the Florida Department of Transportation. He previously served as Inspector General for the Department of Economic Opportunity after serving as the Department of Transportation's Director of Audit for over seven years.

Lori Clark, CIGA, CCEP, CGAP Compliance Analyst



Ms. Clark became a member of the OIGC staff in August 2008, after serving two years as an educational policy analyst in the board's Academic and Student Affairs department. She is a graduate of Florida State University with bachelor's and master's degrees in French Language and Literature. Ms. Clark holds the following

² Department of Agriculture and Consumer Services, Department of Transportation, Department of State and Department of Economic Opportunity



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professional certifications:

- Certified Inspector General Auditor (CIGA),
- Certified Compliance and Ethics Professional (CCEP), and
- Certified Government Auditing Professional (CGAP).

Ms. Clark has over 20 years of experience in postsecondary education, including teaching, student services administration, and program implementation.

In addition to receiving professional development and on-the-job training in the area of compliance, Ms. Clark continues to obtain audit and investigative training with an emphasis on the former.

Rebekah Weeks, CIGI **Investigations and Audit Specialist**

Ms. Weeks joined the OIGC staff in October 2015. She came to us from the Executive Office of the Governor, Office of the Chief Inspector General where she has been employed since 2012 – first as the Whistle-blower Coordinator and then as an Inspector Specialist. Ms. Weeks has a Bachelor of Science degree in Social Welfare from the University of Albany and two master's degrees from Florida State University (Public Administration and Social Work). She holds a professional certification of Certified Inspector General Investigator (CIGI).

Christine Gonzalez **Student Assistant**

Ms. Gonzalez began working with the OIGC in April 2016. She is now a senior at Florida State University, pursuing a bachelor's degree in Accounting, with hopes of completing the Master of Accounting (MAcc) program in May 2018. Ms. Gonzalez is also active in her business fraternity Beta Alpha Psi, and enjoys being part of Young Life College Tallahassee.



PROFESSIONAL DEVELOPMENT AND ASSOCIATIONS

OIGC staff develop and maintain their professional knowledge, skills, and abilities through a number of methods. The following section summarizes OIGC's professional certifications and affiliations, training accomplishments, and service to the profession.

PROFESSIONAL CERTIFICATIONS:

Expertise within the OIGC covers a variety of disciplines. Employees are technically qualified in auditing, financial matters, investigations, fraud, compliance, and information technology. Staff members continually seek to augment their credentials, further enhancing their abilities and contributions to the OIGC, the Board Office, and the System. The accomplishments of staff members obtaining certifications represent significant time and effort.

PROFESSIONAL DEVELOPMENT:



During this fiscal year, Rebekah Weeks, Investigations and Audit Specialist, obtained the professional designation of Certified Inspector General Investigator (CIGI) from the Association of Inspectors General. Ms. Clark also obtained professional certification as a Certified Government Auditing Professional (CGAP) from the Institute of Internal Auditors.

Mr. Maleszewski obtained the professional designation of Certified Inspector General Auditor (CIGA) from the Association of Inspectors General.



PROFESSIONAL AFFILIATIONS

OIGC staff members participate in a number of professional organizations to maintain proficiency in their areas of expertise and certification. These associations allow OIGC staff members to maintain currency, establish and advance professional networks, and participate in professional community activities. The Inspector General serves in active leadership roles in a number of these associations. The OIGC staff professional affiliations include:

- Association of Inspectors General (National and State Chapter)
- Institute of Internal Auditors (National and Tallahassee Chapter)
- ISACA (National and Tallahassee Chapter)
- Association of Government Accountants
- Association of Certified Fraud Examiners
- Florida Audit Forum
- Society for Corporate Compliance and Ethics
- Association of College and University Auditors
- Florida Government Finance Officers Association





TRAINING ACCOMPLISHMENTS

Section 20.055, Florida Statutes, requires offices of inspector general to conduct audits and investigations in accordance with professional standards. These standards have specific training requirements:

- The Association of Inspectors General specifies that each staff person who performs investigations, audits, evaluations, or reviews should receive at least 40 hours of continuing professional education every two years that directly enhances the person's professional proficiency.
- The *International Standards for the Professional Practice of Internal Auditing* and *Government Auditing Standards* require internal audit staff to maintain their professional proficiency through continuing education and training.
- The *Government Auditing Standards* require each auditor to receive at least 80 hours of continuing education every two years.

To ensure staff members are prepared to meet the OIGC mission, we utilize training resources from various professional organizations and associations, agencies, and individuals to fulfill training needs. Training opportunities include luncheons, webinars, and conferences.

This past fiscal year, our three professional staff members averaged over 320 hours of continuing professional development, and obtained three (3) professional certifications.



TRAINING

AIG Advanced Report Writing – October 1-2, 2015 – This conference covered techniques for writing advanced reports, including how to research, structure, and deliver complex business reports.

IIA Internal Audit Management Leadership – November 19-20, 2015 – The materials presented were customized to address the needs of audit leaders, and included characteristics of effective audit functions.

AGA Annual Conference – February 11-12, 2016 – The conference featured an array of continuing education sessions with qualified presenters, offering opportunities to improve your financial management and auditing skills.

AIG 2016 CIGI Institute – March 21-25, 2016 – IG professionals from across the country gathered to earn the Association's Certified Inspector General (CIG), Certified Inspector General Auditor (CIGA), or Certified General Inspector Investigator (CIGI) credential. Ms. Weeks earned her CIGI certification during the Institute.

CCB & SCCE Higher Education Compliance Conference – June 5-8, 2016 – This training helped participants learn how to increase the effectiveness of their institution's compliance program, discuss emerging risks and issues with their colleagues, and share best practices.

AIG CIGA Institute – July 20-24, 2016 – IG professionals from across the country gathered to earn the Association's Certified Inspector General (CIG), Certified Inspector General Auditor (CIGA), or Certified General Inspector Investigator (CIGI) credential. Mr. Maleszewski earned his CIGA certification during the Institute.



CONTACT INFORMATION AND RESOURCES

Office of the Inspector General
and Director of Compliance
State University System of Florida
Board of Governors
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
Phone: (850) 245-0466, Fax: (850) 245-9192
BOGInspectorGeneral@flbog.edu

RESOURCES

OIGC Web Page, which includes links to the *Audit and Compliance Committee Charter* and *Office of the Inspector General and Director of Compliance Charter*

<http://www.flbog.edu/about/cod/igoffice.php>

Association of Colleges and Universities Auditors

www.acua.org

Association of Inspectors General

<http://inspectorsgeneral.org/>

Florida Inspectors General

<http://www.floridaoig.com/>

Institute of Internal Auditors

<http://www.theiia.org/>

Board of Governors Regulations

<http://www.flbog.edu/about/regulations/>



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**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
September 22, 2016**

SUBJECT: Auditor General Operational Audit of the Board of Governors Office

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 11.45, Florida Statutes

BACKGROUND INFORMATION

The Inspector General and Director of Compliance, Mr. Joseph Maleszewski, will report on the status of the Florida Auditor General's office operational audit of the Board of Governors office.

Supporting Documentation Included: None

Facilitators/Presenters: Mr. Joseph Maleszewski

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
September 22, 2016**

SUBJECT: Florida A&M University 2013 Corrective Action Plan

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Inspector General and Director of Compliance, Mr. Joseph Maleszewski, will update the Committee on the status of Florida A&M University's corrective action plan. There are two remaining topics being monitored as part of the 2013 Corrective Action Plan:

- FAMU Intercollegiate Athletics cash deficit reduction plans; and
- Interim Appointments – focusing on the President's efforts to establish a permanent and stable leadership team.

Supporting Documentation Included: None

Facilitators/Presenters: Mr. Joseph Maleszewski

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
September 22, 2016**

SUBJECT: Summary of State University System Audit Reports

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 20.155, Florida Statutes; and Board of Governors Regulation 1.001(6)(g)-(h)

BACKGROUND INFORMATION

The Inspector General and Director of Compliance, Mr. Joseph Maleszewski, will update the Committee on the various audit reports received in the Office of Inspector General and Director of Compliance related to State University System institutions.

Supporting Documentation Included: None

Facilitators/Presenters: Mr. Joseph Maleszewski



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

AGENDA

**Facilities Committee
Main Auditorium
Harry Sudakoff Conference Center
New College of Florida
5845 General Dougher Place
Sarasota, Florida 34243
September 22, 2016
9:00 a.m. – 10:00 a.m.**

or

Upon Adjournment of Previous Meetings

**Chair: Mr. H. Wayne Huizenga, Jr.; Vice Chair: Mr. Dick Beard
Members: Doyle, Levine, Link, Morton, Tyson, Valverde**

- | | | |
|-----------|---|---|
| 1. | Call to Order and Opening Remarks | Governor H. Wayne Huizenga, Jr. |
| 2. | Minutes of Committee Meetings
Minutes, May 12, 2016
Minutes, June 23, 2016 | Governor Huizenga |
| 3. | 2017-2018 SUS Fixed Capital Outlay
Legislative Budget Request | Mr. Chris Kinsley
<i>Assistant Vice Chancellor for
Finance & Facilities</i> |
| 4. | UCF Educational Plant Survey Amendment | Mr. Kinsley |
| 5. | UNF Housing Bonds – Refunding Authorization | Mr. Kinsley |
| 6. | Debt Management Guidelines Amendment | Mr. Kinsley |
| 7. | Sightlines Presentation | Mr. Kinsley |
| 8. | Impact of Online Education on Space Needs Planning | Mr. Kinsley |
| 9. | Concluding Remarks and Adjournment | Governor Huizenga |

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Facilities Committee
September 22, 2016**

SUBJECT: Minutes of Meetings held May 12 and June 22, 2016

PROPOSED COMMITTEE ACTION

Approve the minutes from the meetings held on May 12 and June 22, 2016

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Committee members will review and approve the minutes from the meeting held on June 22, 2016 at the University of Central Florida.

Committee members will review and approve the minutes from the workshop held jointly with members of the Innovation and Online Committee on May 12, at FGCU.

Supporting Documentation Included: Minutes: June 22, 2016
May 12, 2016

Facilitators/Presenters: Governor H. Wayne Huizenga, Jr.

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
JOINT MEETING OF FACILITIES AND INNOVATION AND ONLINE COMMITTEES
FLORIDA GULF COAST UNIVERSITY
FT. MYERS, FLORIDA
MAY 12, 2016

*Video or audio archives of the meetings of the Board of Governors
and its Committees are accessible at <http://www.flbog.edu/>.*

Mr. Ned Lautenbach, Chair, convened the joint meeting of the Board of Governors Facilities and Innovation and Online Committees meeting at 9:01 a.m., May 12, 2016. The following members were present:

Facilities Chair: Mr. H. Wayne Huizenga, Jr.; Vice Chair: Mr. Dick Beard

Innovation and Online Chair: Mr. Ned Lautenbach; Vice Chair: Mr. Edward Morton

Other Members Present: Graham, Robinson, Stewart, Tripp, Levine, Robinson, Valverde

1. Call to Order

Mr. Lautenbach called the joint meeting to order.

2. Distance Learning Enrollments

Dr. Nancy McKee presented information regarding the distance learning enrollment.

3. Facilities Formula

Chris Kinsley provided an overview of the Facilities Space Formula.

4. University Presentations

The Committees heard presentations from all 12 universities, in the following order:

- a.* University of Florida
- b.* University of West Florida
- c.* University of Central Florida
- d.* Florida A&M University
- e.* New College of Florida
- f.* Florida International University
- g.* Florida State University
- h.* University of North Florida
- i.* Florida Atlantic University
- j.* Florida Polytechnic University
- k.* University of South Florida
- l.* Florida Gulf Coast University

As the meeting was designed as an informational workshop, no action was taken at the meeting.

5. Adjournment

The Committees discussed the presentations and the need to develop models for space need calculations. There being no further business, the meeting adjourned at 3:15 p.m., May 12, 2016.

Chris Kinsley, Assistant Vice Chancellor
Facilities and Finance

H. Wayne Huizenga, Jr., Chair

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
FACILITIES COMMITTEE
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FLORIDA
June 23, 2016

*Video or audio archives of the meetings of the Board of Governors
and its Committees are accessible at <http://www.flbog.edu/>.*

Mr. Dick Beard, Vice Chair, convened the meeting of the Board of Governors Facilities Committee meeting at 10:13 a.m., June 23, 2016. The following members were present: Daniel Doyle, Wendy Link, Edward Morton, Katherine Robinson, and Fernando Valverde. Other members present included Dean Colson, Jacob Hebert, Darlene Jordan, Tom Kuntz, and Ned Lautenbach. Norman Tripp was present via teleconferencing.

1. Call to Order

Mr. Beard called the meeting to order.

2. Approval of Facilities Committee Meeting Minutes

Governor Doyle moved that the Committee approve the minutes of the Facilities Committee meeting held January 20, 2016. Governor Morton seconded the motion and it passed unanimously.

3. Approval of 2017-18 SUS FCO LBR Guidelines

Vice Chair Beard explained that the LBR Guidelines are consistent with those from last year, with the one exception being that session will start in March rather than January. Governor Doyle moved that the Committee approve the 2017-18 SUS FCO LBR Guidelines, Governor Morton seconded and the motion passed unanimously.

4. 2016-17 Capital Improvement Fee Project Authorization

Vice Chair Beard called on Mr. Chris Kinsley, Assistant Vice Chancellor for Facilities and Finance, to explain the Capital Improvement Fee Projects and the authorization of fund allocations to the Committee.

Mr. Kinsley explained that as a part of last year's LBR the Board requested \$35 million from the Capital Improvement Trust Fund and approved the allocation of these funds. Their allocation is a pro rata distribution based on the remittances from the universities. The Legislature approved the request and allocation approved by the Board with the proviso that the Board authorize the specific projects selected by the universities. With the exception of UF Online, which requested a small amount for equipment, the selected projects were listed for the Committee and mostly consisted of requests for funds to finish existing CITF projects. Governor Link moved approval of the 2016-17 CITF allocations and Governor Doyle Seconded. The motion passed unanimously.

5. University of Central Florida Educational Plant Survey Validation

Mr. Kinsley reported that the Educational Plant Survey process for the University of Central Florida has been completed for some time and that the Board Office had been waiting for the Board's authorization of UCF's downtown campus. Now that the downtown campus site has been approved, the survey was ready for validation. There were no questions, Governor Link moved validation of the Educational Plant Survey, which Governor Doyle seconded and the motion passed unanimously.

6. Florida Atlantic University Educational Plant Survey Validation

Mr. Kinsley reported that the Educational Plant Survey for Florida Atlantic University was complete and in good order. There were no questions and Governor Doyle moved validation of the Educational Plant Survey. Governor Link seconded the motion and it passed unanimously.

7. Proposed Amendment to SUS Board of Governors Debt Management Guidelines

Mr. Kinsley explained that universities and their direct support organizations have been coordinating rating reviews between credit rating agencies and the Division of Bond Finance, which until now had occurred on an informal, "as-needed" basis. In April of this year the Chancellor released a memo formalizing this process, requiring the Board Office and Division of Bond Finance to be engaged in all surveillance and any type of interface with the universities, their direct support organizations and the credit rating agencies.

This is the result of changes in methodology made by the credit rating agencies, which have been in a process of reforming their criteria so that entities using the same rating scale have the same criteria, such as universities and corporations. This has resulted in increased surveillance and a change in the metrics considered.

Since the publication of the Chancellor's memo, the Board Office, Division of Bond Finance, and the universities are working together to ensure that the best information is given to the bond rating agencies. This has been happening since the release of the Chancellor's memo, but now the Board Office is proposing to officially add these changes to the Debt Management Guidelines. The proposed draft language will be posted on the Board's website, and will be open to public comment through the end of July. Additionally, a workshop is planned on this topic, and will be held on July 13 at USF, with attendance anticipated by all 12 universities, the Division of Bond Finance and the Governor's Office. Following these steps, Mr. Kinsley stated that he believes that the Committee should have final amendments to consider in September.

Governor Kuntz requested feedback from the Committee, advising that the members and especially the university presidents ask staff scrutinize this amendment more than normal since it would affect the Board's standard operating procedures. Mr. Beard mentioned that the language was still be developed and welcomed this feedback.

Governor Morton asked Mr. Kinsley if he thought this change would put more pressure on the SUS's credit rating. Mr. Kinsley responded by saying that he thought that it could potentially improve our rating, due to the improved consistency resulting from the collective collaboration between the Board Office, Division of Bond Finance and the universities.

Governor Morton clarified by asking if Mr. Kinsley thought that, due to the change in the way the credit rating agencies evaluate the SUS, the SUS's credit rating would be affected negatively. Mr. Kinsley responded by saying that as long as our student enrollment is steady and we continue to receive support from the State, our credit rating should remain strong. This concluded discussion on the matter.

8. Sightlines Update

Mr. Kinsley reported that the Sightlines facilities benchmarking software was discussed at the September board meeting, and the Board was encouraged by Chair Huizenga and then-Chair Hossenli to urge the universities to adopt the software. At the time, four schools had it, however they all now have it, with the exception of New College and Florida Polytechnic.

The software has been implemented by the universities and the results will be presented to the schools next month (July). Board staff could possibly be ready by the September meeting to present benchmarking information about our system, both comparing school to school and our system as a whole to other state university systems. Mr. Kinsley praised the software and the universities for such quick adoption and implementation.

9. Concluding Remarks and Adjournment

Vice Chair Beard gave a brief overview of the joint workshop between the Facilities and Online and Innovation Committees in May and noted that the Committee will be reviewing their findings over the coming months. There being no further business, the meeting adjourned at 10:32 a.m., June 23, 2016.

Chris Kinsley, Assistant Vice Chancellor
Facilities

Dick Beard, Vice-Chair

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Facilities Committee
September 22, 2016**

SUBJECT: 2017-2018 State University System Fixed Capital Outlay Legislative Budget Request

PROPOSED COMMITTEE ACTION

Review and approve the 2017-2018 SUS Fixed Capital Outlay Legislative Budget Request

Approval is recommended by the Chancellor

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The requested budget provides the State University System of Florida continued capital outlay support and has been prepared in accordance with statutory requirements and guidelines adopted by the Board of Governors. All university fixed capital outlay budget requests have been approved by the institutional boards of trustees.

This is the initial budget request for 2017-2018. A workshop is scheduled for October 18, 2016, at USF. At the workshop, the Committee will review selected high priority PECO and CITF projects with detailed project presentations by university representatives, per the attached list. Universities wishing to present additional projects may request these be added to the agenda, and projects may be added or removed from the presentation at the Committee Chair's discretion. Amendments to the 2017-2018 FCO LBR will be considered at the **January 2017 Board meeting**.

The staff recommendation is for approval of a base or start up budget, matching the cash available estimates for both PECO and CITF.

Specific Fixed Capital Outlay Appropriation Requests

- ◆ **[ADOPT \$___M] The PECO 2017-2018 SUS Five Year Fixed Capital Outlay Request** provides funding to meet A) Deferred maintenance, in the amount of _____; B) SUS Projects, in the amount of \$_____.

[ADOPT \$__ M] Request for Capital Improvement Trust Fund Allocation, represents an amount based on current CITF revenue projections, with a base assumption of no future fee increases. The pro-rata distribution will be adopted at a future meeting of the Board.

- ♦ **[Information] SUS Projects for Review** represents a preliminary list of projects selected for review at the October 18, 2016 Facilities Workshop. This list is generated from the 2017-2018 Capital Improvement Plan lists approved by each university board trustees. The final list, and order of presentations will be determined by the Facilities Chair. **(Attachment I)**

Supporting Documentation:

To Be Provided

Facilitators/Presenters:

Mr. Chris Kinsley

BOARD OF GOVERNORS
Facilities Committee
October 18, 2016 Workshop
2017-18 PECO Project Presentations List

Univ	Project Name	Funding Source	Total Prior Funding	State Amount Needed to Complete
SUS	Florida Academic Repository	PECO	\$2,017,512	\$26,100,000
UF	Nuclear Science Building Reno/ Addition (Engineering Nexus)	PECO	\$19,768,434	\$8,650,000
	Norman Hall	PECO	\$14,070,362	\$17,400,000
FSU	*Earth Ocean Atmospheric Sciences Building, Phase I	PECO	\$40,850,000	\$29,000,000
	College of Business Building	PECO		\$44,000,000
FAMU	Student Affairs Building (CASS)	PECO	\$12,655,000	\$26,700,000
	Dyson Remodel for Teaching Labs (Classroom)	PECO		\$21,110,000
USF	**Morsani College of Medicine and Heart Health Institute	PECO	\$78,893,118	\$33,255,000
	Interdisciplinary Science Teaching & Research Facility	PECO	\$74,732,583	\$9,031,200
	Cyber-Security/Data Center	PECO		\$36,934,600
FAU	Jupiter STEM/Life Sciences Bldg.	PECO	\$3,031,247	\$30,950,000
	Cooling Towers Replacement - Utility Infrastructure - Phase I	PECO		\$3,500,000
UWF	Laboratory Sciences Annex (Phase III)	PECO	\$21,800,000	\$4,460,000
	Education Development Center Renovation	PECO		\$12,682,000
UCF	Interdisciplinary Research & Incubator Facility	PECO	\$5,924,183	\$46,630,000
	Engineering Building I Renovation	PECO		\$17,047,000
FIU	Land Acquisition	PECO	\$10,000,000	\$40,000,000
	School of International & Public Affairs	PECO		\$15,000,000
UNF	Science & Engineering Bldg. 50 (STEM) Renovations	PECO		\$7,000,000
	Schultz Hall Bldg. 9 Renovations	PECO		\$3,000,000
FGCU	School of Water Resources & Integrated Sciences	PECO	\$3,852,065	\$48,650,000
	Central Energy Plant Expansion	PECO		\$9,000,000
NCF	Heiser Natural Science Addition	PECO	\$7,877,601	\$1,850,600
	Hamilton Classroom Building Renovation/Remodeling	PECO		\$5,204,800
FPU	Applied Research Center	PECO	\$5,000,000	\$25,340,000
Total PECO Presentation List			\$300,472,105	\$522,495,200

STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Facilities Committee
October 18, 2016 Workshop
2017-18 CITF Projects List
Projects in Green Italics will be presented

Univ	Project Name	Funding Source	Total Prior Funding	State Amount Needed to Complete
UF	<i>UF Health & Recreation Center</i>	<i>CITF</i>		<i>\$93,000,000</i>
	Rebuild IBC & La Casita	CITF	\$3,295,838	\$2,000,000
FSU	<i>Student Union Replacement (Phase I)</i>	<i>CITF</i>	<i>\$18,751,607</i>	<i>\$15,100,000</i>
FAMU	<i>Student Union</i>	<i>CITF</i>		<i>\$29,100,000</i>
USF	<i>Wellness Center Complex (Phase I)</i>	<i>CITF</i>	<i>\$7,431,371</i>	<i>\$12,755,000</i>
	Smart Parking System	CITF		\$900,000
	Health Student Union Annex Facility (Phase V)	CITF	\$6,692,458	\$1,964,336
	USFSP Enviro & Co-curricular Improvements	CITF		\$532,400
	USFSM Co-curricular & Wellness Facilities	CITF	\$525,410	\$214,400
FAU	<i>Student Union Reno & Expansion (Phase II - Boca)</i>	<i>CITF</i>		<i>\$8,500,000</i>
UWF	Intercollegiate Athletics - Field House Bleachers	CITF		\$626,000
	Education Research for Child Care Dev Classrooms	CITF		\$743,000
	Tennis Complex (Phase II of II)	CITF		\$5,503,000
	<i>Student Union Phase I</i>	<i>CITF</i>		<i>\$20,000,000</i>
	Recreation Sports Services Complex (Phase I)	CITF		\$8,991,000
UCF	<i>John C. Hitt Library Reno (Phase I)</i>	<i>CITF</i>		<i>\$6,900,000</i>
	<i>John C. Hitt Library Reno (Phase II)</i>	<i>CITF</i>		<i>\$38,800,000</i>
FIU	<i>Graham University Center - MMC</i>	<i>CITF</i>		<i>\$24,000,000</i>
	Wolfe University Center Reno - BBC	CITF		\$6,000,000
	Recreation Center Expansion - BBC	CITF		\$2,000,000
	Recreation Center Remodeling - MMC	CITF		\$1,000,000
UNF	<i>Student Assembly Center</i>	<i>CITF</i>		<i>\$5,500,000</i>
	Student Recreation Venues (Student Wellness)	CITF	\$1,784,552	\$9,000,000
FGCU	<i>Student Health & Life Fitness Center (Phase I)</i>	<i>CITF</i>		<i>\$6,930,000</i>
NCF	<i>Fitness Center Remodel</i>	<i>CITF</i>		<i>\$100,000</i>
FPU	-			
Total CTIF Priority Projects			<u>\$38,481,236</u>	<u>\$300,159,136</u>

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Facilities Committee
September 22, 2016**

SUBJECT: University of Central Florida Educational Plant Survey Amendment

PROPOSED COMMITTEE ACTION

Review and approve the proposed amendment to the University of Central Florida's Educational Plant Survey recommending demolition of Colbourn Hall (Building 18)

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Sections 1013.03 and 1013.31, Florida Statutes

BACKGROUND INFORMATION

The University of Central Florida's current Educational Plant Survey (EPS) was approved by the Board of Governors on June 22, 2016. The survey originally recommended the renovation of Colbourn Hall (Building 18); however, based on a third party assessment, UCF is now requesting an amendment to this recommendation to allow for the demolition of Colbourn Hall. The amendment removes item 2.1, Colbourn Hall Renovation, from the survey team recommendations and adds item 4.7, Colbourn Hall Demolition. The UCF Board of Trustees approved the survey amendment on July 28, 2016. (See attached BOT agenda item).

Board staff support this EPS change. Constructed in 1974, Colbourn Hall is approaching the end of its 50 year expected useful life, and has a Facilities Condition Index (FCI) score of 86% - well in excess of the 40% minimum threshold for demolition. The high FCI indicates that from an economic perspective, the building should be replaced rather than renovated.

Supporting Documentation Included: UCF Board of Trustees approval of Colbourn Hall demolition

Facilitators/Presenters: Mr. Chris Kinsley

ITEM: FF-4

**University of Central Florida
Board of Trustees**

SUBJECT: Razing of Building 18

DATE: July 28, 2016

PROPOSED BOARD ACTION

Approve the demolition of building 18, contingent upon the Educational Plant Survey recommendation, and authorize the president to make necessary adjustments.

BACKGROUND INFORMATION

Building 18 is in poor condition and should be demolished. Deficiencies are found in structure, the building envelope, indoor air quality, fire alarm systems, potable water and plumbing distribution systems, electrical service, asbestos, HVAC, lighting, building automation, ADA compliance, interior finishes, flooring, egress, exterior lighting, and utility service entrances. Information technology upgrades also are necessary in order to meet current and future technology requirements. The building is showing signs of structural deterioration on the second and third floor exposed-exterior walkways, around the perimeter of the building, and throughout on steel handrails and structural steel-shelf angles.

The estimated cost to correct the problems within the building is in excess of \$15,000,000, which is greater than 60 percent of the building cost.

According to Administrative Rule 6C-9.004 Razing of Buildings, as prescribed by Section 240.22 Florida Statutes, Universities, each university's Board of Trustees shall have the authority to raze buildings. Prior to demolition of any educational support facility with a replacement cost exceeding \$1,000,000, the university shall obtain an Educational Plant Survey recommendation for demolition. The university Board of Trustees shall review and approve the Educational Plant Survey recommendation and transmit it to the Board of Governors for validation.

A spot survey by the BOG's staff has been requested.

Supporting documentation: Attachment A: Photographs of the building

Prepared by: Lee Kernek, Associate Vice President for Administration and Finance

Submitted by: William F. Merck II, Vice President for Administration and Finance
and Chief Financial Officer



Attachment A



**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Facilities Committee
September 22, 2016**

SUBJECT: A Resolution of the Board of Governors approving the University of North Florida Financing Corporation (the "Financing Corporation") to issue revenue bonds on behalf of the University of North Florida (the "University") to refinance its existing housing debt.

PROPOSED COMMITTEE ACTION

Adoption of a resolution approving the issuance of fixed rate, tax-exempt revenue bonds, by the Financing Corporation on behalf of the University, in an amount not to exceed \$127,100,000 (the "Bonds") to refinance its entire portfolio of outstanding housing debt and to incorporate a previously acquired apartment complex, which was done through the mortgage exception, into the existing housing system. The bonds will refund bonds issued in 2007 by the Financing Corporation and a separate loan entered into in 2014 with Compass Mortgage Corporation to acquire the Flats at Kernan (the "Flats").

Staff of the Board of Governors, State University System of Florida, and the Division of Bond Finance has reviewed this resolution and all supporting documentation. Based upon this review, it appears that the proposed Financing Plan is in compliance with Florida Statutes governing the issuance of university debt and complies with the Debt Management Guidelines adopted by the Board of Governors. Accordingly, staff of the Board of Governors recommends adoption of the resolution and authorization of the proposed refinancing.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Florida Board of Governors Debt Management Guidelines; Subsection 1010.62(3), Florida Statutes; and Article IX, Section 7, Florida Constitution.

BACKGROUND INFORMATION

The University Board of Trustees has requested approval from the Board of Governors for the Financing Corporation to issue up to \$127,100,000 of fixed rate, tax-exempt revenue bonds to refinance all existing housing debt, fund a debt service reserve fund and pay costs of issuing the Bonds.

Universities have been authorized by the Board to engage in refundings for debt service savings without specific Board of Governors approval; however, in this instance, the original financing of the Flats was secured by a mortgage, which is also exempt from the requirement of Board of Governors' approval. Since it is proposed that all outstanding housing debt will be consolidated and the Board of Governors has not previously considered the acquisition of the Flats, Board approval is requested.

Net housing system revenues are pledged for the payment of debt service, which are derived primarily from housing rental income. Projections provided by the University indicate that sufficient net revenues will be generated from rental income to pay debt service on the Bonds. The University intends to use the savings generated from the refinancing to hold rental rates unchanged for the five year forecast period. Without the benefit of the projected refunding savings, the University would need to consider rate increases in order to meet required debt service coverage levels.

The University's Board of Trustees approved the Project and the financing thereof at its September 6th, 2016 meeting. The Financing Corporation Board approved the Project and the financing thereof at its September 1st, 2016 meeting.

Supporting Documentation Included:

1. Requesting Resolution
2. Project Summary
3. Estimated Sources and Uses of Funds
4. Historical and Projected Pledged Revenues and Debt Service Coverage

Facilitators/Presenters: Mr. Chris Kinsley

A RESOLUTION APPROVING THE ISSUANCE BY THE UNIVERSITY OF NORTH FLORIDA FINANCING CORPORATION, A UNIVERSITY DIRECT SUPPORT ORGANIZATION, OF DEBT IN AN AMOUNT NOT TO EXCEED \$127,100,000; AND PROVIDING AN EFFECTIVE DATE.

The duly acting and appointed Board of Governors of the State of Florida at a meeting duly held pursuant to notice and a quorum being present do hereby make the following resolutions:

BE IT RESOLVED:

1. Findings. The Board of Governors hereby finds as follows:

(A) Pursuant to Article IX, Section 7 of the Florida Constitution, the Board of Governors is vested with the power to operate, regulate, control and manage the State University System of Florida. The Board of Governors is further vested with the authority to approve the issuance of revenue bonds by a state university pursuant to Section 1010.62(3), Florida Statutes.

(B) The University of North Florida Financing Corporation (The "Financing Corporation") was incorporated by the University of North Florida Board of Trustees (the "University Board") to provide direct support to the University of North Florida (the "University") and designated as a "University Direct Support Organization" by the University Board of Trustees (the "University Board") pursuant to Section 1004.28, Florida Statutes.

(C) The University Board has requested approval from the Board of Governors for the Financing Corporation to issue debt, in an amount not to exceed \$127,100,000 (the "Debt") for the purpose of refinancing: (i) the 2007 Housing Project Bonds; (ii) the Flats at Kernan; (iii) a debt service reserve fund, if necessary and (iv) certain costs relating to the Debt (collectively, the "Financing Plan").

(D) Upon consideration of the Financing Plan, the Board of Governors further finds that the issuance of the Bonds is for a purpose that is consistent with the mission of the University; is structured in a manner appropriate for the prudent financial management of the University; is secured by revenues adequate to provide for all debt service payments; has been properly analyzed by the staffs of the Board of Governors and the Division of Bond Finance; and is consistent with the Board of Governors' Debt Management Guidelines.

(E) The Board of Governors declares that the Financing Plan will serve a public purpose by enhancing the growth of the University housing system by consolidating outstanding housing debt.

2. Approval of the Project. The Financing Plan is approved by the Board of Governors as being consistent with the strategic plan of the University and the programs offered by the University.

3. Approval of the Bonds. The Board of Governors hereby approves issuance of the Debt by the Financing Corporation for the purposes described herein, in an amount not to exceed \$127,100,000. The maturities on the bonds will not be extended beyond the term of the outstanding debt and the rate will be a fixed interest rate acceptable to the Financing Corporation. The proceeds of the Bonds may be used to pay the costs of issuance of such Bonds and to provide for a municipal bond insurance policy, if any. The Bonds are to be secured by the net revenues of the housing system of the University, pursuant to a lease between the University and the Financing Corporation, and may additionally be secured by other revenues that are determined to be necessary and legally available.

4. Compliance. The University Board will comply, and will require the University and the Financing Corporation to comply, with the following:

(A) All federal tax law requirements upon advice of bond counsel or the Division as evidenced by a "Certificate as to Tax, Arbitrage and Other Matters" or similar certificate to be executed by the University Board prior to the issuance of the Debt.

(B) All covenants and other legal requirements relating to the Debt.

5. Repealing Clause. All resolutions of the Board of Governors or parts thereof, in conflict with the provisions herein contained, to the extent they conflict herewith, are, to the extent of such conflict, hereby superseded and repealed.

6. Authorization of Further Actions Consistent Herewith. The members of the Board of Governors, attorneys, or other agents or employees of the Board of Governors are hereby authorized and directed to do all acts and things required of them by this resolution or desirable or consistent with the requirements hereof, to assure the full, punctual and complete performance of all the terms, covenants and agreements contained in the Bonds and this resolution; including execution of such documents, certificates, contracts and legal opinions and other material delivered in connection with the construction or financing of the Financing Plan for use by the University, the issuance of the Bonds or as necessary to preserve the exemption from the taxation of interest on any of the Bonds which are tax-exempt, in such form and content as the

Chair, Vice Chair or authorized officers executing the same deem necessary, desirable or appropriate.

7. **Effective Date.** This resolution shall become effective September 22, 2016.

Adopted this 22nd day of September, 2016

CERTIFICATE OF THE CORPORATE SECRETARY

The undersigned, Corporate Secretary of the Board of Governors, does hereby certify that the attached resolution relating to the issuance of Debt by the Financing Corporation is a true and accurate copy as adopted by the Board of Governors on September 22, 2016, and said resolution has not been modified or rescinded and is in full force and effect on the date hereof.

**BOARD OF GOVERNORS OF THE
STATE UNIVERSITY SYSTEM OF
FLORIDA**

Dated: _____, 2016

By: _____
Corporate Secretary

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Project Summary
University of North Florida
Refinancing of Existing Housing Debt**

Background:

The Debt Management Guidelines of the Board of Governors generally do not require the approval of the Board of Governors for refundings for debt service savings. However, the original financing of the Flats at Kernan ("the Flats"), an apartment complex, was secured by a mortgage, a type of financing which is also exempt from the requirement of Board of Governors' approval, pursuant to s. 1010.62, Florida Statutes. Therefore, the Board of Governors has not previously considered the acquisition of the Flats. Since it is proposed that the Flats will now be financed without a mortgage, and become part of the Housing System, the University is requesting authorization from the Board of Governors for the transaction below. To avoid this situation in the future, the Board is currently considering an amendment to the Debt Management Guidelines which would eliminate the mortgage exemption from Board of Governors approval for student housing or any other facility which competes with a university's existing auxiliary enterprise. Another proposed amendment would limit the exemption for refundings to situations where the original financing was approved by the Board of Governors.

Project Description:

The University of North Florida (the "University") requests approval to issue refunding bonds through the University of North Florida Financing Corporation (the "Corporation") to refinance its entire portfolio of outstanding housing debt. The bonds would refund bonds issued in 2007 by the Corporation to finance the Osprey Fountains housing project and to refund other bonds previously issued to finance the then existing housing system at the University (collectively, the "Housing System"), as well as a separate loan entered into in 2014 with Compass Mortgage Corporation to acquire the Flats. The bonds would be issued in an amount not exceeding \$127.1 million.

In 2007, The Board of Governors approved the issuance of fixed-rate, tax-exempt bonds in an amount not exceeding \$116 million for the purpose of constructing a student housing facility containing 1,000 beds (the Osprey Fountains) and refunding certain other outstanding housing bonds of the University of North Florida Foundation, which had financed another 2055 beds.

From bond proceeds of \$116 million, \$74 million financed the construction, installation and equipping of Osprey Fountains. Of the remaining \$42 million, \$34 million was allocated to refinancing outstanding bonds and \$8 million was allocated to capitalized interest. The Housing System, financed or refinanced through the 2007 bond issue, currently includes 3,023 beds.

The University acquired the Flats in 2014 for \$30.7 million through a loan secured by a conventional mortgage for \$26.2 million, or 85% of the purchase price. This property was purchased from a private owner and contains 480 beds within eight three-story buildings.

The existing financings for the Housing System and the Flats are separate transactions, each paid solely from revenues of the respective housing projects. However, in the loan agreement which financed the Flats, the University agreed that it would not publicly offer additional bonds secured under the lien on the Housing System unless the proceeds were used to refinance the loan used to acquire the Flats. The University further agreed not to incur additional debt secured under the lien on the revenues of the Housing System through a private placement, without the prior written consent of the lender for the Flats loan. Therefore, the University is requesting to consolidate all existing debt on the Housing System and the Flats, in one transaction. The University is estimating present value savings on the proposed refunding of the Housing System bonds of 14.39% or \$13.8 million. However, savings on refunding the Flats loan is modest, at an estimated present value savings of 1.34%, or just over \$300 thousand. This will result in a combined savings on the refinancing of the Housing System and the Flats of 11.76%, or \$14.1 million. The Flats loan is not being refunded solely for debt service savings, but rather to replace the mortgage financing with revenue bonds secured by a lien on revenues of the Housing System. If the interest rate on the proposed bonds were to increase as little as 20 basis points (0.2%), the savings on the Flats refunding would be negative.

Facility Site Location: The Housing System is located on 27 acres situated on the eastern ridge of the University's campus.

The Flats is located directly across from campus on First Coast Technology Parkway on approximately 12.82 acres.

Projected Start and Opening Date:

The proposed bond issue is for refinancing only; construction funding is not being requested.

Flats Quantitative Demand Analysis:

In summer 2014, after being approached by the property owner with an offer to sell, the University Board of Trustees decided that due to the strategic location and the need for additional housing, they should acquire the Flats property. Prior to the purchase of the Flats, the University had 3,023 student beds that were more than 100% occupied. That fall, 540 students were in triples and there was a sizeable waiting list for upper classmen that could not be accommodated.

Flats Study of Private Sector Alternatives:

At the time of the Flats acquisition, the University had demand for additional housing, especially for upper classmen. The Flats property provided a type of housing the University did not have in their inventory to meet the demand from upper class students. Prior to the acquisition, 70% of the residents in the Flats were University of North Florida students. The Flats property is adjacent to campus and the private owner wanted to sell the property.

Flats Project Return/IRR:

The Flats property is expected to provide a positive internal rate of return estimated at 4.58%, based upon assumptions provided by the University.

Financing Structure:

The University proposes to issue refunding bonds through the Corporation in an amount not exceeding \$127.1 million. The maturities on the bonds will not be extended beyond the term of the outstanding debt.

Security/Lien Structure:

The bonds will be secured by a lien on the pledged revenues as defined in the Trust Indenture to include lease payments to be made by the University to the Corporation. The lease payments are secured by a pledge of and lien on net operating revenues from the Housing System, including the Flats.

The University has maintained high occupancy rates over the years. Historically, this rate averages 99%. In the future years, the University projects an average of 100% occupancy for the next five years.

**Pledged Revenues
and Debt Service
Coverage:**

From fiscal year 2011-12 to 2013-14 pledged revenues from the Housing System alone grew from \$9.1 million to \$9.5 million. Pledged revenues increased even further in 2014-15 to \$11.1 million with the addition of the Flats, and are expected to increase to \$12.1 million in 2015-16. During this period debt service coverage ranged from a high of 1.44x in 2012-13 to the current 1.24x expected in 2015-16. The debt service coverage declined primarily due to the ascending nature of the debt service on the 2007 bonds, which financing structure was approved by the Board of Governors in 2007.

The projected pledge revenues, including the Flats, for fiscal year 2016-17 are \$12.3 million and are projected to drop 6% to \$11.5 million by 2020-21, with the debt service coverage declining from 1.59x to 1.29x. This decline results from the University's current plan of no rate increases over the five year period while O&M is projected to grow 1.6% annually. The projected annual debt service is \$8.95 million after the refunding based on a currently estimated interest rate of 2.83%.

(See detailed summary of historical and projected debt service coverage)

Type of Sale:

The University provided a standard competitive versus negotiated sale analysis which analyzed various criteria in making a determination for a negotiated sale. The University believes that two of the criteria, the type of pledged revenues and the security structure, argue for a negotiated sale. With respect to the pledged revenues, it should be noted the Division of Bond Finance has successfully sold university housing transactions secured by revenues of a housing system for many years. Regarding the security structure, the University would like to make a slight reduction to the 1.25x parity test requirement and sell the bonds without a debt service reserve. These changes are not out of the ordinary and should not warrant a negotiated sale by themselves. The stronger argument for a negotiated sale is that the bonds were recently downgraded to "A-." Under the standard analysis, bonds rated below a single "A" are more likely candidates for a negotiated sale. Although the bonds are still in the "A" category, they are at the lower end and, with the recent downgrade from "A", it is not unreasonable to provide additional

education to investors about the downgrade that is afforded by a negotiated sale.

**Selection of
Professionals:**

The University has provided information stating that both the financial advisor and the underwriters were selected based upon a Request for Proposal (RFP) process. With respect to the financial advisor, an RFP was mailed and responses were reviewed by a committee of three. Six financial advisory firms responded and four were selected to be interviewed. The four selected for interview were Dunlap & Associates, First Southwest Company, PRAG and The PFM Group. The committee selected The PFM Group.

Selection of the underwriting team followed the same process, except that representatives from The PFM Group were on the review committee. A number of underwriting firms responded, with five firms being selected for interviews. The five selected were Bank of America - Merrill Lynch, JP Morgan, RBC Capital, SunTrust - Robinson Humphrey and Wells Fargo Securities. SunTrust - Robinson Humphrey was selected as senior manager and JP Morgan was chosen as co-senior manager.

The University used the same bond counsel, Bryant, Miller and Olive, that they have used in the past for continuity.

**Analysis and
Recommendation:**

Staff of the Board of Governors and the Division of Bond Finance have reviewed the information provided by University of North Florida with respect to the request for Board of Governors approval for the subject refinancing. Projections provided by the University indicate that sufficient net revenues will be generated from rental income, without the need for a rate increase. It appears that the proposed financing is in compliance with the Florida Statutes governing the issuance of university debt and is in compliance with the Board of Governors' Debt Management Guidelines. Furthermore, the financing will allow the University to bring all of its housing under one system. Accordingly, staff of the Board of Governors recommends adoption of the resolution authorizing the proposed financing.

ATTACHMENT - SOURCES AND USES

STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
UNIVERSITY OF NORTH FLORIDA HOUSING REVENUE BONDS, SERIES 2016A
Estimated Sources and Uses of Funds
Refinancing/Restructuring of Existing Housing Debt

Sources of Funds

Bond Proceeds -Par)	\$ 106,410,000	Series 2016 Bonds par amount based on fixed rate tax exempt interest rate of 5% for 20 years
Bond Proceed - Premium	\$ 20,696,470	
Total Sources of Funds	<u>\$ 127,106,470</u>	

Basis for Amounts**Uses of Funds**

Refunding of 2007 Series	\$ 102,444,704	
Refinancing of Flats Mortgage	\$ 24,206,828	
Debt Service Reserve Fund	\$ -	
Costs of Issuance	\$ 215,000	Estimated Bond Counsel (\$70,000); Financial Adviser (\$45,000); Rating Fee (\$75,000); and Other Misc. (\$25,000).
Underwriter's Discount	\$ 233,504	
Other	\$ 6,434	
Total Uses of Funds	<u>\$ 127,106,470</u>	

ATTACHMENT: HISTORICAL AND PROJECTED DEBT SERVICE COVERAGE

STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
UNIVERSITY OF NORTH FLORIDA
HISTORICAL AND PROJECTED DEBT SERVICE COVERAGE

	Historical				Estimated Actual	Projected				
	Fiscal Year 2011-12	Fiscal Year 2012-13	Fiscal Year 2013-14	Fiscal Year 2014-15	Fiscal Year 2015-16	Fiscal Year 2016-17	Fiscal Year 2017-18	Fiscal Year 2018-19	Fiscal Year 2019-20	Fiscal Year 2020-21
Operating Revenues										
Gross Revenues Housing System	\$ 17,423,688	\$ 17,697,261	\$ 18,255,297	\$ 18,784,415	\$ 18,790,925	\$ 19,025,812	\$ 19,025,812	\$ 19,025,812	\$ 19,025,812	\$ 19,025,812
Gross Revenues "The Flats"	\$ -	\$ -	\$ -	\$ 2,998,681	\$ 3,886,176	\$ 3,963,900	\$ 3,963,900	\$ 3,963,900	\$ 3,963,900	\$ 3,963,900
Total Operating Revenues	\$ 17,423,688	\$ 17,697,261	\$ 18,255,297	\$ 21,783,096	\$ 22,677,101	\$ 22,989,712	\$ 22,989,712	\$ 22,989,712	\$ 22,989,712	\$ 22,989,712
Operating Expenses										
Salary & OPS	\$ 2,567,508	\$ 2,611,539	\$ 2,975,272	\$ 3,107,949	\$ 2,973,523	3,018,126	3,063,398	3,109,349	3,155,989	3,203,329
Utilities	\$ 2,079,316	\$ 2,051,523	\$ 2,067,597	\$ 2,043,587	\$ 2,020,870	2,051,183	2,081,950	2,113,180	2,144,877	2,177,051
Maintenance / Materials & Supplies	\$ 510,134	\$ 591,031	\$ 547,704	\$ 898,219	\$ 414,169	420,382	426,687	433,088	439,584	446,178
Other	\$ 3,158,544	\$ 2,570,885	\$ 3,201,108	\$ 3,030,981	\$ 3,599,197	3,653,185	3,707,983	3,763,603	3,820,057	3,877,358
Expenses for Housing System	\$ 8,315,502	\$ 7,824,978	\$ 8,791,681	\$ 9,080,736	\$ 9,007,759	\$ 9,142,876	\$ 9,280,019	\$ 9,419,219	\$ 9,560,507	\$ 9,703,915
Salary & OPS				\$ 189,448	\$ 426,614	437,279	448,211	459,416	470,902	482,674
Utilities				\$ 273,414	\$ 480,833	492,854	505,175	517,804	530,749	544,018
Maintenance / Materials & Supplies				\$ 97,350	\$ 179,747	184,241	188,847	193,568	198,407	203,367
Other				\$ 1,038,360	\$ 457,657	469,098	480,826	492,847	505,168	517,797
Expenses for "the Flats"	\$ -	\$ -	\$ -	\$ 1,598,572	\$ 1,544,850	\$ 1,583,472	\$ 1,623,059	\$ 1,663,635	\$ 1,705,226	\$ 1,747,857
Total Operating Expenses	\$ 8,315,502	\$ 7,824,978	\$ 8,791,681	\$ 10,679,308	\$ 10,552,610	\$ 10,726,347	\$ 10,903,077	\$ 11,082,854	\$ 11,265,733	\$ 11,451,771
Debt Service, Prior Lien Obligations	-	-	-	-	-	-	-	-	-	-
Pledged Revenues	\$ 9,108,186	\$ 9,872,283	\$ 9,463,616	\$ 11,103,788	\$ 12,124,491	\$ 12,263,365	\$ 12,086,635	\$ 11,906,858	\$ 11,723,979	\$ 11,537,941
Annual Debt Service										
Outstanding Parity Bonds	\$ 6,493,650	\$ 6,864,000	\$ 7,255,375	\$ 7,666,375	\$ 8,085,000	\$ 7,121,388	\$ 8,924,500	\$ 8,932,250	\$ 8,930,000	\$ 8,937,750
Flats Mortgage	-	-	-	1,292,449	1,723,265	574,422	-	-	-	-
Total Annual Debt Service	\$ 6,493,650	\$ 6,864,000	\$ 7,255,375	\$ 8,958,824	\$ 9,808,265	\$ 7,695,810	\$ 8,924,500	\$ 8,932,250	\$ 8,930,000	\$ 8,937,750
Maximum Annual Debt Service	\$ 8,087,875	\$ 8,087,875	\$ 8,087,875	\$ 9,811,140	\$ 9,811,140	\$ 8,954,250	\$ 8,954,250	\$ 8,954,250	\$ 8,954,250	\$ 8,954,250
Debt Service Coverage Ratios										
Total Annual Debt Service	1.40x	1.44x	1.30x	1.24x	1.24x	1.59x	1.35x	1.33x	1.31x	1.29x
Maximum Annual Debt Service	1.13x	1.22x	1.17x	1.13x	1.24x	1.37x	1.35x	1.33x	1.31x	1.29x
Occupancy Rate	96.20%	100.50%	101.10%	106.10%	98.50%	100.50%	100.00%	100.00%	100.00%	100.00%
Percent Increase in Average Housing Rate	3.12%	3.10%	3.30%	3.29%	4.32%	0.00%	0.00%	0.00%	0.00%	0.00%

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Facilities Committee
September 22, 2016**

SUBJECT: Amendment to the State University System Board of Governors Debt Management Guidelines

PROPOSED COMMITTEE ACTION

Approve notice of intent to amend the State University System Board of Governors Debt Management Guidelines

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Board adopted Debt Guidelines in 2006 for the state universities and their direct support organizations (DSOs) to confirm that they must engage in sound debt management practices. The Guidelines have proven to be a robust framework for debt management, and with minor modifications, have enabled the System to navigate the credit market crisis in 2007-2008, and ensuing changes in the financial regulatory environment. During this time, the universities and their DSO's have generally enjoyed stable or improving credit profiles, as evaluated by the national rating agencies.

Recent activity relative to university and DSO credit (bond) ratings by a national rating agency led the Chancellor, in consultation with the Division of Bond Finance, to proactively issue a memo to the university presidents. The Chancellor advised the universities that all communications with the rating agencies would take a coordinated approach moving forward. The State University System has now brought all possible resources, including the universities, DSOs, the Division of Bond Finance, and the Board Office, to bear on the goal of maintaining or improving university and DSO credit ratings.

The revisions integrate the Chancellor's memo into the Guidelines by requiring that:

- The Board Office and the DBF coordinate all engagement between the rating agency and the university and/or DSO.
- Mortgage financing for housing is required to come to the Board.
- The Board Office and DBF will create and maintain an annual schedule for

rating agency coordination, with the goal of maximizing efficiency; and will maintain a listing of all university and DSO ratings.

On May 10th, the Governor and Cabinet, meeting as the Executive Board of the State Division of Bond Finance, requested that the Chancellor and Director of the Division of Bond Finance, work cooperatively to address questions and concerns expressed relative to both ratings and compliance issues of the universities and their DSO's.

The proposed amendments were presented to the Facilities Committee at its June 22nd meeting. At that time, the Committee was advised that a joint workshop would be conducted at USF, led by Mr. Ben Watkins, Director, Division of Bond Finance and Board staff, including Tim Jones, CFO and Vikki Shirley, GC. A workshop was held on July 13th, and was well attended by appropriate representatives from each university.

Based upon feedback at the workshop, and comments received from the public posting of proposed changes, the Debt Guidelines amendments were modified to provide greater clarity of intent.

If approved by the Committee, the proposed amendment to the Guidelines may be immediately considered for adoption by the Board.

Supporting Documentation Included: Amended Debt Management Guidelines

Facilitators/Presenters: Mr. Chris Kinsley



DEBT MANAGEMENT GUIDELINES

Proposed Amendments *Rev. September 15, 2016*

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DEBT MANAGEMENT GUIDELINES FOR CAPITAL OUTLAY PROJECTS

I. INTRODUCTION

The Need for and Purpose of Debt Management Guidelines

The state universities of Florida and their direct support organizations (“DSOs”) have funded significant investments in infrastructure, such as buildings, equipment, land, and technology, to meet the needs of a growing student population and to upgrade and maintain existing capital assets. A significant amount of the funding for this investment in infrastructure has been provided through the issuance of debt by the state for the benefit of the state universities and by the state universities’ direct support organizations (“DSOs”).

The purpose of these guidelines is to confirm that the state universities and their DSOs must engage in sound debt management practices and, to that end, the Board of Governors (“Board”) has formalized guiding principles for the issuance of debt by the state universities and their DSOs. Each state university shall adopt a debt management policy which is consistent with these guidelines and which shall be approved by the Board.

The following guidelines set forth guiding principles regarding state university and DSO debt-related decisions related to:

- a) The amount of debt which may prudently be issued.
- b) The purposes for which debt may be issued.
- c) Structural features of debt being issued.
- d) The types of debt permissible.
- e) Compliance with securities laws and disclosure requirements.
- f) Compliance with federal tax laws and arbitrage compliance.

These principles will facilitate the management, control and oversight of debt issuances for the purpose of facilitating ongoing access to the capital markets which is critical to the financing of needed infrastructure.

In furtherance of this objective, the provisions of these guidelines shall be followed in connection with the authorization, issuance and sale of university and DSO debt. However, exceptions to the general principles set forth herein may be appropriate under certain circumstances. Also, additional guidelines and policies may be necessary as new financial products and debt structures evolve over time.

For purposes of these guidelines:

- i) “debt” means bonds, loans, promissory notes, lease-purchase agreements, certificates of participation, installment sales, leases, or any other financing mechanism or financial arrangement, whether or not a debt for legal purposes, for financing or refinancing, for or on behalf of a state university or a direct support organization, the acquisition, construction, improvement or purchase of capital outlay projects;
- ii) “capital outlay project” means (i) any project to acquire, construct, improve or change the functional use of land, buildings, and other facilities, including furniture and equipment necessary to operate a new or improved building or facility, and (ii) any other acquisition of equipment or software; and
- iii) “financing documents” means those documents and other agreements entered into by the state university or the DSO establishing the terms, conditions and requirements of the debt issuance.
- iv) “auxiliary enterprise” means any activity defined in section 1011.47(1), Florida Statutes, and performed by a university or a direct-support organization.

II. DEBT AFFORDABILITY AND CAPITAL PLANNING

Concept of Affordability

One of the most important components of an effective debt management policy is an analysis of what level of debt is affordable given a particular set of circumstances and assumptions. More comprehensive than simply an analysis of the amount of debt that may be legally issued or supported by a security pledge, the level of debt should be analyzed in relation to the financial resources available to the university and its DSOs, on a consolidated basis, to meet debt service obligations and provide for operating the university.

An analysis of debt affordability should address the impact of existing and proposed debt levels on an issuer’s operating budget and offer guidelines or ranges to policymakers for their use in allocating limited resources within the guidelines.

Debts That May Be Issued Without Board of Governors' Approval

University boards of trustees may authorize the state universities and their DSOs, as applicable, to engage in the following types of financings without Board approval:

- o ~~Universities may finance the acquisition of equipment and software provided such financings are accomplished in accordance with the deferred purchase provisions in Chapter 287, Florida Statutes.~~
- o ~~DSOs may finance~~ the acquisition of equipment and software financings provided the overall term of the financing, including any extension, renewal or refinancings, hereof, does not exceed five years or the estimated useful life of the equipment or software, whichever is shorter.
- o DSOs may issue promissory notes and grant conventional mortgages for the acquisition of real property, excluding student housing or any other facility that will compete with a university's existing auxiliary enterprise. However, no mortgage or note shall exceed 30 years.
- o ~~University and DSO~~ debt secured solely with gifts and donations and pledges of gifts so long as the maturity of the debt, including extensions, renewals and refundings, does not exceed five years and so long as the facilities being financed have been included in the university's five-year capital improvement plan that has been approved by the Board.
- o Refundings for debt service savings where final maturities are not extended, and the original financing was authorized by the Board of Governors, or a predecessor oversight board.
- o Fully collateralized lines of credit intended to be used for temporary cash flow needs.
- o Energy Performance-Based Contracts, in accordance with the provisions of section 1013.23, Florida Statutes, not to exceed \$10,000,000.
- o Universities may borrow up to \$20,000,000 from a university DSO on a non-recourse basis to finance a capital project. The term of the borrowing may not exceed thirty (30) years, and the interest rate, if any, may not exceed current market interest rates. The university retains legal title to any capital project financed in whole or in part by such loan irrespective of whether the loan is repaid. The DSO is prohibited from transferring the note or any other instrument associated with the borrowing to any other entity.

III. GENERAL DEBT ISSUANCE GUIDELINES

Process for Submitting Debt for Approval

Timing. The submission of proposed debt for approval by the Board shall be governed by the following process¹:

- a) ~~Following approval by the board of trustees,~~ the university shall transmit to the Board Office a request for debt approval 90 days prior to the next regularly scheduled meeting of the Board. The university shall also provide a copy to the State Division of Bond Finance ("DBF"). The formal transmittal to the Board Office shall be in duplicate, hard copy, and bound in a three-ring binder, and include all the information required by these guidelines. Electronic copies of supporting documentation should be provided to the Board Office and the DBF, to the extent available. **The formal letter of transmission must be signed by the official point of contact for the university, and any exceptions expectations to these Debt Guidelines shall be noted and explained.** ~~If the university board of trustees has not yet formally approved the debt being requested, the proposed board of trustees meeting date shall be provided.~~
- b) During the review period, the Board Office shall review the information submitted for compliance with these guidelines and state law, analyze general credit issues associated with the proposed indebtedness, and review any analysis provided by DBF staff.
- c) Board and DBF staff shall jointly discuss with the university or DSO any issues, concerns or suggestions resulting from the review during the review period. As a result of these discussions, the university may amend the information submitted or explain why the suggestions were not incorporated. The Board Office will advise the university if it believes that any amended information is so significant that re-authorization by the board of trustees and/or DSO is required. During this period, if the debt being requested for approval is to be issued by DBF on behalf of a state university, DBF shall submit to the Board Office a form of a resolution for adoption requesting that DBF issue the debt.
- d) After the review period, the Board Office shall submit the agenda item with supporting documentation and all appropriate and required analyses to the Board for consideration at its next meeting. Supporting documentation for the agenda item shall also include the resolution to be adopted by the Board requesting issuance of the debt by DBF or a resolution approving issuance of the debt by the DSO.

¹ Although not required, universities are encouraged to consult with the Board Office and the State Division of Bond Finance 30 days prior to formal approval of debt by the university board of trustees or the DSO, particularly for any debt with unusual features.

Required Information-Required for Submission. The following information shall be reviewed by the university board of trustees, and the DSO (if applicable) when considering the issuance of debt; and shall subsequently be ~~then~~ submitted to the Board Office in support of a request for approval of the issuance of debt. Additionally, the university or DSO shall complete the "Checklist of Information Required for Submission to the Board Pursuant to Debt Management Guidelines," and provide any additional information requested by the Board Office or DBF staff in connection with review of any proposed debt issuance.

- a) A resolution of the DSO board of directors approving the debt issuances, if applicable, and a resolution of the university board of trustees approving the debt issuance and authorizing the university to request Board approval of the debt issuance. For debt to be issued by DBF, at the request of the university, DBF staff will work with the university to determine a not-to-exceed amount of debt to be included in the board of trustees requesting resolution to the Board and in preparing required debt service and source-and-use schedules.
- b) The project program, feasibility studies or consultant reports (if available), and an explanation of how the project being proposed is consistent with the mission of the university and an executive project summary, including appropriate references to any related reports.
- c) Estimated project cost, with schedules drawn by month and including start and completion dates, estimated useful life, and the date bond proceeds are required.
- d) The sources-and-uses of funds, clearly depicting all costs, funding sources expected to be used to complete the project and the estimated amount of the debt to be issued.
- e) An estimated debt service schedule with the assumed interest rate on the debt clearly disclosed. If the proposed debt service is not structured on a level debt service basis, an explanation shall be provided which gives the reason why it is desirable to deviate from a level debt structure.
- f) One consolidated debt service schedule separately showing all outstanding debt related to or impacting the debt being proposed, the proposed debt and the new estimated total debt service.
- g) A description of the security supporting the repayment of the proposed debt and the lien position the debt will have on that security. If the lien is junior to any other debt, the senior debt must be described. Furthermore, a description of why the debt is proposed to be issued on a junior lien basis must be provided. A statement citing the legal authority for the source of revenues securing repayment must also be provided.

- h) If debt is to be incurred on a parity basis with outstanding debt, a schedule showing estimated compliance with any additional bonds requirement set forth in the documents governing the outstanding debt. The applicable provisions of the documents for bonds of DSOs should be provided.
- i) Financial statements for five years, if available, for the auxiliary, if auxiliary revenues are pledged.
- j) A five-year history, if available, and five-year projection of the revenues securing payment and debt service coverage. To the extent applicable, the projections must be shown on the individual project as well as the entire system. All revenue items securing repayment must be clearly set forth as separate line items. An explanation must be provided with regard to growth assumptions, and to the amount and status of approval of any rate increases. The effect of the rate increases on the projections and expected revenues and expenses for the new facility should be clearly set forth as a separate line item. If rate increases are necessary, a commitment must be made to increase rates to the needed levels. Major categories of any operating expenses should be set forth as separate line items with an explanation of assumptions regarding increases or decreases.
- k) Evidence that the project is consistent with the university's master plan or a statement that the project is not required to be in the master plan.
- l) For variable rate debt proposals:
 - i) the expected reduction in total borrowing costs based on a comparison of fixed versus variable interest rates;
 - ii) a variable rate debt management plan that addresses liquidity and interest rate risks and provides, at a minimum: a description of budgetary controls, a description of liquidity arrangements, a discussion of why the amount of variable rate debt being proposed is appropriate, and a plan for hedging interest rate exposure. If interest rate risks are to be mitigated by the use of derivatives, then evidence that the counterparty has a long term rating of at least an A/A2 and a swap management plan as set forth in the Board's Debt Management Guidelines must be submitted;
 - iii) a pro forma showing the fiscal feasibility of the project using current market interest rates plus 200 basis points;
 - iv) the total amount of variable rate debt including the proposed debt as a percentage of the total amount of university and DSO debt outstanding; and

- v) the individual or position that will be responsible for the reporting requirements for variable rate debt as set forth in these guidelines.
- m) If all or any portion of the financing is contemplated to be done on a taxable basis, then evidence demonstrating that the issuance of taxable debt is in the best interest of the university must be submitted.
- n) A statement explaining whether legislative approval is required, and if required, an explanation as to when legislative approval will be sought or evidence that legislative approval has already been obtained.
- o) A statement that the debt issuance is in accordance with the university's debt management policy or, if not, an explanation of the specific variances as well as the reasons supporting the variances.
- p) If a request is made to employ a negotiated method of sale, an analysis must be provided supporting the selection of this method that includes a discussion of the factors set forth in section IV of these Guidelines.
- q) A description of the process used to select each professional engaged in the transaction, showing compliance with the competitive selection process required by these Guidelines. Specific contact information for each selected professional must be included and, at a minimum, should disclose the professional's name, firm name, address, email address, phone number and facsimile number.
- r) The most recent annual variable rate debt report.
- s) An analysis must be prepared and submitted which provides quantitative metrics justifying the need for the construction or acquisition of the project and explains why the project is essential to the university's core mission. There must also be a detailed assessment of private sector alternatives, and a determination of whether the private sector can offer a comparable alternative at a lower cost. This information may be included as part of a project feasibility study or may be a stand-alone report.
- t) An analysis must be prepared which calculates the expected return on investment or internal rate of return for a revenue-generating project or another appropriate quantitative measure for a non-revenue generating project.

Approval. The Board will consider the following factors in connection with its review and approval of university or DSO debt issuance.

- a) The debt is to provide funding for needed infrastructure of the university for purposes consistent with the mission of the university.

- b) The debt is being issued in compliance with the principles and guidelines set forth herein.
- c) The project information submitted is reasonable and supportable.
- d) The five-year projection of pledged revenues available to pay debt service should provide debt service coverage of at least 1.20x for both outstanding parity debt and for the proposed new debt for all years within the five-year projection period after giving credit for any capitalized interest and other revenues available for payment.
- e) Any requirements for the issuance of additional parity debt can be reasonably expected to be met.

Purposes For Which Debt May Be Issued

Debt may be issued only to finance or refinance capital outlay projects as defined in these guidelines, including equipment and software; debt may not be approved to finance or refinance operating expenses of a university or a DSO.

Refunding bonds may be issued to achieve debt service savings. Refunding bonds may also be issued to restructure outstanding debt service or to revise provisions of Financing Documents if it can be demonstrated that the refunding is in the best interest of the university.

Committing University Resources for Debt Issued by Direct Support Organizations

There may be occasions where the university considers committing its financial resources on a long-term basis in support of debt issued by a DSO or other component unit. While the nature of the commitment may not constitute a legal debt obligation of the university, it may affect the university's debt position and its available financial resources. Therefore, the university should evaluate the long-term fiscal impact upon the university's debt position and available resources before authorizing any such financial commitment. Additionally, the debt of any DSO may not be secured by an agreement or contract with the university unless the source of payments under such agreement or contract is limited to revenues that the university is authorized to use for the payment of debt service. Any such contract or agreement shall also be subject to the requirements set forth under "Security Features – Pledged Revenues" herein.

Credit Quality and Ratings

In order to access the credit markets at the lowest possible borrowing cost, it is recognized that credit ratings are critical. The coordinated delivery of information related to the university and its DSOs is an essential component of credit management. Therefore, for all publicly offered debt:

- a) For existing bond programs, universities and DSOs shall strive to maintain or improve current credit ratings without adversely impacting the amount of debt which may be issued for any particular program.
- b) For all new rated debt financings, the university or DSO shall seek to structure the transaction to achieve a minimum rating of “A” from at least two nationally recognized rating agencies. Credit enhancement may be used to achieve this goal.
- c) Communications and other activities with rating agencies relating to credit ratings on university and DSO debt and activities relating to disclosure under Rule 15c2-12 of the Securities and Exchange Commission shall be conducted jointly between the university and/or DSO and the Board Office and DBF, under the management and coordination of the Board Office and DBF. The university or DSO must notify the Board Office and DBF in advance of any contact with a rating agency, such that the Board Office and DBF will have an adequate opportunity to prepare and participate. In addition, the university or DSO must promptly notify the Board Office and DBF when a rating agency requests to schedule surveillance calls, site visits, or other activities, or whenever any request for information is received, such that the Board Office, and DBF will have an adequate opportunity to prepare and participate. The Board Office and DBF must be notified on the same day that a rating agency publishes their final rating action, should the final rating action not be provided directly to the Board Office and DBF. The Board Office and DBF will coordinate with the university and/or DSO on the appropriate level of engagement by the Board Office and DBF for any given call, draft report, site visit, etc., as determined by the Board Office and DBF. The Board Office and DBF must be copied on any communications between the university and/or the DSO and any rating agency. Each university and DSO must provide all information relating to credit ratings or disclosure to the Board Office and DBF and respond timely to requests from the Board Office and DBF for any information necessary to facilitate activities relating to credit ratings or appropriate disclosure.
- d) The Board Office will maintain a comprehensive listing of all university and DSO ratings.

Tax Status

The universities have traditionally issued tax exempt debt which results in significant interest cost savings compared with the interest cost on taxable debt. Accordingly, all university and DSO debt should be issued to take advantage of the exemption from federal income taxes unless the university demonstrates that the issuance of taxable debt is in the university’s best interest. With respect to debt which has a management contract with a private entity as part of the security feature, the

management contract should comply, to the greatest extent practical, with tax law requirements to obtain tax exemption for the debt.

Security Features

Pledged Revenues. The debt issued by universities and their DSOs may only be secured by revenues (including fund balances and budget surpluses) authorized for such purpose. The revenues which may secure debt include the following:

- a) Activity and Service Fee, subject to the limitation that annual debt service payable from these fees does not exceed five percent of the revenues derived therefrom.
- b) Athletic Fee, subject to the limitation that annual debt service payable from these fees does not exceed five percent of the revenues derived therefrom.
- c) Health Fee.
- d) Transportation Access Fee.
- e) Hospital Revenue.
- f) Licenses and Royalties for facilities that are functionally related to the university operation or DSO reporting such royalties and licensing fees.
- g) Gifts and Donations for debt not longer than five years.
- h) Overhead and indirect costs and other monies not required for the payment of direct costs of grants.
- i) Assets of university foundations and DSOs and earnings thereon.
- j) Auxiliary Enterprise Revenues, e.g., housing, parking, food service, athletic, retail sales, research activities.

Revenues which are not enumerated above may not be pledged to secure debt unless authorized by law for such purpose. In the case of university-issued debt, the pledge of revenues which secures debt should specifically identify the sources pledged and not use general or vague terms such as "lawfully available revenues." Specifically identifying revenues used to secure debt will provide certainty and transparency as to the revenues that are encumbered and avoid ambiguity or uncertainty as to the issuer's legal liability and universities and their DSOs should take this into consideration when determining the nature of the security it will provide in connection with a debt issuance. The guidelines for pledging revenues and securing debt shall also apply to debt

structures which involve an agreement, contract or lease with a university or its DSOs, i.e., the revenues being pledged to secure debt must be specifically identified and lawfully available for such purpose. It is preferable, whenever possible, to secure debt with system pledges comprised of multiple facilities within a system, e.g., housing and parking, rather than stand-alone project finances.

Functional Relationships. Revenues from one auxiliary enterprise (a “Supporting Auxiliary Enterprise”) may not be used to secure debt of another auxiliary enterprise unless the Board, after review and analysis, determines that the facility being financed (the “Facility”) is functionally related to the Supporting Auxiliary Enterprise’s revenues being used to secure such debt. The Board must determine whether a functional relationship exists whenever revenues from a Supporting Auxiliary Enterprise will be used to pay or secure the debt of a Facility or when proceeds of bonds issued by a Supporting Auxiliary Enterprise will be used, directly or indirectly, to pay costs relating to a Facility. When a functional relationship is established between a Facility and a Supporting Auxiliary Enterprise, only that portion of the Supporting Auxiliary Enterprise’s revenues that exceed its operating requirements and debt service, if any, may be pledged to secure such debt; provided that such pledge may be on parity with outstanding debt if permitted by the covenants and conditions of the outstanding debt.

A functional relationship exists when a nexus is established between the Facility and the Supporting Auxiliary Enterprise’s revenues. Whether a Facility is functionally related to the Supporting Auxiliary Enterprise’s revenues must be determined on a case by case basis, taking into consideration the unique facts and circumstances surrounding each individual situation.

Examples of functional relationships include, but are not limited to, a parking facility intended to provide parking to residents of a student housing facility and located within reasonably close proximity to a student housing facility; a food services facility intended to serve residents of a student housing facility and located within reasonably close proximity to a student housing facility; or shared infrastructure (e.g. water lines, sewer lines, utilities, plaza areas) located within reasonably close proximity to both the Facility and the Supporting Auxiliary Enterprise. While representations that a Facility will provide general benefits to or enhance the experience of the student body are desirable, this factor alone is not determinative in and of itself to establish a functional relationship between the Facility and the Supporting Auxiliary Enterprise’s revenues.

Lien Status. All bonds of a particular program should be secured by a first lien on specified revenues. Additionally, bonds should generally be equally and ratably secured by the revenues pledged to the payment of any outstanding bonds of a particular bond program. However, the creation of a subordinate lien is permissible if a first lien is not available or circumstances require.

Reserve Fund. Debt service reserve requirements may be satisfied by a deposit of bond proceeds, purchase of a reserve fund credit facility, or funding from available

resources over a specified period of time. In the submission of a request for debt issuance, it is preferred, though not required, that the bond size for the proposed debt include provisions for funding a reserve from bond proceeds. This will ensure that in the event the university is unable to obtain a reserve fund credit facility it will still have an authorized bond amount sufficient to fund its needs. Debt service reserve requirements may also be satisfied with cash balances.

Credit Enhancement. Credit enhancement is used primarily to achieve interest cost savings. Accordingly, the state universities and their DSOs should consider the cost effectiveness of bond insurance or other credit enhancements when evaluating a debt issuance and the overall cost thereof. Any bond insurance or credit enhancement should be chosen through a competitive selection process analyzing the cost of the insurance or credit enhancement and the expected interest cost savings to result from their use. The primary determinant in selecting insurance or other credit enhancement should be price and expected interest cost savings; however, consideration may also be given to the terms of any arrangement with the provider of insurance or other credit enhancement.

Capitalized Interest. Capitalized interest from bond proceeds is used to pay debt service until a revenue producing project is completed or to manage cash flows for debt service in special circumstances. Because the use of capitalized interest increases the cost of the financing, it should only be used when necessary for the financial feasibility of the project.

Structural Features

Length of Maturity. In addition to any restriction on the final maturity imposed by the constitution or laws of the state, as a general guideline, the final maturity on bonds should not exceed thirty years.

Debt secured by gifts and donations shall not be considered long-term financing, but may be used as a temporary or construction loan to accelerate construction of facilities. Accordingly, the maturity of debt secured by gifts and donations shall not exceed five years, including roll-overs or refinancings except refinancings to implement permanent financing. Debt issued to finance equipment and software may not be longer than five years or the useful life of the asset being financed, whichever is shorter. Lastly, the final maturity of the debt should not exceed the estimated useful life of the assets being financed.

Debt Service Structure. Generally, debt should be structured on a level debt basis, i.e., so that the annual debt service repayments will, as nearly as practicable, be the same in each year. A deviation from these preferences is permissible if it can be demonstrated to be in the university's best interest, such as restructuring debt to avoid a default and not to demonstrate feasibility of a particular project.

Redemption Prior to Maturity. A significant tool in structuring governmental bonds is the ability to make the bonds callable after a certain period of time has elapsed after issuance. This provides the advantage of enabling the issuer to achieve savings through the issuance of refunding bonds in the event interest rates decline. Although the ability to refund bonds for a savings is advantageous, there may be situations where a greater benefit of lower interest rates may be realized by issuing the bonds as non-callable. Accordingly, there is a strong preference that bonds issued by a university or DSO be structured with the least onerous call features as may be practical under then prevailing market conditions. Bonds of a particular issue may be sold as non-callable if it is shown to be in the best interest of the university or DSO.

Debt Issued With a Forward Delivery Date. Debt issued by a university or DSO may be issued with a delivery date significantly later than that which is usual and customary. This debt typically carries an interest rate penalty associated with the delay in delivery. There are also additional risks that delivery will not occur. Debt with a forward delivery date may be issued if the advantages outweigh the interest rate penalty which will be incurred and the university and DSO are protected from adverse consequences of a failure to deliver the debt.

Interest Accrual Features

Fixed Rate, Current Interest Debt. Fixed rate debt will continue to be the primary means of financing infrastructure and other capital needs. However, there may be circumstances where variable rate debt is more appropriate, in which case, the state university or DSO shall provide documentation as noted in these guidelines for such debt.

Derivatives. Alternative financing arrangements, generally referred to as derivatives, are available in the market as an alternative to traditional bonds. Under certain market conditions, the use of alternative financing arrangements may be more cost effective than the traditional fixed income markets. However, these alternative financing instruments, such as floating to fixed swap agreements, have characteristics and carry risks peculiar to the nature of the instrument which are different from those inherent in the typical fixed rate financing. Although the universities and their DSOs should normally continue issuing conventional fixed rate bonds, alternative financing instruments may be used when the inherent risks and additional costs are identified and proper provision is made to protect the Board, the university, and the DSO from such risks. In determining when to utilize alternative financing arrangements, the availability of the requisite technical expertise to properly execute the transaction and manage the associated risks should be evaluated along with any additional ongoing administrative costs of monitoring the transaction. Also, a comprehensive derivatives policy should be established by the university or their DSOs and approved by the Board prior to approving transactions using derivatives products.

Capital Appreciation Bonds. Normally capital appreciation bonds, which do not require current debt service payments, should not be used. However, when a compelling university interest is demonstrated, capital appreciation bonds may be issued.

Variable Rate Bonds. Variable rate debt may be issued where, considering the totality of the circumstances, such bonds can reasonably be expected to reduce the total borrowing cost to the university or the DSO over the term of the financing. The availability of the requisite technical expertise to properly manage the risks and execution of the variable rate transaction should be evaluated along with any additional ongoing administrative costs of monitoring the transaction. There should be a solid understanding of the liquidity risk and interest rate risks associated with variable rate debt. Further, there should be a debt management plan that mitigates, to the extent possible, these risks over the life of the debt. The following guidelines should apply to the issuance of variable rate debt:

- a) *Expected reduction in total borrowing cost.* In determining reasonably expected savings, a comparison should be made between a fixed rate financing at then current interest rates and a variable rate transaction, based on an appropriate floating rate index. The cost of the variable rate transaction should take into account all fees associated with the borrowing which would not typically be incurred in connection with fixed rate bonds, such as tender agent, remarketing agent, or liquidity provider fees.
- b) *Limitation on variable rate debt.* The amount of variable rate debt and interest derivative exposure is dependent on several factors associated with these types of debts. Included in the factors associated with these instruments are the university's/DSO's operating flexibility and tightness of budget, access to short and long term capital, the likelihood of a collateral call or termination payment, and the university's/DSO's financial expertise. The level to which universities may utilize variable rate debt obligations ("VRDO") and interest derivatives (like swaps, collars, and caps) is subject to an understanding of the risks associated and a debt policy that adequately addresses the additional risks.
- c) *Budgetary controls.* To avoid a situation in which debt service on variable rate bonds exceeds the annual amount budgeted, the following guidelines should be followed in establishing a variable rate debt service budget:
 - i) A principal amortization schedule should be established, with provisions made for payment of amortization installments in each respective annual budget;
 - ii) Provide for payment of interest for each budget year using an assumed budgetary interest rate which allows for fluctuations in interest rates on the bonds without exceeding the amount budgeted. The budgetary interest rate may be established by: (1) using an artificially high interest rate given

current market conditions; or (2) setting the rate based on the last 12 months actual rates of an appropriate index plus a 200 basis point cushion or spread to anticipate interest rate fluctuations during the budget year. The spread should be determined by considering the historical volatility of short-term interest rates, the dollar impact on the budget and current economic conditions and forecasts; or, (3) any other reasonable method determined by the university or DSO and approved by the Board;

- iii) The amount of debt service actually incurred in each budget year should be monitored monthly by the university or DSO to detect any significant deviations from the annual budgeted debt service. Any deviations in interest rates which might lead to a budgetary problem should be addressed immediately; and
 - iv) As part of the effort to monitor actual variable rate debt service in relation to the budgeted amounts and external benchmarks, the university or DSO should establish a system to monitor the performance of any service provider whose role it is to periodically reset the interest rates on the debt, i.e., the remarketing agent or auction agent.
- d) *Establish a hedge with short-term investments.* In determining the appropriate amount of variable rate debt which may be issued by the universities or their DSOs, consideration should be given to mitigating the variable interest rate risk by creating a hedge with short-term investments. This “hedge” mitigates the financial impact of debt service increases due to higher interest rates because, as debt service increases, the university’s or DSO’s earnings on short-term investments also increases. Appropriate personnel should monitor the hedge monthly. Short-term investment as a hedge is one of several methods of mitigating interest rate risk. The ratio of such short-term investments to variable debt needs to be examined in conjunction with other interest rate risk hedging, striking an overall balance to minimize interest rate risk.
- e) *Variable interest rate ceiling.* The bond documents should include an interest rate ceiling of no greater than 12%.
- f) *Mitigating interest rate risks with derivatives.* Universities and DSOs are allowed to use various derivatives to mitigate the risk of rising interest rates on variable rate debt. However, the introduction of these derivatives also presents other risks for which the university must mitigate. These risks include rollover risk, basis risk, tax event risk, termination risk, counterparty credit risk and collateral posting risk. At a minimum, a university/DSO engaging in this type of interest rate risk mitigation must provide:
 - i) Evidence that the counterparty has a long term rating of at least an A/A2; and

- ii) A swap management plan that details the following:
 - a) Why the university is engaging in the swap and what the objectives of the swap are.
 - b) The swap counterparty's rating.
 - c) An understanding by the issuer of the cash flow projections that detail costs and benefits for the swap.
 - d) The plan of action addressing the aforementioned risks associated with swaps.
 - e) The events that trigger an early termination (both voluntary and involuntary) under the swap documents, the cost of this event and how such would be paid.
 - f) The method for rehedging variable rate exposure should early termination be exercised.
 - g) A list of key personnel involved in monitoring the terms of the swap and counterparty credit worthiness.
- g) *Liquidity.* One of the features typical of variable rate debt instruments is the bondholder's right to require the issuer to repurchase the debt at various times and under certain conditions. This, in theory, could force the issuer to repurchase large amounts of its variable rate debt on short notice, requiring access to large amounts of liquid assets. There are generally two methods for addressing this issue. With the first method, issuers that do not have large amounts of liquid assets may establish a liquidity facility with a financial institution which will provide the money needed to satisfy the repurchase. The liquidity provider should have a rating of A1/P1 or higher. The liquidity agreement does not typically run for the life of long-term debt. Accordingly, there is a risk that the provider will not renew the agreement or that it could be renewed only at substantially higher cost. Similar issues may arise if the liquidity provider encounters credit problems or an event occurs which results in early termination of the liquidity arrangement; in either case the issuer must arrange for a replacement liquidity facility. With the second method, issuers with significant resources may choose to provide their own liquidity. This approach eliminates the costs that would be charged by a third party liquidity provider and could mitigate the renewal/replacement risk. If a university/DSO chose to provide its own liquidity, the institution must maintain liquid assets or facilities equal to 100% of the outstanding VRDOs.

- h) *Submission of periodic reports.* By November 30th of each year, the university will prepare and submit to the board of trustees and the Board an annual variable rate debt report showing the position during the previous period of the university or DSO variable rate debt with respect to the following measures:
- i) the total principal amount of variable rate debt to principal amount of total debt;
 - ii) the amount of debt service accrued during the reporting period in relation to the pro-rata amount of annual budgeted debt service for the reporting period. If the amount of debt service which accrued during the reporting period exceeded the pro-rata amount of annual budgeted debt service for the period, the university shall explain what actions were taken to assure that there would be sufficient revenues and budget authority to make timely payments of debt service during the subsequent years; and
 - iii) the amount of variable rate debt in relation to the amount of the university's and/or DSO's short-term investments, and any other strategies used to hedge interest rate risk.

Other Types of Financings

Refunding Bonds. Generally, refunding bonds are issued to achieve debt service savings by redeeming high interest rate debt with lower interest rate debt. Refunding bonds may also be issued to restructure debt or modify covenants contained in the bond documents. Current tax law limits to one time the issuance of tax-exempt advance refunding bonds to refinance bonds issued after 1986. There is no similar limitation for tax-exempt current refunding bonds. The following guidelines should apply to the issuance of refunding bonds, unless circumstances warrant a deviation therefrom:

- a) Refunding bonds should be structured to achieve level annual debt service savings.
- b) The life of the refunding bonds should not exceed the remaining life of the bonds being refunded.
- c) Advance refunding bonds issued to achieve debt service savings should have a minimum target savings level measured on a present value basis equal to 5% of the par amount of the bonds being advance refunded. The 5% minimum target savings level for advance refundings should be used as a general guide to guard against prematurely using the one advance refunding opportunity for post-1986 bond issues. However, because of the numerous considerations involved in the sale of advance refunding bonds, the 5% target should not prohibit advance refundings when the circumstances justify a deviation from the guideline.

- d) Refunding bonds which do not achieve debt service savings may be issued to restructure debt or provisions of bond documents if such refunding serves a compelling university interest.

Certificates of Participation and Lease-Type Financing. The universities or their DSOs may utilize these financing structures for all purposes, but it shall be considered as debt for the purposes of these guidelines and the universities shall always budget and make available monies necessary to pay debt service, notwithstanding the right to cancel the lease. Additionally, for lease purchase financings of equipment, universities and DSOs should consider using the state's consolidated equipment financing program if it will reduce costs and ensure a market interest rate on the financing.

Conversions of existing variable rate debt. A conversion between interest rate modes pursuant to the provisions of variable rate financing documents does not require Board approval. However, ten days prior to the conversion, the universities or their DSOs must notify the Board Office of a conversion and provide a summary of the terms of (i.e. interest rate, debt service schedule, etc.) and reasons for the conversion. The universities and DSOs should answer all questions and provide any additional information that Board staff deem necessary to fully understand the conversion.

IV. METHOD OF SALE AND USE OF PROFESSIONALS

Analysis of Method of Sale

It is in the best interests of the universities and their DSOs to use the method of sale for their debt that is expected to achieve the best sale results. Based upon the facts and circumstances with regard to each individual financing, it may be more appropriate to sell debt through either a competitive sale or through negotiation. Accordingly, the universities and their DSOs may utilize either a competitive or negotiated sale. If, however, a request is made for a DSO to sell debt using a negotiated sale, the university must provide the Board with an analysis showing that a negotiated sale is desirable. The analysis should include, but not necessarily be limited to, a consideration of the following factors:

- a) Debt Structure
 - i) pledged revenues – strong revenue stream vs. limited revenue base;
 - ii) security structure – conventional resolution, cash flow, rate and coverage covenants vs. unusual or weak covenants;
 - iii) debt instrument – traditional serial and term bonds vs. innovative, complex issues requiring special marketing; and

- iv) size – a smaller transaction of a size which can be comfortably managed by the market vs. a large size which the market cannot readily handle.
- b) Credit Quality
 - i) ratings – “A” or better vs. below single “A”; and
 - ii) outlook – stable vs. uncertain.
- c) Issuer
 - i) type of organization – well-known, general purpose vs. special purpose, independent authority;
 - ii) frequency of issuance – regular borrower vs. new or infrequent borrower; and
 - iii) market awareness – active secondary market vs. little or no institutional awareness.
- d) Market
 - i) interest rates – stable; predictable vs. volatile;
 - ii) supply and demand – strong investor demand, good liquidity vs. oversold, heavy supply; and
 - iii) changes in law – none vs. recent or anticipated

Bonds may also be sold through a private or limited placement, but only if it is determined that a public offering through either a competitive or negotiated sale is not in the best interests of the university or DSO.

Allocation of Bonds

In the event a negotiated sale by a DSO is determined by the university to be in the university’s best interest, syndicate rules shall be established which foster competition among the syndicate members and ensure that all members of the syndicate have an opportunity to receive a fair and proper allocation of bonds based upon their ability to sell the bonds.

Report on Sale of Bonds

The university or DSO shall prepare a report on the sale of bonds or anytime it incurs debt. The report shall be prepared and provided to the Board as soon as practicable but in no event later than one month after closing the transaction, in the format and manner provided by the Board, which at a minimum shall include the following:

- a) The amount of the debt.
- b) The interest rate on the debt.
- c) A final debt service schedule or estimated debt service schedule if a variable rate debt or the interest rate is subject to adjustment.
- d) Any aspect of the transaction that was different from the transaction submitted for approval.
- e) Itemized list of all fees and expenses incurred on the transaction, including legal fees.
- f) For negotiated sale of bonds:
 - i) the underwriters' spread detailing the management fee;
 - ii) takedown by maturity and aggregate takedown;
 - iii) any risk component and an itemized list of the expense component;
 - iv) orders placed by each underwriter and final bond allocation;
 - v) total compensation received by each underwriter; and
 - vi) any report or opinion of the financial advisor.
- g) Final official statement for publicly offered bonds.
- h) Bond insurance or any other form of credit enhancement and the terms thereof.
- i) Credit rating reports.

For any project financing approved by the Board on or after November 7, 2012, the university or DSO shall prepare an annual report to the Board and the Division of Bond Finance which updates information provided for the initial approval of the project. The report shall include information relating to the return on investment or

internal rate of return for a revenue-generating project or another appropriate quantitative measure for a non-revenue generating project, and any other information as may be required. The format and specific timeframe for reporting shall be as specified by the Chancellor. However, the initial annual report shall be filed no later than November 30 after the project has been placed in service for one full fiscal year.

Selection of Financing Professionals

The use of underwriters for negotiated financings and the use of financial advisors for negotiated and competitive offerings is necessary to assist in the proper structuring and sale of debt. To assure fairness and objectivity in the selection of professionals and to help select the most qualified professional, the selection of underwriters and financial advisors should be accomplished through a competitive selection process. A competitive selection process allows the universities and their DSOs to compare more professionals and obtain the best price and level of service.

V. DISCLOSURE

Primary Disclosure

Universities and DSOs shall use best practices in preparing disclosure documents in connection with the public offer and sale of debt so that accurate and complete financial and operating information needed by the markets to assess the credit quality and risks of each particular debt issue is provided.

The disclosure recommendations of the Government Finance Officers Association's "Disclosure for State and Local Governments Securities," and the National Federation of Municipal Analysts' "Recommended Best Practices in Disclosure for Private Colleges and Universities" should be followed to the extent practicable, specifically including the recommendation that financial statements be prepared and presented according to generally accepted accounting principles.

Continuing Disclosure

DSOs shall fulfill all continuing disclosure requirements set forth in the transaction documents and as required under Rule 15c2-12 of the Securities and Exchange Commission.

VI. POST-ISSUANCE CONSIDERATIONS

Investment of Proceeds of Debt Issued by DSOs

Construction Funds. Funds held for payment of debt service and all other funds held as required by the documents of any financing shall be invested consistent with the terms of the Financing Documents.

Arbitrage Compliance

The university will comply with federal arbitrage regulations. Any arbitrage rebate liabilities should be calculated and funded annually.

Subsequent Events and Amendments

The DBF and Board Office shall be timely notified of any proposed changes in the terms or conditions of debt issued by a University or DSO. No material changes shall be made without specific Board authorization, which may include items such as, but not limited to:

- Extending maturities
- Changes in bond covenants
- Changes in pledged revenues
- Debt acceleration
- Cross default
- Changes to remedies provided to investors
- Variable rate refundings
- Other actions that may reduce debt service coverage or credit ratings
- Termination or modification of swap agreements
- Use of derivatives

VII. EFFECT

The foregoing guidelines shall be effective immediately and may be modified from time to time by the Board as circumstances warrant. The guidelines are intended to apply ~~prospectively~~ to all university and DSO debt, and not to adversely affect any university or DSO debt currently outstanding or projects approved by the Board or board of trustees prior to, or existing, as of January 26, 2006.

Authority: Section 7(d), Art. IX, Fla. Const., History: New 4-27-06, Amended 9-16-10, Amended 11-21-13.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Facilities Committee
September 22, 2016**

SUBJECT: Sightlines Update

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Ten of the 12 state universities have invested in Sightlines software for facilities benchmarking, capital planning, space management, and campus sustainability. The vendor has completed a visit to each campus, and has collected data. The Board Office has been provided access, through a web dashboard, to all of the data as compiled and is now able to provide some preliminary trend information about our system that did not previously exist.

Supporting Documentation Included: Board Member Questionnaire

Facilitators/Presenters: Mr. Chris Kinsley

Board of Governors Survey for Ranking Facility Baseline Metrics

Board member name: _____

Please rank the following categories in terms of the priority that you believe could be important for the Board to establish as a metric base line. These categories were developed by Sightlines; within each category are numerous metrics.

This survey will assist us knowing in where to focus our initial efforts. The ranking should be 1 through 11, with 1 being high priority and 11 being low priority.

Ranking	Metric Category
_____	1. <u>Age of buildings</u>
_____	2. <u>Custodial staffing</u>
_____	3. <u>Building energy use</u>
_____	4. <u>Replacement value (\$/GSF)</u>
_____	5. <u>Grounds staffing</u>
_____	6. <u>Maintenance staffing</u>
_____	7. <u>Building operations budgets</u>
_____	8. <u>Space need metrics</u>
_____	9. <u>Square footage by renovation age</u>
_____	10. <u>Asset reinvestment need</u>
_____	11. <u>Space profile</u>

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Facilities Committee
September 22, 2016**

SUBJECT: Dynamic Capital Planning (DCP) Model

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

In January, Board Chair Tom Kuntz requested Governor Lautenbach, as chair of the Innovation and Online Committee and Governor Huizenga, as chair of Facilities, to meet, and consider the impact of increased online enrollment on capital funding plans. Towards that end, a joint meeting of the two committees was held on May 12th, with each university, as well as Board staff, presenting information pertinent to this topic.

As one outcome of this ongoing effort, Committee staff are ready to share the new Dynamic Capital Planning (DCP) model as a replacement for the 1995 Space Needs Generation Formula. Similar to the current model, the DCP consists of a series Excel worksheets; for convenience, a narrative summary of these worksheets is included.

Supporting Documentation Included: DCP Narrative Summary

Facilitators/Presenters: Mr. Chris Kinsley

State University System of Florida
On Campus University Housing
Historical and Estimated Average Weighted Rate Increases
as of September 7, 2016

	Fall <u>2012</u>	Fall <u>2013</u>	Fall <u>2014</u>	Fall <u>2015</u>	Fall <u>2016</u>	Fall <u>2017 *</u>	Fall <u>2018 *</u>
FAMU	4%	4%	4%	4%	0%	4%	4%
FAU	5%	2%	2%	3%	0%	0%	0%
FGCU	4%	0%	0%	0%	0%	TBD	TBD
FIU	0%	0%	3%	0%	1%	TBD	TBD
FSU	5%	5%	3%	3%	2%	2%	2%
FPU	N/A	N/A	N/A	-4%	-12%	TBD	TBD
NCF	3%	0%	0%	4%	0%	TBD	TBD
UCF	2%	0%	0%	0%	0%	TBD	TBD
UF	5%	5%	3%	4%	0%	TBD	TBD
UNF	3%	3%	3%	4%	0%	0%	0%
USF	0%	3%	0%	0%	9%	9%	8%
UWF	6%	3%	0%	0%	0%	TBD	TBD

Summary of University Rental Rates

State University System of Florida

- FAMU – FAMU had a 4 % annual rate increase for 2014-15; 4% for 2015-2016; and 0% for 2016-2017.
- FAU – FAU is not increasing rates for 2016-17. 2014-15 rates reflect an average increase of 1%; with 2015-16 increases averaging a little over 3% (Ranging from a high of around 7% to a low of around 1.5%).
- FGCU – FGCU reports that rental rates have not increased for any housing property from 2014-15 through 2016-17.
- FIU – FIU had no housing rate increases for 2014-2015. FIU made some modest adjustments for 2015-16, most units had little to no increase. The range was a decrease in rates at one 1 hall by a little more than 3%, and an increase at the high end of approximately 6%. From 2015-16 to 2016-17, rates varied from a decrease of -1.47% to a high of 2.41% with most properties having no increase. The three year average annual increase for all of FIU's housing is 0.67%.
- FPU –For its P3 Housing, FPU's initial year was 2014-2015, so the rate increase was Not Applicable. For the first phase of housing rates increased a low of 0% and a high of 7.87% from 2014-15 to 2015-16. There was no increase in prices for the first phase of housing from 2015-16 to 2016-17. Phase 2 housing comes on line for Fall 2016, so this question is not applicable to Phase II.
- For 2015-16, FSU did not increase rates for community-style residence halls, had a 2% increase for apartment-style residence units (exception being townhouse style), 4% increase for townhouse style apartments, and the rest of the inventory increased by 3%. For 2016-17, FSU had no increase in rates for the double-room and some of the apartment style units, a 1% increase for the suite-style units, and a 3% increase for the rest of the apartment style units. The primary reason for the tiered increases is to bring similar facilities in-line with rates based on amenities and condition.
- NCF – NCF had no rate increases for 2014-15, a 4% rate increase for 2015-16, and no increase for 2016-17.
- UCF – UCF did not have housing rate increases from 2014-15 to 2015-16.
- UF – UF had a 3% increase in rental rates for 2014-15. This increase was primarily to cover increased debt service payment for the construction of Cypress Hall, full-time staff merit salary increases, and increase in university auxiliary overhead charge. For 2015-16, UF had a 3.5% increase in rental rates in order to assist in covering a dramatic increase in utility charges from Physical Plant and additional staff needed to oversee and maintain new housing buildings. There is no rate increase for 2016-17.
- UNF- UNF had rate increases for 2014-15 that ranged from a low of 0% to a high of 4.5%. For 2015-16, UNF had a range of rate increases with a low of 0% and a high of around 6.5%. UNF has no rate increases for 2016-17.
- USF – USF did not have any rental rate increases for 2012-2013, 2014-15, and 2015-16. USF raised rates 3.1% in 2013-2014. For 2016-17, all properties have an increase. The increase ranges from a low of 3.94% to a high of 17.2%. On average, the increase was 9.3% for Fall 2016.
- UWF – UWF has not had a rental rate increase from 2014-15 to 2016-17.

Attachment 1

2/16/16

FAMU Office of University Housing
University Housing Rental Rate History and Projections

Resident Halls	Fall 2008- Spring 2009	Summer 2009	% Chng	Fall 2009- Spring 2010	Summer 2010	% Chng	Fall 2010- Spring 2011	Summer 2011	% Chng	Fall 2011- Spring 2012	Summer 2012	% Chng	Fall 2012- Spring 2013	Summer 2013	% Chng	Fall 2013- Spring 2014	Summer 2014	% Chng	Fall 2014- Spring 2015	Summer 2015
Female Halls																				
*Cropper Hall	\$1,849	\$1,464	4%	\$1,923	\$1,522	4%	\$1,999	\$1,583	4%	\$2,079	\$1,646	4%	\$2,162	\$1,712	4%	\$2,249	\$1,781	4%		
*Diamond Hall	\$1,849	\$1,464	4%	\$1,923	\$1,522	4%	\$1,999	\$1,583	4%	\$2,079	\$1,646	4%	\$2,162	\$1,712	4%	\$2,249	\$1,781	4%		
*McGuinn Hall	\$1,999	\$1,583	4%	\$2,079	\$1,646	4%	\$2,162	\$1,712	4%	\$2,249	\$1,781	4%	\$2,339	\$1,852	4%	\$2,432	\$1,926	4%		
Paddyfote Single	\$1,677	\$1,327	4%	\$1,744	\$1,380	4%	\$1,814	\$1,435	4%	\$1,886	\$1,493	4%	\$1,962	\$1,552	4%	\$2,040	\$1,614	4%	\$2,730	\$2,161
Truth Hall	\$1,999	\$1,583	4%	\$2,079	\$1,646	4%	\$2,162	\$1,712	4%	\$2,249	\$1,780	4%	\$2,339	\$1,852	4%	\$2,432	\$1,926	4%	\$2,529	\$2,003
*Wheatley Hall	\$1,849	\$1,464	4%	\$1,923	\$1,522	4%	\$1,999	\$1,583	4%	\$2,079	\$1,646	4%	\$2,162	\$1,712	4%	\$2,249	\$1,781	4%		
Male Halls																				
Gibbs Hall	\$1,999	\$1,583	4%	\$2,079	\$1,646	4%	\$2,162	\$1,712	4%	\$2,249	\$1,781	4%	\$2,339	\$1,852	4%	\$2,432	\$1,926	4%	\$2,529	\$2,003
Sampson Hall (Double)										\$2,800	\$2,217	4%	\$2,912	\$2,306	4%	\$3,028	\$2,398	4%	\$3,150	\$2,494
Sampson Hall (Single)										\$3,150	\$2,494	4%	\$3,276	\$2,594	4%	\$3,407	\$2,698	4%	\$3,543	\$2,805
Young Hall (Double)										\$2,800	\$2,217	4%	\$2,912	\$2,306	4%	\$3,028	\$2,398	4%	\$3,150	\$2,494
Young Hall (Single)										\$3,150	\$2,494	4%	\$3,276	\$2,594	4%	\$3,407	\$2,698	4%	\$3,543	\$2,805
FAMU Village (All Single)																			\$3,458	\$ 2,738
Apartments																				
*Palmetto North	\$2,065	\$1,635	4%	\$2,147	\$1,700	4%	\$2,233	\$1,768	4%	\$2,322	\$1,839	4%	\$2,415	\$1,913	4%	\$2,512	\$1,989	4%		
Phase Phase III (Double)	\$2,121	\$1,679	4%	\$2,206	\$1,746	4%	\$2,294	\$1,816	4%	\$2,386	\$1,889	4%	\$2,481	\$1,964	4%	\$2,581	\$2,043	4%	\$2,684	\$2,124
Palmetto Phase III (Single)	\$2,383	\$1,887	4%	\$2,478	\$1,962	4%	\$2,577	\$2,041	4%	\$2,681	\$2,123	4%	\$2,788	\$2,208	4%	\$2,899	\$2,296	4%	\$3,015	\$2,388
Palmetto South (Double)	\$2,065	\$1,635	4%	\$2,147	\$1,700	4%	\$2,233	\$1,768	4%	\$2,322	\$1,839	4%	\$2,415	\$1,913	4%	\$2,512	\$1,989	4%	\$2,612	\$2,069
Palmetto South (Single)	\$2,329	\$1,844	4%	\$2,422	\$1,918	4%	\$2,519	\$1,995	4%	\$2,620	\$2,075	4%	\$2,725	\$2,158	4%	\$2,834	\$2,244	4%	\$2,947	\$2,334
Single Room Charges	\$350			\$350			\$350			\$350		4%	\$364		4%	\$379		4%	\$394	
Extra charge for single rooms (available in Gibbs and Truth)																				
Average	\$2,015	\$1,596		\$2,096	\$1,659		\$2,179	\$1,726		\$2,444	\$1,935		\$2,541	\$2,012		\$2,643	\$2,093		\$2,243	\$1,776
Annual Average	\$1,875			\$1,950			\$2,028			\$2,274			\$2,365			\$2,460			\$2,087	

Fall and Spring 2016 to 2017 are projections based on a 0% increase for all facilities, subject to Board of Trustee approval

* Facilities that are Off Line

Paddyfote is all female singles

Averagel is Fall/Spring/Summer divided by 3

Fall 2015- Spring 2016	Summer 2016	Fall 2016- Spring 2017	Summer 2017
\$2,839	\$2,247	\$2,839	\$2,247
\$2,631	\$2,083	\$2,631	\$2,083
\$2,631	\$2,083	\$2,631	\$2,083
\$3,276	\$2,594	\$3,276	\$2,594
\$3,685	\$2,918	\$3,685	\$2,918
\$3,276	\$2,594	\$3,276	\$2,594
\$3,685	\$2,918	\$3,685	\$2,918
\$3,596	\$2,848	\$3,596	\$2,848
\$2,614	\$980		
\$2,131	\$2,209	\$2,791	\$2,209
\$3,196	\$2,483	\$3,136	\$2,483
\$2,717	\$2,152	\$2,717	\$2,152
\$3,065	\$2,427	\$3,065	\$2,427

\$409		\$426	
\$2,496	\$1,908	\$2,333	\$1,847
\$2,300		\$2,171	

Florida Atlantic University Housing Rates - 2014-15, 2015-16, and 2016-17

Residence Hall	2014-15 Semester Rate	2015-16 Semester Rate	Difference	Explanation of Increase	2016-17 Semester Rate	No Increase in 2016-17 over prior year
Algonquin Hall						
Single	\$ 3,000	\$ 3,300	\$ 300	One-time pricing change consistent with other high-demand large room residences.	\$ 3,300	-
Indian River Towers						
Double	3,300	3,365	65	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	3,365	-
Single	4,500	4,600	100	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	4,600	-
University Village Apartments						
4-Bedroom (Single)	4,100	4,200	100	Enhanced wireless access infrastructure; instituted free laundry, new washers/dryers and implemented enhanced safety measures	4,200	-
4-Bedroom (Single, 12 months)		4,330			4,330	-
Double (Studio)	3,100	3,100	-		3,100	-
1-Bedroom (Single, Efficiency)	4,500	4,600	100	Enhanced wireless access infrastructure; instituted free laundry, new washers/dryers and implemented enhanced safety measures	4,600	-
Studio (12 months)		5,100			5,100	-
Heritage Park Towers						
Double (Suite A)	3,000	3,050	50	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	3,050	-
Double (Suite C)	3,600	3,650	50	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	3,650	-
Single (Suite B)	4,100	4,160	60	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	4,160	-
Glades Park Towers						
Double (Suite A)	3,000	3,050	50	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	3,050	-
Double (Suite C)	3,600	3,650	50	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	3,650	-
Single (Suite B)	4,100	4,160	60	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	4,160	-
Innovation Village Apt North						
4-Bedroom (Single)	5,100	5,180	80	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	5,180	-
2-Bedroom (Single)	5,400	5,480	80	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	5,480	-
1-Bedroom (Single)	5,600	5,680	80	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	5,680	-
Innovation Village Apt South						
4-Bedroom (Single)	4,800	5,180	380	Installed in-unit washer/dryers	5,180	-
1-Bedroom (Single)	5,600	5,680	80	Installed in-unit washer/dryers	5,680	-
Parliament Hall						
Double	3,300	3,365	65	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	3,365	-
Single	4,500	4,600	100	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	4,600	-
Honors College Housing	4,300	4,370	70	Instituted free laundry, new washers/dryers and implemented enhanced safety measures	4,370	-



Florida Gulf Coast University Board of Trustees

August 1, 2016

To: Tom Kuntz, Chair
Florida Board of Governors

From: Dudley Goodlette, Chair
Florida Gulf Coast University Board of Trustees

Subject: Delegation of Authority to University Presidents
Residential Housing Contract Rates

In response to your memorandum dated June 28, 2016, please find attached the requested information on delegation of authority to the president. Also, here are the residential housing contract rates, which as you will see have not been increased over these years.

<u>Room Type</u>	<u>Location</u>	<u>Fall 2014</u>	<u>Spring 2015</u>	<u>Fall 2015</u>	<u>Spring 2016</u>	<u>Fall 2016</u>	<u>Spring 2017</u>
2 Bedroom Quad	North Lake Village	\$2,410	\$2,410	\$2,410	\$2,410	\$2,410	\$2,410
4 Bedroom Single	North Lake Village	\$2,948	\$2,948	\$2,948	\$2,948	\$2,948	\$2,948
3 Bedroom Single	Palmetto Hall	\$3,078	\$3,078	\$3,078	\$3,078	\$3,078	\$3,078
2 Bedroom Single	Everglades Hall, Biscayne Hall, Palmetto Hall	\$3,180	\$3,180	\$3,180	\$3,180	\$3,180	\$3,180
3 Bedroom Single	Osprey Hall, Eagle Hall	\$3,180	\$3,180	\$3,180	\$3,180	\$3,180	\$3,180
1 Bedroom Studio	Everglades Hall, Biscayne Hall	\$3,290	\$3,290	\$3,290	\$3,290	\$3,290	\$3,290
2 Bedroom Single	Osprey Hall, Eagle Hall	\$3,290	\$3,290	\$3,290	\$3,290	\$3,290	\$3,290
1 Bedroom Private	Everglades Hall, Biscayne Hall, Palmetto Hall	\$3,398	\$3,398	\$3,398	\$3,398	\$3,398	\$3,398
3 Bedroom Single	West Lake Village	\$2,815	\$2,815	\$2,815	\$2,815	\$2,815	\$2,815
4 Bedroom Single	West Lake Village	\$2,715	\$2,715	\$2,715	\$2,715	\$2,715	\$2,715

If you have any questions or need additional information, please contact President Bradshaw at (239) 590-1051.

c: Marshall Criser, Chancellor, State University System of Florida
Tim Jones, Vice Chancellor, Finance Administration and CFO
Wilson G. Bradshaw, President, Florida Gulf Coast University
Florida Gulf Coast University Cabinet

(239) 590-1065 FAX: (239) 590-1066 <http://www.fgcu.edu>
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**Board of Governors Request
Residential Housing Contract Rates
Florida International University**

Housing Contract Rental Rates (per semester)

<u>Building</u>	<u>2014-15</u>	<u>14-15 % Increase</u>	<u>2015-16</u>	<u>15-16 % Increase</u>	<u>2016-17</u>	<u>16-17 % Increase</u>
Panther Hall						
Shared bedroom	\$2,650	0.00%	\$2,700	1.89%	\$2,700	0.00%
University Apartments						
Shared bedroom - 2 bedroom / 1 bathroom (3 person)	\$2,350	0.00%	\$2,350	0.00%	\$2,350	0.00%
Shared bedroom - 2 bedroom / 1 bathroom	\$2,550	0.00%	\$2,550	0.00%	\$2,550	0.00%
Shared bedroom - 1 bedroom / 1 bathroom	\$2,950	0.00%	\$2,950	0.00%	\$2,950	0.00%
Private bedroom - 2 bedroom / 1 bathroom (3 person)	\$3,350	0.00%	\$3,350	0.00%	\$3,350	0.00%
Private bedroom - 4 bedroom / 2 bathroom	\$3,900	0.00%	\$3,900	0.00%	\$3,900	0.00%
Studio	\$4,050	0.00%	\$4,050	0.00%	\$4,050	0.00%
Private bedroom - 2 bedroom / 1 bathroom	\$4,150	0.00%	\$4,150	0.00%	\$4,150	0.00%
University Towers						
Private bedroom - 4 bedroom/ 2 bathroom	\$3,900	0.00%	\$3,950	1.28%	\$4,000	1.25%
Private bedroom - 2 bedroom/ 2 bathroom	\$4,150	0.00%	\$4,200	1.20%	\$4,250	1.18%
Studio	\$4,050	0.00%	\$4,200	3.70%	\$4,250	1.18%
Everglades Hall						
Private bedroom - 3 bedroom / 1 bathroom	\$3,700	0.00%	\$3,800	2.70%	\$3,850	1.30%
Lakeview Hall						
Shared bedroom - 2 bedroom / 1 bathroom	\$2,800	0.00%	\$2,700	-3.57%	\$2,700	0.00%
Private bedroom - 4 bedroom / 1 bathroom	\$3,450	0.00%	\$3,450	0.00%	\$3,400	-1.47%
Parkview Hall						
Four Bedroom Single-Occupancy	\$3,900	0.00%	\$4,050	3.85%	\$4,150	2.41%
Studio	\$4,050	0.00%	\$4,300	6.17%	\$4,400	2.27%

Narrative

FIU housing rental rates are set each year at a level that enables FIU to provide students safe, attractive, high quality and affordable housing options while meeting operating, debt service, and coverage ratio requirements as efficiently as possible. All rental rate changes consider student affordability, student demand for each unit type (residence hall, single or double occupancy), availability of off-campus housing, bond debt service requirements, and adequate maintenance needs as the buildings age.

As will be observed in the schedule of rates above, over the past three years FIU has been able to keep rental rate increases to a minimum and indeed has been able to reduce the rates in Lakeview Hall and not increase the rental rates at all in University Apartments in order to offer additional lower cost options for students. FIU was able to maintain all existing housing rates (no increase from previous year) in 2014-15 and was able to operate the housing system with only very nominal increases in 2015-16 and 2016-17. Over the past three years, the average annual increase in rental rates for FIU housing was 0.67 percent—considerably less than 1 percent.



University Housing Rates & Building Plans

Housing Capacity: Phase II of the Replacement Project is underway, with opening scheduled for Fall 2017. Phase II will add an additional 840 new suite-style beds to the housing inventory. The next major renovation or new construction plan will focus on the west side of the campus and involve decisions regarding McCollum, Rogers, Salley and Smith Halls. The overall strategic plan for housing plans for the same or slightly increased capacity of beds for FSU.

University Housing feels it is important to monitor rates for the halls closely to acknowledge student costs for attending FSU. The rates for Fall 2016/Spring 2017 reflect a reduced overall percentage increase from previous years knowing that future years may require higher rates. The 2016-17 rates outlined in the chart below, reflecting a 0% increase for Salley, Ragans and Traditions halls, a 1% for the updated and new suite-style halls, and 3% for McCollum and Rogers halls. The primary reason for the tiered increase in 2016-17 is to bring similar facilities in-line with rates based on amenity and condition.

The increase in revenue over the academic years below provide funding for:

- Continuation of basic improvement projects to maintain condition of facilities
- Meeting debt service obligations, which have increased with issuance of Phase I and Phase II of current construction debt
- Contributions to reserves for renovation and new construction as we will soon be approaching 20 years since the renovation of the "historic" suites and will also need funding for significant renovations to halls on the west side of campus

Name and Description of Rental Unit	2014-15 Rate	2015-16 Rate	2016-17 Rate
Community-Style (Smith, Kellum, OLD Dorman & Deviney Halls)			
Double Room	\$2,550	\$2,550	N/A
Rogers Hall (Apartments)			
Double Occupancy (1 bdrm/1 bath)	\$2,460	\$2,535	\$2,610
Salley Hall			
Double Room	\$2,910	\$2,995	\$2,995
McCollum Hall (Apartments)			
Efficiency (1 bdrm/1 bath)	\$3,515	\$3,585	\$3,690
Townhouse (2 bdrm/1 bath)	2,825	2,940	3,030
Suite-Style (Broward, Bryan, Cawthon, DeGraff, NEW Dorman & Deviney, Gilchrist, Jennie Murphree, Landis, Reynolds, Wildwood)			
Double Room	\$3,145	\$3,240	\$3,275
Single Room	3,560	3,665	3,705
Triple Room	2,950	3,040	3,070
Triple with Bath	3,130	3,225	3,260
Double with Bath	3,560	3,665	3,705
Single with Bath	3,695	3,805	3,845
Ragans Hall (Apartments)			
4 bdrm/2 bath	\$3,550	\$3,620	\$3,620
Traditions Hall (Apartments)			
2 bdrm/1 bath	\$3,785	\$3,860	\$3,860

NOTE: Residence Hall rates include utilities, cable & campus mailboxes.

University Name:

Florida Polytechnic University

	Name of Residence Hall or Dormitory *	Room Type/Description	University-Approved 2015-2016 Housing Fee Per Student, Per Term**	Required 2015-2016 Prepayment Amount (New Students)	Required 2015-2016 Prepayment Amount (Returning Students)	Total Beds
1.						
2.	Vestcor Phase 1 Housing	4 Bed / 2 Bath	\$ 3,715.00	\$ 250.00	\$ 250.00	196
3.	Vestcor Phase 1 Housing	3 Bed / 2 Bath Private	\$ 4,005.00	\$ 250.00	\$ 250.00	6
4.	Vestcor Phase 1 Housing	3 Bed / 2 Bath Shared	\$ 3,870.00	\$ 250.00	\$ 250.00	12
5.	Vestcor Phase 1 Housing	1 Bed / 1 Bath - Resident Assistant Room	\$ 2,898.00	\$ 250.00	\$ 250.00	5
6.						
7.						
8.						
9.						
10.						
11.						
12.						
13.						
14.						
15.						
16.						
17.						
18.						
19.						
20.						

* Although the university may elect to assign a prepaid student to other campus housing, the Florida Prepaid College Program will only pay the rate for halls specified by each university and approved by the Florida Prepaid College Board in accordance with s.1009.98 (10) (b), Florida Statutes, and the February 2009 agreement before the Board and Board of Governors as amended.

University Name:

Florida Polytechnic University

	Name of Residence Hall or Dormitory *	Room Type/Description	University-Approved 2015-2016 Housing Fee Per Student, Per Term**	Required 2015-2016 Prepayment Amount (New Students)	Required 2015-2016 Prepayment Amount (Returning Students)	Total Beds
1.						
2.	Vestcor Phase 1 Housing	4 Bed / 2 Bath	\$ 3,870.00	\$ 250.00	\$ 250.00	196
3.	Vestcor Phase 1 Housing	3 Bed / 2 Bath Private	\$ 4,320.00	\$ 250.00	\$ 250.00	1
4.	Vestcor Phase 1 Housing	3 Bed / 2 Bath Shared	\$ 3,960.00	\$ 250.00	\$ 250.00	12
5.	Vestcor Phase 1 Housing	1 Bed / 1 Bath - Resident Assistant Room	\$ 2,898.00	\$ 250.00	\$ 250.00	10
6.	Vestcor Phase 1 Housing	2 Bed / 1 Bath - Resident Assistant Room	\$ 3,040.00	\$ 250.00	\$ 250.00	112
7.						
8.						
9.						
10.						
11.						
12.						
13.						
14.						
15.						
16.						
17.						
18.						
19.						
20.						

* Although the university may elect to assign a prepaid student to other campus housing, the Florida Prepaid College Program will only pay the rate for halls specified by each university and approved by the Florida Prepaid College Board in accordance with s.1009.98 (10) (b), Florida Statutes, and the February 2009 agreement before the Board and Board of Governors as amended. The university may only bill the Florida Prepaid College Board for the applicable rate for the actual room in which the student is housed.

** The Board will calculate the amount the Board is required to pay pursuant to s. 1009.98 (10) (b), Florida Statutes, and the February 2009 agreement between the Board and the Board of Governors, as amended, for Prepaid Plan students whose contracts with the Board were purchased before July 1, 2009.

University Name:

Florida Polytechnic University

	Name of Residence Hall or Dormitory *	Room Type/Description	University-Approved 2015-2016 Housing Fee Per Student, Per Term**	Required 2015-2016 Prepayment Amount (New Students)	Required 2015-2016 Prepayment Amount (Returning Students)	Total Beds
1.	Vestcor Phase 2 Housing	2 Bed / 1 Bath	\$ 2,835.00	\$ 250.00	\$ 250.00	488
2.	Vestcor Phase 1 Housing	4 Bed / 2 Bath	\$ 3,870.00	\$ 250.00	\$ 250.00	196
3.	Vestcor Phase 1 Housing	3 Bed / 2 Bath Private	\$ 4,320.00	\$ 250.00	\$ 250.00	6
4.	Vestcor Phase 1 Housing	3 Bed / 2 Bath Shared	\$ 3,960.00	\$ 250.00	\$ 250.00	12
5.	Vestcor Phase 1 Housing	1 Bed / 1 Bath - Resident Assistant Room	\$ 2,898.00	\$ 250.00	\$ 250.00	
6.	Vestcor Phase 2 Housing	1 Bed / 1 Bath - Resident Assistant Room	\$ 2,898.00	\$ 250.00	\$ 250.00	
7.	Vestcor Phase 2 Housing	4 Bed / 2 Bath	\$ 3,715.00	\$ 250.00	\$ 250.00	36
8.						
9.						
10.						
11.						
12.						
13.						
14.						
15.						
16.						
17.						
18.						
19.						
20.						

* Although the university may elect to assign a prepaid student to other campus housing, the Florida Prepaid College Program will only pay the rate for halls specified by each university and approved by the Florida Prepaid College Board in accordance with s.1009.98 (10) (b), Florida Statutes, and the February 2009 agreement before the Board and Board of Governors as amended.

Phase 1 Housing	Dorm Rates			% Change in Price	
	14-15	15-16	16-17	14-15 to 15-16	15-16 to 16-17
4 Bed / 2 Bath	\$ 3,715.00	\$ 3,870.00	\$ 3,870.00	4.17%	0.00%
3 Bed / 2 Bath Private	\$ 4,005.00	\$ 4,320.00	\$ 4,320.00	7.87%	0.00%
3 Bed / 2 Bath Shared	\$ 3,870.00	\$ 3,960.00	\$ 3,960.00	2.33%	0.00%
1 Bed / 1 Bath - Resident Assistant Room	\$ 2,898.00	\$ 2,898.00	\$ 2,898.00	0.00%	0.00%
2 Bed / 1 Bath - Resident Assistant Room	\$ -	\$ 3,040.00	\$ -	0.00%	0.00%
Average Cost Phase 1	\$ 3,622.00	\$ 3,617.60	\$ 4,050.00	-0.12%	11.95%
Weighted Average Cost Phase 1	\$ 3,712.79	\$ 3,564.41	\$ 3,887.66	-4.00%	9.07%
Total Revenue	\$ 813,100.00	\$ 1,179,820.00	\$ 831,960.00	45.10%	-29.48%

Phase 2 Housing	Dorm Rates		
	14-15	15-16	16-17
2 Bed / 1 Bath	\$ -	\$ -	\$ 2,835.00
4 Bed / 2 Bath	\$ -	\$ -	\$ 3,715.00
1 Bed / 1 Bath - Resident Assistant Room	\$ -	\$ -	\$ 2,898.00

Average Cost Phase 2	\$ -	\$ -	\$ 3,275.00
Weighted Average Cost Phase 2	\$ -	\$ -	\$ 2,895.46
Total Revenue	\$ -	\$ -	\$ 1,517,220.00

Both Phases	16-17
Average Cost Phase 1 & 2	\$ 3,740.00
Weighted Average Cost Phase 1 & 2	\$ 3,183.17
Total Revenue	\$ 2,349,180.00

Beds		
14-15	15-16	16-17
196	196	196
6	1	6
12	12	12
5	10	0
0	112	0
Total	219	214

Total

Beds		
14-15	15-16	16-17
0	0	488
0	0	36
0	0	0
Total	0	524

Total

**New College of Florida
Housing Response to June 28, 2016 BOG Request**

**Residential Housing Contract Rates
For the Three-Year Period FY 2014-2015 to FY 2016-17**

Housing charges support annual costs associated with maintenance & upkeep, utilities, student programming and debt service. The College's eleven residence halls range in age from 9 years to over 50 years old with buildings dating from 1965 (the Pei Complex – three buildings, 39% of available housing), 1966 (B Dorm, 5% of available housing), 1998 (Dort Residence Hall, 12% of available housing), 1999 (Goldstein Residence Hall, 12% of available housing), to 2007 (V, W, X, Y, and Z Residence Halls, 32% of available housing.)

	AY 2016-2017 Rates	AY 2015-2016 Rates	AY 2014-2015 Rates
	No Rate Increase	4% Rate Increase	No Rate Increase
Residence Hall			
Pei Double	\$ 6,347.90	\$ 6,347.90	\$ 6,103.75
Pei Single*	\$ 9,473.02	\$ 9,473.02	\$ 9,108.08
Pei Triple*College Directed	\$ 4,803.33	\$ 4,803.33	\$ 4,618.29
Pei Triple*Student Requested	\$ 5,168.27	\$ 5,168.27	\$ 4,967.81
Pei RA	\$ 1,475.18	\$ 1,475.18	\$ 1,416.07
B Dorm	\$ 6,065.20	\$ 6,065.20	\$ 5,831.33
B Dorm Preferred	\$ 6,496.96	\$ 6,496.96	\$ 6,245.10
B Dorm Double	\$ 5,607.74	\$ 5,607.74	not offered
B Dorm RA	\$ 1,475.18	\$ 1,475.18	\$ 1,416.07
Dort/Goldstein/V,W,X,Y,Z	\$ 8,265.12	\$ 8,265.12	\$ 7,946.44
V,W,X,Y,Z Double	\$ 6,897.88	\$ 6,897.88	not offered
Dort/Goldstein/V,W,X,Y,Z Studio Single	\$ 8,997.57	\$ 8,997.57	\$ 8,650.62
Dort/Goldstein/V,W,X,Y,Z RA	\$ 1,475.18	\$ 1,475.18	\$ 1,416.07

UCF Housing Rates: 2014-15 through 2016-17

Fall 2016 - Spring 2017 Agreements

Community	Room Type	Building	Fall 2016	Spring 2017	Sum. 2017
Apollo Community	Shared Bedroom Residence Hall	Lake, Osceola, Polk, Volusia	\$2470.00	\$2470.00	-
Apollo Community	Private Bedroom Residence Hall	Lake, Osceola, Polk, Volusia	\$2810.00	\$2810.00	-
Greek Park Community	Shared Bedroom Rooms in House	KAΘ, ΘX	\$2700.00	\$2700.00	-
Greek Park Community	Shared Bedroom Rooms in House	KKΓ, XΩ	\$2800.00	\$2800.00	-
Hercules/Nike Community	Shared Bedroom Residence Hall	101-103, 108-110	\$2835.00	\$2835.00	-
Hercules/Nike Community	Private Bedroom 2/1 Apartments	104-107, 111-114	\$3330.00	\$3330.00	-
Hercules/Nike Community	Private Bedroom 4/2 Apartments	104-107, 111-114	\$3085.00	\$3085.00	-
Lake Claire Community	Private Bedroom 4/2 Apartments	55-70	\$3045.00	\$3045.00	-
Libra Community	Shared Bedroom Residence Hall	Brevard, Orange, Seminole	\$2700.00	\$2700.00	-
Libra Community	Shared Bedroom Residence Hall	Citrus, Flagler, Sumter	\$2700.00	\$2700.00	-
Neptune Community	Private Bedroom Residence Hall	156-158	\$3000.00	\$3000.00	-

UCF Housing Rates: 2014-15 through 2016-17

Privately Owned Housing

Fall 2016 - Spring 2017 Agreements

Community	Room Type	Building	Fall 2016	Spring 2017	Sum. 2017
Rosen College Apartments	Private Bedroom 2/1 Apartments	904, 905	\$3200.00	\$3200.00	-
Rosen College Apartments	Private Bedroom 4/2 Apartments	904, 905	\$2985.00	\$2985.00	-

Fall 2016 - Spring 2017 - Summer 2017 (11 1/2 Months)

Community	Room Type	Building	Fall 2016	Spring 2017	Sum. C 2017
NorthView	Private Bedroom 4/4 Apartments	Floors 1-7	\$3715.00	\$3715.00	\$2478.00
NorthView	Private Bedroom 4/4 Loft	Floors 1-7	\$3855.00	\$3855.00	\$2570.00
NorthView	Private Bedroom 2/2 Apartments	Floors 1-7	\$3990.00	\$3990.00	\$2660.00
Towers at Knights Plaza	Private Bedroom 4/4 Apartments	Tower I, II, III, IV	\$3640.00	\$3640.00	\$2330.00
Towers at Knights Plaza	Private Bedroom 4/2 Apartments	Tower I, II, III, IV	\$3440.00	\$3440.00	\$2202.00
Towers at Knights Plaza	Private Bedroom 1/1 Apartments	Tower I, II, III, IV	\$3845.00	\$3845.00	\$2461.00



UCF Housing Rates: 2014-15 through 2016-17

Fall 2015 - Spring 2016 Agreements

Community	Room Type	Building	Fall 2015	Spring 2016	Sum. 2016
Apollo Community	Shared Bedroom Residence Hall	Lake, Osceola, Polk, Volusia	\$2470.00	\$2470.00	-
Apollo Community	Private Bedroom Residence Hall	Lake, Osceola, Polk, Volusia	\$2810.00	\$2810.00	-
Greek Park Community	Shared Bedroom Rooms in House	KAΘ, ΘX	\$2700.00	\$2700.00	-
Greek Park Community	Shared Bedroom Rooms in House	KKΓ, XΩ	\$2800.00	\$2800.00	-
Hercules/Nike Community	Shared Bedroom Residence Hall	101-103, 108-110	\$2835.00	\$2835.00	-
Hercules/Nike Community	Private Bedroom 2/1 Apartments	104-107, 111-114	\$3330.00	\$3330.00	-
Hercules/Nike Community	Private Bedroom 4/2 Apartments	104-107, 111-114	\$3085.00	\$3085.00	-
Lake Claire Community	Private Bedroom 4/2 Apartments	55-70	\$3045.00	\$3045.00	-
Libra Community	Shared Bedroom Residence Hall	Brevard, Orange, Seminole	\$2700.00	\$2700.00	-
Libra Community	Shared Bedroom Residence Hall	Citrus, Flagler, Sumter	\$2700.00	\$2700.00	-
Neptune Community	Private Bedroom Residence Hall	156-158	\$3000.00	\$3000.00	-

UCF Housing Rates: 2014-15 through 2016-17

Privately Owned Housing

Fall 2015 - Spring 2016 Agreements

Community	Room Type	Building	Fall 2015	Spring 2016	Sum. 2016
Rosen College Apartments	Private Bedroom 2/1 Apartments	904, 905	\$3200.00	\$3200.00	-
Rosen College Apartments	Private Bedroom 4/2 Apartments	904, 905	\$2985.00	\$2985.00	-

Fall 2015 - Spring 2016 - Summer 2016 (11 1/2 Months)

Community	Room Type	Building	Fall 2015	Spring 2016	Sum. C 2016
NorthView	Private Bedroom 4/4 Apartments	Floors 1-7	\$3640.00	\$3640.00	\$2330.00
NorthView	Private Bedroom 4/4 Loft	Floors 1-7	\$3776.00	\$3776.00	\$2516.00
NorthView	Private Bedroom 2/2 Apartments	Floors 1-7	\$3911.00	\$3911.00	\$2606.00
Towers at Knights Plaza	Private Bedroom 4/4 Apartments	Tower I, II, III, IV	\$3640.00	\$3640.00	\$2330.00
Towers at Knights Plaza	Private Bedroom 4/2 Apartments	Tower I, II, III, IV	\$3440.00	\$3440.00	\$2202.00
Towers at Knights Plaza	Private Bedroom 1/1 Apartments	Tower I, II, III, IV	\$3845.00	\$3845.00	\$2461.00



UCF Department of Housing and Residence Life
Division of Student Development and Enrollment Services

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UCF Housing Rates: 2014-15 through 2016-17

Fall 2014 - Spring 2015 Agreements

Community	Room Type	Building	Fall 2014	Spring 2015	Sum. 2015
Apollo Community	Shared Bedroom Residence Hall	Lake, Osceola, Polk, Volusia	\$2470.00	\$2470.00	-
Apollo Community	Private Bedroom Residence Hall	Lake, Osceola, Polk, Volusia	\$2810.00	\$2810.00	-
Greek Park Community	Shared Bedroom Rooms in House	KAΘ, ΘX	\$2700.00	\$2700.00	-
Greek Park Community	Shared Bedroom Rooms in House	KKΓ, XΩ	\$2800.00	\$2800.00	-
Hercules/Nike Community	Shared Bedroom Residence Hall	101-103, 108-110	\$2835.00	\$2835.00	-
Hercules/Nike Community	Private Bedroom 2/1 Apartments	104-107, 111-114	\$3330.00	\$3330.00	-
Hercules/Nike Community	Private Bedroom 4/2 Apartments	104-107, 111-114	\$3085.00	\$3085.00	-
Lake Claire Community	Private Bedroom 4/2 Apartments	55-70	\$3045.00	\$3045.00	-
Libra Community	Shared Bedroom Residence Hall	Brevard, Orange, Seminole	\$2700.00	\$2700.00	-
Libra Community	Shared Bedroom Residence Hall	Citrus, Flagler, Sumter	\$2700.00	\$2700.00	-
Neptune Community	Private Bedroom Residence Hall	156-158	\$3000.00	\$3000.00	-



UCF Housing Rates: 2014-15 through 2016-17

Privately Owned Housing

Fall 2014 - Spring 2015 Agreements

Community	Room Type	Building	Fall 2014	Spring 2015	Sum. 2015
Rosen College Apartments	Private Bedroom 2/1 Apartments	904, 905	\$3200.00	\$3200.00	-
Rosen College Apartments	Private Bedroom 4/2 Apartments	904, 905	\$2985.00	\$2985.00	-

Fall 2014 - Spring 2015 - Summer 2015 (11 1/2 Months)

Community	Room Type	Building	Fall 2014	Spring 2015	Sum. C 2015
NorthView	Private Bedroom 4/4 Apartments	Floors 1-7	\$3640.00	\$3640.00	\$2330.00
NorthView	Private Bedroom 4/4 Loft	Floors 1-7	\$3776.00	\$3776.00	\$2516.00
NorthView	Private Bedroom 2/2 Apartments	Floors 1-7	\$3911.00	\$3911.00	\$2606.00
Towers at Knights Plaza	Private Bedroom 4/4 Apartments	Tower I, II, III, IV	\$3640.00	\$3640.00	\$2330.00
Towers at Knights Plaza	Private Bedroom 4/2 Apartments	Tower I, II, III, IV	\$3440.00	\$3440.00	\$2202.00
Towers at Knights Plaza	Private Bedroom 1/1 Apartments	Tower I, II, III, IV	\$3845.00	\$3845.00	\$2461.00



UCF Department of Housing and Residence Life
Division of Student Development and Enrollment Services

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University of Florida
Response to BOG re: Housing Rental Rates
July 2016

For the academic year fall 2014 - spring 2015, the Department of Housing and Residence Education requested and was approved for a 3% increase in our single housing rental rates and our graduate and family housing rental rates. Housing requested the increase to primarily cover our increased debt service payment, due to the issuance of the 2013A Bond. The 2013A Bond was issued for the construction of our new residence hall, Cypress Hall. Cypress Hall provided 255 spaces for undergraduate students as well as space to accommodate students with advanced mobility impairments who traditionally were unable to live on-campus and in some cases were not able to attend the University of Florida due to lack of accommodations. The increase was also requested to assist with full-time staff merit increases and the increase in the university's auxiliary overhead charge.

For the academic year fall 2015 – spring 2016, the Department of Housing and Residence Education requested and was approved for a 3.5% increase in our single housing rental rates and our graduate and family housing rental rates. The rental rate increase assisted in covering a dramatic increase in utility charges from Physical Plant. Effective January 1, 2015, Physical Plant Department informed campus of a 36.18% rate increase for steam. This increase resulted in additional utility expenses in the prior fiscal year (2014-2015). Additionally, effective July 1, 2015, all utilities increased (electric .7%, chilled water 2.76%, steam 11.02%, domestic water 6.63%, and waste water 10.07%). These collective increases resulted in an additional \$858,450 for utility expenses during the fiscal year. The utility increases come from the provider that are passed to the university through Physical Plant to the housing operation. Additionally, two new residence halls opened (Cypress Hall and Infinity Hall) that required additional staff to oversee the buildings (residence life) and maintain (maintenance and building services) the buildings.

For the academic year fall 2016 – spring 2017, the Department of Housing and Residence Education did not request a rental rate increase.

Copies of the three fiscal year's rental rates for single student housing and graduate and family housing contracts are attached.

UNIVERSITY OF FLORIDA

DEPARTMENT OF HOUSING & RESIDENCE EDUCATION

RESIDENCE HALLS ROOM RENTAL RATES FOR FALL/SPRING SEMESTER 2014-2015

(Rates Listed are Per Person, Per Semester)

Rental Rates shown are typical for most rooms on campus.

Rates will vary due to specific room features.

	SINGLE	DOUBLE	TRIPLE	ECONOMY TRIPLE	SUITE 2	SUITE 3	SUITE 4/5
MURPHREE AREA							
Murphree		\$2,720	\$2,373		\$2,720 - \$2,938	\$2,720 - \$2,938	
Thomas (no ac)	\$2,342	\$2,197	\$1,958				\$1,862(Quad)
Buckman	\$2,709	\$2,558	\$2,257				
Sledd	\$2,372 - \$2,924	\$2,558	\$2,257		\$2,605 - \$2,821	\$2,605 - \$2,821	\$2,605
Fletcher	\$2,605 - \$2,924	\$2,558 - \$2,605	\$2,257		\$2,605 - \$2,938	\$2,749 - \$2,938	
EAST CAMPUS							
Broward	\$2,709	\$2,558	\$2,257	\$2,201			
Rawlings	\$2,709	\$2,558	\$2,257				\$2,647
Mallory	\$2,709	\$2,558	\$2,257	\$2,201			
Reid	\$2,709	\$2,558	\$2,257	\$2,201			
Yulee	\$2,709	\$2,558	\$2,257				
Jennings	\$2,709	\$2,558	\$2,257				
Beaty Towers West- 2 Bedroom Apartment, 4 persons/apt- \$2,749 per person							
Beaty Towers East- 2 Bedroom Apartment, 4 persons/apt- \$2,749 per person							
WEST CAMPUS							
Graham	\$2,709	\$2,558					
Simpson	\$2,709	\$2,558					
Trusler		\$2,558					
Tolbert	\$2,709	\$2,558	\$2,257	\$2,201			
Riker	\$2,709	\$2,558	\$2,257	\$2,201			
Weaver	\$2,709	\$2,558	\$2,257				
East	\$2,709	\$2,558	\$2,257				
North	\$2,709	\$2,558	\$2,257	\$2,201			
Springs	\$3,171	\$2,957					
Hume	\$3,655	\$3,453					
Lakeside - 4 Bedroom Apartment, 4 persons/apt-\$3,313 per person							
Keys - 4 Bedroom Apartment, 4 persons/apt-\$3,067 per person							
Sophomores & above only.							

Refrigerators are provided ONLY in Beaty Towers, Keys and Lakeside Residential Complex.

UNIVERSITY OF FLORIDA

DEPARTMENT OF HOUSING & RESIDENCE EDUCATION

RESIDENCE HALLS ROOM RENTAL RATES FOR FALL/SPRING SEMESTER 2015-2016

(Rates Listed are Per Person, Per Semester)

Rental Rates shown are typical for most rooms on campus.

Rates will vary due to specific room features.

	SINGLE	DOUBLE	TRIPLE	ECONOMY TRIPLE	SUITE 2	SUITE 3	SUITE 4/5
MURPHREE AREA							
Murphree		\$2,815	\$2,455		\$2,815 - \$3,040	\$2,815 - \$3,040	
Thomas	\$2,804	\$2,648	\$2,336				\$2,237(Quad)
Buckman	\$2,804	\$2,648	\$2,336				
Sledd	\$2,455 - \$3,027	\$2,648	\$2,336		\$2,696 - \$3,040	\$2,696 - \$3,040	\$2,696
Fletcher	\$2,696 - \$3,027	\$2,648 - \$2,696	\$2,336		\$2,696 - \$3,040	\$2,845 - \$3,040	
EAST CAMPUS							
Broward	\$2,804	\$2,648	\$2,336	\$2,278			
Rawlings	\$2,804	\$2,648					\$2,696
Mallory	\$2,804	\$2,648	\$2,336	\$2,278			
Reid	\$2,804	\$2,648	\$2,336	\$2,278			
Yulee	\$2,804	\$2,648	\$2,336				
Jennings	\$2,804	\$2,648	\$2,336				
Cypress	\$3,783	\$3,574					
Beaty Towers- 2 Bedroom Apartment, 4 persons/apt- \$2,845 per person							
WEST CAMPUS							
Graham	\$2,804	\$2,648					
Simpson	\$2,804	\$2,648					
Trusler		\$2,648					
Tolbert	\$2,804	\$2,648	\$2,336	\$2,278			
Riker	\$2,804	\$2,648	\$2,336	\$2,278			
Weaver	\$2,804	\$2,648	\$2,336				
East	\$2,804	\$2,648	\$2,336				
North	\$2,804	\$2,648	\$2,336	\$2,278			
Springs	\$3,282	\$3,060					
Hume	\$3,783	\$3,574					
Lakeside - 4 Bedroom Apartment, 4 persons/apt-\$3,429per person							
Keys - 4 Bedroom Apartment, 4 persons/apt-\$3,174 per person							
Sophomores & above only.							

Refrigerators are provided ONLY in Beaty Towers, Keys and Lakeside Residential Complex.

UNIVERSITY OF FLORIDA

DEPARTMENT OF HOUSING & RESIDENCE EDUCATION

RESIDENCE HALLS ROOM RENTAL RATES FOR FALL/SPRING SEMESTER 2016-2017

(Rates Listed are Per Person, Per Semester)

Rental Rates shown are typical for most rooms on campus.

Rates will vary due to specific room features.

	SINGLE	DOUBLE	TRIPLE	ECONOMY TRIPLE	SUITE 2	SUITE 3	SUITE 4/5
MURPHREE AREA							
Murphree		\$2,815	\$2,455		\$2,815 - \$3,040	\$2,815 - \$3,040	
Thomas	\$2,804	\$2,648	\$2,336				\$2,237 (Quad)
Buckman	\$2,804	\$2,648	\$2,336				
Sledd	\$2,455 - \$3,027	\$2,648	\$2,336		\$2,696 - \$2,920	\$2,696 - \$3,040	\$2,845
Fletcher	\$2,696 - \$3,027	\$2,648 - \$2,696	\$2,336		\$2,696 - \$3,040	\$2,845 - \$3,040	
EAST CAMPUS							
Broward	\$2,804	\$2,648	\$2,336	\$2,278	\$2,845		
Rawlings	\$2,804	\$2,648 - \$2,740					\$2,696
Mallory	\$2,696 - \$2,804	\$2,648	\$2,336	\$2,278	\$2,845		
Reid	\$2,804 - \$3,027	\$2,648	\$2,336	\$2,278			
Yulee	\$2,696 - \$2,804	\$2,648	\$2,336		\$2,845	\$2,336	
Jennings	\$2,804	\$2,648	\$2,336				
Cypress (suites)	\$3,783	\$3,574					
Beaty Towers- 2 Bedroom Apartment, 4 persons/apt - \$2,845 per person							
WEST CAMPUS							
Graham	\$2,804	\$2,648					
Simpson	\$2,804	\$2,648			\$2,845		
Trusler	\$2,804	\$2,648					
Tolbert	\$2,804	\$2,648	\$2,336	\$2,278			
Riker	\$2,804 - \$2,845	\$2,648 - \$2,815	\$2,336	\$2,278			
Weaver	\$2,804	\$2,648	\$2,336				
East	\$2,804	\$2,648	\$2,336				
North	\$2,804	\$2,648	\$2,336	\$2,278			
Springs	\$3,282	\$3,060					
Hume	\$3,783	\$3,574					
Lakeside - 4 Bedroom Apartment, 4 persons/apt - \$2,845 - \$3,429 per person							
Keys - 4 Bedroom Apartment, 4 persons/apt - \$3,174 - \$4,174 per person							

Refrigerators are provided ONLY in Beaty Towers, Keys and Lakeside Residential Complex.

ATTACHMENT D

UNIVERSITY OF FLORIDA

DEPARTMENT OF HOUSING & RESIDENCE EDUCATION

GRADUATE & FAMILY HOUSING RENTAL RATES 2014-2015
EFFECTIVE JULY 1, 2014

VILLAGE	MONTHLY RENTAL RATE
CORRY VILLAGE	
One Bedroom	\$468
One Bedroom/Remodeled Apartment	\$607
Two Bedroom	\$523
Two Bedroom/Remodeled Apartment	\$664
Two Bedroom/Deluxe Remodeled Apartment	\$720
DIAMOND VILLAGE	
One Bedroom	\$607
Two Bedroom	\$664
TANGLEWOOD APARTMENTS	
Efficiency	\$495
Efficiency w/Remodeled Kitchen	\$534
One Bedroom	\$569
One Bedroom w/Remodeled Kitchen	\$607
Two Bedroom	\$624
Two Bedroom w/Remodeled Kitchen	\$664
Townhouse	\$681
Townhouse w/Remodeled Kitchen	\$720
UNIVERSITY VILLAGE SOUTH	
One Bedroom	\$541
One Bedroom w/Remodeled Kitchen	\$579
Two Bedroom	\$596
Two Bedroom w/Remodeled Kitchen	\$636
MAGUIRE VILLAGE	
One Bedroom	\$516
One Bedroom w/Remodeled Kitchen	\$550
Two Bedroom	\$576
Two Bedroom w/Remodeled Kitchen	\$610

Represents 3% rent increase in UVS, Diamond, Corry and Tanglewood and 7% rent increase in Maguire Village
(former HUD property) to align with University Village South.

ATTACHMENT D

UNIVERSITY OF FLORIDA

DEPARTMENT OF HOUSING & RESIDENCE EDUCATION

**GRADUATE & FAMILY HOUSING RENTAL RATES 2015-2016
EFFECTIVE JULY 1, 2015**

VILLAGE	Current MONTHLY RENTAL RATE
CORRY VILLAGE	
One Bedroom	\$484
One Bedroom/Remodeled Apartment	\$628
Two Bedroom	\$542
Two Bedroom/Remodeled Apartment	\$688
Two Bedroom/Deluxe Remodeled Apartment	\$745
DIAMOND VILLAGE	
One Bedroom	\$628
Two Bedroom	\$688
TANGLEWOOD APARTMENTS	
Efficiency	\$513
Efficiency w/Remodeled Kitchen	\$552
One Bedroom	\$588
One Bedroom w/Remodeled Kitchen	\$628
Two Bedroom	\$646
Two Bedroom w/Remodeled Kitchen	\$688
Townhouse	\$705
Townhouse w/Remodeled Kitchen	\$745
UNIVERSITY VILLAGE SOUTH	
One Bedroom	\$560
One Bedroom w/Remodeled Kitchen	\$599
Two Bedroom	\$617
Two Bedroom w/Remodeled Kitchen	\$658
MAGUIRE VILLAGE	
One Bedroom	\$560
One Bedroom w/Remodeled Kitchen	\$599
Two Bedroom	\$617
Two Bedroom w/Remodeled Kitchen	\$658

Represents 3.5% rent increase in UVS, Diamond, Corry and Tanglewood and 8% rent increase in Maguire Village (former HUD property) to match with Uversity Village South.

ATTACHMENT D

UNIVERSITY OF FLORIDA

DEPARTMENT OF HOUSING & RESIDENCE EDUCATION

GRADUATE & FAMILY HOUSING RENTAL RATES 2016-2017
EFFECTIVE JULY 1, 2016

VILLAGE	Current MONTHLY RENTAL RATE
CORRY VILLAGE	
One Bedroom	\$484
One Bedroom/Remodeled Apartment	\$628
Two Bedroom	\$542
Two Bedroom/Remodeled Apartment	\$688
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Efficiency	\$513
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Townhouse	\$705
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UNIVERSITY VILLAGE SOUTH	
One Bedroom	\$560
One Bedroom w/Remodeled Kitchen	\$599
Two Bedroom	\$617
Two Bedroom w/Remodeled Kitchen	\$658
MAGUIRE VILLAGE	
One Bedroom	\$560
One Bedroom w/Remodeled Kitchen	\$599
Two Bedroom	\$617
Two Bedroom w/Remodeled Kitchen	\$658

Tom Kuntz, Chair
Board of Governors
August 1, 2016

UNF Residence Halls: Costs and Rate Increases

RESIDENCE HALL	2014-15 rates (# of students in this type of room)	Increase over 2013-14	2015-16 rates (# of students in this type of room)	Increase over 2014-15	2016-17 rates (# of students in this type of room)	Increase over 2015-16
The Flats at UNF: Apartment community for 12-month residents. Rates shown are per month.						
<i>Furnished and unfurnished apartment options, kitchens with modern full-size appliances, 24-hour community clubhouse with access to: desk operation, study center with small computer lab, fitness center, club room with contemporary lounge seating and space for social events, and billiards room, lighted basketball court, lighted pool deck with active pool, lap pool, hot tub and propane grill</i>						
2 Bedroom Furnished w/ Lake View	\$754 (84)	\$0	\$754 (86)	\$0	\$754 (TBD)	\$0
2 Bedroom Unfurnished	\$699 (29)	\$0	\$699 (31)	\$0	\$699 (TBD)	\$0
2 Bedroom Furnished w/ Lake View	\$764 (67)	\$0	\$764 (70)	\$0	\$764 (TBD)	\$0
2 Bedroom Unfurnished w/ Lake View	\$709 (16)	\$0	\$709 (20)	\$0	\$709 (TBD)	\$0
3 Bedroom Furnished	\$704 (34)	\$0	\$704 (22)	\$0	\$704 (TBD)	\$0
3 Bedroom Unfurnished	\$649 (47)	\$0	\$649 (45)	\$0	\$649 (TBD)	\$0
3 Bedroom Furnished w/ Lake View	\$714 (65)	\$0	\$714 (68)	\$0	\$714 (TBD)	\$0
3 Bedroom Unfurnished w/ Lake View	\$659 (32)	\$0	\$659 (32)	\$0	\$659 (TBD)	\$0
Osprey Fountains						
<i>Private restrooms, 24-hour access to: desk operation, game room, fitness center, Ozzie's Convenience Store & Grill, Spotlight lounge (for faculty presenters, karaoke, comedy/open-mic nights), The Upper deck lounge w/ large screen TVs to view sporting events and entertainment, The Morgue large study room, multi-purpose rooms for student and University events, active/lap pool, lazy river, lighted pool deck, lighted recreational field w/ tennis courts, sand volleyball pit, basketball court, walking/running path and putting green.</i>						
4 Bedroom Suite (private bedroom)	\$3200 (466)	\$100	\$3300 (453)	\$100	\$3300 (TBD)	\$0
6 Bedroom Suite (private bedroom)	\$2985 (311)	\$95	\$3085 (309)	\$100	\$3085 (TBD)	\$0
6 Bedroom Suite (double bedroom)	\$2730 (151)	\$80	\$2830 (132)	\$100	\$2830 (TBD)	\$0
1 Bedroom Suite (private bedroom)	\$4880 (17)	\$140	\$5000 (16)	\$120	\$5000 (TBD)	\$0
Osprey Hall						
<i>Traditional residence hall, lounge/lobby space for socializing, small cooking lounges, bottle fill station, community restrooms, limited access building with single gender floors/hallways, close proximity to Osprey Café.</i>						
Double (shared room)	\$2100 (233)	\$60	\$2250 (224)	\$150	\$2250 (TBD)	\$0
Private	\$3250 (23)	\$150	\$3450 (24)	\$200	\$3450 (TBD)	\$0
Osprey Village						
<i>Furnished apartment community with full kitchens and private restrooms, "New Orleans"-style central courtyards, lighted basketball court, lighted sand volleyball pit, patio/balcony access, close proximity to Osprey Clubhouse</i>						
1 Bedroom Double (shared bedroom)	\$3200 (198)	\$100	\$3300 (194)	\$100	\$3300 (TBD)	\$0
2 bedroom Double (shared bedroom)	\$2335 (218)	\$75	\$2435 (220)	\$100	\$2435 (TBD)	\$0
Osprey Landing						
<i>Private restrooms, in-room vanity sink, cooking lounge access, covered exterior walkways, landscaped courtyards, close proximity to Osprey Clubhouse</i>						
Triple (shared room)	\$2400 (57)	\$70	\$2500 (56)	\$100	\$2500 (TBD)	\$0
Double (shared room)	\$2750 (464)	\$100	\$2900 (400)	\$150	\$2900 (TBD)	\$0
Private	\$4625 (21)	\$215	\$4950 (10)	\$325	\$4950 (TBD)	\$0
Osprey Cove						
<i>Private restrooms, in-room vanity sink, cooking lounge access, covered exterior walkways, landscaped courtyards, close proximity to Osprey Clubhouse</i>						
Triple (shared room)	\$2400 (57)	\$70	\$2500 (52)	\$100	\$2500 (TBD)	\$0
Double (shared room)	\$2750 (412)	\$100	\$2900 (388)	\$150	\$2900 (TBD)	\$0
Private	\$4625 (10)	\$215	\$4950 (8)	\$325	\$4950 (TBD)	\$0
Osprey Crossings						
<i>Private restrooms, in-room vanity sink, cooking lounge access, study room access, multi-purpose interior atrium space, access to ice machines, bottle fill station</i>						
Triple (shared room)	\$2400 (43)	\$70	\$2500 (42)	\$100	\$2500 (TBD)	\$0
Double (shared room)	\$3050 (450)	\$90	\$3150 (422)	\$100	\$3150 (TBD)	\$0
Private	\$5000 (21)	\$225	\$5300(21)	\$300	\$5300 (TBD)	\$0

**USF Board of Trustees Approved Residential Housing Rental Rates
A Three-Year History
University of South Florida – Tampa Campus**

Housing Rental Rates (per semester)

<u>Buildings</u>	<u>2014-15</u>	<u>% Increase</u>	<u>2015-16</u>	<u>% Increase</u>	<u>2016-17</u>	<u>% Increase</u>
Andros Suites - Double	\$ 2,200	0.00%	\$ 2,200	0.00%	\$ 2,288	4.00%
Traditional – Double (Beta & Castor)	\$ 2,386	0.00%	\$ 2,386	0.00%	\$ 2,480	3.94%
Suites – Triple (Cypress)	n/a	n/a	\$ 2,386	0.00%	\$ 2,480	3.94%
Suites – Corner Triple (Cypress)	n/a	n/a	\$ 2,566	0.00%	\$ 2,668	3.98%
Apartments – Double (Kosove & Magnolia)	\$ 2,826	0.00%	\$ 2,826	0.00%	\$ 2,940	4.03%
Suites – Double (Cypress, Juniper, Maple, & Poplar)	\$ 2,826	0.00%	\$ 2,826	0.00%	\$ 3,312	17.20%
Suites – Corner Double (Cypress, Juniper, & Poplar)	\$ 3,040	0.00%	\$ 3,040	0.00%	\$ 3,312	8.95%
Traditional – Single (Beta & Castor)	\$ 3,300	0.00%	\$ 3,300	0.00%	\$ 3,432	4.00%
Andros Suites - Single	\$ 3,300	0.00%	\$ 3,300	0.00%	\$ 3,532	7.03%
Apartments (4 Bedroom) – Single (Cypress & Kosove)	\$ 3,448	0.00%	\$ 3,448	0.00%	\$ 3,760	9.05%
Apartments (4 Bedroom) – Single (Holly & Magnolia)	\$ 3,380	0.00%	\$ 3,380	0.00%	\$ 3,760	11.24%
Apartments (2 Bedroom) – Single (Holly)	\$ 3,620	0.00%	\$ 3,620	0.00%	\$ 3,800	4.97%

Narrative

USF is dedicated to providing safe and welcoming residential communities that promote student success. Along with a robust residential curriculum and living learning programs, we offer a variety of on-campus residential room types and price points to meet the needs of our students and families.

Rental rates are set each year after a thorough review of bond service requirements, operating expenses, facility investment needs, demand for each unit type, off-campus market and SUS rate analysis. The rate proposal is presented for approval to university administration and then the Board of Trustees.

Without an increase in rental rates for FY16/17, the USF Housing System would have breached the USF BOT Debt Management Policy (1.3 DSCR), Board of Governors (1.2 DSCR) and Moody's preferred rating coverage (1.4 DSCR). Approximately 2,000 beds (40% of the inventory) remain under \$2,700/semester. USF continues to offer the lowest cost suite style option in the SUS.

Housing rental at USF is voluntary on the students' part. It is not mandatory for any enrolled student to live in university-rented housing.

**USF Board of Trustees Approved Residential Housing Rental Rates
A Three-Year History
University of South Florida St. Petersburg**

Housing Rental Rates (per semester)

<u>Buildings</u>	<u>2014-15</u>	<u>% Increase</u>	<u>2015-16</u>	<u>% Increase</u>	<u>2016-17</u>	<u>% Increase</u>
Residence Hall One Suite- Triple	\$2,922.00	0%	\$2,922.00	0%	\$2,922.00	0%
University Student Center- Triple	N/A	N/A	\$2,922.00	N/A	\$2,922.00	0%
Residence Hall One Suite- Double	\$3,784.00	0%	\$3,784.00	0%	\$3,784.00	0%
Residence Hall One Studio- Double	\$3,784.00	0%	\$3,784.00	0%	\$3,784.00	0%
University Student Center- Double	\$3,784.00	0%	\$3,784.00	0%	\$3,784.00	0%
Residence Hall One Suite- Single	\$3,922.00	0%	\$3,922.00	0%	\$3,922.00	0%
Affiliated Housing (Hilton Hotel)- Double	N/A	N/A	\$4,984.00	N/A	\$4,984.00	0%

Narrative

USFSP is dedicated to providing safe and welcoming residential communities that promote student success. Along with a robust residential curriculum and living learning programs, we offer a variety of on-campus residential room types and price points to meet the needs of our students and families.

Rental rates are set each year after a thorough review of bond service requirements, operating expenses, facility investment needs, demand for each unit type, and off-campus market and SUS rate analysis. The rate proposal is presented for approval to university administration and then the Board of Trustees.

Housing rental at USF is voluntary on the students' part. It is not mandatory for any enrolled student to live in university-rented housing.

Attachment D

Residence Hall/Apartment	2014-2015 Rate	Percent Change	2015-2016 Rate	Percent Change	Current Year Rates	
					2016-2017 Rate	Percent Change
Southside Village – Single Occupancy	\$3,140	0%	\$3,140	0%	N/A	0%
Southside Village – Double Occupancy	\$2,550	0%	\$2,550	0%	N/A	0%
Argo, Martin & Pace – Single Occupancy	\$3,190	0%	\$3,190	0%	\$3,190	0%
Argo, Martin & Pace – Double Occupancy	\$2,900	0%	\$2,900	0%	\$2,900	0%
Argo, Martin & Pace – Triple Occupancy	\$2,250	0%	\$2,250	0%	\$2,250	0%
University Village - East 4-Bdrm	\$3,065	0%	\$3,065	0%	\$3,065	0%
University Village - East 2-Bdrm	\$3,455	0%	\$3,455	0%	\$3,455	0%
University Village - West 4-Bdrm	\$3,300	0%	\$3,300	0%	\$3,300	0%
University Village - West 2-Bdrm	\$3,700	0%	\$3,700	0%	\$3,700	0%
Heritage & Presidents Hall – Double	\$3,000	0%	\$3,000	0%	\$3,000	0%
Heritage & Presidents Hall – Single	\$3,290	0%	\$3,290	0%	\$3,290	0%
McVoy House, WFPHI - Single	\$2,184	0%	\$2,184	0%	\$2,184	0%
McVoy House, WFPHI - Extended Single	\$2,305	0%	\$2,305	0%	\$2,305	0%
Quina Singh House, WFPHI – Single	\$2,184	0%	\$2,184	0%	\$2,184	0%
Average Rate Change		0%		0%		0%
All rates are per semester						
N/A - Southside Villages are offline for FY17						



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

AGENDA

Task Force on University Research

Main Auditorium

Harry Sudakoff Conference Center

New College of Florida

5845 General Dougher Place

Sarasota, Florida 34243

September 22, 2016

10:15 a.m. - 11:00 a.m.

or

Upon Adjournment of Previous Meetings

Members: Doyle, Valverde

1. **Call to Order and Opening Remarks** **Governor Fernando Valverde**

2. **SUS Research and Innovation Metrics Dashboard** **Dr. Jan Ignash**
Vice Chancellor
Academic and Student Affairs

3. **SUS Research Legislative Budget Request** **Dr. Ignash**
SUS Vice Presidents for Research

4. **Concluding Remarks and Adjournment** **Governor Valverde**

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Task Force on University Research
September 22, 2016**

SUBJECT: State University System Research and Innovation Metrics “Dashboard”

PROPOSED TASK FORCE ACTION

Consider the approval of a “dashboard” of metrics to document the progress made by the State University System on research and innovation

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

At the June Board meeting, the Vice Presidents for Research of all 12 public universities met with the Board of Governors to discuss strategies identified in the Board of Governor’s Research Work Plan that are designed to help the SUS achieve national and international prominence in research. Strategies discussed included the recruitment of top research faculty and graduate students, the need for state-of-the-art research facilities necessary to attract star faculty, and collaborations with business and industry to further statewide economic development. The Board of Governors and the Vice Presidents for Research also discussed the need for a dashboard of possible metrics that could be used to document progress in elevating SUS research.

The Board will consider for approval a proposed dashboard of approximately 15 metrics that quantify research activity and progress in the categories of “Research Contracts and Grants,” “Innovation,” “People” and “ROI.”

Supporting Documentation Included:	Draft of SUS Research and Innovation Metrics Dashboard
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Facilitators / Presenters:	Dr. Jan Ignash
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	Florida SUS Research and Innovation Metrics	BOG/Work Plan Metrics	Preeminence Metrics	Potential NEW Metrics*
		(existing metrics)		(to be defined)
Research Grants and Contracts	NSF Higher Education R&D (HERD) Survey (Expenditures)	X		
	Schedule of Expenditures of Federal Awards (SEFA) Submissions	X		
	Total Annual Research Expenditures (Science & Engineering only)	X	X	
	Total Annual Research Expenditures in Diversified Non-Medical Sciences	X	X	
	National Ranking in S.T.E.M. Research Expenditures (includes public & private)	X	X	
	Number of Grants/Contracts between Two or More SUS Institutions			X
Innovation	Patents Awarded (over 3-year period)	X	X	
	Number of Industry-related Grants and Contracts (e.g., industry investments, SBIR, STTR, State of FL investments in SBIR/STTR)			X
	Number of Startups (AUTM reported startups, incorporated student companies and pre-startups to be defined as I-Corps teams, student-mentor teams in incubators and entrepreneurship centers)	X		(increased definition)
	Number of Licenses and Options Executed	X		
People	Number of Students Engaged in Undergraduate Research			X
	Doctoral Degrees Awarded	X	X	
	Number of Postdoctoral Appointees (NSF-NIH)	X	X	
	National Academy Members (NAS, NAE, NAM, NAI)	X	X	
ROI	Average Number of Jobs Created (reported annually) (Externally funded research related jobs estimated as per US Department of Commerce method adapted by NIH. Jobs created at research parks)			X
	Economic impact (\$) for every state dollar invested (estimated as per US Department of Commerce method adapted by NIH)			X
	Economic impact (\$) for every federal dollar invested (estimated as per US Department of Commerce method adapted by NIH)			X

NOTE: Metrics highlighted are new and/or modified based on the August 5, 2016 teleconference call with the Vice Presidents and Directors of Research.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Task Force on University Research
September 22, 2016**

SUBJECT: State University System Advancing Research and Innovation Legislative Budget Request

PROPOSED TASK FORCE ACTION

Consider for approval the State University System Innovation and Discovery Legislative Budget Request

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The State University System (SUS) Advancing Discovery and Innovation Legislative Budget Request is designed to accelerate research competitiveness in Florida's SUS. For Florida to secure its place as a national leader in the 21st century, it must prove competitive in discovery and innovation. The stronger the universities and the state are in R&D performance and reputation, the more competitive Florida becomes in attracting and retaining the best and most promising faculty, students, staff, and companies.

The Vice Presidents for Research have identified the need for funding to support efforts to increase research capacity and impact through strategic cluster and targeted hiring of talented researchers; strategic investments into research infrastructure, such as equipment and instrumentation for institutional shared facilities and laboratory renovations; the ability to engage more of Florida's talented undergraduate students in research; and stronger connections with business and industry to impact the future growth of Florida's economy. This legislative budget request is for \$136,000,000 (\$63M recurring and \$73M non-recurring) and is designed to raise Florida's research profile and provide a strong return-on-investment.

Supporting Documentation Included:

Draft of Advancing Research and Innovation Legislative Budget Request

Facilitators / Presenters:

Dr. Jan Ignash
SUS Vice Presidents for Research

**State University System
Education and General
2017-2018 Legislative Budget Request
Form I**

University(s):	All SUS Institutions
Issue Title:	Advancing Discovery and Innovation in Florida (ADIF) - A Proposal to Accelerate Research Competitiveness in Florida
Priority Number	
Recurring Funds Requested:	\$63,000,000
Non-Recurring Funds Requested:	\$73,000,000
Total Funds Requested:	\$136,000,000
Please check the issue type below:	
Shared Services/System-Wide Issue for Fiscal Year 2017-2018	<input checked="" type="checkbox"/>
New Issue for Fiscal Year 2017-2018	<input checked="" type="checkbox"/>

2017-2018 LBR

The public research universities within Florida strive to make a difference, to positively impact our state, the nation, and the world by creating new knowledge, translating knowledge into relevant impact, creating the next generation of thinkers, doers, and discoverers, and in so doing, become recognized leaders among research institutions. A commitment to being externally recognized leaders in discovery through research and to translate that knowledge to relevant impact is critically important if our state is to be a leader in the emerging innovation economy. Growing and attracting innovation industries will lead to economic opportunity for our citizens and change the world for the better.

For the state of Florida to secure its place as a national leader in the 21st century, it must prove competitive in discovery and innovation. The stronger the universities and the state are in R&D performance and reputation, the more competitive we become in attracting and retaining the best and most promising faculty, students, staff, and companies. In this regard, Florida lags. In a recent NSF survey of R&D spending across the US, the state of Florida ranked 14th in total R&D expenditures, just ahead of Connecticut, Indiana, and Minnesota, but trailing North Carolina. Fact is, Florida, the 3rd largest state by population, has twice the population of North Carolina, more than triple that of Connecticut, Indiana, or Minnesota, yet we rank, 14th. In research intensity, determined by the percentage of state GDP that is spent on R&D, we rank 36th. We are below average. The state of Florida, its citizens, and future Floridians deserve better.

States with strong and competitive research enterprises ranked ahead of Florida have taken steps previously to support their research infrastructure with a wide range of statewide grant programs that continue today in a drive to make their institutions of higher education more competitive for federal grant opportunities.

In 2015, the Texas legislature restructured previously existing state programs for research enhancement and created a number of new grant programs designed to make its institutions more competitive nationally. These include the Texas Research University Fund, the Core Research Support Fund, the Texas Comprehensive Research Fund, and the Governor's University Research Initiative – a continuum of statewide research enhancement programs. Also, in 2007 Texas voters authorized \$3 billion in bonds to fund cancer research -- \$1.575 billion in competitive research has been funded to date.

In Pennsylvania, the legislature commissioned a working group analysis that led to the creation in 2001 of a two-tier grant program in clinical and biomedical sciences to provide research enhancement awards within the state for the purpose of making Pennsylvania institutions more competitive for federal grants.

Massachusetts established a 10-year, \$1 billion research enhancement initiative in the life sciences beginning in 2008. The Massachusetts Life Sciences Center provides financial investments in public and private institutions in the state to advance research and development and commercialization.

In New York, the Empire State Development's Division of Science, Technology and Innovation (NYSTAR) provides program funding to accelerate innovative technology

and new businesses through five separate programs supporting university research. The state also created the New York State Stem Cell Science program in 2007, funding over \$354 million in grants to New York institutions since then. The State University System of New York Research Foundation maintains five newly created Networks of Excellence to increase research collaboration and spur commercialization activities.

California voters in 2004 authorized a new program providing \$3 billion for stem cell research by California universities and businesses, or those performing a certain portion of the research within the state. Grants support basic science, clinical trials, training grants, programs and building infrastructure, and research by new faculty members, and graduate and post-doctoral students.

The State of Florida needs to become a magnet for the best and brightest people on the planet who create the next generation of computing technology, discover cures to disease, and discover innovative technologies that yield a more secure society for our children. This is the path for future prosperity for our state, and the SUS institutions within Florida are fully committed to this objective.

To this end, the Vice Presidents for Research in all 12 SUS institution are proposing a bold new initiative entitled, “Advancing Discovery and Innovation in Florida (ADIF)”. This initiative is comprised of specific programs designed to achieve three specific objectives in advancing our competitiveness and effectiveness in research, namely 1) increasing research capacity, output, and impact, 2) increasing and enhancing undergraduate participation in research, and 3) connecting university research to Florida industry and economic development.

Objective 1: Increase research capacity, output, and impact

The single most significant asset that the state has that will determine Florida’s future status in the industries of the future are its universities and their capacity to generate new ideas and innovations through research. We see that at every level of the university hierarchy. It is the availability of human and physical capital that precipitates new knowledge and technology. Recruiting and equipping talent is the most impactful element in competing in this landscape. To this end, the following programs are proposed.

1. Strategic Area Cluster Hires for Advancing Discovery and Innovation

Any effort to increase research capacity begins with increasing the talent pool within the state. While the SUS institutions have many talented researchers within it faculty ranks, we simply do not have sufficient numbers to compete. It is important that this state investment in new faculty research lines be strategically aligned with existing institutional, regional, and state strengths and needs, while aiming at opportunities at and beyond the horizon. This proposed program would solicit multiple proposals from each of the 12 SUS institutions in the state for research-centric cluster hires. Within the context of this initiative, “cluster” is defined as 3-5 faculty lines in a single research area. The specific persons to be recruited may or may not have preexisting collaborations but will have research activities that sit within a common research area. The objective is to create pinnacles of excellence within our institutions by creating critical mass of

expertise. Each of the proposed research cluster areas should have the following characteristics:

- Topic should be of local, national, and international importance, tackling issues and opportunities in areas such as health care, information technology, coastal and marine science, advanced manufacturing, and others that will shape our world and society going into the 21st century.
- The research topic should represent a pre-existing strength at the institution, or an area of significant importance to the institution for future growth
- Each proposal for a Discovery and Innovation Cluster should include a description of the topical area and its overall importance, justification for this area at the proposing institution including pre-existing strength in this area, a description of the number and rank (Assistant, Associate, Full) for faculty to be recruited, and the proposed budget.
- The budget should consist of two components: recurring funds for salary for the faculty hires, and one-time funds to offset startup costs. The requested one-time startup funding should not exceed the amount of annual salary costs. The institution will be responsible for any salary or startup costs that exceed these amounts.

In general, these faculty members will be tenure/tenure-track faculty, and as such will contribute to the teaching mission of our institutions at both the undergraduate and graduate levels. As such, the additional faculty will yield a decrease in the student/faculty ratio, thus enhancing the learning experience as well.

The performance metrics for return on investment for this particular program will include (in order of importance) research funding, publications, faculty awards, and patents for the specific cadre of hires within this program.

Requested funding: \$40 million recurring for research faculty salary
 \$40 million non-recurring for associated startup

2. Strategic Investment into Research Infrastructure for Advancing Discovery and Innovation

Competitiveness in research requires infrastructure – laboratories, equipment, and infrastructure. For many areas of research in biotechnology, advanced manufacturing, and computing, new instruments and capabilities are enabling researchers to pursue challenges and opportunities that were not possible just in the recent past. University researchers, students and staff need access to these technologies in order to be competitive and at the cutting edge. Within this initiative, investments in research infrastructure are proposed, focusing on those investments that yield maximum impacts for research competitiveness.

1. Equipment and Instrumentation for Institutional Shared Facilities – Within our campuses, there are a variety of laboratory modalities ranging from the lab for a single investigator to facilities that are shared by multiple researcher groups. While there are significant equipment needs throughout the SUS system in all settings, the most efficient and effective institutional investments are in those facilities that are shared and accessible to researchers, staff and students across campus. Within this context, this initiative would include a program specifically aimed at improving the research instrumentation and facilities available to our researchers. Within this particular program, proposals would be solicited from the institutions for the purchase

of instrumentation and equipment for either existing or newly proposed Shared Instrumentation Facilities with an SUS institution. The proposal instrumentation request must have the following characteristics:

- The research areas supported by the Shared Facility should represent pre-existing strengths at the institution, or areas of significant importance to the institution for future growth
- The proposal must describe a mechanism by which researchers at other SUS institutions might gain access to the equipment
- The proposal must include a plan for sustaining operation and maintenance of the instrumentation and facility

The performance metrics for return on investment for this particular program will include research funding associated with the instrumentation and facility; new grants awarded due to instrumentation availability; faculty, student, and staff usage; publications attributable to the availability of these instruments.

Requested funding: \$15 million non-recurring

2. SUS Shared infrastructure - Sunshine State Education and Research Computing Alliance (SSERCA) – The exponential growth of data and computation, and its increasing level of influence and importance, are providing for remarkable, new opportunities and challenges in nearly every sector of society, science, technology, and commerce. Nearly every aspect of modern society depends upon computational technology and data. Future research will heavily depend on access to computational infrastructure. Those who recognize and learn to leverage this capability will have a significant advantage not only in the high tech business of computation and communication, but in health care, engineering design, retail, scientific discovery, and a multitude of other fields where the effective leveraging to this data explosion will prove to be vital in global competition. The Sunshine State Education and Research Computing Alliance (SSERCA) is a proposed collaborative effort by six Florida public and one private universities to build a big-data research infrastructure for the state university system. This first-of-its-kind venture will provide the massive research computing power necessary to handle the challenges of sharing large data sets over large distances among multiple researchers. Creating a statewide network of computing infrastructure and expertise in our state university system will give the State of Florida significant advantage in innovative approaches to addressing these “big data” challenges.

The performance metrics for return on investment for the SSERCA big-data infrastructure will include research funding associated with the computational network; new grants awarded due to instrumentation availability; faculty, student, and staff usage; publications attributable to the availability of SSERCA.

Requested Funding: \$6 million in non-recurring funds to be used to acquire data storage systems at each of the six public institutions that are part of SSERCA;

\$1 million in recurring funds to ensure that SSERCA is sustained after it is built—with annual maintenance contracts and one expert at each institution.

3. **Laboratory Renovations** – In addition to human capital and instrumentation, competitive research requires up-to-date laboratories. Across each of our SUS institutions, there are numerous laboratories in need of renovation to enable the recruitment and retention of top talent. Within this initiative, funding for research laboratories is requested. Each institution would be asked to propose laboratory renovation projects with the following required criteria:
 - The laboratory for renovation must be designated for research activities
 - The research supported by this laboratory should represent a pre-existing strength at the institution, or an area of significant importance to the institution for future growth
 - The total budget for the renovation must be disclosed, and must include the source of funds for costs that exceed that allocate from this budget request

The performance metrics for return on investment for the laboratory renovations will include research funding associated with the laboratory; new grants awarded due to instrumentation availability; faculty, student, and staff usage of the laboratory.

Requested Funding: \$12 million in non-recurring

Objective 2: Increase and Enhance Undergraduate Participation in Research

Within the ecosystem of university research, the primary focus is on knowledge discovery translation to impact employing the efforts of faculty, staff, post-docs, and graduate students. That said, within the research mission is a remarkable opportunity to enhance the educational experience of all students, in particular the undergraduates. Multiple studies have shown that meaningful participation by undergraduates in research enhances retention and enriches their educational experience. Most undergraduate activity is in the form of coursework in a classroom setting. While highly valued, this does not fully prepare the student for the workplace where many issues and challenges are open ended, require teamwork, and often do not have a predetermined outcome. Providing undergraduates with meaningful research experience, be it at their home institution, another university, at a national laboratory or in industry, provides them with an experiential learning and growth experience that is of significant value. To that end, this initiative includes the following programs:

1. Undergraduate Research Scholar Grant Program

Many of our undergraduates are engaged in research at their home institution. While this provides the core of their undergraduate experience, there is significant value in providing opportunities to experience a research setting and culture outside their norm, be it at another SUS institution, within industry, or at a national laboratory. In most cases, the host institution, if willing to host the student, will provide for all research infrastructure needs. This proposed program would provide selected students the opportunity to engage in research away from the home institution, providing funds for travel, tuition, and a modest stipend for living expenses. The program administration

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could reside within the SUS or within a host SUS institution. The program administrator would be required to:

- Advertise the program to prospective host participants
- Develop framework for expectations under the program
- Negotiate MOUs with various host entities
- Develop mechanism for matching students with research hosting opportunities
- Administer funds to selected student participants

Selected Undergraduate Research Scholars would use the awarded resources to engage in research at the hosting institution.

The performance metrics for return on investment for this program will be retention rate on the participating students, as well as publications and presentations resulting in student research.

Requested Funding: \$0.5 million recurring for program administration
\$2 million recurring for student travel, tuition, and stipend

2. Institutional Undergraduate Research Programs

As stated earlier, a student's primary opportunity for a meaningful research experience is with a mentor within her or his home institution. In this case, support is needed primarily for the research group at that institution. Within this context, the budget request includes funds specifically to support research at the undergraduate level. This program would provide resources for undergraduates to participate in immersive research experiences outside of the classroom. The funds could be used for faculty support for engaging undergraduates in their research as well as graduate assistantships to facilitate near-peer research mentoring. Proposals would be solicited for faculty initiated research of benefit to the state and beyond. It is anticipated that multiple undergraduates would be engaged for any given project. It is also anticipated that most of these programs would reside within SUS institutions with primarily undergraduate teaching missions.

The performance metric will be percentage of graduating seniors who participated in research outside of the classroom during their undergraduate careers.

Requested Funding: \$5 million recurring

Objective 3: Connect University Research to Florida Industry and Economic Development

It is the objective of university research, particular that at the public universities, to translate knowledge to relevant impact on society. Front and center in this endeavor is in connecting and support private sector industry and economic development across the state. In many ways, the state of Florida is already a leader in this space through creation of intellectual property, startup, and innovative regional programs. That said, the institutions within the SUS need to do more to make an impact on the future growth of our economy. Specifically, this budget request includes two statewide programs.

1. Statewide Matching Grant Program for Industry-Sponsored Research at SUS Institutions

A significant opportunity for companies within the state, particularly those in high tech, to secure a strategic advantage in their respective market is to leverage the research expertise within the SUS institutions. Within this initiative, a statewide matching grant program would be created to incentivize industry-sponsored research projects at the SUS institutions. In particular, this program would provide matching funds to R&D projects sponsored by Florida-based companies for research at SUS institutions to develop commercially applicable emerging technologies. This new program builds on the foundation established by the remarkable success of the Florida High Tech Corridor Council (FHTCC), a nationally recognized program that has benefited central Florida businesses. In particular, this program would be extended to companies located anywhere within the state of Florida whereby they can accelerate innovation by leveraging the R&D expertise within the SUS. Opportunities for collaboration would include researchers from all academic disciplines across the universities – including health sciences, agribusiness, engineering, and the physical sciences. This program is particularly attractive for supporting small to midsize high tech companies in advancing their competitiveness in the innovation economy. Matching funds would be made available, subject to the specifics of the research proposal and a commitment from the company for both cash and in-kind contributions at least equal to the matching funds being sought. The overall objective of the program is to facilitate the growth of innovative industry throughout the state by connecting the innovative small, midsize, and large companies within the state with research expertise at the participating university. It is anticipated that promoting such collaborations to grow innovative technologies will retain talented students within the state and improve the tech economy in Florida. We also anticipate that many of these projects will be linked to federally funded SBIR/STTR programs, thus leveraging this investment to bring in more federal research dollars to the state, increase incubation of new start-up efforts, and broaden the opportunities for translational research thereby resulting in economic development.

The performance metrics for return on investment for this program will be matching funds from industry and private sector performance of industry partners.

Requested Funding: \$12 million in recurring funds for the matching grant program

2. Increase Research Commercialization Activities through I-Corps™

One of the strategic priorities in the 2025 Florida State University System (SUS) Strategic Plan is to “Increase the number of patents, licenses and start-up companies created as a result of university research.” This effort is targeted on capitalizing on the SUS’ innovative strengths in order to increase its commercialization pipeline.

Over the past 4 years, the National Science Foundation (NSF) has been experimenting with a novel and effective training method, called Innovation Corps (I-Corps™), to accelerate the transition of basic research advances into startups and products, based upon time-tested methodology employed at Stanford University and UC Berkeley. The I-Corps program sits between basic research and startups and/or licensing. With over 800 teams trained nationally by this program, it has been shown to accelerate the technology transfer process. Other federal agencies, such as NIH and DoD, have already rolled out similar programs. More programs are on the way. States such as Ohio and Iowa have rolled out

their own I-Corps™ programs. The State of Florida is at a cusp of opportunity in this regard.

Florida is a leader in the national I-Corps™ program, ranking third in the nation after California and Texas in the number of active NSF I-Corps™ Teams. The region has two I-Corps Sites and seven academic institutions that have produced I-Corps Teams, including USF, UCF, FIU, FIT, FSU, FAMU, and UF. As is evident from the active grants in the state, there is enough capacity for more teams. The entrepreneurial climate in the state is right for these teams to move forward; the state is already able to sustain a significant number of SBIR grantees. An integrated effort in Florida will help catalyze the academic transformation that has already begun and provide an international gateway to the Caribbean and Latin America to bring academic innovations and business relationships to the U.S. Possible post I-Corps partners include angel and venture networks, Florida Institute for Commercialization of Public Research, Florida Venture Forum, and GrowFL; to name a few.

Florida Regional Innovation Network (FRIN) supports a strong partnership effort among the twelve public and private universities. Taking into consideration the \$2.27 billion in research at Florida's universities, trained I-Corps instructors at UCF's and USF's I-Corps Sites, and mentor networks at research parks across the state, can be integrated and expanded to provide transformational I-Corps training open to all the Florida universities. The immediate output will be a new cadre of faculty and students who understand how to find a business behind an idea, which in the long term will result in licensing, and startups with external sources of funding such as SBIR/STTR and angel investments.

The performance metrics for return on investment for this program will be the number of newly trained I-Corps graduates, as well as the number of companies resulting from I-Corps trainees.

Requested Funding:

\$1 million recurring in funding to regional I-Corp teams from SUS institutions

\$1 million recurring for post I-Corps support towards SBIR capacity building

\$0.5 million recurring for regional program delivery (instructors, teaching support) and administration

TOTAL REQUESTED FUNDING

\$63 million recurring

\$73 million non-recurring



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

AGENDA

**Budget and Finance Committee
Main Auditorium
Harry Sudakoff Conference Center
New College of Florida
5845 General Dougher Place
Sarasota, Florida 34243
September 22, 2016
11:00 a.m. – 11:45 a.m.**

or

Upon Adjournment of Previous Meetings

**Chair: Mr. Ned Lautenbach; Vice Chair: Mr. Alan Levine
Members: Colson, Doyle, Hebert, Huizenga, Kuntz, Tripp**

- 1. Call to Order and Opening Remarks** **Governor Ned Lautenbach**
- 2. Minutes of Committee Meeting** **Governor Lautenbach**
Minutes, June 22, 2016
- 3. Public Notice of Intent to Amend Board of Governors Regulations** **Mr. Tim Jones**
Vice Chancellor for Finance and Administration
 - Regulation 7.002 Tuition and Fee Assessment, Collection, Accounting and Remittance
 - Regulation 18.001 Purchasing
- 4. 2016-2017 Operating Budgets** **Mr. Jones**
 - State University System
 - Board General Office

5. 2017-2018 Legislative Budget Request

Mr. Jones

- State University System
- Board General Office

6. Shared Initiatives

Ms. Karen Armstrong
Director, Shared Initiatives

7. Concluding Remarks and Adjournment

Governor Lautenbach

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Budget and Finance Committee
September 22, 2016**

SUBJECT: Minutes of Committee Meeting held June 22, 2016

PROPOSED COMMITTEE ACTION

Approve the minutes from the meeting held on June 22, 2016

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Committee members will review and approve the minutes of the meeting held on June 22, 2016 at the University of Central Florida.

Supporting Documentation Included: Minutes: June 22, 2016

Facilitators/Presenters: Governor Ned Lautenbach

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
BUDGET AND FINANCE COMMITTEE
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FLORIDA
JUNE 22, 2016

*Video or audio archives of the meetings of the Board of Governors
and its Committees are accessible at <http://www.flbog.edu/>.*

Mr. Ned Lautenbach, Chair, convened the meeting of the Budget and Finance Committee at 2:09 p.m. Members present for roll call were Dean Colson, Jacob Hebert, Tom Kuntz, Alan Levine, Dan Doyle, and Norman Tripp (participating by phone). Other board members present included Dick Beard, Darlene Jordan, Kathy Robinson, Wendy Link, and Fernando Valverde.

1. Call to Order

Mr. Lautenbach called the meeting to order.

2. Approval of March 16, 2016 Committee Meeting Minutes

Mr. Levine moved that the Committee approve the minutes of the meeting held on March 16, 2016 as presented. Mr. Doyle seconded the motion, and members of the Committee concurred.

3. Performance-Based Funding Allocation

Mr. Lautenbach noted that the Committee needed to take action on two items. First, a correction to the 2015-2016 allocation and second, the 2016-2017 allocation.

Mr. Tim Jones addressed the 2015-2016 allocation of the \$150 M that the Board approved last June. In the review and preparation of the data for this year's 2014-2015 accountability report that was presented in March, an error was identified in the previous year's (2013-2014) Academic Progress Rate data. This is metric 5 of the performance metrics. The incorrect calculation occurred when four universities resubmitted data. The Academic Progress Rate was calculated by the Board Office then shared with the universities last year and everyone signed off on the data. This year

when the Board Office calculated the progress rate and sent it to the universities, a university questioned their calculations. Upon review by Board staff, the incorrect calculation was identified. Four universities were impacted, however, since decimals were not used last year for the metrics, only FIU's points were impacted. Using the corrected data, FIU's Academic Progress rate improved only two percent instead of the four percent reported last year. This results in two fewer points, thus dropping FIU out of a tie for third place in the performance rankings. There is a fiscal impact to this change. FIU was awarded \$2.3 million too much in performance funds. In accordance with the model, these funds should be distributed to the top three institutions as shown in the materials. As soon as this issue was identified we began withholding these funds until the Committee had an opportunity to review this change. If approved by the Committee and Board, we can make adjustments to the distribution prior to June 30.

Mr. Kuntz asked what steps were being taken to avoid this type of error in the future.

Mr. Jones stated that a new tracking system is being implemented to ensure that all changes made to software programs are consistently made. In addition, a position has been filled that will focus on quality control.

After discussion, Mr. Kuntz moved that the Committee approve the corrected 2015-2016 allocation. Mr. Doyle seconded the motion, and members of the Committee concurred.

Mr. Lautenbach introduced the next issue; 2016-2017 performance funding allocation.

Mr. Lautenbach stated that we started off with \$20 million to be allocated based on only three metrics in 2013, then we received \$100 million in new funds to be allocated based on the Board's 10 metrics, we received an additional \$100 million last year, and now \$75 million in new funds for next year. This brings the State's total performance funding investment to \$295 million over the last four years.

Mr. Jones stated that \$500 million was allocated for performance based funding. This includes \$225 million in state investments and \$275 million in institutional investments.

For the institutional investment of \$275 million, universities that score at least 51 points, will have their institutional investment restored. All universities scored at least 51 points so the full amount is to be restored. No university will have to do an improvement plan which is required if a university scores 50 points or less.

For the state investment of \$225 million, a university has to have at least 51 points to be eligible for the state investment and must not be in the bottom three. Therefore, eight universities will receive a proportional amount of the \$225 million, with the top three receiving additional funds. These additional funds are allocated pursuant to the model based on the points earned of the top three.

After discussion, Mr. Kuntz moved that the Committee approve the 2016-2017 performance funding allocation. Mr. Colson seconded the motion, and members of the Committee concurred.

Mr. Lautenbach reminded the committee that on October 18 there will be a workshop to review the performance model. The Committee has done this for the last two years as its commitment to review the model and make tweaks as necessary.

One of the metrics that we continue to have some concerns about is Metric three – cost per undergraduate degree. Each university has its own financial systems and the ability to focus expenditures on various campus needs. In addition, faculty effort plays a variable role in how expenditures are spread. For example, a research university may have a large portion of the faculty effort focused on graduate education and research, while another university focuses faculty effort on undergraduate education.

Mr. Lautenbach stated that a workshop was held with the universities on March 29 and reviewed 6 proposals on how we can enhance Metric three. One of the recurring themes we heard was to eliminate the impact of faculty effort, which is a key component of parsing out expenditures by degree. I think we are all in agreement to find a cost metric that doesn't use faculty effort in the calculations.

We continue to talk a lot about student affordability by keeping our tuition and fees low ensuring that our students can graduate without significant debt, so we may try and work this component into the metric as well.

We are hopeful that we will have something for the committee to review in October.

4. 2017-2018 Legislative Budget Request Guidelines

Mr. Lautenbach asked Mr. Tim Jones to provide present this item.

Mr. Jones pointed out the three primary changes in the guidelines; updated the dates to reflect the start of the 2017 session in March; included a request for funding support for the State Fire Marshalls inspection of university facilities; and funding support for campus health and safety.

Mr. Kuntz moved that the Committee approve Legislative Budget Request guidelines. Mr. Colson seconded the motion, and members of the Committee concurred.

5. Public Notice of Intent to Amend Board of Governors Regulations

Mr. Lautenbach asked Mr. Tim Jones to provide present the next four regulations. Mr. Jones introduced Regulation 7.001 Tuition and Associated Fees. House Bill 7019 passed during the 2016 session, requires the Board to approve all increases in tuition for graduate and professional programs or the out-of-state fee for all programs.

Mr. Levine moved that the Committee approve the amended Regulation 7.001. Mr. Colson seconded the motion, and members of the Committee concurred.

Mr. Jones introduced Regulation 7.003 Fees, Fines and Penalties. House Bill 5003 requires that the average of all distance learning fees assessed by a university not exceed \$30 per credit hour.

Mr. Levine moved that the Committee approve the amended Regulation 7.003. Mr. Colson seconded the motion, and members of the Committee concurred.

Mr. Jones introduced Regulation 7.008 Waivers and Exemptions of Tuition and Fees. House Bill 799 waives the out-of-state fee for active duty service members. House Bill 1157 modifies the Purple Heart waive to allow recipients who were residents of the state at the time of the military action to receive a tuition and fee waiver no matter where they currently reside and allows for the waiver of tuition and fees for recipients currently living in Florida but whose home of record at the time of the military action was in another state. House Bill 7040 modified the welfare transition program requiring fees to be paid by the local welfare transition board.

Mr. Levine moved that the Committee approve the amended Regulation 7.008. Mr. Doyle seconded the motion, and members of the Committee concurred.

Mr. Jones introduced Regulation 9.007 State University Operating Budgets. There is one change to add the FAMU/FSU College of Engineering as a separate reporting entity for budgeting purposes.

Mr. Colson moved that the Committee approve the amended Regulation 9.007. Mr. Levine seconded the motion, and members of the Committee concurred.

6. Public Notice of Intent to Create Board of Governors Regulations

Mr. Lautenbach asked Mr. Tim Jones to provide present the next two new regulations.

Mr. Jones introduced Regulation 5.001 Performance-based Funding, and reviewed the major components of the regulation.

Mr. Tripp moved that the Committee approve the amended Regulation 5.001.
Mr. Colson seconded the motion, and members of the Committee concurred.

Mr. Jones introduced Regulation 7.001 and Rule 72-1.002, Latin American and Caribbean Scholarship Eligibility. This is a requirement pursuant to Section 1009.21 Florida Statutes, and provides an opportunity for students from Latin America and the Caribbean to receive scholarships and be treated as residents for tuition purposes.

Mr. Kuntz moved that the Committee approve the amended Regulation 5.001.
Mr. Levine seconded the motion, and members of the Committee concurred.

7. Market Tuition Rate Program

Mr. Lautenbach stated that the last action item is a report from staff on the market tuition rate program. The authority for this Board to establish market tuition rates for graduate courses offered online or through continuing education was created in statute in 2011. This Committee, working with the universities, outlined in regulation the process for implementing this program.

Since the program was new, the Board limited the number of programs that could be submitted by the universities for consideration to no more than five per year and the Board said this would be a three year pilot program so we can see how the program works. In 2014, the pilot program was extended for two additional years, which expired last November.

At that time we asked staff to review the program and bring us some recommendations for moving this program forward.

Mr. Jones walked the Committee through the report and presented key findings and recommendations. Staff recommendations include:

1. Revise the Continuing Education regulation to provide some administrative and fiduciary flexibility. We would anticipate working with the universities on the revision and have an amended regulation for the Board to consider next spring.
2. For this year have a moratorium on requesting approval of new market tuition rate programs.

3. Beginning in 2017, only market tuition rate programs that are requesting an increase in tuition rates or programs that are consider critical workforce needs that lead to licensure would come to the Board for consideration.
4. Continue to monitor these programs looking at the tuition rates, enrollments, completions and comparisons to similar state-funding programs.

Mr. Colson moved that the Committee approve the amended Regulation 5.001.
Mr. Kuntz seconded the motion, and members of the Committee concurred.

8. Concluding Remarks and Adjournment

Having no further business, the meeting was adjourned at 2:41 p.m.

Tim Jones, Vice Chancellor
Finance and Administration

Ned Lautenbach, Chair

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Budget and Finance Committee
September 22, 2016**

SUBJECT: Public Notice of Intent to Amend Board of Governors Regulation 7.002
Tuition and Fee Assessment, Collection, Accounting and Remittance

PROPOSED COMMITTEE ACTION

Approve the public notice of intent to amend Board of Governors Regulation 7.002

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

This regulation is being amended to ensure compliance with section 1004.07, Florida Statutes that provides guidance on a student's withdrawal from courses due to military service. Board Regulation 6.013 is also being considered for amendment by the Academic and Student Affairs Committee to provide more guidance to universities. A summary of the proposed changes to Regulation 7.002 is as follows:

- 1) Changes 'Involuntary call' to 'Orders' when describing when a student may receive a 100% refund of tuition and fees; and
- 2) References Board Regulation 6.013.

The draft amended regulation was shared with the universities for comments.

If approved, the amended regulation will be noticed on the Board's website for 30 days with final approval by the Board at the November 3, 2016 meeting.

Supporting Documentation Included: Amended Regulation 7.002

Facilitators/Presenters: Mr. Tim Jones

7.002 Tuition and Fee Assessment, Collection, Accounting and Remittance.

- (1) Each university shall establish by regulation procedures for the payment, waiver and refund of fees, fines and penalties appropriately assessed.
- (2) The university president or designee may approve deferred payment when financial aid is delayed in being transmitted to the student through circumstances beyond the control of the student or formal arrangements have been made by the student with the university for payment. Each university shall develop procedures and terms for such deferment.
- (3) For budgeting purposes, the tuition, out-of-state fee, Application Fee, a minimum of 50 percent of the Late Payment Fee, and a minimum of 50 percent of the Late Registration Fee shall be budgeted in the Student and Other Fee Trust Fund for state fundable credit hours. Fees for non-state fundable credit hours will be budgeted in the appropriate trust fund.
- (4) All proceeds from the Capital Improvement Fee, as authorized in Regulation 7.003, shall be remitted no later than the 20th of each month for the previous month's collections to the Board of Governors. Required remittance information will be provided to each university by the Chancellor or designee.
- (5) All proceeds from the student financial aid fee, as authorized in Regulation 7.003, shall be accounted for in the appropriate fund at the university. Each university may use up to 15 percent of the financial aid fees collected to pay for administrative costs associated with administration of financial aid programs.
- (6) Course Classification – Tuition and non-resident tuition shall be assessed on the basis of course classification: state fundable courses numbered through 4999 shall be assessed at the undergraduate level (all courses at New College of Florida are undergraduate and shall be assessed accordingly), state fundable courses numbered 5000-8999 shall be assessed at the graduate level, and those courses identified as medical by universities having medical programs shall be assessed as outlined in university board of trustees regulations.
- (7) Each university shall establish by regulation, procedures for the payment of tuition and associated fees. Such regulation shall provide that a student's course schedule will be canceled if payment, or appropriate arrangements for payment, has not occurred by the deadline set by each university, which shall be no later than the end of the second week of classes. Provision for reinstatement of canceled course schedules may be included in the regulation. The president or designee may extend the deadline for fee payment when payment by the student is delayed due to university actions. However, the president may choose to temporarily suspend further academic progress in lieu of canceling a student's course schedule in those cases where the student has partially paid tuition and the university guarantees full payment from an authorized and existing fund before the submission of the final student data course file or the end of the semester, whichever is later; otherwise, the student credit hours shall not be counted for state funding purposes.

Suspension of academic progress shall preclude students from receiving grades, transcripts, or a diploma and shall deny registration for future terms until the student's account has been settled in full.

(8) All or any part of the tuition and associated fees may be waived by the university when deemed appropriate provided that provisions for such waiver are included in the regulations of the Board of Governors or university boards of trustees pursuant to Regulation 7.008.

(9) Installment Payments -- The university president may establish a procedure for the payment of tuition and associated fees in installments. The fee shall be as authorized in Regulation 7.003 and shall be budgeted in the Student and Other Fee Trust Fund.

(10) Tuition Refund/Release of Liability -- Each university shall establish, by regulation, procedures for the refund or release of liability of tuition and associated fees assessed and paid pursuant to this regulation. As a minimum, such regulation shall provide for the refund/charge adjustment of the following:

(a) Refund 100 percent of the tuition and associated fees assessed, adjusted for waivers, if official withdrawal from the university occurs prior to the end of drop/add period.

(b) Refund 25 percent of the tuition and associated fees assessed, adjusted for waivers, if official withdrawal from the university occurs after the end of drop/add period and prior to the end of the fourth week of classes, or as designated by the university for summer sessions.

(c) 100% refund of the tuition and associated fees assessed, adjusted for waivers, if a student withdraws or drops one or more credit courses due to circumstances determined by the university to be exceptional and beyond the control of the student, including but not limited to:

1. Illness of a student of such severity or duration, as confirmed in writing by a physician, to preclude completion of the course(s),
2. Death of the student or death in the immediate family (parent, step- parents, spouse, child, sibling, or grandparents),
3. ~~Involuntary call to~~ Orders for active military duty in accordance with Regulation 6.013, or
4. A situation in which the university is in error. The amount of a payment in excess of the adjusted assessment will be refunded.

(11) A written appeal for a refund or other appeal action must be submitted to the University within six (6) months of the close of the semester to which the refund or other appeal action is applicable.

Authority: Section 7(d), Art. IX, Fla. Const.; History--Former 6C-7.002; Adopted 4-8-74, Amended 7-19-74, Amended and Renumbered 12-17-74, Amended 6-26-

75, 2-28-76, 11-1-76, 3-21-77, 9-28-81, 12-13-83, 10-2-84, Formerly 6C-7.02, Amended 4-9-87, 9-15-91, 11-9-92, 8-1-94, 10-2-94, 5-17-95, 4-16-96, 12-28-97, 8-3-00, Amended and Renumbered 9-25-08, Amended 6-21-12, _____.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Budget and Finance Committee
September 22, 2016**

SUBJECT: Public Notice of Intent to Amend Board of Governors Regulation 18.001
Purchasing

PROPOSED COMMITTEE ACTION

Approve the public notice of intent to amend Board of Governors Regulation 18.001

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

This regulation was last amended on March 28, 2013. The revision seeks to update and clarify certain aspects of the regulation.

The first proposed revision to the regulation would retitle the regulation from “Purchasing” to “Procurement,” to encompass the broader range of activities that are regulated. The next revision would provide authority for contract extensions and renewals, with time limitations, which currently do not exist in the regulation. The final revision clarifies the “advertisement” exemption to remove the purchase of media placement as an exempt service. The direct placement of an advertisement toward a targeted audience, should be exempt since these cannot be competed, but media placement services are more general and can be competitively placed.

The proposed language was developed by Board staff based on discussions with university staff.

If approved, the amended regulation will be noticed on the Board’s website for 30 days with final approval by the Board at the November 3, 2016 meeting.

Supporting Documentation Included: Amended Regulation 18.001

Facilitators/Presenters: Mr. Tim Jones

18.001 ~~Purchasing~~ Procurement Regulation

(1) **Authority of the Institutions.** Each university Board of Trustees shall adopt regulations establishing basic criteria related to procurement, including procedures and practices to be used in acquiring commodities and contractual services, as follows:

- (a) Removing any contractor from the University's competitive vendor list that fails to fulfill any of its duties specified in a contract with the University(s) and to reinstate any such contractor when satisfied that further instances of default will not occur.
- (b) Planning and coordinating purchases in volume and negotiating and executing agreements and contracts for commodities and contractual services under which the University may make purchases.
- (c) Evaluating, approving, and utilizing contracts that are entered into after a public and open competitive solicitation ~~let~~ by any State of Florida agency or department, the Federal Government, other states, political subdivisions, ~~not-for-profit~~ cooperatives or consortia, or any independent college or university for the procurement of commodities and contractual services, when it is determined to be cost-effective and in the best interest of the University, to make purchases under contracts let by such other entities. Universities shall review existing consortia and cooperative contracts to identify potential savings and, if there is the potential for savings, enter into new consortia and cooperative contracts to achieve the savings, with the goal of achieving a five-percent savings on existing contract prices.
- (d) Awarding contracts for commodities and contractual services to multiple suppliers, if it is determined to be in the best interest of the University. Such awards may be on a university, regional or State University System-wide basis and the contracts may be for multiple years.
- (e) Rejecting or canceling any or all competitive solicitations when determined to be in the best interest of the University.
- (f) Barring any vendor from doing business with the University for demonstrated cause, including previous unsatisfactory performance.
- (g) Prohibiting University employees and University direct support organization employees participating on a procurement selection committee for commodities or services from soliciting donations from responding vendors during the selection process, except for donations or other benefits expressly stated in the procurement document.
- (h) Permitting the extension(s) of a contract, entered into as a result of a competitive solicitation, for up to twelve (12) months or until completion of the competitive solicitation and award or protest, whichever is longer.
- (i) Permitting the renewal(s) of a contract, entered into as a result of a competitive solicitation, for a period that may not exceed 5 years or twice the term of the original contract, whichever is longer. This provision is not intended to apply retroactively; existing contracts entered into prior to January 1, 2017, including any specified renewal period(s) may continue in accordance with the existing contract terms.

(2) **Competitive Solicitation Threshold.** Each university Board of Trustees shall establish a competitive solicitation threshold not greater than \$75,000 (the "Competitive Solicitation Threshold") for the purchase of commodities or contractual services.

- (a) When only one response is received to the competitive solicitation for commodities or contractual services that exceed the Competitive Solicitation Threshold, the University may review the solicitation ~~responses~~ to determine if a second call for a

competitive solicitation is in the best interest of the University. If it is determined that a second call would not serve a useful purpose, the University may proceed with the acquisition.

- (b) The purchase of commodities and contractual services shall not be divided to avoid the requirement of competitive solicitation.

(3) Preferences for Florida-Based Vendors.

- (a) Preferences for Personal Property. When a University awards a contract to purchase personal property, other than printing, by competitive solicitation pursuant to paragraph (2) of this regulation, a preference shall be provided to vendors with a principal place of business in Florida (such vendors hereinafter referred to as “Resident Vendors”) as follows:
 1. If the responsible and responsive vendor that submits the lowest bid, the most advantageous proposal, or the best value reply is one whose principal place of business is outside of Florida and is in a state or political subdivision thereof that grants a preference for the same purchase to a vendor in such state or political subdivision, as applicable, then the University shall grant the same preference to the responsible and responsive Resident Vendor with the lowest bid received pursuant to an Invitation to Bid, the most advantageous proposal received pursuant to a Request for Proposals, or the best value reply received pursuant to an Invitation to Negotiate.
 2. With respect to Invitations to Bid, if the lowest responsible and responsive bid is from a vendor whose principal place of business is in a state that does not grant a preference for the purchase to a vendor in such state, then the University shall grant a preference in the amount of five percent (5%) to the lowest responsible and responsive Resident Vendor.
 3. For vendors whose principal place of business is outside of Florida, such vendors must, at the time of submitting its bid, proposal or reply, provide a written opinion from a licensed attorney in its state specifying: (a) the preferences(s) granted by the state or political subdivision, as applicable, under the laws of that state to vendors whose principal place of business is in that state or political subdivision; and (b) how the preference is calculated. The failure to submit the written opinion may be waived as non-material if all vendors responding to the solicitation have principal places of business outside of Florida.
 4. The vendor’s principal place of business, as represented by the vendor in its bid or reply, may be relied upon by the University without further inquiry. If the University determines that a vendor has misrepresented its principal place of business, the vendor’s bid, proposal or reply shall be rejected.
 5. For the purpose of paragraph (3)(a), “personal property” shall be defined as goods and commodities, but not real estate, intellectual property or services.
- (b) Preferences for Printing. When a University purchases printed materials by competitive solicitation pursuant to paragraph (2) of this regulation, a preference shall be provided Resident Vendors as follows:
 1. If the lowest responsible and responsive bid received pursuant to an Invitation to Bid is from a vendor whose principal place of business is outside of Florida, then the University shall grant a preference to the lowest responsible and responsive Resident Vendor in the amount of five percent (5%) if the University has determined that the printing can be performed by the Resident Vendors at a level of quality comparable to that obtainable from the vendor submitting the lowest bid whose principal place of business is outside of Florida.

2. For purposes of subparagraph 3(b)(1), the level of quality shall be determined by whether a vendor satisfies the minimum specification requirements as set forth in the Invitation to Bid.
- (c) Method of Calculating Five Percent Preference.
 1. If the competitive solicitation is an Invitation to Bid, then an amount equal to five percent (5%) of the total base bid and any alternates shall be deducted from the base bid and alternates, as applicable, of the lowest responsible and responsive Resident Vendor's bid.
- (d) Determining a Vendor's Principal Place of Business. A vendor's "principal place of business" is determined as follows:
 1. If the vendor is an individual or a sole proprietorship, then its "principal place of business" is in the state where the vendor's primary residence is located.
 2. If the vendor is a business organization, then its "principal place of business" is in the state where the majority of the vendor's executive officers direct the management of the vendor's business affairs.
- (e) Federally Funded Projects. Purchases made to perform specific obligations under federally funded projects shall not be subject to this preference requirement to the extent the application of a preference is not allowed under applicable federal law or regulation.

(4) **Exceptional Purchases.** Each university is authorized to make exceptional purchases of commodities or contractual services as follows:

- (a) Purchase of Products with Recycled Content. Each University may establish a program to encourage the purchase and use of products and materials with recycled content and postconsumer recovered material.
- (b) Purchase of Private Attorney Services. Written approval from the Attorney General is not required for private attorney services acquired by the University.
- (c) Purchase of Insurance. Each University shall have the authority to purchase insurance as deemed necessary and appropriate for the operation and educational mission of the University.
- (d) Purchase of Printing. However, if a University determines that it is in the best interests of the University to purchase printed materials through a competitive solicitation process, the preference provision in paragraph (3)(b) shall apply.

(5) **Purchases from Contractors Convicted of Public Entity Crimes.** A University shall not accept a competitive solicitation from or purchase commodities or contractual services from a person or affiliate who has been convicted of a public entity crime and has been placed on the State of Florida's convicted vendor list for a period of 36 months from the date of being added to the convicted vendor list.

(6) **Competitive Solicitation Exceptions.** The following types of purchasing actions, and commodities and contractual services purchases are not subject to the competitive solicitation process:

- (a) Emergency Purchases. When a university president or his/her designee determines, in writing, that the delay due to the competitive solicitation process is an immediate danger to the public health or safety or the welfare of the University, including

University tangible and/or intangible assets; or would otherwise cause significant injury or harm not in the best interest of the University, the University may proceed with the procurement of commodities or contractual services without a competitive solicitation.

- (b) Sole Source Purchases. Commodities or contractual services available from a single source may be exempted from the competitive solicitation process.
- (c) Purchases from Contracts and Negotiated Annual Price Agreements established by the State of Florida, other governmental entities, other Universities in the State University System, or other independent colleges and universities are not subject to further competitive solicitation.
- (d) The following listed commodities and services are not subject to competitive solicitation:
 - 1. Artistic services;
 - 2. Academic reviews;
 - 3. Lectures;
 - 4. Auditing services;
 - 5. Legal services, including attorney, paralegal, expert witness, appraisal, arbitrator or mediator services;
 - 6. Health services involving examination, diagnosis, treatment, prevention, medical consultation or administration. Prescriptive assistive devices for medical, developmental or vocational rehabilitation including, but not limited to prosthetics, orthotics, wheelchairs and other related equipment and supplies, provided they are purchased on the basis of an established fee schedule or by a method that ensures the best price, taking into consideration the needs of the client;
 - 7. Services provided to persons with mental or physical disabilities by not-for-profit corporations organized under the provisions of s. 501(c)(3) of the Internal Revenue Code or services governed by the provisions of the Office of Management and Budget Circular A-122;
 - 8. Medicaid services delivered to an eligible Medicaid recipient by a health care provider who has not previously applied for and received a Medicaid provider number from the Department of Children and Family Services. This exception will be valid for a period not to exceed 90 days after the date of delivery to the Medicaid recipient and shall not be renewed;
 - 9. Family placement services;
 - 10. Training and education services;
 - 11. Advertising, except for media placement services;
 - 12. Services or commodities provided by governmental agencies, another University in the State University System, direct support organizations of the university, political subdivisions or other independent colleges and universities;
 - 13. Programs, conferences, workshops, continuing education events or other university programs that are offered to the general public for which fees are collected to pay all expenses associated with the event or program;
 - 14. Purchases from firms or individuals that are prescribed by state or federal law, or specified by a granting agency;
 - 15. Regulated utilities and government franchised services;

- 16. Regulated public communications, except long distance telecommunication services or facilities;
- ~~17. Extension of an existing contract;~~
- ~~18. Renewal of an existing contract if the terms of the contract specify renewal option(s);~~
- ~~17~~¹⁹. Purchases from an Annual Certification List developed by each University;
- ~~18~~²⁰. Purchases for resale;
- ~~19~~²¹. Accounting Services;
- ~~20~~²². Contracts or services provided by not-for-profit support and affiliate organizations of the University, direct support organizations, health support organizations and faculty practice plans;
- ~~21~~²³. Implementation/programming/training services available from owner of copyrighted software or its contracted vendor; or
- ~~22~~²⁴. Purchases of materials, supplies, equipment, or services for instructional or sponsored research purposes when a director of sponsored research or designee certifies that, in a particular instance, it is necessary for the efficient or expeditious prosecution of a research project in accordance with sponsored research procedures or to attain the instructional objective.

(7) Vendors Excluded from Competition. In order to ensure objective contractor performance and eliminate unfair competitive advantage, contractors that develop or draft specifications, requirements, statements of work, Invitations to Bid, Request for Proposals and/or Invitations to Negotiate shall be excluded from competing for such procurements.

(8) Standard of Conduct. It shall be a breach of ethical standards for any employee of a University to accept, solicit, or agree to accept a gratuity of any kind, form or type in connection with any contract for commodities or services. It shall also be a breach of ethical standards for any potential contractor to offer an employee of a University a gratuity of any kind, form or type to influence the development of a contract or potential contract for commodities or services.

Authority: Section 7(d) Art. IX, Fla. Const.; History—New 3-27-08; amended 3-28-13; _____

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Budget and Finance Committee
September 22, 2016**

SUBJECT: 2016-2017 State University System Operating Budget

PROPOSED COMMITTEE ACTION

Approve the 2016-2017 State University System Operating Budget

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Regulation 9.007

BACKGROUND INFORMATION

Each state university is required to submit a detailed operating plan for each budget entity for the 2016-2017 fiscal year. The State University System (SUS) operating budget consists of five different budget entities; 1) Education and General, 2) Contracts and Grants, 3) Auxiliary Enterprises, 4) Local Funds, and 5) Faculty Practice Plans.

1. The Education and General Budget Entity (E&G) includes the allocation of funds appropriated by the 2015 Legislature, student tuition, and other previously appropriated funds. The E&G budget entity contains resources that provide educational opportunities to citizens through instructional programs; research directed toward solving technical, social, and economic problems facing the state and nation; and public service programs. Universities have flexibility in the development of the E&G operating budget but must report in the traditional SUS categories.
2. The Contracts and Grants budget entity supports research and public service through awards from federal, state, and local sources.
3. Auxiliary Enterprises is comprised of ancillary support units such as student housing, food service, bookstores, parking services, and computer support.
4. The Local Funds entity consists of operating resources for student activities, athletics, concessions, student financial aid, technology fee, Board-approved local fees, and self-insurance programs.

5. Finally, the Faculty Practice Plans provide for clinical activities associated with the University of Florida and University of South Florida Health Centers, and the Florida State University, University of Central Florida, and Florida International University Medical Schools.

Each University Board of Trustees has approved an operating budget. The universities have developed their budgets in accordance with Board Regulation 9.007 State University System Operating Budgets. The regulation requires each university to maintain an unencumbered balance in the E&G fund equal to or greater than 5% of the University Board of Trustees approved operating budget. If the unencumbered balance falls below 5%, the University President must provide written notification and explanation.

Supporting Documentation Included: 2016-2017 SUS Operating Budget

Facilitators/Presenters: Mr. Tim Jones

STATE UNIVERSITY SYSTEM OF FLORIDA 2016-2017 System Operating Budget University Summary Schedule I Reports

The state universities are required to submit a detailed plan for each budget entity for the 2016-2017 fiscal year. Universities have developed their budgets in accordance with Board of Governors Regulation 9.007 – State University System Operating Budgets. Each university Board of Trustees has approved an operating budget for the current year.

The State University System (SUS) operating budget consists of five different budget entities: 1) Education and General, which includes both non-medical and medical entities, 2) Contracts and Grants, 3) Auxiliary Enterprises, 4) Local Funds, and 5) Faculty Practice Plans which are affiliated with the universities' medical programs. A description of these entities is provided below:

1. The **Education and General** budget funds the general instruction, research, and public service operations of the universities. A large portion of the system's 2016-2017 beginning fund balance reserves (\$229.1 million) is dedicated to meeting the 5% reserve requirement set forth in Section 1011.40(2) of the Florida Statutes. Additionally, millions of dollars have been reserved by the SUS to cover the costs associated with the hiring of faculty, maintenance of facilities and equipment, the maintenance of each university's financial software system, various research enhancement programs and initiatives, and the potential for budget reduction shortfalls.

2. The **Contracts and Grants** budget contains activities in support of research, public service, and training. Large fund balances are due to the timing of receipt of federal contracts or grants.

3. **Auxiliaries** are ancillary support units on each university campus. Major activities include housing, food services, bookstores, student health centers, facilities management, and computer support. Ending fund balances includes financial activities such as debt service payments, reserves, repair and replacement reserves for future maintenance costs, construction and renovation of auxiliary facilities, and prior-year encumbrances.

4. **Local Funds** include the following university activities:

- a) **Student Activities** – Supported primarily by the student activity and service fee revenues generated by the operations of student government, cultural events, organizations, and intramural/club sports.
- b) **Financial Aid** – This activity represents the financial aid amounts for which the university is fiscally responsible. Examples include the student financial aid fee, bright futures, federal grants, college work study, and scholarships. The ending fund balance represents a timing difference between the receipt of the funds and disbursement to students.
- c) **Concessions** – These resources are generated from various vending machines located on the university campuses.
- d) **Athletics** – Revenues are primarily derived from the student athletic fee, ticket sales, and sales of other goods and services. Sufficient fund balances are maintained to provide the necessary support for ongoing athletic activities.
- e) **Technology Fee** – Revenues generated from this fee are to be used to enhance instructional technology resources for students and faculty.
- f) **Board Approved Local Fees** – Resources generated from these local fees are utilized to address student-based needs not currently being met through existing university services, operations, or another fee.
- g) **Self-Insurance Programs** – These programs at UF, FSU, USF, UCF, and FIU are directed by the respective self-insurance councils and the captive insurance companies (these companies underwrite the risks of its owner and the owner's affiliates). These activities are supported by premiums charged to the insured individuals and entities (primarily medical faculty and institutions).

5. **Faculty Practice** – The Faculty Practice Plans collect and distribute income from faculty billings for patient services to the University of Florida, Florida State University, University of South Florida, University of Central Florida, and Florida International University Medical Schools and Health Science Centers.

Other notes referred to on the Summary Schedule I report are:

- 6. Other Receipts/Revenues includes items such as interest, penalties, refunds, admissions, fines, taxes, etc.
- 7. Other Non-Operating Expenditures include items such as refunds, payment of sales taxes, or indirect costs.

The following Summary Schedule I reports were provided to the Board of Governors' Office of Budgeting and Fiscal Policy as a component of the each state university's annual operating budget for fiscal year 2016-2017.

STATE UNIVERSITY SYSTEM OF FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 983,298,583	\$ 1,045,346,936	\$ 1,074,098,787	\$ 459,632,225	\$ 272,695,560	\$ 3,835,072,091
2						
3 <u>Receipts/Revenues</u>						
4 General Revenue	\$ 2,446,314,149					\$ 2,446,314,149
5 Lottery	\$ 304,369,400					\$ 304,369,400
6 Student Tuition	\$ 1,773,798,129		\$ 53,209,031	\$ 15,129,314		\$ 1,842,136,474
7 Phosphate Research	\$ 3,062,084					\$ 3,062,084
8 Other U.S. Grants	\$ 11,904,000	\$ 1,087,607,075	\$ 75,500	\$ 1,403,251,822		\$ 2,502,838,397
9 City or County Grants		\$ 11,901,658				\$ 11,901,658
10 State Grants		\$ 85,342,269	\$ 76,032	\$ 250,087,928		\$ 335,506,229
11 Other Grants and Donations		\$ 208,592,216	\$ 3,763,280	\$ 116,189,265	\$ 3,446,330	\$ 331,991,091
12 Donations / Contrib. Given to the State		\$ 640,942,309		\$ 4,938,789		\$ 645,881,098
13 Sales of Goods / Services	\$ 24,726,599	\$ 48,279,988	\$ 629,063,104	\$ 184,534,093	\$ 138,698,958	\$ 1,025,302,742
14 Sales of Data Processing Services			\$ 16,344,460			\$ 16,344,460
15 Fees	\$ 5,062,000	\$ 9,805,698	\$ 400,711,466	\$ 381,068,415	\$ 747,417,517	\$ 1,544,065,096
16 Miscellaneous Receipts	\$ 142,328	\$ 27,699,987	\$ 332,488,620	\$ 128,846,709	\$ 257,775,799	\$ 746,953,443
17 Rent	\$ 1,765,126	\$ 214,200	\$ 104,167,514	\$ 469,760	\$ 483,916	\$ 107,100,516
18 Concessions			\$ 150,000	\$ 764,000		\$ 914,000
19 Assessments / Services				\$ 12,055,699		\$ 12,055,699
20 Other Reciepts / Revenues ⁶	\$ 9,012,911	\$ 30,436,347	\$ 62,717,186	\$ 37,743,772	\$ 4,115,542	\$ 144,025,758
21 Subtotal:	\$ 4,580,156,726	\$ 2,150,821,747	\$ 1,602,766,193	\$ 2,535,079,566	\$ 1,151,938,062	\$ 12,020,762,294
22 Transfers In	\$ 22,181,693	\$ 635,896,364	\$ 244,982,510	\$ 209,182,815	\$ 2,149,975	\$ 1,114,393,357
23 Total - Receipts / Revenues:	\$ 4,602,338,419	\$ 2,786,718,111	\$ 1,847,748,703	\$ 2,744,262,381	\$ 1,154,088,037	\$ 13,135,155,651
24						
25 <u>Operating Expenditures</u>						
26 Salaries and Benefits	\$ 3,231,624,767	\$ 1,112,131,432	\$ 497,818,596	\$ 214,781,263	\$ 273,043,122	\$ 5,329,399,180
27 Other Personal Services	\$ 234,542,857	\$ 325,202,301	\$ 114,641,177	\$ 30,443,660	\$ 2,916,360	\$ 707,746,355
28 Expenses	\$ 870,574,359	\$ 729,332,506	\$ 874,450,371	\$ 1,385,401,212	\$ 247,542,628	\$ 4,107,301,076
29 Operating Capital Outlay	\$ 8,693,234	\$ 41,829,035	\$ 20,923,914	\$ 6,119,067	\$ 10,903,051	\$ 88,468,301
30 Risk Management	\$ 24,302,840	\$ 619,765	\$ 1,707,954	\$ 562,600		\$ 27,193,159
31 Financial Aid	\$ 110,948,894	\$ 3,864,276	\$ 33,500	\$ 533,940,002		\$ 648,786,672
32 Scholarships	\$ 10,650,000		\$ 7,251,781	\$ 442,138,044		\$ 460,039,825
33 Waivers	\$ 1,591,584		\$ 89,605			\$ 1,681,189
34 Finance Expense	\$ 196,573	\$ 501,760	\$ 3,601,757		\$ 1,586,821	\$ 5,886,911
35 Debt Service	\$ 1,514,846	\$ 205,000	\$ 51,309,974	\$ 12,492,511	\$ 166,770	\$ 65,689,101
36 Salary Incentive Payments	\$ 140,820					\$ 140,820
37 Law Enforcement Incentive Payments	\$ 14,799					\$ 14,799
38 Library Resources	\$ 44,628,572	\$ 61	\$ 190,510			\$ 44,819,143
39 Institute of Government	\$ 835,708					\$ 835,708
40 Regional Data Centers - SUS						\$ -

STATE UNIVERSITY SYSTEM OF FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
41 Black Male Explorers Program	\$ 198,000					\$ 198,000
42 Phosphate Research						\$ -
43 Other Operating Category	\$ 8,156,296					\$ 8,156,296
44 Total Operating Expenditures :	<u>\$ 4,548,614,149</u>	<u>\$ 2,213,686,136</u>	<u>\$ 1,572,019,139</u>	<u>\$ 2,625,878,359</u>	<u>\$ 536,158,752</u>	<u>\$ 11,496,356,535</u>
45						
46 <u>Non-Operating Expenditures</u>						
47 Transfers	\$ 925,860	\$ 558,547,048	\$ 362,112,408	\$ 131,393,621	\$ 615,406,775	\$ 1,668,385,712
48 Fixed Capital Outlay			\$ 400,000	\$ 3,000,000		\$ 3,400,000
49 Carryforward (From Prior Period Funds)	\$ 426,131,361					\$ 426,131,361
50 Other ⁷	\$ 228,670					\$ 228,670
51 Total Non-Operating Expenditures :	<u>\$ 427,285,891</u>	<u>\$ 558,547,048</u>	<u>\$ 362,512,408</u>	<u>\$ 134,393,621</u>	<u>\$ 615,406,775</u>	<u>\$ 2,098,145,743</u>
52						
53 Ending Fund Balance :	<u>\$ 609,736,962</u>	<u>\$ 1,059,831,863</u>	<u>\$ 987,315,943</u>	<u>\$ 443,622,626</u>	<u>\$ 275,218,070</u>	<u>\$ 3,375,725,464</u>
54						
55 Fund Balance Increase / Decrease :	\$ (373,561,621)	\$ 14,484,927	\$ (86,782,844)	\$ (16,009,599)	\$ 2,522,510	\$ (459,346,627)
56 Fund Balance Percentage Change :	-37.99%	1.39%	-8.08%	-3.48%	0.93%	-11.98%

UNIVERSITY OF FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>IFAS E&G¹</u>	<u>HSC E&G¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 178,164,988	\$ 36,884,651	\$ 13,150,863	\$ 754,261,176	\$ 172,314,543	\$ 250,667,126	\$ 243,679,390	\$ 1,649,122,737
2								
3 <u>Receipts/Revenues</u>								
4 General Revenue	\$ 365,930,982	\$ 157,537,380	\$ 106,226,937					\$ 629,695,299
5 Lottery	\$ 50,765,017	\$ 12,533,877	\$ 5,796,416					\$ 69,095,310
6 Student Tuition	\$ 316,441,618		\$ 38,267,508					\$ 354,709,126
7 Phosphate Research								\$ -
8 Other U.S. Grants		\$ 11,904,000		\$ 354,654,337		\$ 312,007,538		\$ 678,565,875
9 City or County Grants								\$ -
10 State Grants				\$ 47,303,018		\$ 67,702,229		\$ 115,005,247
11 Other Grants and Donations				\$ 134,069,371		\$ 36,178,917	\$ 272,118	\$ 170,520,406
12 Donations / Contrib. Given to the State				\$ 640,942,309		\$ 4,938,789		\$ 645,881,098
13 Sales of Goods / Services		\$ 14,878,718	\$ 9,847,881	\$ 29,814,715	\$ 236,504,734	\$ 97,243,217	\$ 136,395,686	\$ 524,684,951
14 Sales of Data Processing Services								\$ -
15 Fees	\$ 5,012,000				\$ 120,474,833	\$ 34,084,736	\$ 572,881,972	\$ 732,453,541
16 Miscellaneous Receipts		\$ 142,328		\$ 141,942	\$ 7,197,640	\$ 2,275,384	\$ 159,948,150	\$ 169,705,444
17 Rent		\$ 789,967	\$ 975,159	\$ 214,200	\$ 4,928,545			\$ 6,907,871
18 Concessions					\$ 122,000	\$ 700,000		\$ 822,000
19 Assessments / Services						\$ 11,286,174		\$ 11,286,174
20 Other Reciepts / Revenues ⁶	\$ 100,000	\$ 20,153	\$ 195	\$ 2,233,831	\$ 1,792,130	\$ 6,777,819	\$ 58,327	\$ 10,982,455
21 Subtotal:	\$ 738,249,617	\$ 197,806,423	\$ 161,114,096	\$ 1,209,373,723	\$ 371,019,882	\$ 573,194,803	\$ 869,556,253	\$ 4,120,314,797
22 Transfers In		\$ 13,500	\$ 21,989,548	\$ 450,298,018	\$ 104,197,396	\$ 49,552,601		\$ 626,051,063
23 Total - Receipts / Revenues:	\$ 738,249,617	\$ 197,819,923	\$ 183,103,644	\$ 1,659,671,741	\$ 475,217,278	\$ 622,747,404	\$ 869,556,253	\$ 4,746,365,860
24								
25 <u>Operating Expenditures</u>								
26 Salaries and Benefits	\$ 567,521,763	\$ 143,067,976	\$ 133,441,567	\$ 662,050,151	\$ 118,466,493	\$ 57,990,670	\$ 108,128,000	\$ 1,790,666,620
27 Other Personal Services	\$ 52,234,933	\$ 1,517,522	\$ 6,944,809	\$ 187,421,464	\$ 32,527,098	\$ 4,137,678		\$ 284,783,504
28 Expenses	\$ 63,575,001	\$ 42,249,736	\$ 34,285,534	\$ 312,969,267	\$ 201,270,698	\$ 81,009,887	\$ 172,360,100	\$ 907,720,223
29 Operating Capital Outlay	\$ 537,176	\$ 305,736	\$ 786,945	\$ 23,372,852	\$ 10,046,902	\$ 1,594,098	\$ 10,903,051	\$ 47,546,760
30 Risk Management	\$ 2,433,229	\$ 1,463,051	\$ 1,166,454					\$ 5,062,734
31 Financial Aid	\$ 1,737,381							\$ 1,737,381
32 Scholarships	\$ 6,600,000					\$ 439,422,997		\$ 446,022,997
33 Waivers	\$ 1,415,510							\$ 1,415,510
34 Finance Expense		\$ 29,793	\$ 166,780	\$ 501,760	\$ 3,601,757		\$ 1,586,821	\$ 5,886,911
35 Debt Service					\$ 2,659,953	\$ 11,035,000		\$ 13,694,953
36 Salary Incentive Payments								\$ -
37 Law Enforcement Incentive Payments								\$ -
38 Library Resources	\$ 7,969,810		\$ 1,442,857					\$ 9,412,667
39 Institute of Government								\$ -
40 Regional Data Centers - SUS								\$ -

UNIVERSITY OF FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>IFAS E&G¹</u>	<u>HSC E&G¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
41 Black Male Explorers Program								\$ -
42 Phosphate Research								\$ -
43 Other Operating Category								\$ -
44 Total Operating Expenditures :	<u>\$ 704,024,803</u>	<u>\$ 188,633,814</u>	<u>\$ 178,234,946</u>	<u>\$ 1,186,315,494</u>	<u>\$ 368,572,901</u>	<u>\$ 595,190,330</u>	<u>\$ 292,977,972</u>	<u>\$ 3,513,950,260</u>
45								
46 <u>Non-Operating Expenditures</u>								
47 Transfers		\$ 525,417	\$ 400,443	\$ 470,892,318	\$ 124,608,133	\$ 34,551,604	\$ 570,679,448	\$ 1,201,657,363
48 Fixed Capital Outlay						\$ 3,000,000		\$ 3,000,000
49 Carryforward (From Prior Period Funds)	\$ 51,877,237	\$ 8,835,834	\$ 3,697,531					\$ 64,410,602
50 Other ⁷								\$ -
51 Total Non-Operating Expenditures :	<u>\$ 51,877,237</u>	<u>\$ 9,361,251</u>	<u>\$ 4,097,974</u>	<u>\$ 470,892,318</u>	<u>\$ 124,608,133</u>	<u>\$ 37,551,604</u>	<u>\$ 570,679,448</u>	<u>\$ 1,269,067,965</u>
52								
53 Ending Fund Balance :	<u>\$ 160,512,565</u>	<u>\$ 36,709,509</u>	<u>\$ 13,921,587</u>	<u>\$ 756,725,105</u>	<u>\$ 154,350,787</u>	<u>\$ 240,672,596</u>	<u>\$ 249,578,223</u>	<u>\$ 1,612,470,372</u>
54								
55 Fund Balance Increase / Decrease :	\$ (17,652,423)	\$ (175,142)	\$ 770,724	\$ 2,463,929	\$ (17,963,756)	\$ (9,994,530)	\$ 5,898,833	\$ (36,652,365)
56 Fund Balance Percentage Change :	-9.91%	-0.47%	5.86%	0.33%	-10.42%	-3.99%	2.42%	-2.22%

FLORIDA STATE UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Medical School - E&G¹</u>	<u>FAMU/FSU College of Engineering</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 63,238,871	\$ 13,874,462	\$ 2,422,458	\$ 154,677,051	\$ 188,773,131	\$ 63,449,726	\$ 945	\$ 486,436,644
2								
3 <u>Receipts/Revenues</u>								
4 General Revenue	\$ 330,774,086	\$ 35,475,670	\$ 13,241,710					\$ 379,491,466
5 Lottery	\$ 42,414,121	\$ 605,115						\$ 43,019,236
6 Student Tuition	\$ 197,730,126	\$ 10,560,424						\$ 208,290,550
7 Phosphate Research								\$ -
8 Other U.S. Grants				\$ 126,053,189	\$ 75,500	\$ 49,177,599		\$ 175,306,288
9 City or County Grants				\$ 445,162				\$ 445,162
10 State Grants				\$ 20,857,394		\$ 59,087,345		\$ 79,944,739
11 Other Grants and Donations				\$ 25,004,581	\$ 3,714,780	\$ 10,859,285		\$ 39,578,646
12 Donations / Contrib. Given to the State								\$ -
13 Sales of Goods / Services				\$ 10,286,777	\$ 115,480,979	\$ 72,189,842	\$ 2,283,272	\$ 200,240,870
14 Sales of Data Processing Services					\$ 16,344,460			\$ 16,344,460
15 Fees				\$ 515,000	\$ 64,649,896	\$ 39,720,707		\$ 104,885,603
16 Miscellaneous Receipts								\$ -
17 Rent					\$ 42,852,543	\$ 464,210		\$ 43,316,753
18 Concessions								\$ -
19 Assessments / Services								\$ -
20 Other Receipts / Revenues ⁶	\$ 2,575,000	\$ 375,000	\$ 50,000	\$ 25,756,731	\$ 9,370,162	\$ 29,800,766	\$ 10,000	\$ 67,937,659
21 Subtotal:	\$ 573,493,333	\$ 47,016,209	\$ 13,291,710	\$ 208,918,834	\$ 252,488,320	\$ 261,299,754	\$ 2,293,272	\$ 1,358,801,432
22 Transfers In						\$ 1,202,181		\$ 1,202,181
23 Total - Receipts / Revenues:	\$ 573,493,333	\$ 47,016,209	\$ 13,291,710	\$ 208,918,834	\$ 252,488,320	\$ 262,501,935	\$ 2,293,272	\$ 1,360,003,613
24								
25 <u>Operating Expenditures</u>								
26 Salaries and Benefits	\$ 407,031,487	\$ 37,233,717	\$ 10,478,287	\$ 90,768,457	\$ 77,149,036	\$ 45,469,645	\$ 2,132,120	\$ 670,262,749
27 Other Personal Services	\$ 37,089,467	\$ 3,305,735	\$ 979,497	\$ 35,885,099	\$ 19,006,181	\$ 8,161,056	\$ 61,500	\$ 104,488,535
28 Expenses	\$ 97,433,875	\$ 5,907,576	\$ 1,473,926	\$ 73,182,018	\$ 129,769,091	\$ 198,227,091	\$ 2,096	\$ 505,995,673
29 Operating Capital Outlay	\$ 1,122,227	\$ 98,094	\$ 310,000	\$ 9,331,621	\$ 3,024,221	\$ 1,916,966		\$ 15,803,129
30 Risk Management	\$ 2,798,081	\$ 96,087						\$ 2,894,168
31 Financial Aid	\$ 16,344,767							\$ 16,344,767
32 Scholarships								\$ -
33 Waivers	\$ 45,236							\$ 45,236
34 Finance Expense								\$ -
35 Debt Service					\$ 22,910,000			\$ 22,910,000
36 Salary Incentive Payments	\$ 78,840							\$ 78,840
37 Law Enforcement Incentive Payments								\$ -
38 Library Resources	\$ 8,138,645			\$ 61	\$ 90,472			\$ 8,229,178
39 Institute of Government	\$ 835,708							\$ 835,708
40 Regional Data Centers - SUS								\$ -

FLORIDA STATE UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Medical School - E&G¹</u>	<u>FAMU/FSU College of Engineering</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
41 Black Male Explorers Program								\$ -
42 Phosphate Research								\$ -
43 Other Operating Category								\$ -
44 Total Operating Expenditures :	\$ 570,918,333	\$ 46,641,209	\$ 13,241,710	\$ 209,167,256	\$ 251,949,001	\$ 253,774,758	\$ 2,195,716	\$ 1,347,887,983
45								
46 <u>Non-Operating Expenditures</u>								
47 Transfers				\$ 1,152,706	\$ 22,345,346	\$ 8,681,623	\$ 87,556	\$ 32,267,231
48 Fixed Capital Outlay								\$ -
49 Carryforward (From Prior Period Funds)	\$ 62,156,049	\$ 14,249,462	\$ 495,668					\$ 76,901,179
50 Other ⁷								\$ -
51 Total Non-Operating Expenditures :	\$ 62,156,049	\$ 14,249,462	\$ 495,668	\$ 1,152,706	\$ 22,345,346	\$ 8,681,623	\$ 87,556	\$ 109,168,410
52								
53 Ending Fund Balance :	\$ 3,657,822	\$ -	\$ 1,976,790	\$ 153,275,923	\$ 166,967,104	\$ 63,495,280	\$ 10,945	\$ 389,383,864
54								
55 Fund Balance Increase / Decrease :	\$ (59,581,049)	\$ (13,874,462)	\$ (445,668)	\$ (1,401,128)	\$ (21,806,027)	\$ 45,554	\$ 10,000	\$ (97,052,780)
56 Fund Balance Percentage Change :	-94.22%	-100.00%	-18.40%	-0.91%	-11.55%	0.07%	1058.20%	-19.95%

FLORIDA AGRICULTURAL & MECHANICAL UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 31,198,773	\$ (4,917,210)	\$ 35,918,710	\$ (884,242)	\$ 61,316,031
2					
3 <u>Receipts/Revenues</u>					
4 General Revenue	\$ 92,162,875				\$ 92,162,875
5 Lottery	\$ 16,015,611				\$ 16,015,611
6 Student Tuition	\$ 67,801,614				\$ 67,801,614
7 Phosphate Research					\$ -
8 Other U.S. Grants		\$ 54,171,419			\$ 54,171,419
9 City or County Grants					\$ -
10 State Grants		\$ 3,814,278	\$ 76,032	\$ 54,000	\$ 3,944,310
11 Other Grants and Donations		\$ 2,171,160		\$ 32,239,772	\$ 34,410,932
12 Donations / Contrib. Given to the State					\$ -
13 Sales of Goods / Services			\$ 28,779,515	\$ 3,705,128	\$ 32,484,643
14 Sales of Data Processing Services					\$ -
15 Fees		\$ 16,000	\$ 4,680,205	\$ 10,948,461	\$ 15,644,666
16 Miscellaneous Receipts			\$ 4,823,269	\$ 12,351,228	\$ 17,174,497
17 Rent					\$ -
18 Concessions					\$ -
19 Assessments / Services					\$ -
20 Other Reciepts / Revenues ⁶					\$ -
21 Subtotal:	\$ 175,980,100	\$ 60,172,857	\$ 38,359,021	\$ 59,298,589	\$ 333,810,567
22 Transfers In			\$ 3,385,657	\$ 10,455,000	\$ 13,840,657
23 Total - Receipts / Revenues:	\$ 175,980,100	\$ 60,172,857	\$ 41,744,678	\$ 69,753,589	\$ 347,651,224
24					
25 <u>Operating Expenditures</u>					
26 Salaries and Benefits	\$ 135,027,594	\$ 23,296,477	\$ 9,248,100	\$ 4,884,763	\$ 172,456,934
27 Other Personal Services	\$ 4,808,764	\$ 5,530,319	\$ 2,125,609	\$ 965,033	\$ 13,429,725
28 Expenses	\$ 27,965,528	\$ 22,002,575	\$ 16,977,068	\$ 52,985,094	\$ 119,930,265
29 Operating Capital Outlay	\$ 386,300	\$ 2,818,498	\$ 167,398	\$ 35,000	\$ 3,407,196
30 Risk Management	\$ 1,251,072				\$ 1,251,072
31 Financial Aid	\$ 624,417				\$ 624,417
32 Scholarships	\$ 3,200,000				\$ 3,200,000
33 Waivers	\$ 130,838				\$ 130,838
34 Finance Expense					\$ -
35 Debt Service	\$ 1,514,846		\$ 6,157,857		\$ 7,672,703
36 Salary Incentive Payments					\$ -
37 Law Enforcement Incentive Payments	\$ 14,799				\$ 14,799
38 Library Resources	\$ 857,942				\$ 857,942
39 Institute of Government					\$ -
40 Regional Data Centers - SUS					\$ -

FLORIDA AGRICULTURAL & MECHANICAL UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
41 Black Male Explorers Program	\$ 198,000				\$ 198,000
42 Phosphate Research					\$ -
43 Other Operating Category					\$ -
44 Total Operating Expenditures :	<u>\$ 175,980,100</u>	<u>\$ 53,647,869</u>	<u>\$ 34,676,032</u>	<u>\$ 58,869,890</u>	<u>\$ 323,173,891</u>
45					
46 <u>Non-Operating Expenditures</u>					
47 Transfers		\$ 334,817	\$ 28,677,388	\$ 1,520,280	\$ 30,532,485
48 Fixed Capital Outlay					\$ -
49 Carryforward (From Prior Period Funds)					\$ -
50 Other ⁷					\$ -
51 Total Non-Operating Expenditures :	<u>\$ -</u>	<u>\$ 334,817</u>	<u>\$ 28,677,388</u>	<u>\$ 1,520,280</u>	<u>\$ 30,532,485</u>
52					
53 Ending Fund Balance :	<u>\$ 31,198,773</u>	<u>\$ 1,272,961</u>	<u>\$ 14,309,968</u>	<u>\$ 8,479,177</u>	<u>\$ 55,260,879</u>
54					
55 Fund Balance Increase / Decrease :	\$ -	\$ 6,190,171	\$ (21,608,742)	\$ 9,363,419	\$ (6,055,152)
56 Fund Balance Percentage Change :	0.00%	-125.89%	-60.16%	-1058.92%	-9.88%

UNIVERSITY OF SOUTH FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>HSC E&G¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 162,906,194	\$ 41,343,112	\$ 75,905,342	\$ 173,632,985	\$ 30,987,584	\$ 32,334,613	\$ 517,109,830
2							
3 <u>Receipts/Revenues</u>							
4 General Revenue	\$ 269,874,586	\$ 64,391,339					\$ 334,265,925
5 Lottery	\$ 40,934,470	\$ 9,349,672					\$ 50,284,142
6 Student Tuition	\$ 216,798,937	\$ 64,684,620		\$ 2,894,909			\$ 284,378,466
7 Phosphate Research							\$ -
8 Other U.S. Grants			\$ 301,921,590		\$ 315,508,500		\$ 617,430,090
9 City or County Grants							\$ -
10 State Grants					\$ 40,005,000		\$ 40,005,000
11 Other Grants and Donations						\$ 1,132,721	\$ 1,132,721
12 Donations / Contrib. Given to the State							\$ -
13 Sales of Goods / Services			\$ 205,000	\$ 72,331,537	\$ 7,588,900		\$ 80,125,437
14 Sales of Data Processing Services							\$ -
15 Fees				\$ 60,313,086	\$ 65,821,643	\$ 174,535,545	\$ 300,670,274
16 Miscellaneous Receipts			\$ 600,000	\$ 47,872,837	\$ 11,878,931	\$ 91,911,739	\$ 152,263,507
17 Rent							\$ -
18 Concessions							\$ -
19 Assessments / Services							\$ -
20 Other Reciepts / Revenues ⁶	\$ 704,249	\$ 228,670	\$ 2,100,000	\$ 35,732,179	\$ 63,759		\$ 38,828,857
21 Subtotal:	\$ 528,312,242	\$ 138,654,301	\$ 304,826,590	\$ 219,144,548	\$ 440,866,733	\$ 267,580,005	\$ 1,899,384,419
22 Transfers In	\$ 178,645		\$ 121,360,000	\$ 42,267,068	\$ 41,528,575		\$ 205,334,288
23 Total - Receipts / Revenues:	\$ 528,490,887	\$ 138,654,301	\$ 426,186,590	\$ 261,411,616	\$ 482,395,308	\$ 267,580,005	\$ 2,104,718,707
24							
25 <u>Operating Expenditures</u>							
26 Salaries and Benefits	\$ 337,280,932	\$ 100,472,796	\$ 151,553,228	\$ 67,505,988	\$ 21,633,232	\$ 157,564,065	\$ 836,010,241
27 Other Personal Services	\$ 32,580,082	\$ 3,675,860	\$ 69,143,362	\$ 15,213,438	\$ 5,138,515	\$ 2,854,860	\$ 128,606,117
28 Expenses	\$ 136,765,572	\$ 31,574,599	\$ 140,007,000	\$ 114,925,740	\$ 433,192,498	\$ 67,871,272	\$ 924,336,681
29 Operating Capital Outlay	\$ 572,278	\$ 172,500	\$ 4,000,000	\$ 4,319,518	\$ 996,073		\$ 10,060,369
30 Risk Management	\$ 3,811,885	\$ 381,667	\$ 430,000	\$ 1,183,912	\$ 562,600		\$ 6,370,064
31 Financial Aid	\$ 10,301,368	\$ 1,085,108	\$ 2,890,000	\$ 7,000			\$ 14,283,476
32 Scholarships				\$ 1,747,382			\$ 1,747,382
33 Waivers				\$ 89,605			\$ 89,605
34 Finance Expense							\$ -
35 Debt Service				\$ 3,214,248	\$ 51,500		\$ 3,265,748
36 Salary Incentive Payments							\$ -
37 Law Enforcement Incentive Payments							\$ -
38 Library Resources	\$ 6,045,876	\$ 826,101		\$ 51,070			\$ 6,923,047
39 Institute of Government							\$ -
40 Regional Data Centers - SUS							\$ -

UNIVERSITY OF SOUTH FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>HSC E&G¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
41 Black Male Explorers Program							\$ -
42 Phosphate Research							\$ -
43 Other Operating Category	\$ -						\$ -
44 Total Operating Expenditures :	<u>\$ 527,357,993</u>	<u>\$ 138,188,631</u>	<u>\$ 368,023,590</u>	<u>\$ 208,257,901</u>		<u>\$ 228,290,197</u>	<u>\$ 1,931,692,730</u>
45							
46 <u>Non-Operating Expenditures</u>							
47 Transfers			\$ 58,000,000	\$ 61,306,114	\$ 30,264,937	\$ 43,375,950	\$ 192,947,001
48 Fixed Capital Outlay							\$ -
49 Carryforward (From Prior Period Funds)	\$ 60,600,000	\$ 20,056,006					\$ 80,656,006
50 Other ⁷		\$ 228,670					\$ 228,670
51 Total Non-Operating Expenditures :	<u>\$ 60,600,000</u>	<u>\$ 20,284,676</u>	<u>\$ 58,000,000</u>	<u>\$ 61,306,114</u>	<u>\$ 30,264,937</u>	<u>\$ 43,375,950</u>	<u>\$ 273,831,677</u>
52							
53 Ending Fund Balance :	<u>\$ 103,439,088</u>	<u>\$ 21,524,106</u>	<u>\$ 76,068,342</u>	<u>\$ 165,480,586</u>	<u>\$ 21,543,537</u>	<u>\$ 28,248,471</u>	<u>\$ 416,304,130</u>
54							
55 Fund Balance Increase / Decrease :	\$ (59,467,106)	\$ (19,819,006)	\$ 163,000	\$ (8,152,399)	\$ (9,444,047)	\$ (4,086,142)	\$ (100,805,700)
56 Fund Balance Percentage Change :	-36.50%	-47.94%	0.21%	-4.70%	-30.48%	-12.64%	-19.49%

FLORIDA ATLANTIC UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Medical School E&G¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 61,620,917	\$ 12,854,307	\$ 18,421,558	\$ 117,713,589	\$ 12,306,612	\$ 222,916,983
2						
3 <u>Receipts/Revenues</u>						
4 General Revenue	\$ 152,645,069	\$ 14,693,918				\$ 167,338,987
5 Lottery	\$ 22,506,154					\$ 22,506,154
6 Student Tuition	\$ 136,074,256	\$ 9,648,247				\$ 145,722,503
7 Phosphate Research						\$ -
8 Other U.S. Grants			\$ 47,201,470		\$ 160,433,784	\$ 207,635,254
9 City or County Grants						\$ -
10 State Grants			\$ 4,236,029		\$ 12,132,018	\$ 16,368,047
11 Other Grants and Donations					\$ 14,744,000	\$ 14,744,000
12 Donations / Contrib. Given to the State						\$ -
13 Sales of Goods / Services				\$ 69,303,241		\$ 69,303,241
14 Sales of Data Processing Services						\$ -
15 Fees			\$ 9,077,206	\$ 40,426,891	\$ 46,200,541	\$ 95,704,638
16 Miscellaneous Receipts				\$ 5,775,270		\$ 5,775,270
17 Rent						\$ -
18 Concessions						\$ -
19 Assessments / Services						\$ -
20 Other Reciepts / Revenues ⁶					\$ 231,000	\$ 231,000
21 Subtotal:	\$ 311,225,479	\$ 24,342,165	\$ 60,514,705	\$ 115,505,402	\$ 233,741,343	\$ 745,329,094
22 Transfers In				\$ 14,968,537	\$ 5,046,257	\$ 20,014,794
23 Total - Receipts / Revenues:	\$ 311,225,479	\$ 24,342,165	\$ 60,514,705	\$ 130,473,939	\$ 238,787,600	\$ 765,343,888
24						
25 <u>Operating Expenditures</u>						
26 Salaries and Benefits	\$ 185,580,410	\$ 17,342,721	\$ 28,209,504	\$ 43,710,248	\$ 9,051,695	\$ 283,894,578
27 Other Personal Services	\$ 22,023,444	\$ 2,890,259	\$ 5,408,680	\$ 22,491,903	\$ 2,683,187	\$ 55,497,473
28 Expenses	\$ 92,983,512	\$ 4,109,185	\$ 26,896,520	\$ 67,313,223	\$ 218,817,812	\$ 410,120,252
29 Operating Capital Outlay						\$ -
30 Risk Management	\$ 2,365,797					\$ 2,365,797
31 Financial Aid	\$ 8,272,316					\$ 8,272,316
32 Scholarships						\$ -
33 Waivers						\$ -
34 Finance Expense						\$ -
35 Debt Service						\$ -
36 Salary Incentive Payments						\$ -
37 Law Enforcement Incentive Payments						\$ -
38 Library Resources						\$ -
39 Institute of Government						\$ -
40 Regional Data Centers - SUS						\$ -

FLORIDA ATLANTIC UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Medical School E&G¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
41 Black Male Explorers Program						\$ -
42 Phosphate Research						\$ -
43 Other Operating Category						\$ -
44 Total Operating Expenditures :	<u>\$ 311,225,479</u>	<u>\$ 24,342,165</u>	<u>\$ 60,514,704</u>	<u>\$ 133,515,374</u>	<u>\$ 230,552,694</u>	<u>\$ 760,150,416</u>
45						
46 <u>Non-Operating Expenditures</u>						
47 Transfers				\$ 14,875,148	\$ 9,387,084	\$ 24,262,232
48 Fixed Capital Outlay						\$ -
49 Carryforward (From Prior Period Funds)	\$ 46,059,643	\$ 11,636,949				\$ 57,696,592
50 Other ⁷						\$ -
51 Total Non-Operating Expenditures :	<u>\$ 46,059,643</u>	<u>\$ 11,636,949</u>	<u>\$ -</u>	<u>\$ 14,875,148</u>	<u>\$ 9,387,084</u>	<u>\$ 81,958,824</u>
52						
53 Ending Fund Balance :	<u>\$ 15,561,274</u>	<u>\$ 1,217,358</u>	<u>\$ 18,421,559</u>	<u>\$ 99,797,006</u>	<u>\$ 11,154,434</u>	<u>\$ 146,151,631</u>
54						
55 Fund Balance Increase / Decrease :	\$ (46,059,643)	\$ (11,636,949)	\$ 1	\$ (17,916,583)	\$ (1,152,178)	\$ (76,765,352)
56 Fund Balance Percentage Change :	-74.75%	-90.53%	0.00%	-15.22%	-9.36%	-34.44%

UNIVERSITY OF WEST FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 44,686,709	\$ 8,394,726	\$ 25,904,062	\$ 6,874,649	\$ 85,860,146
2					
3 <u>Receipts/Revenues</u>					
4 General Revenue	\$ 101,433,718				\$ 101,433,718
5 Lottery	\$ 8,492,718				\$ 8,492,718
6 Student Tuition	\$ 45,798,775				\$ 45,798,775
7 Phosphate Research					\$ -
8 Other U.S. Grants		\$ 10,563,626		\$ 53,000,000	\$ 63,563,626
9 City or County Grants		\$ 5,430,804			\$ 5,430,804
10 State Grants					\$ -
11 Other Grants and Donations		\$ 4,964,220			\$ 4,964,220
12 Donations / Contrib. Given to the State					\$ -
13 Sales of Goods / Services			\$ 1,434,760	\$ 405,328	\$ 1,840,088
14 Sales of Data Processing Services					\$ -
15 Fees		\$ 38,873	\$ 16,119,904	\$ 12,171,868	\$ 28,330,645
16 Miscellaneous Receipts		\$ 1,392,898	\$ 4,335,195	\$ 27,452,856	\$ 33,180,949
17 Rent			\$ 432,924	\$ 5,550	\$ 438,474
18 Concessions					\$ -
19 Assessments / Services					\$ -
20 Other Reciepts / Revenues ⁶	\$ 375,000	\$ 302,892	\$ 3,204,186	\$ 147,290	\$ 4,029,368
21 Subtotal:	\$ 156,100,211	\$ 22,693,313	\$ 25,526,969	\$ 93,182,892	\$ 297,503,385
22 Transfers In				\$ -	\$ -
23 Total - Receipts / Revenues:	\$ 156,100,211	\$ 22,693,313	\$ 25,526,969	\$ 93,182,892	\$ 297,503,385
24					
25 <u>Operating Expenditures</u>					
26 Salaries and Benefits	\$ 90,198,738	\$ 5,446,361	\$ 7,213,625	\$ 3,921,283	\$ 106,780,007
27 Other Personal Services	\$ 7,484,843	\$ 2,723,962	\$ 2,785,901	\$ 1,125,976	\$ 14,120,682
28 Expenses	\$ 47,372,080	\$ 13,462,178	\$ 14,697,098	\$ 87,033,964	\$ 162,565,320
29 Operating Capital Outlay		\$ 42,760	\$ 25,000	\$ 524,278	\$ 592,038
30 Risk Management	\$ 495,813				\$ 495,813
31 Financial Aid	\$ 615,500				\$ 615,500
32 Scholarships					\$ -
33 Waivers					\$ -
34 Finance Expense					\$ -
35 Debt Service					\$ -
36 Salary Incentive Payments					\$ -
37 Law Enforcement Incentive Payments					\$ -
38 Library Resources	\$ 1,401,941				\$ 1,401,941
39 Institute of Government					\$ -
40 Regional Data Centers - SUS					\$ -

UNIVERSITY OF WEST FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
41 Black Male Explorers Program					\$ -
42 Phosphate Research					\$ -
43 Other Operating Category	\$ 8,156,296				\$ 8,156,296
44 Total Operating Expenditures :	<u>\$ 155,725,211</u>	<u>\$ 21,675,261</u>	<u>\$ 24,721,624</u>	<u>\$ 92,605,501</u>	<u>\$ 294,727,597</u>
45					
46 <u>Non-Operating Expenditures</u>					
47 Transfers		\$ (546,573)	\$ (132,542)	\$ 679,115	\$ -
48 Fixed Capital Outlay			\$ 400,000		\$ 400,000
49 Carryforward (From Prior Period Funds)	\$ 20,000,000				\$ 20,000,000
50 Other ⁷					\$ -
51 Total Non-Operating Expenditures :	<u>\$ 20,000,000</u>	<u>\$ (546,573)</u>	<u>\$ 267,458</u>	<u>\$ 679,115</u>	<u>\$ 20,400,000</u>
52					
53 Ending Fund Balance :	<u>\$ 25,061,709</u>	<u>\$ 9,959,351</u>	<u>\$ 26,441,949</u>	<u>\$ 6,772,925</u>	<u>\$ 68,235,934</u>
54					
55 Fund Balance Increase / Decrease :	\$ (19,625,000)	\$ 1,564,625	\$ 537,887	\$ (101,724)	\$ (17,624,212)
56 Fund Balance Percentage Change :	-43.92%	18.64%	2.08%	-1.48%	-20.53%

UNIVERSITY OF CENTRAL FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Medical School E&G¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 137,488,042	\$ 15,323,181	\$ 23,154,497	\$ 175,347,996	\$ 53,913,057	\$ (6,273,364)	\$ 398,953,409
2							
3 <u>Receipts/Revenues</u>							
4 General Revenue	\$ 279,937,275	\$ 26,017,366					\$ 305,954,641
5 Lottery	\$ 38,834,488						\$ 38,834,488
6 Student Tuition	\$ 266,752,552	\$ 15,469,240		\$ 5,863,411			\$ 288,085,203
7 Phosphate Research							\$ -
8 Other U.S. Grants			\$ 105,307,155		\$ 378,703,826		\$ 484,010,981
9 City or County Grants							\$ -
10 State Grants			\$ 7,273,865		\$ 48,056,497		\$ 55,330,362
11 Other Grants and Donations			\$ 19,037,009				\$ 19,037,009
12 Donations / Contrib. Given to the State							\$ -
13 Sales of Goods / Services							\$ -
14 Sales of Data Processing Services							\$ -
15 Fees				\$ 66,906,618	\$ 63,484,773		\$ 130,391,391
16 Miscellaneous Receipts			\$ 1,158,388	\$ 173,117,307	\$ 55,146,360	\$ 5,915,910	\$ 235,337,965
17 Rent							\$ -
18 Concessions							\$ -
19 Assessments / Services					\$ 769,525		\$ 769,525
20 Other Reciepts / Revenues ⁶	\$ 4,199,644	\$ 185,000			\$ 173,889		\$ 4,558,533
21 Subtotal:	\$ 589,723,959	\$ 41,671,606	\$ 132,776,417	\$ 245,887,336	\$ 546,334,870	\$ 5,915,910	\$ 1,562,310,098
22 Transfers In			\$ 27,917,583		\$ 47,142,781	\$ 2,149,975	\$ 77,210,339
23 Total - Receipts / Revenues:	\$ 589,723,959	\$ 41,671,606	\$ 160,694,000	\$ 245,887,336	\$ 593,477,651	\$ 8,065,885	\$ 1,639,520,437
24							
25 <u>Operating Expenditures</u>							
26 Salaries and Benefits	\$ 420,929,343	\$ 30,717,237	\$ 73,863,750	\$ 66,989,597	\$ 35,477,672	\$ 5,218,937	\$ 633,196,536
27 Other Personal Services							\$ -
28 Expenses	\$ 124,381,824	\$ 7,784,369	\$ 86,830,250	\$ 185,001,400	\$ 50,557,467	\$ 2,846,918	\$ 457,402,228
29 Operating Capital Outlay							\$ -
30 Risk Management	\$ 2,141,926						\$ 2,141,926
31 Financial Aid	\$ 35,170,866	\$ 3,170,000			\$ 508,219,163		\$ 546,560,029
32 Scholarships							\$ -
33 Waivers							\$ -
34 Finance Expense							\$ -
35 Debt Service					\$ 1,406,011		\$ 1,406,011
36 Salary Incentive Payments							\$ -
37 Law Enforcement Incentive Payments							\$ -
38 Library Resources	\$ 7,100,000						\$ 7,100,000
39 Institute of Government							\$ -
40 Regional Data Centers - SUS							\$ -

UNIVERSITY OF CENTRAL FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Medical School E&G¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
41 Black Male Explorers Program							\$ -
42 Phosphate Research							\$ -
43 Other Operating Category							\$ -
44 Total Operating Expenditures :	<u>\$ 589,723,959</u>	<u>\$ 41,671,606</u>	<u>\$ 160,694,000</u>	<u>\$ 251,990,997</u>	<u>\$ 595,660,313</u>	<u>\$ 8,065,855</u>	<u>\$ 1,647,806,730</u>
45							
46 <u>Non-Operating Expenditures</u>							
47 Transfers							\$ -
48 Fixed Capital Outlay							\$ -
49 Carryforward (From Prior Period Funds)	\$ 48,825,032	\$ 6,460,023					\$ 55,285,055
50 Other ⁷							\$ -
51 Total Non-Operating Expenditures :	<u>\$ 48,825,032</u>	<u>\$ 6,460,023</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 55,285,055</u>
52							
53 Ending Fund Balance :	<u>\$ 88,663,010</u>	<u>\$ 8,863,158</u>	<u>\$ 23,154,497</u>	<u>\$ 169,244,335</u>	<u>\$ 51,730,395</u>	<u>\$ (6,273,334)</u>	<u>\$ 335,382,061</u>
54							
55 Fund Balance Increase / Decrease :	\$ (48,825,032)	\$ (6,460,023)	\$ -	\$ (6,103,661)	\$ (2,182,662)	\$ 30	\$ (63,571,348)
56 Fund Balance Percentage Change :	-35.51%	-42.16%	0.00%	-3.48%	-4.05%	0.00%	-15.93%

FLORIDA INTERNATIONAL UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Medical School E&G¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 76,712,370	\$ 10,803,393	\$ 10,287,399	\$ 142,800,987	\$ 25,525,172	\$ 2,953,976	\$ 269,083,297
2							
3 <u>Receipts/Revenues</u>							
4 General Revenue	\$ 208,014,204	\$ 31,698,518					\$ 239,712,722
5 Lottery	\$ 33,200,019						\$ 33,200,019
6 Student Tuition	\$ 235,952,139	\$ 18,237,508		\$ 44,450,711	\$ 15,129,314		\$ 313,769,672
7 Phosphate Research							\$ -
8 Other U.S. Grants			\$ 74,763,518		\$ 92,289,920		\$ 167,053,438
9 City or County Grants			\$ 5,276,867				\$ 5,276,867
10 State Grants			\$ 140,411		\$ 22,783,898		\$ 22,924,309
11 Other Grants and Donations			\$ 14,156,173	\$ 5,000		\$ 2,041,491	\$ 16,202,664
12 Donations / Contrib. Given to the State							\$ -
13 Sales of Goods / Services			\$ 7,973,496	\$ 102,751,364	\$ 3,401,678	\$ 20,000	\$ 114,146,538
14 Sales of Data Processing Services							\$ -
15 Fees				\$ 15,332,748	\$ 66,590,946		\$ 81,923,694
16 Miscellaneous Receipts			\$ 18,427,079	\$ 20,911,787	\$ 14,318,666		\$ 53,657,532
17 Rent				\$ 33,255,710		\$ 483,916	\$ 33,739,626
18 Concessions							\$ -
19 Assessments / Services							\$ -
20 Other Reciepts / Revenues ⁶				\$ 12,024,218	\$ 487,502	\$ 4,047,215	\$ 16,558,935
21 Subtotal:	\$ 477,166,362	\$ 49,936,026	\$ 120,737,544	\$ 228,731,538	\$ 215,001,924	\$ 6,592,622	\$ 1,098,166,016
22 Transfers In			\$ 30,256,497	\$ 69,159,215	\$ 46,139,810		\$ 145,555,522
23 Total - Receipts / Revenues:	\$ 477,166,362	\$ 49,936,026	\$ 150,994,041	\$ 297,890,753	\$ 261,141,734	\$ 6,592,622	\$ 1,243,721,538
24							
25 <u>Operating Expenditures</u>							
26 Salaries and Benefits	\$ 319,623,903	\$ 40,437,413	\$ 64,050,840	\$ 81,491,369	\$ 18,345,301		\$ 523,948,826
27 Other Personal Services	\$ 39,455,579	\$ 2,570,471	\$ 14,976,379	\$ 14,901,914	\$ 3,285,297		\$ 75,189,640
28 Expenses	\$ 77,807,960	\$ 6,132,231	\$ 40,560,479	\$ 91,552,446	\$ 198,351,392	\$ 4,462,242	\$ 418,866,750
29 Operating Capital Outlay	\$ 4,051,274		\$ 1,851,887	\$ 2,367,705	\$ 857,652		\$ 9,128,518
30 Risk Management	\$ 3,104,310	\$ 80,190	\$ 189,765	\$ 380,791			\$ 3,755,056
31 Financial Aid	\$ 24,821,566						\$ 24,821,566
32 Scholarships				\$ 5,504,399			\$ 5,504,399
33 Waivers							\$ -
34 Finance Expense							\$ -
35 Debt Service			\$ 205,000	\$ 14,322,916		\$ 166,770	\$ 14,694,686
36 Salary Incentive Payments	\$ 31,980						\$ 31,980
37 Law Enforcement Incentive Payments							\$ -
38 Library Resources	\$ 8,269,790	\$ 715,721					\$ 8,985,511
39 Institute of Government							\$ -
40 Regional Data Centers - SUS							\$ -

FLORIDA INTERNATIONAL UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Medical School E&G¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Faculty Practice⁵</u>	<u>Summary</u>
41 Black Male Explorers Program							\$ -
42 Phosphate Research							\$ -
43 Other Operating Category							\$ -
44 Total Operating Expenditures :	<u>\$ 477,166,362</u>	<u>\$ 49,936,026</u>	<u>\$ 121,834,350</u>	<u>\$ 210,521,540</u>	<u>\$ 220,839,642</u>	<u>\$ 4,629,012</u>	<u>\$ 1,084,926,932</u>
45							
46 <u>Non-Operating Expenditures</u>							
47 Transfers			\$ 29,341,080	\$ 79,746,589	\$ 44,590,023	\$ 1,263,821	\$ 154,941,513
48 Fixed Capital Outlay							\$ -
49 Carryforward (From Prior Period Funds)	\$ 21,731,662	\$ 8,306,591					\$ 30,038,253
50 Other ⁷							\$ -
51 Total Non-Operating Expenditures :	<u>\$ 21,731,662</u>	<u>\$ 8,306,591</u>	<u>\$ 29,341,080</u>	<u>\$ 79,746,589</u>	<u>\$ 44,590,023</u>	<u>\$ 1,263,821</u>	<u>\$ 184,979,766</u>
52							
53 Ending Fund Balance :	<u>\$ 54,980,708</u>	<u>\$ 2,496,802</u>	<u>\$ 10,106,010</u>	<u>\$ 150,423,611</u>	<u>\$ 21,237,241</u>	<u>\$ 3,653,765</u>	<u>\$ 242,898,137</u>
54							
55 Fund Balance Increase / Decrease :	\$ (21,731,662)	\$ (8,306,591)	\$ (181,389)	\$ 7,622,624	\$ (4,287,931)	\$ 699,789	\$ (26,185,160)
56 Fund Balance Percentage Change :	-28.33%	-76.89%	-1.76%	5.34%	-16.80%	23.69%	-9.73%

UNIVERSITY OF NORTH FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 27,181,624	\$ 574,071	\$ 23,206,668	\$ 11,371,019	\$ 62,333,382
2					
3 <u>Receipts/Revenues</u>					
4 General Revenue	\$ 75,287,726				\$ 75,287,726
5 Lottery	\$ 13,829,188				\$ 13,829,188
6 Student Tuition	\$ 66,309,501				\$ 66,309,501
7 Phosphate Research					\$ -
8 Other U.S. Grants		\$ 2,803,639		\$ 20,000,000	\$ 22,803,639
9 City or County Grants					\$ -
10 State Grants					\$ -
11 Other Grants and Donations		\$ 3,249,633		\$ 14,024,000	\$ 17,273,633
12 Donations / Contrib. Given to the State					\$ -
13 Sales of Goods / Services			\$ 38,900		\$ 38,900
14 Sales of Data Processing Services					\$ -
15 Fees		\$ 158,619	\$ 6,479,416	\$ 24,819,141	\$ 31,457,176
16 Miscellaneous Receipts		\$ 4,000,401	\$ 19,833,709	\$ 3,673,165	\$ 27,507,275
17 Rent			\$ 22,697,792		\$ 22,697,792
18 Concessions			\$ 28,000	\$ 54,000	\$ 82,000
19 Assessments / Services					\$ -
20 Other Reciepts / Revenues ⁶		\$ 9,956	\$ 312,311	\$ 56,447	\$ 378,714
21 Subtotal:	\$ 155,426,415	\$ 10,222,248	\$ 49,390,128	\$ 62,626,753	\$ 277,665,544
22 Transfers In		\$ 135,527	\$ 5,250,670	\$ 536,000	\$ 5,922,197
23 Total - Receipts / Revenues:	\$ 155,426,415	\$ 10,357,775	\$ 54,640,798	\$ 63,162,753	\$ 283,587,741
24					
25 <u>Operating Expenditures</u>					
26 Salaries and Benefits	\$ 118,065,150	\$ 4,166,603	\$ 13,461,289	\$ 10,628,875	\$ 146,321,917
27 Other Personal Services	\$ 6,086,823	\$ 1,381,420	\$ 3,142,085	\$ 2,895,110	\$ 13,505,438
28 Expenses	\$ 24,841,827	\$ 4,513,230	\$ 33,919,919	\$ 48,963,955	\$ 112,238,931
29 Operating Capital Outlay	\$ 60,188	\$ 302,059	\$ 432,560	\$ 5,000	\$ 799,807
30 Risk Management	\$ 1,072,522				\$ 1,072,522
31 Financial Aid	\$ 5,267,405	\$ (4,103)		\$ 409,500	\$ 5,672,802
32 Scholarships					\$ -
33 Waivers					\$ -
34 Finance Expense					\$ -
35 Debt Service					\$ -
36 Salary Incentive Payments	\$ 30,000				\$ 30,000
37 Law Enforcement Incentive Payments					\$ -
38 Library Resources	\$ 2,500		\$ 47,468		\$ 49,968
39 Institute of Government					\$ -
40 Regional Data Centers - SUS					\$ -

UNIVERSITY OF NORTH FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
41 Black Male Explorers Program					\$ -
42 Phosphate Research					\$ -
43 Other Operating Category					\$ -
44 Total Operating Expenditures :	<u>\$ 155,426,415</u>	<u>\$ 10,359,209</u>	<u>\$ 51,003,321</u>	<u>\$ 62,902,440</u>	<u>\$ 279,691,385</u>
45					
46 <u>Non-Operating Expenditures</u>					
47 Transfers		\$ 135,527	\$ 6,020,117	\$ 1,327,836	\$ 7,483,480
48 Fixed Capital Outlay					\$ -
49 Carryforward (From Prior Period Funds)	\$ 19,410,303				\$ 19,410,303
50 Other ⁷					\$ -
51 Total Non-Operating Expenditures :	<u>\$ 19,410,303</u>	<u>\$ 135,527</u>	<u>\$ 6,020,117</u>	<u>\$ 1,327,836</u>	<u>\$ 26,893,783</u>
52					
53 Ending Fund Balance :	<u>\$ 7,771,321</u>	<u>\$ 437,110</u>	<u>\$ 20,824,028</u>	<u>\$ 10,303,496</u>	<u>\$ 39,335,955</u>
54					
55 Fund Balance Increase / Decrease :	\$ (19,410,303)	\$ (136,961)	\$ (2,382,640)	\$ (1,067,523)	\$ (22,997,427)
56 Fund Balance Percentage Change :	-71.41%	-23.86%	-10.27%	-9.39%	-36.89%

FLORIDA GULF COAST UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 22,621,717	\$ 4,115,922	\$ 15,290,902	\$ 4,533,403	\$ 46,561,944
2					
3 <u>Receipts/Revenues</u>					
4 General Revenue	\$ 67,945,174				\$ 67,945,174
5 Lottery	\$ 7,684,070				\$ 7,684,070
6 Student Tuition	\$ 59,113,989				\$ 59,113,989
7 Phosphate Research					\$ -
8 Other U.S. Grants		\$ 9,547,394		\$ 22,130,655	\$ 31,678,049
9 City or County Grants		\$ 748,825			\$ 748,825
10 State Grants		\$ 1,717,274		\$ 266,941	\$ 1,984,215
11 Other Grants and Donations		\$ 4,651,069	\$ 43,500	\$ 4,026,324	\$ 8,720,893
12 Donations / Contrib. Given to the State					\$ -
13 Sales of Goods / Services					\$ -
14 Sales of Data Processing Services					\$ -
15 Fees			\$ 4,089,060	\$ 14,961,969	\$ 19,051,029
16 Miscellaneous Receipts		\$ 96,696	\$ 41,998,501	\$ 1,746,019	\$ 43,841,216
17 Rent					\$ -
18 Concessions					\$ -
19 Assessments / Services					\$ -
20 Other Reciepts / Revenues ⁶	\$ 200,000	\$ 27,937	\$ 260,000		\$ 487,937
21 Subtotal:	\$ 134,943,233	\$ 16,789,195	\$ 46,391,061	\$ 43,131,908	\$ 241,255,397
22 Transfers In		\$ 5,298,739	\$ 4,458,967	\$ 1,033,917	\$ 10,791,623
23 Total - Receipts / Revenues:	\$ 134,943,233	\$ 22,087,934	\$ 50,850,028	\$ 44,165,825	\$ 252,047,020
24					
25 <u>Operating Expenditures</u>					
26 Salaries and Benefits	\$ 96,481,882	\$ 6,916,609	\$ 10,775,097	\$ 7,007,650	\$ 121,181,238
27 Other Personal Services	\$ 8,775,678	\$ 2,019,400	\$ 2,126,550	\$ 1,910,928	\$ 14,832,556
28 Expenses	\$ 22,957,144	\$ 8,027,391	\$ 13,689,941	\$ 8,366,787	\$ 53,041,263
29 Operating Capital Outlay	\$ 263,910	\$ 109,358	\$ 334,010	\$ 100,000	\$ 807,278
30 Risk Management	\$ 1,268,102		\$ 127,352		\$ 1,395,454
31 Financial Aid	\$ 3,033,793	\$ 978,379	\$ 26,500	\$ 23,668,155	\$ 27,706,827
32 Scholarships					\$ -
33 Waivers					\$ -
34 Finance Expense					\$ -
35 Debt Service					\$ -
36 Salary Incentive Payments					\$ -
37 Law Enforcement Incentive Payments					\$ -
38 Library Resources	\$ 1,675,724		\$ 1,500		\$ 1,677,224
39 Institute of Government					\$ -
40 Regional Data Centers - SUS					\$ -

FLORIDA GULF COAST UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
41 Black Male Explorers Program					\$ -
42 Phosphate Research					\$ -
43 Other Operating Category					\$ -
44 Total Operating Expenditures :	<u>\$ 134,456,233</u>	<u>\$ 18,051,137</u>	<u>\$ 27,080,950</u>	<u>\$ 41,053,520</u>	<u>\$ 220,641,840</u>
45					
46 <u>Non-Operating Expenditures</u>					
47 Transfers		\$ (762,827)	\$ 23,371,115	\$ 391,119	\$ 22,999,407
48 Fixed Capital Outlay					\$ -
49 Carryforward (From Prior Period Funds)	\$ 5,622,446				\$ 5,622,446
50 Other ⁷					\$ -
51 Total Non-Operating Expenditures :	<u>\$ 5,622,446</u>	<u>\$ (762,827)</u>	<u>\$ 23,371,115</u>	<u>\$ 391,119</u>	<u>\$ 28,621,853</u>
52					
53 Ending Fund Balance :	<u>\$ 17,486,271</u>	<u>\$ 8,915,546</u>	<u>\$ 15,688,865</u>	<u>\$ 7,254,589</u>	<u>\$ 49,345,271</u>
54					
55 Fund Balance Increase / Decrease :	\$ (5,135,446)	\$ 4,799,624	\$ 397,963	\$ 2,721,186	\$ 2,783,327
56 Fund Balance Percentage Change :	-22.70%	116.61%	2.60%	60.03%	5.98%

NEW COLLEGE OF FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 4,695,924	\$ 472,404	\$ 2,703,011	\$ 328,525	\$ 8,199,864
2					
3 <u>Receipts/Revenues</u>					
4 General Revenue	\$ 18,366,962				\$ 18,366,962
5 Lottery	\$ 1,115,762				\$ 1,115,762
6 Student Tuition	\$ 4,990,000				\$ 4,990,000
7 Phosphate Research					\$ -
8 Other U.S. Grants		\$ 619,738			\$ 619,738
9 City or County Grants					\$ -
10 State Grants					\$ -
11 Other Grants and Donations		\$ 889,000		\$ 4,116,967	\$ 5,005,967
12 Donations / Contrib. Given to the State					\$ -
13 Sales of Goods / Services					\$ -
14 Sales of Data Processing Services					\$ -
15 Fees	\$ 50,000		\$ 842,580	\$ 853,460	\$ 1,746,040
16 Miscellaneous Receipts		\$ 1,882,583	\$ 6,623,105	\$ 4,100	\$ 8,509,788
17 Rent					\$ -
18 Concessions					\$ -
19 Assessments / Services					\$ -
20 Other Reciepts / Revenues ⁶	\$ -	\$ 5,000	\$ 22,000	\$ 5,300	\$ 32,300
21 Subtotal:	\$ 24,522,724	\$ 3,396,321	\$ 7,487,685	\$ 4,979,827	\$ 40,386,557
22 Transfers In			\$ 1,295,000	\$ -	\$ 1,295,000
23 Total - Receipts / Revenues:	\$ 24,522,724	\$ 3,396,321	\$ 8,782,685	\$ 4,979,827	\$ 41,681,557
24					
25 <u>Operating Expenditures</u>					
26 Salaries and Benefits	\$ 18,687,641	\$ 1,809,452	\$ 1,338,754	\$ 259,127	\$ 22,094,974
27 Other Personal Services	\$ 502,582	\$ 712,216	\$ 268,940	\$ 89,520	\$ 1,573,258
28 Expenses	\$ 3,493,792	\$ 881,598	\$ 3,383,046	\$ 294,178	\$ 8,052,614
29 Operating Capital Outlay	\$ 26,606		\$ 84,000		\$ 110,606
30 Risk Management	\$ 326,034		\$ 15,899		\$ 341,933
31 Financial Aid	\$ 454,407			\$ 1,643,184	\$ 2,097,591
32 Scholarships	\$ 850,000			\$ 2,715,047	\$ 3,565,047
33 Waivers					\$ -
34 Finance Expense					\$ -
35 Debt Service			\$ 2,045,000		\$ 2,045,000
36 Salary Incentive Payments					\$ -
37 Law Enforcement Incentive Payments					\$ -
38 Library Resources	\$ 181,665				\$ 181,665
39 Institute of Government					\$ -
40 Regional Data Centers - SUS					\$ -

NEW COLLEGE OF FLORIDA
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
41 Black Male Explorers Program					\$ -
42 Phosphate Research					\$ -
43 Other Operating Category					\$ -
44 Total Operating Expenditures :	<u>\$ 24,522,727</u>	<u>\$ 3,403,266</u>	<u>\$ 7,135,639</u>	<u>\$ 5,001,056</u>	<u>\$ 40,062,688</u>
45					
46 <u>Non-Operating Expenditures</u>					
47 Transfers			\$ 1,295,000		\$ 1,295,000
48 Fixed Capital Outlay					\$ -
49 Carryforward (From Prior Period Funds)	\$ -				\$ -
50 Other ⁷	\$ -				\$ -
51 Total Non-Operating Expenditures :	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,295,000</u>	<u>\$ -</u>	<u>\$ 1,295,000</u>
52					
53 Ending Fund Balance :	<u>\$ 4,695,921</u>	<u>\$ 465,459</u>	<u>\$ 3,055,057</u>	<u>\$ 307,296</u>	<u>\$ 8,523,733</u>
54					
55 Fund Balance Increase / Decrease :	\$ (3)	\$ (6,945)	\$ 352,046	\$ (21,229)	\$ 323,869
56 Fund Balance Percentage Change :	0.00%	-1.47%	13.02%	-6.46%	3.95%

FLORIDA POLYTECHNIC UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
1 Beginning Fund Balance	\$ 26,126,027	\$ -	\$ 492,203	\$ 559,594	\$ 27,177,824
2					
3 <u>Receipts/Revenues</u>					
4 General Revenue	\$ 34,658,654				\$ 34,658,654
5 Lottery	\$ 292,702				\$ 292,702
6 Student Tuition	\$ 3,167,075				\$ 3,167,075
7 Phosphate Research	\$ 3,062,084				\$ 3,062,084
8 Other U.S. Grants					\$ -
9 City or County Grants					\$ -
10 State Grants					\$ -
11 Other Grants and Donations		\$ 400,000			\$ 400,000
12 Donations / Contrib. Given to the State					\$ -
13 Sales of Goods / Services			\$ 2,438,074		\$ 2,438,074
14 Sales of Data Processing Services					\$ -
15 Fees			\$ 396,229	\$ 1,410,170	\$ 1,806,399
16 Miscellaneous Receipts					\$ -
17 Rent					\$ -
18 Concessions				\$ 10,000	\$ 10,000
19 Assessments / Services					\$ -
20 Other Reciepts / Revenues ⁶					\$ -
21 Subtotal:	\$ 41,180,515	\$ 400,000	\$ 2,834,303	\$ 1,420,170	\$ 45,834,988
22 Transfers In		\$ 630,000		\$ 6,545,693	\$ 7,175,693
23 Total - Receipts / Revenues:	\$ 41,180,515	\$ 1,030,000	\$ 2,834,303	\$ 7,965,863	\$ 53,010,681
24					
25 <u>Operating Expenditures</u>					
26 Salaries and Benefits	\$ 22,004,210		\$ 469,000	\$ 111,350	\$ 22,584,560
27 Other Personal Services	\$ 1,616,509		\$ 51,558	\$ 51,360	\$ 1,719,427
28 Expenses	\$ 17,479,088		\$ 1,950,701	\$ 7,601,087	\$ 27,030,876
29 Operating Capital Outlay			\$ 122,600	\$ 90,000	\$ 212,600
30 Risk Management	\$ 46,620				\$ 46,620
31 Financial Aid	\$ 50,000				\$ 50,000
32 Scholarships					\$ -
33 Waivers					\$ -
34 Finance Expense					\$ -
35 Debt Service					\$ -
36 Salary Incentive Payments					\$ -
37 Law Enforcement Incentive Payments					\$ -
38 Library Resources					\$ -
39 Institute of Government					\$ -
40 Regional Data Centers - SUS					\$ -

FLORIDA POLYTECHNIC UNIVERSITY
2016-2017 Operating Budget
Summary Schedule I

	<u>Education & General¹</u>	<u>Contracts & Grants²</u>	<u>Auxiliaries³</u>	<u>Local Funds⁴</u>	<u>Summary</u>
41 Black Male Explorers Program					\$ -
42 Phosphate Research					\$ -
43 Other Operating Category					\$ -
44 Total Operating Expenditures :	\$ 41,196,427	\$ -	\$ 2,593,859	\$ 7,853,797	\$ 51,644,083
45					
46 <u>Non-Operating Expenditures</u>					
47 Transfers					\$ -
48 Fixed Capital Outlay					\$ -
49 Carryforward (From Prior Period Funds	\$ 16,110,925				\$ 16,110,925
50 Other ⁷	\$ -				\$ -
51 Total Non-Operating Expenditures :	\$ 16,110,925	\$ -	\$ -	\$ -	\$ 16,110,925
52					
53 Ending Fund Balance :	\$ 9,999,190	\$ 1,030,000	\$ 732,647	\$ 671,660	\$ 12,433,497
54					
55 Fund Balance Increase / Decrease :	\$ (16,126,837)	\$ 1,030,000	\$ 240,444	\$ 112,066	\$ (14,744,327)
56 Fund Balance Percentage Change :	-61.73%	-	48.85%	20.03%	-54.25%

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Budget and Finance Committee
September 22, 2016**

SUBJECT: 2016-2017 Board General Office Budget

PROPOSED COMMITTEE ACTION

Approve the 2016-2017 Board General Office Budget and authorize the Chancellor to make changes as necessary to support office functions

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; 2016 General Appropriations Act

BACKGROUND INFORMATION

The Board General Office budget for 2016-2017 totals \$8.3 million and supports 63 authorized positions. The 2016 General Appropriations Act allocates funds by specific category and funding source as follows:

Appropriation Category	Appropriation
Salaries & Benefits - GR	\$5,631,851
Salaries & Benefits - Trust Funds	\$764,518
Other Personal Services - GR	\$51,310
Other Personal Services - Trust Funds	\$20,785
Expenses - GR	\$715,329
Expenses - Trust Funds	\$206,799
Operating Capital Outlay - GR	\$11,782
Operating Capital Outlay - Trust Funds	\$5,950
Contracted Services - GR	\$715,127
Contracted Services - Trust Funds	\$23,000
Risk Management Insurance - GR	\$11,937

DMS – HR Services - GR	\$17,351
DMS – HR Services – Trust Funds	\$4,385
Data Processing Services - GR	\$123,516

Each funding source and category has specific restrictions on spending funds from that appropriation. Appropriations are allowed to be transferred between categories via budget transfers filed with the Governor’s Office. These transfers cannot exceed five percent or \$250,000 whichever is greater. Any transfers in excess of this amount must be approved by the Legislature and Governor through an established budget amendment process.

Appropriation Funding Source:

- General Revenue – appropriations from this source has the least restrictions and can be used for any basic operating expense.
- Trust Funds – appropriations from this source are primarily used to support Board Facility Office staff and expenses.

Appropriation Category:

- Salaries & Benefits – salaries and benefits associated with 63 authorized positions.
- Other Personal Services – these funds are utilized for temporary employment. The Board utilizes university students to supplement basic phone coverage and other office needs.
- Expenses – these funds are utilized for basic operating expenses such as travel, rent, office supplies, or equipment costing less than \$1,000.
- Operating Capital Outlay – these funds are utilized for items costing more than \$1,000, such as computers or furniture.
- Contracted Services – these funds are utilized for any service requiring a contractual agreement.
- Risk Management Insurance – these funds are transferred to the Department of Management Services to cover the costs associated with the State’s risk management pool.
- DMS – HR Services – these funds are transferred to the Department of Management Services to cover the costs associated with the State’s PeopleFirst System.
- Data Processing Services – these funds are transferred to the Northwest Regional Data Center to pay for data storage services.

Supporting Documentation Included: Board General Office Operating Budget
Summary for Fiscal Year 2016-2017

Facilitators/Presenters: Mr. Tim Jones

**Board of Governors
2016-2017 General Office Budget
Total (General Revenue & Trust Funds)**

	Salaries & Benefits	Other Personal Services	Expenses	Operating Capital Outlay	Contracted Services	*Northwest Regional Data Center	DMS - PeopleFirst	Risk Management Insurance	Total
Beginning Appropriation as of 7/1/16	\$6,396,369	\$72,095	\$922,128	\$17,732	\$738,127	\$123,516	\$21,736	\$11,937	\$8,303,640
Transfers between Categories	(\$19,339)					\$19,339			\$0
Adjusted Appropriation	\$6,377,030	\$72,095	\$922,128	\$17,732	\$738,127	\$142,855	\$21,736	\$11,937	\$8,303,640
Less Obligations:									
1 63 Authorized Positions	(\$6,157,562)								(\$6,157,562)
2 Tempoary Employees (Student Assistance)		(\$71,916)							(\$71,916)
3 Tnsfr to DMS for Rent - Turlington Building			(\$309,386)						(\$309,386)
4 Communication & DOE Support Services			(\$37,667)						(\$37,667)
5 Printing			(\$12,548)						(\$12,548)
6 Travel & Training (Bd Members & Staff)			(\$303,860)						(\$303,860)
7 Office Supplies			(\$19,500)						(\$19,500)
8 Property & Crime Insurances			(\$1,028)						(\$1,028)
9 Memberships/Dues/Subscriptions			(\$83,345)						(\$83,345)
10 Equipment & Furniture Replacement			(\$30,000)	(\$17,732)					(\$47,732)
11 Information Technology Supplies & Software					(\$27,397)				(\$27,397)
12 Information Technology Maintenance					(\$97,230)				(\$97,230)
13 Advertising, Information Technology Service, Copier					(\$37,500)				(\$37,500)
14 16th Floor Renovation Project_DMS & Architects					(\$490,000)				(\$490,000)
15 16th Floor Renovation Project_Contingency Funds			(\$51,500)		(\$36,700)				(\$88,200)
16 2016 Trustee Summit in November-Speaker					(\$4,800)				(\$4,800)
17 IRM Upgrades to software/services			(\$30,000)		(\$30,000)				(\$60,000)
18 Security Charges to DOE for Security officers & Bldg Entry					(\$14,500)				(\$14,500)
19 Tnsfr to NWRDC for IT Services						(\$142,855)			(\$142,855)
20 Tnsfr to DMS for HR PeopleFirst Services							(\$21,736)		(\$21,736)
21 Tnsfr to DMS for Risk Management Insurance								(\$11,937)	(\$11,937)
22 Contingency (including lapse from unfilled positions)	(\$219,468)	(\$179)	(\$43,294)	\$0		\$0	\$0	\$0	(\$262,941)
Total Expenditures	(\$6,377,030)	(\$72,095)	(\$922,128)	(\$17,732)	(\$738,127)	(\$142,855)	(\$21,736)	(\$11,937)	(\$8,303,640)
Appropriation Balance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

*Northwest Regional Data Center=Information Technology Services

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Budget and Finance Committee
September 22, 2016**

SUBJECT: 2017-2018 State University System Legislative Budget Request

PROPOSED COMMITTEE ACTION

Approve the 2017-2018 operating Legislative Budget Request for the State University System and allow the Chancellor to make technical changes as necessary

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 216.023 Florida Statute

BACKGROUND INFORMATION

Annually, the Board of Governors must prepare and submit a State University System Legislative Budget Request (LBR) for state appropriated operating funds to the Legislature and Governor by October 15. The budget must be reviewed and recommendations made on the issues to be included in the 2017-2018 LBR. In accordance with Subsection 216.023(1), Florida Statutes, this LBR is based on an independent judgment of needs and pursuant to the LBR instructions will be presented to the Legislature and Governor by the statutory due date.

The Board approved the 2017-2018 LBR Guidelines at the June 2016 meeting. Technical instructions were provided to the universities and they responded with system and individual university budget needs.

The LBR to be considered will focus on performance funding, student safety and health, and key system or state initiatives. The LBR totals \$4.9 billion and represents a 4.2 percent increase, excluding requests for the Moffitt Cancer Center and the Institute of Human and Machine Cognition. The \$4.9 billion request also includes an estimated increase in tuition collections of \$55 million due to increased enrollments and the mix of out-of-state to in-state students. Not including the \$55 million, the request is a 3 percent increase. The LBR consists of three major components:

- a. Performance Funding of \$100 million in new state funds. This would bring the total to \$650 million; \$325 million in the state's investment and \$325 million in the institution's investment. These funds will be allocated based on the Board's 10-metric performance funding model.

- b. System Workload Initiatives - \$14.3 million. This includes funding for plant operations and maintenance for new and existing facilities, UF-IFAS workload and state fire marshal inspections.
- c. Campus Safety and Mental Health Initiatives - \$28.5 million is requested to support enhanced campus safety initiatives and counseling staff.

Each university has provided information on the following areas:

- 1. Performance Funding - each university has provided an overview of the initiatives they are undertaking based on the performance funds received this year.
- 2. Efficiencies - each university has provided a summary of various efficiency initiatives that have taken place or are underway. These efficiencies are expected to save approximately \$54 million or in many cases provide value added by increasing efficiencies and/or saving staff time.
- 3. Ten Percent Reductions - The detailed budget instructions provided by the Legislature and Governor's Office requested each agency, including universities, to provide information on the impact of a ten percent budget reduction (which is a standard, annual request made by the Legislature and Governor).

Supporting Documentation Included:

- 1. 2017-2018 Legislative Budget Request Guidelines
- 2. Executive Summary and Supporting Materials
- 3. Performance Funding Initiatives
- 4. Efficiency Summaries
- 5. 10 Percent Reduction Summaries

Facilitators/Presenters: Mr. Tim Jones



State University System of Florida Board of Governors 2017-18 Legislative Budget Request Development Policy Guidelines

Pursuant to Section 7, Article 9 of the Florida Constitution, the Board "...shall operate, regulate, control, and be fully responsible for the management of the whole university system." Included within this responsibility is the development of a Legislative Budget Request (LBR). In addition, Section 216.023(1), Florida Statutes, requires the submission of an LBR to the Legislature and Governor based on an independent judgment of needs.

The 2017-2018 LBR will provide flexibility for the Board of Governors (Board) and individual university boards of trustees to jointly manage the system to meet the critical needs of the state, achieve the statewide goals and objectives of the updated State University System (SUS) Strategic Plan and university work plans, and demonstrate accountability/justification. The following goals of the SUS Strategic Plan will be addressed in the request:

1. Excellence
2. Productivity
3. Strategic Priorities for a Knowledge Economy

These System goals, as well as institutional goals and initiatives, should be incorporated into the following priorities, which will be reflected in the LBR:

Operating and Specialized Program Funds:

1. Continuing costs associated with existing programs – This policy addresses the funds needed to continue existing programs:
 - a. Plant operations and maintenance for new and existing buildings –
 - i. Funds will be requested for the annualized operations and maintenance costs for buildings completed and phased-in during 2016-2017;
 - ii. Funds will be requested for the operating costs for new buildings to be completed and occupied in 2017-2018.



- b. Annual Fire Safety Inspection Fees –
 - i. Funds will be requested to cover the annual costs of the State Fire Marshalls inspection of university facilities.
2. Performance Funding – Funding will be requested based on the Board’s performance funding model.
3. Task Force Reports, Studies, and Strategic Plans – Consideration will be given to initiatives recommended in any reports, studies or strategic plans and endorsed by the Board.
4. Shared System Resources – Consideration will be given to initiatives that allow for greater efficiencies through shared system resources. System initiatives developed by the universities should be vetted by the appropriate SUS council before being recommended to the Board.
5. Campus Health, Safety and Security – Consideration will be given to initiatives that support or enhance student health, ensure the safety of students, faculty and staff, and secures the campus to promote a safe learning environment.

The following represents the timeline for submission of the SUS 2017-2018 LBR for operations:

- June 23: Board approves the LBR Policy Guidelines
- May – July: Chancellor provides guidance to the universities on the development of system and university LBR issues
- August 1: System issues are submitted by the appropriate SUS council
- Sept. 22: Board approves the operating LBR
- Oct. 15.: Operating LBR is submitted to the Governor and Legislature
- Oct. 18: Budget and Finance Committee Workshop to discuss potential changes to the performance funding model
- Nov. 3: Board evaluates amendments to the LBR
- Feb. 2017: Amended LBR is submitted to the Legislature and Governor after release of the Governor’s budget recommendations



Fixed Capital Outlay Funds:

The university's approved Five Year Capital Improvement Plan (CIP) will be prioritized, in the first year, as indicated below. Please note that PECO funding to meet critical maintenance needs has been assigned a higher priority than adding new facilities, with the intent to improve the condition of existing space and campus infrastructure. Written justification, noting any exceptions to the priorities provided by the guidelines, and explaining why a priority exception is in the best interest of the university should be included in the cover letter submitted with the CIP package. This will assist Board staff in comparative evaluation of university projects, and justification in terms of relative system ranking for placing in system priority order. Each university should submit one and only one prioritized, sequentially numbered list.

Funding will be requested for institutional survey recommended PECO projects in the following priority order¹:

1. Maintenance Projects
 - a. Funding for Remodeling/Renovation/Maintenance/Repair will be requested from PECO pursuant to formula as required by Section 1013.64(1)(a), Florida Statutes.
 - b. Critical Deferred Maintenance.
2. System and Continuation Projects
 - a. Projects funded by the legislature in the amount and in the year as last included on the Board adopted three year list.
 - b. Projects funded by the Legislature, but not on the Board adopted three year list.
 - c. Projects that require additional funding to complete.
3. Renovation Projects
 - a. Utilities/infrastructure/capital renewal/roofs needs.
 - b. Renovation and remodeling projects to meet current space needs, structural/mechanical repairs, replacement of existing facilities which have a survey recommendation. (Major named projects)

¹ Each university must incorporate utilization data as a factor in prioritization of university CIP funding requests to the Board. Programs with classroom and teaching lab space utilization below the current SUS standard will not be eligible for inclusion on the university CIP. General purpose classroom or teaching lab space (space not designated for a specific academic program or discipline) will not be eligible for inclusion if utilization was below the SUS standard for 2013-14. This standard applies both to the university as a whole and on a site-specific basis.



4. Strategic Projects
 - a. Land or building acquisition in accordance with university board of trustees adopted master plans.
 - b. New facilities, as needed to meet instructional and support space needs.
5. Legislative Authorizations
 - a. Required legislative authorizations will be requested for externally funded projects as proposed by the universities, in accordance with Section 1010.62 and 1013.78, Florida Statutes.

The following represents the timeline for submission of the SUS 2017-2018 Fixed Capital Outlay LBR:

- April 30: Chancellor provides draft technical instructions and requests universities to submit their five-year CIPs
- June 23: Board approves the LBR Policy Guidelines
- August 1: Universities submit five-year CIPs. Board staff will review CIPs with university designee(s), technical corrections will be made as required
- Sept. 22: Board approves the fixed capital outlay LBR
- Oct. 15: Fixed capital outlay LBR is submitted to the Governor and Legislature
- Oct. 18: Facilities Committee Workshop. The Committee will meet with university staff to review projects
- Jan. 26, 2017: Board evaluates amendments to the LBR
- February: Amended LBR is submitted to the Legislature and Governor after release of the Governor's budget recommendations



**State University System
2017-2018 Legislative Budget Request
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V. FAMU/FSU College of Engineering Request (to be provided)	6
VI. State Fire Marshal Initiative	7
VII. Statewide Initiatives	8
VIII. Matching Program and Other University Initiatives	9
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2017-2018 LBR

State University System of Florida
Education and General
2017-2018 Executive Summary, Universities and Special Units

Attachment 1

	Board Request
1 2016-2017 Total Appropriations	
2 State Support	\$2,775,053,521
3 Tuition Support	\$1,957,486,926
4 2016-2017 Total Base Budget	\$4,732,540,447
5 2017-2018 Start-up Budget	
6 2016-2017 Non-Recurring Appropriations	(\$30,727,847)
7 2016-2017 Casualty Insurance Premium Adjustment	\$2,450,948
8 2017-2018 Beginning Base Budget	\$4,704,263,548
10 2017-2018 Budget Issues:	
11 Performance Funding Initiative	
12 SUS Performance Based Incentives*	\$100,000,000
13 System Workload	
14 Plant, Operations, and Maintenance for 2016-2017 New Facilities	\$5,630,011
15 Plant, Operations, and Maintenance for 2017-2018 New Facilities	\$2,395,448
16 Enrollment Alignment - Additional Budget Authority - estimated	\$55,000,000
17 UF-IFAS Workload Initiative	\$3,985,020
18 State Fire Marshal Inspections	\$2,271,648
19 Campus Safety and Mental Health Initiatives	
20 Enhance Campus Safety and Security - Budget and Finance	\$14,027,803
21 Enhance Mental Health Counseling Services - Academic and Student Affairs	\$14,449,575
22 Incremental Growth for 2017-2018	\$197,759,505
24 Total 2017-2018 Budget	\$4,902,023,053
25 % Increase over 2017-2018 Beginning Base Budget (Line 8)	4.2%
26 2016-2017 Beginning State Support	\$2,746,776,622
27 Increase in State Support	\$142,759,505
28 Total State Support Needed for FY 2017-2018	\$2,889,536,127
30 2016-2017 Beginning Student Tuition Support	\$1,957,486,926
31 Increase in Student Tuition Support	\$55,000,000
32 Total Tuition Support Needed for FY 2017-2018	\$2,012,486,926
33 Total Support for FY 2017-2018	\$4,902,023,053
34	
35 Statewide Initiatives	
36 Moffitt Cancer Center (pass-through funds)	\$8,523,070
37 Institute of Human and Machine Cognition (pass-through funds)	\$4,739,184
38 Incremental Growth for 2017-2018	\$13,262,254
39 Total Support w/Statewide Initiatives for FY 2017-2018	\$4,915,285,307
40 % Increase over 2017-2018 Beginning Base Budget (Line 8)	4.5%

* The current performance funding appropriation of \$500 million includes \$225 million in state investments and \$275 million in institutional investments. For the upcoming year, the system is requesting \$100 million in state investment funds and \$50 million reallocated from the system's base as institutional investment funds, with a total of \$325 million in state investment and \$325 million in institutional dollars.

State University System of Florida
Education and General
2017-2018 Other System or Special Initiatives

Attachment 2

1	Research/System Initiatives	
2	FAMU/FSU College of Engineering - Budget and Finance	\$7,168,750
3	Support Small Business Development Center - Academic and Student Affairs	\$4,000,000
4	Florida Institute of Oceanography - Academic and Student Affairs	\$1,800,000
5	Advancing Discovery and Innovation in Florida - Task Force	
6	a. Strategic Cluster Hires	\$80,000,000
7	b. Research Infrastructure	\$15,000,000
8	c. Sunshine State Education & Research Computing Alliance (SSERCA)	\$7,000,000
9	d. Laboratory Renovations	\$12,000,000
10	e. Undergraduate Research Scholar Grant Program	\$2,500,000
11	f. Institutional Undergraduate Research Programs	\$5,000,000
12	g. Statewide Matching Grant Program for Industry-Sponsored Research	\$12,000,000
13	h. Research Commercialization Activities through I-Corps TM	\$2,500,000
14	Medical Education Initiatives	
15	Nursing Faculty Recruitment and Retention Program - Health	\$15,000,000
16	Graduate Medical Education Expansion Program - Health	\$80,000,000
17	Total	\$243,968,750

**State University System
Education and General
2017-2018 Legislative Budget Request
Form I**

University(s):	University of Florida IFAS
Issue Title:	Workload Formula
Priority Number	1
Recurring Funds Requested:	\$3,985,020
Non-Recurring Funds Requested:	\$0
Total Funds Requested:	\$3,985,020
Please check the issue type below:	
Shared Services/System-Wide Issue	<input type="checkbox"/>
New Issue for Fiscal Year 2017-2018	X

- I. Description** – 1. Describe the service or program to be provided and how this issue aligns with the goals and objectives of the strategic priorities and the 2015 Work Plan established by your institution (include whether this is a new or expanded service/program). If expanded, what has been accomplished with the current service/program? 2. Describe any projected impact on academic programs, student enrollments, and student services.

The UF/IFAS Workload Formula is a cost to continue funding formula or model that would respond to increased research and extension workload demand. It was developed at the request of and approved by the Board of Governors (BOG) in 2004.

The model uses extension delivery methods to measure increases in workload by both extension and research faculty in the form of workload delivery units. The model uses non-traditional teaching methods (such as field consultations, office consultations, telephone and email requests, group workshops, and printed materials) and converts these contacts to the equivalent of student FTEs. These delivery methods are converted by multiplying by a factor to account for level of effort and then divided by 40 which is the number used to convert student credit hours to FTEs. The total IFAS research and extension budget (General Revenue) is divided by this number to determine the value of a workload delivery unit.

- II. Return on Investment** - Describe the outcome(s) anticipated, dashboard indicator(s) to be improved, or return on investment. Be specific. For example, if this issue

Attachment 3

focuses on improving retention rates, indicate the current retention rate and the expected increase in the retention rate. Similarly, if it focuses on expanding access to academic programs or student services, indicate the current and expected outcomes.

According to the most recent National Science Foundation figures, UF has ranked first or second among US universities in total agricultural sciences research expenditures since fiscal year 2001. Topics most actively researched include pest and disease management, nutrition management, improved crop varieties, biotechnology, livestock, irrigation and food safety. In human systems, studies included global competitiveness, labor-saving technologies, marketing, consumer behavior, youth development, and human nutrition.

IFAS Extension and Research covers a wide and diverse set of issues. A few examples of return on investment:

- Workforce training – increasing income by as much as 32%,
- Beef cattle research resulting in \$2 million to \$7 million in savings to the Florida cattle industry each year,
- Creating new industries such as blueberries (industry didn't exist in Florida 12 years ago) now a nearly \$70 million industry
- Development of more efficient drip irrigation systems with the potential to reduce water consumption by nearly 2 billion gallons per week.
- Family Nutrition Program improved health related behavior in Pre-K through 5th grade between 72% and 93%

III. Facilities *(If this issue requires an expansion or construction of a facility, please complete the following table.):*

	Facility Project Title	Fiscal Year	Amount Requested	Priority Number
1.				
2.				

**State University System
Education and General
2017-2018 Legislative Budget Request
Form I**

University(s):	State University System
Issue Title:	Safety and Security
Priority Number	
Recurring Funds Requested:	\$9,020,034
Non-Recurring Funds Requested:	\$5,007,769
Total Funds Requested:	\$14,027,803
Please check the issue type below:	
Shared Services/System-Wide Issue	<input checked="" type="checkbox"/>
New Issue for 2017-2018	<input checked="" type="checkbox"/>

I. Description

- The proposed LBR is based on a goal of achieving The International Association of Chiefs of Police (IACP) standard for community-orientated policing of two officers per 1000 population as reflected in the March 2008 *Recommendations to the Florida Board of Governors based upon the Recommendations of the Florida Gubernatorial Task Force on Campus Safety*
- As stated in the 2008 report, the IACP has long utilized a national standard for community-orientated policing of two officers per 1000 population
- The 2008 report recommend a minimum ratio of one officer per 600 students with a goal of pursuing the IACP standard
- Key elements of the proposed LBR are:
 - Needed officers are initially based on one FTE Officers per 600 FTE Students using National definition of FTE, bringing all institutions to this minimum level
 - Additional officers are added proportional to FTE with the goal of bringing all institutions closer to the IACP standard
 - Adjusts for violent crimes in the community using FDLE Crime Statistics (6 universities receive additional 5 percent based on higher community violent crime)
 - Reflects the needs of very small institutions—NCF and FPU receive 7 additional officers each for adequate 24x7 minimum coverage

Attachment 4

- Reflects the needs of BOG defined branch campuses – FAU and USF receive 2 additional officers; FIU, UWF, FSU receive 1 additional officer
 - Uses actual salary data for officers (average salary from Right to Know database) and assigns benefits of 40 percent
 - Provides recurring expenses per officer of \$2,000 to cover uniform allowance, training, cell phone allowance, equipment maintenance, etc.
 - Provides one-time expense and equipment funds to cover officer uniforms, firearms, duty gear, ballistic vest and helmet, rifle, tablet, radio, body cameras, mobile and portable radios, emergency notification systems, etc.
 - Funding recommendation for equipment reflects the utilization of 25 percent of lapse funds for the recurring positions; in some cases there are no equipment funds recommended since the lapse amount is greater than the original equipment and additional lapse may be needed to cover all university requirements
- The LBR totals \$14 M and was approved by the Board at the September 2015 meeting. The amount is allocated to the universities as follows:

University	Proposed LBR Recurring	Proposed LBR Equipment	Proposed LBR Total
FAU	\$501,845	\$1,452,086	\$1,953,931
FIU	\$1,660,140	-	\$1,660,140
UCF	\$2,362,006	\$766,255	\$3,128,261
FAMU	\$164,319	\$268,691	\$433,010
UF	\$686,428	\$307,718	\$994,146
UNF	\$153,013	\$828,809	\$981,822
FGCU	\$374,158	\$371,932	\$746,089
FPU	\$458,859	\$141,275	\$600,134
FSU	\$701,032	\$125,947	\$826,980
NC/USF Sarasota	\$462,096	\$172,018	\$634,114
UWF	\$199,582	-	\$199,582
USF-System	\$1,296,556	\$573,038	\$1,869,594
Total	\$9,020,034	\$5,007,769	\$14,027,803

2017-2018 LBR

**State University System
Education and General
2017-2018 Legislative Budget Request
Form I**

University(s):	State University System
Issue Title:	Campus Security, Health, & Safety: Mental Health Counseling Services
Priority Number	
Recurring Funds Requested:	\$12,528,253
Non-Recurring Funds Requested:	\$1,921,322
Total Funds Requested:	\$14,449,575
Please check the issue type below:	
Shared Services/System-Wide Issue	<input checked="" type="checkbox"/>
New Issue for 2017-2018	<input checked="" type="checkbox"/>

I. Description

The **SUS Mental Health Counseling Services** Legislative Budget Request is designed to increase campus counseling services in order to address the critical need for student mental and behavioral health coverage in a State University System that is steadily expanding in size, scope, and complexity.

Universities are directly responsible for providing a safe educational, working, and living environment for students, faculty, staff, and visitors. SUS leaders have identified a number of current campus security challenges and focused on two issues: (1) law enforcement staffing needs in an expanding State University System, and (2) the increasing need for well-trained, professional counseling services to address the mental and behavioral health of university students.

The SUS Counseling Centers provide a wide variety of clinical services, including individual and group counseling and psychiatric services and assessment. Professional staff provide substance abuse treatment and prevention efforts and consult with many campus constituencies to provide prevention and outreach services. University counseling services are integral to graduation and retention rates in that counselors directly assist students in their mental and behavioral health needs so they can reach their academic potential.

Attachment 5

While the SUS centers are now fully imbedded in the overall health and wellness of their campuses, the centers are struggling to keep up with the demand for their services. Students are coming into counseling earlier, in larger numbers, and with more crisis issues than ever before. The SUS centers have experienced a 67% increase in student client sessions in the past six years for issues of anxiety, depression, academic stress, and relationship issues. Further, SUS centers recorded nearly 4,200 emergency or crisis visits during 2013-2014.

The LBR is designed to raise the staffing levels of the SUS Counseling Centers to the minimum staffing ratios that are recommended by the profession's accreditation association, the International Association of Counseling Services (IACS). IACS standards state that minimum staffing ratios should strive to be "in the range of one FTE professional staff member to every 1,000 to 1,500 students, depending on services offered and other campus mental health agencies." Currently, ten of the 12 SUS counseling centers (not New College and Florida Polytechnic) are operating above the IACS standard for minimum staffing of one professional staff member per 1,000 students, and eight of the 12 centers are operating above the high end of the range recommended by IACS of one staff member per 1,500 students.

The System request for counseling services appears below:

SUS Counseling Services Mental Health Counseling Services Legislative Budget Request					
University	# Positions (FTE)*	Salary (recurring)	Other/ Related Expenses** (recurring)	Other/ Related Expenses** (Non-recurring)	Total
Florida A&M University	7.0	\$499,110	\$18,200	\$85,000	\$602,310
Florida Atlantic University	13.7	\$1,201,270	\$183,350	\$265,322	\$1,649,942
Florida Gulf Coast University	5.8	\$483,390	\$23,700	\$223,000	\$730,090
Florida International University	9.0	\$823,990	\$47,586	\$90,000	\$961,576
Florida Polytechnic University	4.0	\$319,640	\$6,000	\$40,000	\$365,640
Florida State University	19.0	\$1,667,630	\$28,500	\$190,000	\$1,886,130
New College of Florida & USF Man.	4.0	\$269,860	\$95,000	\$70,000	\$434,860
University of Central Florida	9.0	\$606,530	\$360,000	\$0	\$966,530
University of Florida	23.0	\$1,989,890	\$80,500	\$161,000	\$2,231,390
University of North Florida	6.0	\$518,760	\$23,000	\$37,000	\$578,760
University of South Florida	25.0	\$2,116,960	\$34,500	\$125,000	\$2,276,460
University of South Florida St. Pete	4.0	\$312,435	\$6,000	\$20,000	\$338,435
University of West Florida	7.5	\$705,840	\$106,612	\$615,000	\$1,427,452
Total	137	\$11,515,305	\$1,012,948	\$1,921,322	\$14,449,575
*Positions include Psychologists, Mental Health Counselors, Psychiatric ARNPs, Case Managers, Prevention Specialists, Office staff. **Other/Related Expenses include hiring expenses, professional development, overhead, technology, assessment.					

II. Return on Investment

The SUS counseling centers are busy operations and their professional services are critical to a university's well-being and, more importantly, to student retention and success. The American College Health Association recently reported that over 50 percent of college students say that they have experienced "overwhelming anxiety" in the last year and 32 percent say they have felt so depressed "that it was difficult to function." Research has shown that students seeking university counseling services are more likely to maintain and improve their academic performance and persist to graduation. Student client surveys at state universities consistently verify that counseling services are enabling students to address their personal problems and remain enrolled to pursue a degree. As students are enrolling in state universities with a greater and more urgent need for mental health services, there is an increasing

Attachment 5

challenge for university counseling centers to provide immediate and appropriate professional services that will forestall serious behavioral incidents and reinforce academic progress and success.

The operations of campus counseling services maintain strong support for the Board of Governors performance funding model as the model provides a financial incentive for universities to prioritize student health and safety and to provide access to the academic and personal assistance needed by students to attain a degree and be successful in a career. Each state university recognizes that its reputation for academic quality and student success is dependent on its ability to provide a healthy and safe environment for those learning, working, and living on its campus.

Firesafety Annual Inspection Fees:

Pursuant to s. 633.218, Florida Statutes, the State Fire Marshal (SFM) is required to inspect all state-owned buildings on a recurring basis, and high-hazard occupancies must be inspected annually. The 4,000 plus buildings of the State University System generally fall in the category of both state-owned and high hazard, and thus as a practical matter all university facilities are inspected every year by SFM employees.

Historically, the SFM has not assessed an inspection fee to the universities for the annual inspections. It appears that this practice dates back many years to the former Board of Regents with an agreement between Chancellor Reed and the SFM office. However, a recent program review has led the SFM to reinstate invoicing the SUS for fire inspection services as provided by law and Rule 69A-54.004, F.A.C.

According to the SFM, the universities are the only state-owned buildings that are inspected by the SFM without a fee assessment. The SUS and SFM have been in discussions regarding the annual inspections and the fees associated. Below is a breakdown from the SFM by university for the annual inspection fees:

Name	Annual Fee
Florida A&M University	\$100,169
Florida Atlantic University	\$198,055
Florida Gulf Coast University	\$81,352
Florida International University	\$204,075
Florida Polytechnic University	\$13,419
Florida State University	\$389,404
New College of Florida	\$18,081
University of Central Florida	\$222,632
University of Florida	\$551,210
University of North Florida	\$83,937
University of South Florida	\$347,952
University of West Florida	\$61,362
Total:	\$2,271,648

S. 633.218, Florida Statutes, provides that each state department must include in its annual budget proposal a request for sufficient funds to cover the costs of any fees imposed by the SFM services, including inspection fees. Pursuant to the statute, the SUS requests recurring appropriations in the amount of \$2,271,648 to cover the annual inspection costs for each university, beginning with the 2017-2018 fiscal year.

Statewide Initiatives
Moffitt Cancer Center & the Institute of Human and Machine Cognition
FY 2017-2018 Legislative Budget Request

Moffitt Cancer Center - \$8.5 M

Moffitt is the leading educational facility for oncology in the State. As part of the State University System and a statutory teaching hospital, Moffitt trains more students in cancer research than all public state universities in Florida combined.

Current funding of \$10.6 million contributes to the education and training of over 2,000 students either rotating or working full time at Moffitt. These students include:

- Full Time Medical Residents and Fellows
- Medical Residents and Fellows rotate annually through training programs
- Undergraduate and Advanced Practice Nursing Students
- Radiology
- Nutrition Therapy
- Medical and Physician Assistants
- Pharmacy Students
- Other Clinical

For FY 2017-2018, Moffitt requests \$8.5 million to support cancer research training and professional development for faculty and graduate students.

Institute of Human and Machine Cognition (IHMC) - \$4.7 M

For FY 2017-2018, IHMC is requesting \$4.7 in recurring funds from the State of Florida to enhance and grow current operations at its Pensacola and Ocala Florida locations. IHMC is a world-renowned research institute working in the areas of artificial intelligence, cyber security, robotics, assistive technologies, natural language understanding, data mining, and other related high technology fields. A 501(c)3 statewide research institute created pursuant to Section 1004.447 F.S., IHMC is part of the State University System of Florida with formal research affiliations with Florida Atlantic University, Florida Institute of Technology, University of Central Florida, University of Florida, University of South Florida, University of West Florida, and the Moffitt Cancer Center. In 2013, IHMC entered into a formal collaborative research protocol with the Tampa Veterans Administration and is currently engaged in several projects with that venue. IHMC has received national recognition for its community outreach initiatives, including its highly popular public evening lecture series, summer robotics camp, and youth-oriented science and educational outreach initiatives (Science Saturdays and I LOVE Science). A recognized economic driver, IHMC was honored with the top US Department of Commerce Award

2017-2018 LBR

for Excellence in Technology-Driven Economic Development. In June of 2015, IHMC scientists and researchers made worldwide news after placing second in the international Defense Advanced Research Project Agency (DARPA) dynamic walking robotics competition held in Pomona, California. Also of importance, IHMC's Senior Research Scientist Dr. Jerry Pratt was recently inducted into the Florida Inventor's Hall of Fame.

Researchers at IHMC pioneer technologies aimed at leveraging and extending human capabilities. Current active research areas include: knowledge modeling and sharing, adjustable autonomy, robotics, advanced interfaces and displays, communication and collaboration, computer-mediated learning systems, intelligent data understanding, software agents, cyber security, sensory substitution, natural language understanding, expertise studies, work practice simulation, knowledge representation, and other related areas. IHMC prides itself on a broad and interdisciplinary approach to addressing societal issues and creating advanced technological solutions, thus its researchers and scientists include well-known computer scientists, cognitive psychologists, neuroscientists, physicians, philosophers, engineers, and social scientists of various focuses.

In summary, the recurring funding request of \$4.7 M will enable IHMC to continue to enhance its operations and expand in research of national significance including advanced cognitive assistance technologies, network/cyber security, companion devices, exoskeletons, critical infrastructure protection, and sustainable energy modeling and simulation.

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State University System (SUS) Matching Programs & Other University Initiatives

Major Gifts Matching: \$285 M

Although this program was suspended on June 30, 2011, approximately \$285,056,280 represents the total amount of state matching funds outstanding as of date. The matching program provides state matching funds to universities for private donations that establish permanent endowments with the proceeds of the investments used to support libraries, instruction, and research programs.

The total amount of state matching funds by university is presented as follows:

	2006-07	2007-08	2008-09	2009-10	2010-11	Total
UF	\$15,910,175	\$34,100,562	\$19,493,131	\$26,203,955	\$35,297,440	\$131,005,263
FIU	\$201,699	\$8,334,888	\$1,534,335	\$8,813,845	\$22,887,626	\$41,772,393
FSU	\$443,732	\$11,128,182	\$4,809,888	\$10,382,199	\$12,500,578	\$39,264,579
USF	\$137,057	\$12,994,204	\$3,568,757	\$2,678,732	\$6,631,380	\$26,010,130
FGCU	\$50,000	\$3,873,491	\$903,173	\$1,566,758	\$5,358,859	\$11,752,281
UCF	\$62,550	\$4,423,813	\$2,091,570	\$1,153,227	\$2,533,846	\$10,265,006
FAU	\$125,000	\$5,714,511	\$663,142	\$1,084,421	\$1,931,551	\$9,518,625
UNF	\$404,794	\$1,532,066	\$909,135	\$1,218,993	\$5,195,198	\$9,260,186
NCF	\$0	\$1,195,212	\$313,923	\$100,000	\$938,860	\$2,547,995
UWF	\$420,000	\$107,382	\$795,896	\$270,129	\$844,694	\$2,438,101
FAMU	\$75,000	\$498,643	\$0	\$0	\$648,078	\$1,221,721
Total	\$17,830,007	\$83,902,954	\$35,082,950	\$53,472,259	\$94,768,110	\$285,056,280

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University Initiatives: \$291 M

The following university initiatives were submitted for consideration in the SUS 2017-2018 LBR. Each university has identified initiatives deemed critical to improving various performance metrics, administrative or operational services, or research efforts at their institution.

Unique University Initiatives	Request
FAMU - Brooksville Project	\$1,364,182
FAMU - Online Programs and Course Offerings	\$5,000,000
FAMU - Sustainability Institute	\$716,000
FAMU - Student Success	\$5,635,220
FAU - Sustainable Research Campus	\$7,038,000
FAU - Tech Runway	\$3,000,000
FAU - Cyber-Intensive Monitoring, Management, and Restoration	\$2,177,353
FGCU - Honors College for Academic Excellence	\$1,600,000
FGCU - Support Existing Talent Gaps	\$2,868,500
FGCU - Restoration of Academic/Career Attainment Funding	\$464,250
FIU - FIU StartUP Initiative	\$6,102,120
FIU - Hazardous Substance Mitigation	\$2,500,000
FIU - FIU UP:LIFT Project	\$4,995,314
FPU - Faculty Hiring	\$6,600,000
FPU - Institute for Technology Entrepreneurship	\$5,000,000
FSU - Center for Advanced Power Systems	\$1,181,000
FSU - Faculty Retention	\$11,500,000
FSU - Florida Center for Advanced Aero-Propulsion	\$5,000,000
FSU - Graduate Students and Postdocs	\$18,500,000
FSU - Ultra-High Field Magnets	\$300,000
FSU - Student/Faculty Ratio	\$20,000,000
FSU - Themed Experience Institute	\$1,163,000
FSU - Preeminence	\$20,000,000
FSU-MS - Primary Care Initiative	\$3,644,500
NCF - Improving 4-Year Graduation Rates	\$1,665,000
NCF - Career, Internship & Fellowship Development Program	\$225,000
UCF - Community School	\$2,605,000
UCF - Crohn's and Colitis Research	\$2,000,000
UCF - International Consortium for Advanced Research	\$8,000,000
UCF - Incubator	\$3,000,000
UCF - Lou Frey Institute	\$1,000,000

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UF – Florida Engineering Experiment Station	\$2,500,000
UF – Institute for Comparative Veterinary Diagnostic	\$6,300,000
UF – Medical Marijuana: Efficacy and Safety Evaluation	\$2,462,000
UF – Enhance ZIKA Research and Education Capacity	\$4,200,000
UF – Faculty Investment	\$45,000,000
UF – Support Five Future Threats and Strategic Opportunities	\$30,000,000
UF-IFAS – Water Quantity/Quality Best Management Practices	\$2,507,945
UF-IFAS – Invasive Wildlife Response Program	\$702,976
UF-IFAS – Suwannee Valley Agricultural Extension Center	\$1,989,000
UF-IFAS – Upgrade Florida Research & Education Center	\$1,000,000
UF-IFAS – Tropical Research & Education Center	\$3,917,585
UNF – Culture of Completion and Career	\$5,000,000
UNF – Advanced Manufacturing and Materials Initiative	\$3,000,000
UNF – Coastal Science Institute	\$2,939,150
UWF – Advanced Manufacturing Design Studio	\$351,000
UWF – Strategic Academic Program – Civil Engineering	\$1,250,000
UWF – Strategic Academic Program – Intelligent Systems	\$1,250,000
UWF – Student Success	\$2,000,000
UWF – Alice Hall/Robinson Farmstead	\$500,000
UCF/FIU – Population Health Sciences Research Collaborative	\$2,000,000
UCF/FIU – Enhancing Transfer Student Success	\$17,000,000
Total	\$290,714,095

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State University System 2016-2017 Performance Funding Initiative

Universities were requested to provide information on the intended use of the 2016-2017 performance funds.

- Support faculty advisors and enhance the Center for Undergraduate Student Success – FAMU;
- Support the accreditation and reaccreditation of academic programs – FAMU;
- Support student success initiatives – recruitment, retention, and graduation efforts – FAU
- Support faculty merit and equity salary increases - FAU
- Recruit and retain faculty in engineering, and health science disciplines – FGCU;
- Support positions in technology, student services, campus police – FGCU;
- Improve student recruitment, retention, and graduation rates - FIU;
- Expand the university's infrastructure and financial base – FIU;
- Invest in software products to support graduation and retention success – FSU;
- Support library resources, safety and security issues, learning management systems, and academic advisors - FSU;
- Enhance graduate and research activities, student access and success - UCF;
- Recruit and retain faculty to enhance undergraduate and graduate academic experiences by ensuring the availability of course offerings to meet student demand – UCF;
- Support merit pay raises to reward and retain faculty and staff to ensure the goals of preeminence are met – UF;
- Support advising, recruitment, and faculty instructional development – USF Tampa;
- Invest in existing merit-based financial aid funding opportunities for student recruitment and attraction – USF/Tampa;
- Enhance student success, services and resources – USF/Sarasota-Manatee
- Further investment in faculty to support STEM efforts – USF/St. Pete

For detailed reports by institution, please contact the Board of Governors General Office.

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State University System Efficiencies

Universities were requested to provide an update on efficiencies they have completed, undertaken or are in the process of initiating.

The following are a few examples of the efficiencies administered throughout the system:

Completed Efficiencies:

- Implemented Workday for Finance and Human Capital Management task and approval management, as well as online document storing and reporting – FAU;
- Implemented electronic solicitation utilizing DemandStar.com at no cost to the university. The tool allows the university to send and receive electronic solicitations to and from suppliers, eliminating the need for paper solicitations and overnight courier services for the vendors - FIU;
- Implemented operating guides, standardized forms, and regulated processes for continued project tracking and cost savings – estimated savings of \$500,000 – FPU;
- Partnered with the Cyberterrorism Defense Analysis Center in coordination with the Criminal Justice Institute and the University of Arkansas System to offer Cyberterrorism Defense Initiative training, a comprehensive, integrated series of courses that incorporates best practices, procedures and methodologies for cyber protection and response – estimated savings of \$140,500 – FSU;
- Purchased new computer inventory software for more transparent reporting and analysis – estimated savings of \$3,400 - NCF;
- Utilities and Energy Services authored and developed a campus-wide natural gas supply Invitation to Negotiation (ITN) solicitation. The new contract yielded a 12% reduction in delivered transportation cost – estimated savings of \$75,000 – UCF;

2017-2018 LBR

- Implemented online maintenance checks for reporting Automated External Defibrillator (AED) compliance rather than compliance visits from Environmental Health and Safety personnel – estimated savings of \$17,000 annually – UF;
- Negotiated Bank Service Agreements - New Terms and Savings – estimated savings of \$970,000 – USF;
- Purchased 288 additional required print textbooks to support 1000 to 3000 level courses at the institution – estimated savings of \$405,176 – UWF.

On-going efficiencies and/or efficiencies currently underway:

- Participating in cooperative, shared, and state agreements for providing savings opportunities on various products and services;
- Implementing an annual pCard realignment program to assess and reduce the risks associated with unwarranted credit limits;
- Reorganizing and streamlining organizational structures to improve and enhance operational efficiencies;
- Conducting an inventory of lighting and lab equipment to determine energy consumption;
- Installing Skype and MegaMeeting to increase videoconferencing capabilities, training, and other distance learning opportunities;
- Participating in a statewide initiative to track university spending using Rosslyn Analytics, a “common spend” analytics tool used to support shared initiatives across the system;
- Renovating campus space to enhance various curricula activities in a more team-based environment;
- Participating in numerous joint Invitation to Bid opportunities (ITNs) across the system to include, but not limited to, data analytics, collection agencies, travel, LMS, and ecommerce;
- Adopting a statistical academic program planning model that more efficiently allocates institutional resources toward student needs;
- Utilizing a server virtualization tool that results in significant savings in power, cooling, networking, and space requirements;
- Realizing cost reductions in water and sewer usages.

For detailed reports by institution, please contact the Board of Governors General Office.



State University System Reductions

In preparation for the 2017-2018 LBR submission to the Legislature and to the Governor, all state agencies were asked to identify the programs and/or services that would be eliminated or reduced if a 10% reduction is made for FY 2017-2018.

For the State University System, the total amount of reductions is an estimated \$270 million. Each institution was allocated a proportionate amount of the total reduction based on their recurring general revenue and lottery appropriations for FY 2016-2017.

The following university summaries highlight various reduction proposals; however, the following provides some examples.

- Reduced support for educational outreach, public service, regional economic development, research activities, and student support;
- Delays in minor construction projects, vehicle repairs, maintenance services, trash removal, and custodial services;
- Inability to recruit and retain qualified faculty that would have a positive impact on student learning outcomes;
- Reduction in on-line distance learning activities and services;
- Reduction in student planned enrollment; resulting in loss of tuition revenues for the upcoming year and beyond;
- Salary reductions and/or layoffs of key personnel;
- Reduction of current and vacant positions; consolidation and/or elimination of colleges, academic and administrative units, degree programs, and class offerings;
- Reductions and delays in upgrading information technology infrastructure;
- Reduced funding for graduate assistantship positions and other applied learning opportunities for students;
- Reduction in financial aid opportunities for merit/need-based students.

For detailed reports by institution, please contact the Board of Governors General Office.

2017-2018 LBR

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Budget and Finance Committee
September 22, 2016**

SUBJECT: 2017-2018 Board General Office Legislative Budget Request

PROPOSED COMMITTEE ACTION

Approve the 2017-2018 Board General Office Budget Request and allow the Chancellor to make technical changes as necessary.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 216.023 Florida Statute

BACKGROUND INFORMATION

Annually, the Board of Governors must prepare and submit a Board General Office Legislative Budget Request (LBR) for operating funds to the Legislature and Governor by October 15. The budget must be reviewed and recommendations made on the issues to be included in the 2017-2018 LBR. In accordance with Subsection 216.023(1), Florida Statutes, this LBR is based on an independent judgment of needs and will be presented to the Legislature and Governor by the statutory due date.

The Chancellor recommends approval of the Board General Office LBR and requests authority to make technical changes as necessary.

Pursuant to the legislative budget instructions, each agency must prepare a ten percent reduction plan. The Board General Office plan is included for information purposes.

Supporting Documentation Included: 1. 2017-18 LBR
2. 10 percent reduction plan

Facilitators/Presenters: Mr. Tim Jones



Florida Board of Governors General Office 2017-2018 Legislative Budget Request

Continued funding of \$7.8 million is needed to support the 63 authorized positions and associated operating expense for the Board Office. The operating expense covers the costs associated with data collection and management, Board meeting expenses, travel expenses, office supplies and other Board initiatives.

2017-2018 Legislative Budget Request		
		<u>Total</u>
1	2016-17 Total Budget (63 Positions plus operating expenses)	\$8,303,640
2	Less Nonrecurring Appropriations	(475,000)
3	<u>2017-18 New Issues:</u>	
4	No issues	
5		
6	Total	\$7,828,640
7	Increase over the Total Budget	\$0
8	% Increase over the Total Budget	0%

**Florida Board of Governors General Office
10 Percent Reduction Plan
Fiscal Year 2016-2017**

The General Office recurring budget of \$7.8 million is predominately salaries and benefits (82%) to support 63 authorized positions plus student assistants. The remaining 18 percent of the budget includes support for office activities (such as; Turlington Building rent payments, data collection/support, travel for staff and board members, office supplies) and pass-through funds to the Northwest Regional Data Center and to the Department of Management Services for human resource services and risk management.

The Board Office has created a three-part accountability system that includes a Strategic Plan, University Work Plans, and an Accountability report. Tremendous work goes in the collection and analysis of data to provide information to key stakeholders so that strategic investments and decisions can be made about the university system. The Board Office has been fortunate to receive the financial support needed to ensure the success of these critical areas, however, needs still exists in the Information Resources Management area to ensure staff are retained and initiatives are implemented timely.

A ten percent budget reduction would total \$782,864 and significantly hamper the progress that has been made in moving the office forward to ensure a successful three-part accountability system. Strategic decisions would need to be made on which areas of the budget to be reduced.

For planning purposes basic operating costs would be reduced, which may include: travel for staff and travel reimbursements to university personnel who participate in educational plant surveys throughout the fiscal year; training and professional development for staff; operating capital outlay; and/or elimination of student OPS support.

There would be insufficient operating expenses to absorb the full 10 percent, therefore the balance would come from the elimination of any vacant positions. There are currently seven vacant positions with plans to fill these over the next few months. If these positions are filled, strategic decisions would need to be made on which positions would be eliminated after reviewing all office functions. If we eliminated all seven positions, \$580,628 in salary savings would be realized. The additional \$202,000 reduction would come from operational expenses and/or elimination of filled positions.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Budget and Finance Committee
September 22, 2016**

SUBJECT: Shared Initiatives Update

PROPOSED COMMITTEE ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Budget and Finance Committee 2014-2015 BluePrint

BACKGROUND INFORMATION

The State University System Shared Initiatives Team, led by Karen Armstrong, of the Board Office, and FSU Associate Procurement Director, Karen Gibson, continues to strategize in regular meetings with the university procurement and financial specialists.

For this latest fiscal year from July 1st through June 30, 2016, the universities have realized savings with the shared contracts and other efficiencies. Ms. Armstrong will share some of the savings achieved by the universities.

Supporting Documentation Included: None

Facilitators/Presenters: Ms. Karen Armstrong



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

AGENDA

Nomination and Governance Committee

Main Auditorium

Harry Sudakoff Conference Center

New College of Florida

5845 General Dougher Place

Sarasota, Florida 34243

September 22, 2016

11:45 a.m. – 12:00 p.m.

or

Upon Adjournment of Previous Meetings

Chair: Mr. Tom Kuntz; Vice Chair: Mr. Ned Lautenbach

Members: Colson, Link, Tripp

1. **Call to Order and Opening Remarks** **Governor Tom Kuntz**
2. **Minutes of Committee Meeting** **Governor Kuntz**
Minutes, May 12, 2016
3. **Recommendations of Candidates to fill Trustee Vacancies
and Report on Applicant Interview**
 - a. **Florida Gulf Coast University (1 vacancy)** **Governor Lautenbach**
Governor Colson
Governor Link
4. **Concluding Remarks and Adjournment** **Governor Kuntz**

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Nomination and Governance Committee
September 22, 2016**

SUBJECT: Minutes of Committee Meeting held May 12, 2016

PROPOSED COMMITTEE ACTION

Approval of Minutes of the Meeting held on May 12, 2016, at Florida Gulf Coast University.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Committee members will review and approve the Minutes of the Meeting held on May 12, 2016, at Florida Gulf Coast University.

Supporting Documentation Included: Minutes: May 12, 2016

Facilitators/Presenters: Governor Tom Kuntz

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
NOMINATION AND GOVERNANCE COMMITTEE
COHEN CENTER BALLROOM
FLORIDA GULF COAST UNIVERSITY
FT. MYERS, FLORIDA
MAY 12, 2016

*Video or audio archives of the meetings of the Board of Governors
and its Committees are accessible at <http://www.flbog.edu/>.*

Chair Kuntz convened the meeting of the Nomination and Governance Committee of the Board of Governors on May 12, 2016, at 3:15 p.m., with the following members present: Dean Colson, Ned Lautenbach, Wendy Link and Norman Tripp.

1. Approval of Minutes of Meeting held March 17, 2016

Mr. Tripp moved approval of the Minutes of the meeting held March 17, 2016, as presented. Mr. Lautenbach seconded the motion, and members of the Committee concurred.

2. Recommendations to fill Trustee Vacancies

Florida Agricultural and Mechanical University

Chair Kuntz said the Committee would be taking up one trustee vacancy at the Florida Agricultural and Mechanical University. He recognized the Committee received applications from many qualified applicants and said Mr. Tripp and Mr. Levine were responsible for vetting the applicants. He then called on Mr. Tripp for his recommendation.

Mr. Tripp recommended the appointment of Mr. Harold Mills, subject to attending an orientation and confirmation by the Florida Senate. Mr. Tripp stated Mr. Mills is the vice chair of ZeroChaos and currently serves on the board of directors for Florida Blue and as chair of Florida Citrus Sports. He explained Mr. Mills previously held management positions at General Electric and AT&T. He noted Mr. Mills received his bachelor's degree from Purdue University and a master's degree from Harvard Business School. Mr. Levine agreed with Mr. Tripp's recommendation of Mr. Mills. Mr. Colson seconded the motion, and members of the Committee concurred unanimously.

MINUTES: NOMINATION AND GOVERNANCE COMMITTEE
May 12, 2016

3. Concluding Remarks and Adjournment

Having no further business, the meeting was adjourned at 3:20 p.m., May 12, 2016.

Tom Kuntz, Chair

Vikki Shirley
Corporate Secretary

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Nomination and Governance Committee
September 22, 2016**

SUBJECT: Appointment of University Trustee for Florida Gulf Coast University

PROPOSED COMMITTEE ACTION

Appointment of University Trustee for Florida Gulf Coast University

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Trustee Selection and Reappointment Process

BACKGROUND INFORMATION

Florida Gulf Coast University has a trustee vacancy for a term that ended on January 6, 2016.

In accordance with the University Board of Trustee Selection and Reappointment Process, the vacancies were posted for the public on the Board's website and a number of applications were received.

Governor Lautenbach, Governor Colson and Governor Link independently reviewed the applications and will make a recommendation to the full Committee.

Supporting Documentation Included: None

Facilitators/Presenters: Governor Tom Kuntz



STATE
UNIVERSITY
SYSTEM
of FLORIDA
Board of Governors

AGENDA
Board of Governors Meeting
Main Auditorium
Harry Sudakoff Conference Center
New College of Florida
5845 General Dougher Place
Sarasota, Florida 34243
September 22, 2016
1:00 p.m. – 2:00 p.m.
or
Upon Adjournment of Previous Meetings

- | | | |
|----|--|--|
| 1. | Call to Order and Chair's Remarks | Chair Tom Kuntz |
| 2. | Minutes of Board of Governors Meetings <ul style="list-style-type: none">• Minutes, June 22-23, 2016 | Chair Kuntz |
| 3. | Chancellor's Report | Chancellor Marshall M. Criser III |
| 4. | Think Florida | Chair Kuntz |
| 5. | Public Comment | Chair Kuntz |
| 6. | Renewal of Chancellor's Employment Agreement | Chair Kuntz |
| 7. | Board of Governors Regulations <ul style="list-style-type: none">• Public Notice of Intent to Approve Amended Regulation 1.001 University Trustees Powers and Duties• Public Notice of Intent to Amend Regulation 1.006 Conflict of Interest | Ms. Vikki Shirley
<i>General Counsel and
Corporate Secretary</i> |

8. **Academic and Student Affairs Committee Report** Governor Norman Tripp
 - Graduate Tuition for the Master in Physician Assistant Studies, Florida Gulf Coast University
 - Board of Governors Regulations
 - Public Notice of Intent to Amend Regulation 6.013 Military Veterans and Active Duty
 - Public Notice of Intent to Amend Regulation 8.003 Textbook and Instructional Materials Affordability
 - Public Notice of Intent to Approve Amended Regulation 8.011 Authorization of New Academic Degree Programs and Other Curricular Offerings
 - Public Notice of Intent to Approve Amended Regulation 8.012 Academic Program Termination and Temporary Suspension of New Enrollments
 - Public Notice of Intent to Approve Amended Regulation 8.014 Bachelors' Degree Exceptions to 120 Credit Hours Requirements
9. **Health Initiatives Committee Report** Governor Ed Morton
10. **Innovation and Online Committee Report** Governor Ned Lautenbach
11. **Select Committee on 2+2 Articulation Report** Governor Alan Levine
12. **Select Committee on Florida Polytechnic University Report** Governor Wendy Link
13. **Strategic Planning Committee Report** Governor Dean Colson
 - Florida Polytechnic University 2016 Work Plan
 - UCF Five-year Plan for Emerging State Research University Preeminence
 - University of Florida Health – Jacksonville Campus Reclassification
14. **Audit and Compliance Committee Report** Governor Levine
 - Public Notice of Intent to Create Board of Governors Regulations
 - Regulation 4.001 University System Processes for Complaints of Waste, Fraud, or Financial Mismanagement
 - Regulation 4.002 State University Chief Audit Executives
 - Regulation 4.003 State University System Compliance and Ethics Programs
 - Regulation 4.004 Board of Governors Oversight Enforcement Authority

- 15. Facilities Committee Report** **Governor H. Wayne Huizenga, Jr.**
- 2017-2018 State University System Fixed Capital Outlay Legislative Budget Request
 - University of Central Florida Educational Plant Survey Amendment
 - University of North Florida Housing Bonds – Refunding Authorization
 - Amendment to the State University System Board of Governors Debt Management Guidelines
- 16. Task Force on University Research Report** **Governor Daniel Doyle, Jr.**
Governor Fernando Valverde
- State University System Research and Innovation Metrics “Dashboard”
- 17. Budget and Finance Committee Report** **Governor Lautenbach**
- Board of Governors Regulations
 - Public Notice of Intent to Approve Amended Regulation 7.001 Tuition and Associated Fees
 - Public Notice of Intent to Approve Amended Regulation 7.003 Fees, Fines and Penalties
 - Public Notice of Intent to Approve Amended Regulation 7.008 Waivers and Exemptions of Tuition and Fees
 - Public Notice of Intent to Approve Amended Regulation 9.007 State University Operating Budgets
 - Public Notice of Intent to Approve Regulation 5.001 Performance-Based Funding
 - Public Notice of Intent to Create Regulation 7.007 Latin American and Caribbean Scholarship Eligibility
 - Public Notice of Intent to Amend Regulation 7.002 Tuition and Fee Assessment, Collection, Accounting and Remittance
 - Public Notice of Intent to Amend Regulation 18.001 Purchasing
 - 2016-2017 State University System Operating Budget
 - 2016-2017 Board General Office Budget
 - 2017-2018 State University System Legislative Budget Request
 - 2017-2018 Board General Office Legislative Budget Request
- 18. Nomination and Governance Committee Report** **Chair Kuntz**
- Appointment of University Trustees
- 19. Presidential Search Reports**
- University of West Florida **Governor Doyle**
 - Florida Gulf Coast University **Governor Morton**

20. Concluding Remarks and Adjournment

Chair Kuntz

Public comment will only be taken on agenda items before the Board. Public comment forms will be available at the staff table at each meeting and must be submitted prior to the plenary meeting of the Board. A maximum of 15 minutes will be set aside after the Chancellor's Report to accept public comment from individuals, groups, or factions who have submitted a public comment form.)

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Chair's Report to the Board of Governors and Opening Remarks

PROPOSED BOARD ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Chair, Tom Kuntz, will convene the meeting with opening remarks.

Supporting Documentation Included: None

Facilitators/Presenters: Chair Tom Kuntz

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Minutes of Board of Governors Meeting held June 22-23, 2016

PROPOSED BOARD ACTION

Approval of minutes of the Board of Governors meeting held on June 22-23, 2016 at the University of Central Florida.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Board members will review and approve the minutes of the Board of Governors meeting held on June 22-23, 2016 at the University of Central Florida.

Supporting Documentation Included: Minutes: June 22-23, 2016

Facilitators/Presenters: Chair Tom Kuntz

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STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
FAIRWINDS ALUMNI CENTER
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FLORIDA
JUNE 22-23, 2016

*Video or audio archives of the meetings of the Board of Governors
and its Committees are accessible at <http://www.flbog.edu/>.*

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MINUTES: FLORIDA BOARD OF GOVERNORS

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MINUTES: FLORIDA BOARD OF GOVERNORS

JUNE 22-23, 2016

MINUTES
STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
FAIRWINDS ALUMNI CENTER
UNIVERSITY OF CENTRAL FLORIDA
ORLANDO, FLORIDA
JUNE 22-23, 2016

1. Call to Order – June 22, 2016

Chair Tom Kuntz convened the meeting at 4:57 p.m., on June 22, 2016, with the following members present: Vice Chair Ned Lautenbach; Dick Beard; Dean Colson; Daniel Doyle, Jr.; Jacob Hebert; Darlene Jordan; Alan Levine, Wendy Link; Edward Morton, Katherine Robinson; Pam Stewart; and Fernando Valverde. Norman Tripp participated in the meeting by phone.

2. Panel Discussion with Vice Presidents of Research

Chair Kuntz opened the panel discussion with the twelve university Vice Presidents of Research, or designees, by emphasizing the importance of research, as both a key driver of economic development and an area that elevates a university system to a place of national prominence. He summarized the ongoing efforts to attract research at the national level and invited the Vice Presidents to share their perspective on what we should focus on to enhance our research stature and what system-level benchmarks we should monitor to document progress.

After introductions, Dr. Norton thanked the Board for the opportunity to discuss university research. He explained that in addition to the obvious impact on economic development through the creation of new technology and start-up companies, a student's educational experience is greatly enhanced as a result of working closely with dedicated researchers. Dr. Norton stated the biggest benefit of university research comes from working to address issues that impact mankind, both locally and worldwide. He pointed to research being conducted on the Zika virus, impacts from hurricanes, gene therapies, and optical electronics. This type of research serves as a magnet to attract the best and brightest researchers and students. According to Dr. Norton, the challenge universities face is not having enough researchers.

When asked about optimal strategies for moving the System forward, Dr. Ostrander echoed Dr. Norton's statement that universities need more stellar faculty. He noted recruiting efforts are hindered by the lack of facilities and resources needed by researchers to push the frontiers on their discoveries. He explained world-class

MINUTES: FLORIDA BOARD OF GOVERNORS

JUNE 22-23, 2016

researchers are looking for facilities, talented students, and technical and laboratory support. Dr. Flynn indicated universities need to recruit multi-disciplinary research teams to tackle issues like breast cancer which requires experts from numerous fields to collaborate on the research. He said this can be accomplished through cluster hires and will make a university much more competitive for larger grants in the \$1 million to \$5 million per year range. Chair Kuntz asked if research teams could be fielded across university lines. Dr. Flynn responded many federal agencies are goal-oriented and if Florida Atlantic University reaches out to an agency that wants to study sea level rise, he can build a collaborative team of researchers from across the System to study the issue. Dr. Gils pointed to a research grant where two universities collaborated and also to an example where universities have used another university's site-specific facility in their work on a grant.

Dr. Valverde commented each university has its own strategy for attracting research, and while they may collaborate, each university is measured by how many grants they have and are competing against each other. The challenge for the Board is developing a unified strategy that has the universities working together to achieve particular objectives. Chair Kuntz agreed, stating that collectively as a State, we are not attracting the research dollars at the level of our competitor states. Dr. Sandberg indicated from a funding perspective, collaborative efforts work in attracting funding, citing the BP oil spill research grants and grants awarded for cybersecurity and energy. He noted, however, it can be difficult to get faculty across departments to collaborate on research, and said there need to be incentives to change the culture. Mr. Lautenbach inquired how a university could go about that. Dr. Sandberg replied that larger grants, and in particular matching grants, which require faculty to contribute "sweat equity" to the project is helpful. He noted this is also helpful with federal agencies because it shows the support of the System as a whole.

Mr. Levine discussed the difference between universities that are invested in basic research as compared to other universities, such as the University of Florida, that has a center for translational research, which can serve as a vehicle to become more competitive nationally for research funding through partnerships. Dr. Norton agreed that UF's Clinical and Translational Science Institute, which is funded by the National Institute for Health (NIH), gives the state a strategic advantage in attracting additional research funding due to its capability to link the health records of patients across Florida when studying cancer and other diseases. He cited the National High Magnetic Field Lab as another example where UF and FSU teamed to win a National Science Foundation grant, emphasizing the importance of working collaboratively across universities. Dr. Valverde reiterated there is no formal process for collaboration across universities. Dr. Gil responded the reciprocal IRB process provides a mechanism for university researchers to collaborate, which is necessary for large grants. He said collaboration can also be encouraged through matching grants, which also brings in

MINUTES: FLORIDA BOARD OF GOVERNORS

JUNE 22-23, 2016

private industry such as the high tech corridor. Dr. Valverde noted, however, there is still no formal process and Chair Kuntz agreed it's important to develop such a process.

Dr. Robinson inquired about the role of smaller universities in the System that do not aspire to become a Research One university and what the expectations are for those universities. Dr. Viviani replied that smaller institutions still have star research faculty who collaborate with researchers at larger institutions. Dr. Flynn cited a Rhode Island study that focused on how to engage smaller colleges in research. The findings from the study demonstrated that undergraduate students at the smaller institutions who participated in the research were recruited into graduate programs at the larger institutions, and post-doctoral students from the larger institutions were recruited into faculty positions at the smaller institutions. Dr. Harper pointed out that smaller universities must have a more targeted focus on research opportunities based on their region's geographical assets. He stated UWF started with a Center of Excellence on robotics which spun out the Florida Institute for Human and Machine Cognition. UWF is also capitalizing on research opportunities built around cybersecurity given the presence of the Center for Information Dominance at the naval base and on aviation and aerospace in light of the proximity of the air force base.

Chancellor Criser said there seems to be two opportunities. First, using current resources to do a better job at competing nationally; and second, increasing our fair share of resources on the recruiting side. He inquired whether the strategies for this are related or if these are two separate initiatives. Dr. Norton responded these are two separate initiatives. On how to better utilize the resources we have, Dr. Norton explained this can be accomplished through networking and incentivizing cross-institutional activities, which are mechanisms they currently use to facilitate research activities. However, he noted that a different investment strategy is required to move the needle on research and this entails hiring additional faculty across disciplines who can write effective grant applications and bring in grant funds from out-of-state. He cited this as the number one driver for moving the needle on research.

Mr. Morton inquired whether the universities had ever collectively sought a research grant with a unified voice. He noted half of the research dollars in Florida are related to health care and an enormous opportunity exists in emerging fields of medicine to stake a claim if the universities could work together to determine how to create a bright line of distinction between our system and others. Dr. Sandberg said they came together as a group on the I-Core program and also supported getting the National Academy of Inventors into a Senate bill. He proposed having the group work on a System-level strategic plan for research that targets each institution's strengths and determines the areas in which they could stake a claim. Dr. Sandberg commented federal research funding is declining and the focus should be on working collaboratively with industry and business on research.

MINUTES: FLORIDA BOARD OF GOVERNORS

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Mr. Beard stated that to move the needle forward, you have to find the superstars to conduct the type of research we need to do and asked about cost associated with attracting researchers to the state. Dr. Gil stated the cost varies depending upon the faculty you have in place and that it becomes less costly as you build faculty. Dr. Hill noted the superstars bring in a disproportionate amount of funding, stating that 58% of their research funding comes from 50 people. Dr. Valverde inquired if there is an inventory of the research being conducted in the SUS. Dr. Gil responded it can be found on ExpertNet, although this only includes funded research projects.

Mr. Tripp indicated he understands we have many faculty who are on the verge of becoming superstar researchers and asked what we can do as a System to help them reach that position. Dr. Gil provided an example at FIU where the university recently received a \$12.5 million grant after making a cluster hire a few years before. He related that the principal investigator is not the most senior person on the team, but he came to FIU because of the superstars at FIU. Dr. Ostrander stated we would not move the needle by hiring one person. Rather, a university has to make the hire and then surround that person with junior faculty who are almost there and grow that team over time. Mr. Tripp asked what role the Board of Governors can play to make that happen. Dr. Norton stated they need money for faculty and provided an example of how UF identified a program they were good at and through the hiring of two additional faculty, was able to build the program into a top five program.

Chair Kuntz told the Vice Presidents they have a unique opportunity because research is both a priority of the Board and of the incoming Senate President. He asked the Vice Presidents to develop a dashboard with metrics that show where we are today with research, where we need to go, and what we need to do to get there. He also asked for strategies to grow the SUS research profile and requested they be specific in how any additional funding that may be requested next legislative session would be used to accomplish those objectives. Mr. Lautenbach noted we have some unique assets in Florida and asked them to identify areas in the state where our research could be distinct from research being conducted elsewhere. Dr. Norton stated they appreciate the Board's attention to their research endeavors and committed to work with Chancellor Criser to develop a dashboard and a list of strategies. Dr. Moore suggested the group identify key areas of research strength and identify emerging areas to build upon. Chair Kuntz agreed and thanked the Vice Presidents for the thoughtful discussion.

3. Call to Order and Chair's Remarks – June 23, 2016

Chair Kuntz reconvened the meeting at 10:49 a.m., on June 23, 2016, with the following members present: Vice Chair Ned Lautenbach; Dick Beard; Dean Colson; Daniel Doyle, Jr.; Jacob Hebert; Darlene Jordan; Wendy Link; Edward Morton, Katherine Robinson;

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Pam Stewart; and Fernando Valverde. Norman Tripp participated in the meeting by phone.

Chair Kuntz thanked Chair Marchena and President Hitt for hosting the meeting and commended the UCF Board of Trustees, their Chair, and President Hitt for the prompt response to the tragic incident at the Pulse nightclub. UCF sent victim advocates to the scene, provided assistance to first responders, opened counseling centers on campus and hosted a blood drive. Chair Kuntz extended the deepest sympathies of the Board to the families and friends of the victims, which included current and former students. He called on Chair Marchena and President Hitt for remarks.

Chair Marchena said this is a very difficult time for their community, but there has been a tremendous showing of unity and strength and he thanked President Hitt for the university's efforts in responding to the tragedy. President Hitt added his thanks, especially to those persons who had engaged in training and advance planning for responding to such an event. President Hitt also thanked Nancy Marshall and her staff for their hard work in hosting the Board meeting, which Chair Kuntz echoed.

Chair Kuntz thanked the university boards of trustees, presidents, and their staff for the progress made over the years on the work plans. He stated the process is key to ensuring we are on track to meet the Strategic Plan goals and he thanked Dr. Ignash and her staff for their work on the System summary.

He next welcomed Governor Darlene Jordan and Governor Jacob Hebert. Ms. Jordan is the executive director of the Gerald R. Jordan Foundation and currently serves as a member of the Fordham University Board of Trustees, the Harvard Business School Board of Dean's Advisors, the Oxbridge Academy Board of Trustees, the Boys and Girls Club of Boston, and the Preservation Foundation of Palm Beach. Ms. Jordan previously worked as an assistant attorney general and assistant district attorney for the State of Massachusetts. She thanked everyone for being so welcoming, stating it was a pleasure getting to know the presidents and to learn about what they are doing to educate their students and make Florida a better state, and she's looking forward to working with the members.

Chair Kuntz stated that Governor Hebert is the Board's new student representative and is a senior majoring in Cybersecurity at the University of West Florida. Mr. Hebert is the founding president of the UWF Rotaract Club, a mentor in the Honors Program, a volunteer and organizer for Argo Camp, and a member of the Sigma Chi Fraternity, where he serves as the New Member Educator. Mr. Hebert said he appreciates the opportunity to serve and reiterated that student representation on the Board of Governors is important.

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Chair Kuntz stated there was a very productive dialogue with Senate President-Designate Negrón on Tuesday and it is clear we share a common vision for higher education and that our top priorities are in alignment. These priorities include Bright Futures scholarships for summer terms, along with funding matching gift programs for academic and research-related facilities. They also discussed the National Merit Scholarship program and what can be done to attract the best and brightest students to our universities. He commented this is a great opportunity and he looks forward to working with Senator Negrón, Speaker-Designate Corcoran and Governor Scott on this and other initiatives.

Chair Kuntz recapped the discussion with the Vice Presidents of Research. He said he was excited about the development of a dashboard that will show where the System is on external funding for research, the status of hiring research faculty, and where we are on key indicators of research excellence. He expects the dashboard to serve as a roadmap for enhancing the System's research statute and asked Dr. Valverde and Mr. Doyle to serve as the Board's conduit for working with the Vice Presidents of Research, along with Dr. Ignash, to ensure continuity in the development of a strategic plan for research.

Next, Chair Kuntz highlighted two examples of critical research occurring at two universities. A team led by FSU researcher, Dr. Hang-li Tang, working in conjunction with researchers at Johns Hopkins and Emory University, discovered the cell type targeted by the Zika virus, which significantly narrowed the search for a cure for Zika. In addition, FIU researchers were recently awarded a \$12.7 million grant from the National Institutes of Health as part of a multi-year study on the impact of substance abuse on adolescent brain development. Chair Kuntz congratulated both universities and stated he is convinced with a more focused approach, external research funding can be increased to a level that will move the needle on meeting the 2025 Strategic Plan goal of 71%.

Finally, Chair Kuntz indicated there are two items the Board needs additional information in order to be better informed as a System. The first relates to the authority the boards of trustees have delegated to the presidents and university administration. The Board of Governors delegates authority to the boards of trustees, but he explained we need a better understanding of the authority that has been further delegated to the university administration by the boards of trustees. Second, Chair Kuntz said more information on the cost of student housing is needed because that is likely the greatest expense that students pay. He asked for information on the current costs of student housing, recognizing the costs will vary, together with any increases that have been implemented for the current academic year and the two academic years prior to that (2016-17, 2015-16, and 2014-15). Chair Kuntz said he will send a letter to the board chairs asking for this information and would like a response in time to have the information organized for the Board's September meeting.

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4. Minutes of Board of Governors Meetings

- A. Board of Governors Meetings held March 17, 2016; April 22, 2016; and May 12, 2016

Mr. Colson moved approval of the Minutes of the meetings held on March 17, 2016; April 22, 2016; and May 12, 2016, as presented. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

5. Chancellor's Report

Chancellor Criser reported since legislative session concluded, he and several Board members have participated in Senate President-Designate Negron's bus tour, the Governor's Degrees to Jobs Summit, and the Florida Chamber of Commerce Learners to Earners Summit. Collectively, we are maintaining a strong dialogue with elected leaders and he thanked the university boards of trustees' chairs and presidents for responding to the Governor's Finish in Four, Save More challenge and for recognizing the opportunity that the challenge presents in serving our students.

The FAMU-FSU College of Engineering Joint Management Council has continued to work together and move the college forward. A new dean has been selected based on a unanimous decision by the two universities. One of the student members of the council graduated and reported to Chancellor Criser that he sees the progress being made at the college and is excited for the college's future.

The Health and Human Services Small Business Innovation and Research and Small Business Tech Transfer conference will be hosted this year in Orlando. We are working closely with UCF as the host site. This is one of the major grant and research conferences in the country. It follows our success in securing a similar conference last year hosted by USF. The conference will focus on \$870 million in federal funds that are available to help researchers and small businesses in the life sciences sector. We are creating a name and a face for Florida researchers and Florida universities by bringing the decision-makers together with the researchers.

Chancellor Criser provided members with a pamphlet titled *Initiatives and Accomplishments*, which is a summary of activities going on within the Board office. This is intended to provide a brief overview of the type of initiatives and ways we have collectively been successful in moving the ball for the SUS. This is a brief overview and we are happy to provide more detail.

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Chancellor Criser also shared a document on performance funding which focuses on excellence scores only. The color-coded document shows where the universities have made progress on the metrics since 2014.

Chancellor Criser reported that Jon Rogers is retiring at the end of June. Mr. Rogers has been one of our lead people working with the Vice Presidents of Student Affairs on student issues. In addition, he has worked with the colleges of education on their curriculum for educating our teachers of the future. Chancellor Criser asked Board members to join him in recognizing Mr. Rogers for his exemplary service to this Board and to our students and future teachers.

6. Think Florida

Chair Kuntz introduced Ms. Danielle Frank, the Director of Global Talent Acquisition for Lockheed Martin Missiles and Fire Control. She is responsible for recruiting top talent and workforce strategy development to Lockheed. Ms. Frank shared their vision for attracting, developing and retaining talent. Lockheed has a strong history for bringing in talent that ultimately leads to mission success within their governmental and international scope of work which focuses on four pillars: development, engagement, identification and hiring. She noted Lockheed has strong partnerships with ten SUS universities and they look for students with “critical skills capability” to deliver on their mission. Lockheed holds direct hiring events to attract STEM talent and has a robust internship program to plan for their future workforce needs. Specifically, Ms. Frank said they look for “clearable talent” which means approximately 60% of their STEM hires must be able to pass and maintain a security clearance.

She emphasized that the competition for STEM talent is great and because of that, Lockheed is investing in campus events and internships. Ms. Frank reported Lockheed converts approximately 80% of the interns into positions at Lockheed. Last year, 200 interns were placed in Florida, which reflected a 9% increase in students choosing Lockheed.

She noted millennials have a different mindset about engagement and development in the workplace. Lockheed utilizes mentoring, hands on experience and a flexible work environment to attract, retain, and promote these employees into senior level positions. Lockheed is also seeking to expand its partnerships with minority-serving institutions to increase the diversity of Lockheed’s workforce as a governmental contractor. Further, Lockheed is seeing a large concentration of veterans entering the universities and colleges and would like to explore opportunities with the universities on how to attract veterans into the Lockheed workforce.

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7. Public Comment

Chair Kuntz asked the Board's General Counsel Vikki Shirley if there were any requests for public comment for items on the Board's agenda. Ms. Shirley stated we received a request from Mr. Michael Williams, but Mr. Williams was not in attendance at the meeting.

8. Confirmation of Reappointment of the President for the University of Central Florida

Chair Kuntz said on May 31, 2016, the Board of Trustees of the University of Central Florida unanimously reappointed Dr. Hitt to serve as the president of UCF. The appointment extends Dr. Hitt's contract through June 30, 2017 and Mr. Marchena, the Chair of the UCF Board of Trustees, submitted a request for confirmation of Dr. Hitt's reappointment. Mr. Marchena stated it was his pleasure to request confirmation of Dr. Hitt's reappointment following 25 years of service to the university. Mr. Marchena described the Board's evaluation process for Dr. Hitt and provided an in-depth overview of Dr. Hitt's accomplishments during his 25 years of exemplary service during which time the university grew from 24,000 students to over 63,000, and from starting with 18 National Merit Scholars to a current class of 276 National Merit Scholars. The full recitation of Dr. Hitt's accomplishments is contained in the Board materials for this agenda item.

Mr. Colson moved to confirm Dr. Hitt's appointment as President of the University of Central Florida. Mr. Lautenbach seconded the motion and the members concurred unanimously. Dr. Hitt said he was overwhelmed by Mr. Marchena's kind remarks and expressed his appreciation for the opportunity to serve the university for the past 25 years.

9. Confirmation of Reappointment of the President for New College of Florida

Chair Kuntz said the next item for consideration is confirmation of the reappointment of Dr. O'Shea as the president of New College of Florida. On June 11, 2016, the Board of Trustees of New College unanimously reappointed Dr. O'Shea to serve as the president. The reappointment extends Dr. O'Shea's contract for one year from the end of its current term. Mr. Monda, the Chair of the New College of Florida Board of Trustees, submitted a request for confirmation of Dr. O'Shea's reappointment and Chair Kuntz called on Mr. Monda.

Mr. Monda stated that New College is the Honors College for the SUS and is ranked fourth among all top public liberal arts and sciences colleges in the nation. New College

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achieves these accolades because of its exceptional academic quality and because of its commitment to keeping the net tuition costs to students as low as possible. He noted that while New College has only .03% of the students in the SUS, it has 30% of the Fulbright Scholars. Mr. Monda stated this statistic points to the quality of the education offered by New College and Dr. O'Shea's leadership.

Mr. Colson moved to confirm Dr. O'Shea's appointment as President of New College of Florida. Mr. Lautenbach seconded the motion and the members concurred unanimously. Dr. O'Shea thanked the Board for the opportunity to lead such a wonderful institution and such great students.

10. Amendments to the Amended and Restated Articles of Incorporation and Bylaws of the University of South Florida Health Sciences Center Insurance Co., Inc.

Chair Kuntz called on Ms. Shirley, the Board's General Counsel, to present the item. Ms. Shirley explained the University of South Florida is requesting approval of amendments to the Amended and Restated Articles of Incorporation and Bylaws of the University of South Florida Health Sciences Center Insurance Co., Inc., which is the captive insurance company created to support the USF self-insurance program. The amendments clarify when a director may be indemnified under Vermont law, the state of incorporation for the company, and change outdated and obsolete references to the former Board of Regents.

Mr. Beard moved to approve the Amended and Restated Articles of Incorporation and Bylaws of the University of South Florida Health Sciences Center Insurance Co., Inc. Mr. Lautenbach seconded the motion and the members concurred unanimously.

11. Approval of Board of Governors Regulation 1.002 Presidential Search and Selection

Chair Kuntz called on Mr. Lautenbach to present the item. Mr. Lautenbach explained this is a new regulation that embodies best practices related to the search and selection of university presidents, which was approved for public comment at the May 12th meeting.

He indicated one comment was received requesting a technical change to paragraph 1.002(c)v to clarify that potential candidates may be those who apply, are nominated, or are recruited. Because this is a technical change, Mr. Lautenbach noted the regulation does not have to be re-noticed and moved approval of the regulation, as revised.

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Mr. Colson seconded the motion and the members concurred unanimously.

12. Public Notice of Intent to Amend Board of Governors Regulation 1.001 University Board of Trustees Powers and Duties

Ms. Shirley explained this was an item that was added to the agenda and provides for an amendment to Board Regulation 1.001 relating to the university boards of trustees powers and duties to codify statutory changes from last legislative session. Specifically, the amendment requires boards of trustees to post minutes to their websites within two weeks of a meeting and provides the authority to the Governor and the Board of Governors to remove a trustee if a trustee has three consecutive unexcused absences from board meetings.

Mr. Colson moved to approve the public notice of intent to amend Board of Governors Regulation 1.001 University Board of Trustees Powers and Duties. Mr. Lautenbach seconded the motion and the members concurred unanimously.

13. Strategic Planning Committee Report

Chair Kuntz called on Mr. Colson for the Strategic Planning Committee report. Mr. Colson stated the Committee took up two items, one for consideration and one for approval.

A. Baccalaureate Graduate Follow-up Study

The Committee reviewed the findings of the Graduate Follow-up Study of the Baccalaureate Class of 2013-14. Four major questions guided the Baccalaureate Follow-up Study: first, do graduates get jobs; second, do they continue for further education; third, do they work while they are pursuing further education; and fourth, what are their salaries?

Mr. Colson noted last year's study was limited to salary data obtained from Florida. This year, however, salary data was obtained from 39 other states, Washington D.C., and Puerto Rico, all of which have joined the Federal Wage Record Exchange System. Recently, two more states have joined so next year's study will contain salary information from 41 states, including Georgia.

Mr. Colson highlighted some of the key take-aways from the report:

- 89% of the students were tracked - almost nine out of ten - of the over 58,700 graduates in the Class of 2013-14.

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- Of the 89%, four out of five were employed, and two-thirds of those were employed full-time.
- A little more than one-quarter (27%) continued with further education.
- One of five graduates (20%) was both working and continuing education.
- The annual median wage of SUS graduates working full-time one year after graduation was \$36,300.

He reported that next steps include repeating the study on a regular basis, as well as conducting follow-up studies five and nine years after baccalaureate graduation to track the employment, salaries, and additional education of our graduates over time.

B. 2016-2017 University Work Plans

The Committee also reviewed the 2016 University Work Plans. The Work Plan process allows the Board to see how each university contributes to the overall System goals, to review where each institution is making good progress, and where improvements may be needed. In addition, the Committee approved the University of South Florida's Emerging Preeminent State Research University five-year plan, as well Florida State University's and the University of Florida's Preeminent State Research University yearly plans.

With the exception of the Florida Polytechnic University Work Plan which will be considered in September 2016, Mr. Colson moved that the Board approve the 2016-2017 portions of the 2016 University Work Plans, excluding those sections of the Work Plans that require any additional regulatory or procedural review or approval pursuant to law or Board Regulations, and that the Board accepts the out-year portions of the Work Plans. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

Mr. Colson moved that the Board approve Florida State University's annual plan with regard to the Preeminent State Research University Program and that the allocation of \$10 million in preeminence funding be released. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

Mr. Colson moved that the Board approve the University of Florida's annual plan with regard to the Preeminent State Research University Program and that the allocation of \$10 million in preeminence funding be released. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

Mr. Colson moved that the Board designate the University of South Florida as an Emerging Preeminent State Research University, approve its five-year plan, and allocate \$5 million in preeminence funding. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

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14. Select Committee on Florida Polytechnic University Report

Chair Kuntz recognized Ms. Link for the Select Committee on Florida Polytechnic University report. Ms. Link reported the Select Committee met on June 21 and June 22, 2016. At the June 21st meeting, Ms. Link stated there was a good discussion of the Board of Governors' expectations for Florida Polytechnic's Work Plan and these discussions will continue over the summer as Florida Polytechnic staff work with Board staff. Florida Polytechnic will present its board-approved plan to the Board of Governors at the September meeting. The Work Plan will include their plans for the next three years.

She stated at the June 22nd meeting, President Avent presented the university's Monthly Update. Prior to President Avent's report, Dr. Ignash briefed the Committee on revisions to the Monthly Update Tracking Report template that allows for the inclusion of observations and assessments by Board staff on the specific elements, particularly with regard to the university's progress in gaining accreditation by the Southern Association of Colleges and Schools (SACS). The Report now identifies critical touch points that may affect future activities, including critical dates, some of which are controlled by SACS. Ms. Link stated she was particularly pleased to note the university's candidacy had been approved by SACS last Monday. The university's next major hurdle will be to complete its compliance certification, which it expects to accomplish by December of this year, with a goal of attaining accreditation by June. They anticipate the SACS site visit will occur next February, after which SACS will provide a report to the university and the university will have five months to respond.

President Avent also reported on the university's cost-per-degree, which is high due to the number of students at the university and the high cost of offering STEM degrees. President Avent is hopeful that the cost of STEM degrees will fall within the range of the other SUS institutions by 2018. Ms. Link stated he also expects graduation and retention rates to be a challenge but that the university is working on strategies to improve retention.

Finally, she reported they had a dialogue with President Avent with respect to when the university would enter the performance-based funding arena. Chair Kuntz expressed his preference for the university to become part of the model as soon as feasible.

15. Budget and Finance Committee Report

Chair Kuntz recognized Mr. Lautenbach for the Budget and Finance Committee report. Mr. Lautenbach reported the committee had several items requiring Board approval.

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A. Approval of Board of Governors Regulation 7.008 Waivers and Exemptions of Tuition and Fees

Mr. Lautenbach moved approval of amendments to Regulation 7.008 Waivers and Exemptions of Tuition and Fees. Mr. Colson seconded the motion, and the members concurred unanimously.

B. 2015-2016 Performance-Based Funding Allocation

Mr. Lautenbach moved to approve a correction to the 2015-2016 Performance Funding Allocation due to an error in the calculation of Florida International University's Academic Progress Rate. Mr. Colson seconded the motion, and the members concurred unanimously.

C. 2016-2017 Performance-Based Funding Allocation

Mr. Lautenbach moved approval of the 2016-2017 Performance Funding Allocation as presented. Mr. Colson seconded the motion, and the members concurred unanimously.

D. 2017-2018 Legislative Budget Request Guidelines

Mr. Lautenbach moved approval of the 2017-2018 Legislative Budget Request Guidelines. Mr. Colson seconded the motion, and the members concurred unanimously.

E. Board of Governors Regulations

i. Public Notice of Intent to Amend Regulation 7.001 Tuition and Associated Fees

Mr. Lautenbach moved to approve the public notice of intent to amend Regulation 7.001 Tuition and Associated Fees to conform to statutory changes eliminating the delegation of tuition authority to boards of trustees. Mr. Colson seconded the motion, and the members concurred unanimously.

ii. Public Notice of Intent to Amend Regulation 7.003 Fees, Fines and Penalties

Mr. Lautenbach moved to approve the public notice of intent to amend Regulation 7.003 Fees, Fines and Penalties to conform to statutory changes requiring the average of all distance learning fees not to exceed \$30 per credit hour. Mr. Colson seconded the motion, and the members concurred unanimously.

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- iii. Public Notice of Intent to Amend Regulation 7.008 Waivers and Exemptions of Tuition and Fees

Mr. Lautenbach moved to approve the public notice of intent to amend Regulation 7.008 Waivers and Exemptions of Tuition and Fees to conform to statutory changes impacting military service members and the welfare transition program. Mr. Colson seconded the motion, and the members concurred unanimously.

- iv. Public Notice of Intent to Amend Regulation 9.007 State University Operating Budgets

Mr. Lautenbach moved to approve the public notice of intent to amend Regulation 9.007 State University Operating Budgets to designate the separate appropriation category for the FAMU/FSU College of Engineering. Mr. Colson seconded the motion, and the members concurred unanimously.

- v. Public Notice of Intent to Create Regulation 5.001 Performance-Based Funding

Mr. Lautenbach moved to approve the public notice of intent to create Regulation 5.001 Performance-Based Funding to conform to statutory requirements and provide guidance on implementation of the model. Mr. Colson seconded the motion, and the members concurred unanimously.

- vi. Public Notice of Intent to Create Regulation 7.007 Latin American and Caribbean Scholarship Eligibility

Mr. Lautenbach moved to approve the public notice of intent to create Board of Governors Regulation 7.007 Latin American and Caribbean Scholarship Eligibility to establish eligibility criteria for the award of scholarships. Mr. Colson seconded the motion, and the members concurred unanimously.

F. Market Tuition Rate Program Revision

Mr. Lautenbach moved approval of the recommended revision to the Market Tuition Rate Program that will impose a moratorium on the submission of new market tuition rate proposals until such time as the regulation on continuing education can be amended to address concerns relating to the conversion of continuing education programs to market tuition programs. Mr. Colson seconded the motion, and the members concurred unanimously.

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16. Innovation and Online Committee Report

Chair Kuntz called on Mr. Lautenbach for the Innovation and Online Committee report. Mr. Lautenbach stated the Committee received an update regarding the common opt-in learning management system, Canvas. Ten universities are either currently using Canvas or are in the transition process to Canvas. FIU and FAMU are currently engaged in evaluating providers and will advise the Committee of their selections.

The Committee received an update on the implementation of the Strategic Plan for Online Education, which is moving forward as planned. Finally, in support of Governor Scott's Finish in Four, Save More Challenge, at its September meeting the Committee will evaluate the costs of online education, both as to the institutions and to the students, and compare the costs with those of face-to-face education. The Committee will also analyze data to determine the effectiveness of online education.

17. Facilities Committee Report

Chair Kuntz recognized Mr. Beard for the Facilities Committee report. Mr. Beard reported the Committee had several items requiring Board approval.

A. 2017-2018 SUS Fixed Capital Outlay Legislative Budget Request Guidelines

Mr. Beard moved approval of 2017-2018 SUS Fixed Capital Outlay Legislative Budget Request Guidelines. Mr. Doyle seconded the motion, and the members concurred unanimously.

B. 2016-2017 Capital Improvement Trust Fund Project Allocations

Mr. Beard moved approval of the 2016-2017 Capital Improvement Trust Fund Project Allocations. Mr. Doyle seconded the motion, and the members concurred unanimously.

C. University of Central Florida Educational Plant Survey Validation

Mr. Beard moved to approve the UCF Educational Plant Survey Validation. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

D. Florida Atlantic University Educational Plant Survey Validation

Mr. Beard moved to approve the FAU Educational Plant Survey Validation. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

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18. Report on May 12, 2016 Joint FAC-IOC Meeting

Chair Kuntz called on Mr. Beard for a report on the Joint Meeting of the Facilities Committee and Innovation and Online Committee held on May 12, 2016. Mr. Beard reported the Committee is waiting on staff recommendations related to the impact of online education on instructional space needs. He anticipates the staff analyses will be completed in the next few months.

19. Audit and Compliance Committee Report

Chair Kuntz called on Mr. Morton for the Audit and Compliance Committee report. Mr. Morton said the Committee received an update on the development of four regulations related to audit, investigations, and compliance matters that will return to the Committee for formal notice at the September meeting. The Committee also received an update item on the ongoing FAMU Corrective Action Plan focusing on the athletics cash deficit reduction plan and the president's leadership team.

Mr. Morton reported on one action item requiring Board approval related to the 2016-2017 Risk Assessment and Work Plan for the Office of Inspector General and Director of Compliance. The matters identified in the Work Plan include the following audit activities: Information Resource Management - IT Governance; State University System of Florida Board of Governors Foundation; Performance Based Funding Model - University Data Integrity; Board of Governors Regulations - Benchmarking; Board Office Operational Audit Follow-up; and FAMU Corrective Action Plan Follow-up.

Mr. Colson moved approval of the 2016-2017 Risk Assessment and Work Plan. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

20. Academic and Student Affairs Committee Report

Chair Kuntz recognized Ms. Link to report on the Academic and Student Affairs Committee. Ms. Link stated there were several items requiring Board approval.

A. Academic Program Items

- a. Exception to 120 Credit Hours for the Bachelor of Science in Dietetics and Nutrition, Florida International University

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Ms. Link reported the Committee considered a request for an exception to the 120 credit hours regulation from Florida International University for its Bachelor of Science in Dietetics and Nutrition program due to the requirement to complete an additional 12 credit hours of supervised practice beyond the 120 credit hours of didactic coursework.

Ms. Link moved approval of an exception to the 120 credit hours baccalaureate degree requirement for the Bachelor of Science in Dietetics and Nutrition, CIP 51.3101 at Florida International University. Mr. Doyle seconded the motion, and the members concurred unanimously.

- b. Exception to 120 Credit Hours for the Bachelor of Science in Mechanical Engineering, University of West Florida

Ms. Link reported the Committee considered a request for an exception to the 120 credit hours regulation from the University of West Florida for its Bachelor of Science in Mechanical Engineering program. The Accreditation Board of Engineering and Technology requires engineering programs to include 33 credit hours of mathematics and science courses so the program length will be 130 credit hours.

Ms. Link moved approval of an exception to the 120 credit hours baccalaureate degree requirement for the Bachelor of Science in Mechanical Engineering, CIP 14.1901, University of West Florida. Mr. Doyle seconded the motion, and the members concurred unanimously.

- c. Ph.D. in Human-Centered Computing, University of Florida

Ms. Link reported the Committee considered a request for implementation of a doctorate program from the University of Florida in Human-Centered Computing to be implemented effective Fall 2016.

Ms. Link moved to approve the Ph.D. in Human-Centered Computing, CIP 11.0104, at the University of Florida. Mr. Doyle seconded the motion, and the members concurred unanimously.

- d. Ph.D. in Youth Development and Family Sciences, University of Florida

Ms. Link reported the Committee considered a request for implementation of a doctorate program from the University of Florida in Youth Development and Family Sciences to be implemented effective Fall 2017.

Ms. Link moved to approve the Ph.D. in Youth Development and Family Sciences, CIP 19.0707, at the University of Florida. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

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e. Ph.D. in Behavioral and Community Sciences, University of South Florida

Ms. Link reported the Committee considered a request for implementation of a doctorate program from the University of South Florida in Behavioral and Community Sciences to be implemented effective Fall 2017.

Ms. Link moved to approve the Ph.D. in Behavioral and Community Sciences, CIP 51.2212, at the University of South Florida. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

f. Ph.D. in Linguistics and Applied Language Studies, University of South Florida

Ms. Link reported the Committee considered a request for implementation of a doctorate program from the University of South Florida in Linguistics and Applied Language Studies to be implemented effective Fall 2016.

Ms. Link moved to approve the Ph.D. in Linguistics and Applied Language Studies, CIP 16.0102, at the University of South Florida. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

B. Board of Governors Regulations

a. Public Notice of Intent to Amend Regulation 8.011 Authorization of New Academic Degree Programs and Other Curricular Offerings

Ms. Link moved approval of the public notice of intent to amend Regulation 8.011 Authorization of New Academic Degree Programs and Other Curricular Offerings. Mr. Doyle seconded the motion, and the members concurred unanimously.

b. Public Notice of Intent to Amend Regulation 8.012 Academic Program Termination and Temporary Suspension of New Enrollments

Ms. Link moved approval of the public notice of intent to amend Regulation 8.012 Academic Program Termination and Temporary Suspension of New Enrollments. Mr. Doyle seconded the motion, and the members concurred unanimously.

c. Public Notice of Intent to Amend Regulation 8.014 Bachelors' Degree Exceptions to 120 Credit Hours Requirements

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Ms. Link moved approval of the public notice of intent to amend Regulation 8.014 Bachelors' Degree Exceptions to 120 Credit Hours Requirements. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

d. Approval of Regulation 6.009 Admission of International Students to State University System Institutions

Ms. Link moved approval of Regulation 6.009 Admission of International Students to SUS Institutions. Mr. Lautenbach seconded the motion, and the members concurred unanimously.

21. Health Initiatives Committee Report

Chair Kuntz recognized Mr. Morton for the Health Initiatives Committee Report. Mr. Morton said the Committee received an update on the Health Initiatives Strategic Plan that was approved in March. The Strategic Plan outlines strategies for addressing two specific goals. The first is to address the health workforce needs of the state by providing more physicians and nurses to serve the state's population and the second is to increase the competitiveness of the System's health-related research.

He noted the Committee reviewed the framework for two programs that are designed to increase residencies for physicians and to support the recruitment and retention of nursing faculty. Board staff was directed to proceed with drafting the corresponding legislative budget requests for these programs.

22. Select Committee on 2+2 Articulation Report

Chair Kuntz recognized Ms. Link for the Select Committee on 2+2 Articulation report. Ms. Link said Chancellor Madeline Pumariega of the Department of Education's Division of Florida Colleges provided an overview of statewide articulation policies and procedures and addressed questions raised by the Committee previously regarding characteristics of transfer students and their degree goals. Chancellor Pumariega also presented data on mechanisms to increase access and success, requirements for articulation agreements, and the top majors for transfer students. Ms. Link stated opportunities for future conversations include why some associate of arts graduates are not applying to a state university, why some who do apply are not accepted, why some who are accepted do not enroll, and concerns raised by some university presidents as to quality. The Committee will meet again to explore these questions further.

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Ms. Link noted the Committee approved a two-year work plan which includes a schedule of activities and topics that will assist the Committee in identifying opportunities to enhance 2+2 articulation for transfer students.

23. Presidential Search Reports

A. University of West Florida

Chair Kuntz called on Mr. Doyle for a report on the presidential search at the University of West Florida. Mr. Doyle reported on April 25, 2016, the UWF Presidential Prospectus was approved. The position has been advertised in higher education publications and on various websites. The deadline for applications is August 15, 2016 and to date, there are 49 applicants. The timeline provides for the creation of a short list, with the first round of interviews being conducted on August 29 and 30. Following that, final candidates will be identified and campus interviews will be held September 12-14, 2016. On September 15, the Committee will meet to forward three finalist candidates to the UWF Board of Trustees for consideration.

B. Florida Gulf Coast University

Chair Kuntz recognized Mr. Morton for a report on the presidential search at Florida Gulf Coast University. Mr. Morton stated Chair Goodlette established a search committee that closely correlates with the membership composition outlined in the Board's regulation. The search committee has two subcommittees: one that is charged with selecting a search firm and the other that is responsible for developing a list of characteristics and skills sets desired in the next president of Florida Gulf Coast University. The subcommittee charged with locating a search firm has completed this task and the search firm will work with the committee to develop strategies for recruiting applicants. He expects interviews to be conducted during the fall and for the entire process to be completed within the first quarter of 2017.

22. Concluding Remarks and Adjournment

Chair Kuntz recognized Dr. Kathy Robinson for her exemplary service as the faculty representative on the Board of Governors for the past two years. Ms. Shirley read a Board resolution into the record, a copy of which is maintained with the minutes, that honors Dr. Robinson's contributions to the Board and the State University System. Chair Kuntz thanked Dr. Robinson for her dedication and commitment. Dr. Robinson said this has been one of the greatest privileges of her life and one she will never forget and will miss. Noting that she and the student representative live in the world that is impacted by the Board's decisions every day, it was her obligation to ensure that the

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faculty perspective was part of the decision-making process. Dr. Robinson then introduced her successor, Dr. Gary Tyson, a professor in Computer Science at Florida State University. Dr. Tyson will begin his term on the Board the first week of August. Chair Kuntz welcomed Dr. Tyson and stated he looks forward to working with him.

Chair Kuntz stated the next meeting of the Board is scheduled for September 21-22, 2016, at New College of Florida.

Having no further business, the meeting was adjourned at 12:24 p.m. on June 23, 2016.

Thomas G. Kuntz, Chair

Vikki Shirley,
Corporate Secretary

FLORIDA BOARD OF GOVERNORS
Resolution
STATE UNIVERSITY SYSTEM OF FLORIDA

WHEREAS, Dr. Katherine M. Robinson has provided distinguished and dedicated service to the State University System of Florida as the faculty representative on the Board of Governors from 2014 to 2016; and

WHEREAS, Dr. Robinson has been an effective member of this Board and a tenacious and unwavering advocate for the perspectives of the faculty who serve within the System. She has used her position to encourage discussion and interest in the issues affecting and shaping the academic enterprise of the State University System; and

WHEREAS, during her tenure on the Board, Dr. Robinson served as a member of the Academic and Student Affairs Committee, Strategic Planning Committee, Health Initiatives Committee, Innovation and Online Committee, and the Facilities Committee. As an engaged member of these committees, she weighed in on complex policy considerations, including the review of proposals for new programs, facilities, and enhancements for online learning; and

WHEREAS, Dr. Robinson's service as an effective faculty representative included successfully planning and coordinating regular meetings of the faculty senate leaders from across the System. Additionally, she was steadfast in ensuring constant communication to the faculty of the System through regular updates regarding Board of Governors activities; now therefore,

BE IT RESOLVED that the members of the Board of Governors, meeting at the FAIRWINDS Alumni Center, University of Central Florida, on this twenty-third day of June, 2016, do hereby commend Dr. Katherine M. Robinson for her invaluable contributions to the Board of Governors and the State University System and extend to her all best wishes; and

BE IT FURTHER RESOLVED that the resolution be presented to Dr. Robinson as a small token of the Board's appreciation and thanks.

Thomas G. Kuntz, Chair

Darlene L. Jordan

Ned C. Lautenbach, Vice Chair

Alan M. Levine

Richard A. Beard III

Wendy S. Link

Dean C. Colson

Edward A. Morton

Daniel Doyle, Jr.

Pam Stewart

Patricia Frost

Norman D. Tripp

Jacob Hebert

Fernando J. Valverde

H. Wayne Huizenga, Jr.



**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Chancellor's Report to the Board of Governors

PROPOSED BOARD ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Chancellor Marshall M. Criser III will report on activities affecting the Board staff and the Board of Governors since the last meeting of the Board.

Supporting Documentation Included: None

Facilitators/Presenters: Chancellor Marshall M. Criser III

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Think Florida

PROPOSED BOARD ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Background Information: As part of the *Think Florida: A Higher Degree for Business* campaign, Board members regularly have the opportunity to hear from universities and the business community about the latest synergies regarding talent, research and partnerships. Today's feature is John Townsend, vice president of T. Rowe Price Group, Inc. and T. Rowe Price Services, Inc., who will present on his company's many collaborations with the University of South Florida.

Supporting Documentation Included: None

Facilitators/Presenters: Chair Tom Kuntz

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Comment

PROPOSED BOARD ACTION

For information

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 286.0114, Florida Statutes; Article V, Section H, Board of Governors Operating Procedures

BACKGROUND INFORMATION

Article V, Section H, of the Board of Governors Operating Procedures provides for public comment on propositions before the Board. The Board will reserve a maximum of fifteen minutes during the plenary meeting of the Board to take public comment.

Individuals, organizations, groups or factions who desire to appear before the Board to be heard on a proposition pending before the Board shall complete a public comment form specifying the matter on which they wish to be heard. Public comment forms will be available at each meeting and must be submitted prior to the plenary meeting.

Organizations, groups or factions wishing to address the Board on a proposition shall designate a representative to speak on its behalf to ensure the orderly presentation of information to the Board. Individuals and representatives of organizations, groups or factions shall be allotted three minutes to present information; however, this time limit may be extended or shortened depending upon the number of speakers at the discretion of the Chair.

Supporting Documentation Included: None

Facilitators/Presenters: Chair Tom Kuntz

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Renewal of Chancellor's Employment Agreement

PROPOSED BOARD ACTION

Approval of renewal of Chancellor's Employment Agreement

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

In November 2013, the Board appointed Mr. Marshall Criser III as Chancellor and authorized the then Vice Chair, Mr. Hosseini, to negotiate an employment agreement with Mr. Criser and to execute the agreement on behalf of the Board if the total compensation did not exceed the compensation set forth in the prior agreement with Chancellor Brogan. The resulting Employment Agreement is consistent with this delegation of authority and covers a three-year term from January 6, 2014 to January 6, 2017.

Based on Chancellor Criser's exemplary performance over the term of the Agreement, Chair Kuntz is seeking a similar delegation of authority from the Board to negotiate the terms of a renewed Employment Agreement with Chancellor Criser and to execute the Employment Agreement on behalf of the Board.

Supporting Documentation Included: None

Facilitators/Presenters: Chair Tom Kuntz

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Approved Amended Board of Governors
Regulation 1.001 University Board of Trustees Powers and Duties

PROPOSED BOARD ACTION

Approve amendments to Board of Governors Regulation 1.001 entitled University Board of Trustees Powers and Duties.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution, and Board of Governors' Regulation Development Procedure.

BACKGROUND INFORMATION

An amendment is being proposed to Board of Governors Regulation 1.001 entitled University Board of Trustees Powers and Duties to conform with changes in section 1001.71, Florida Statutes, as contained in House Bill 7029 which become effective July 1, 2016. The amended regulation also requires university boards of trustees to keep and within two (2) weeks after board of trustees meetings to post prominently on the university's website detailed meeting minutes for all meetings, including the vote history and attendance of each trustee.

The Board approved the public notice of intent to amend this regulation at the June 23, 2016, meeting. No public comments were received.

Supporting Documentation Included: Board Regulation 1.001

1.001 University Board of Trustees Powers and Duties

(1) Pursuant to Article IX, section 7(c), Florida Constitution, the Board of Governors shall establish the powers and duties of the board of trustees as set forth herein and as may be established in Board of Governors' regulations. This regulation supersedes the delegation of authority to the boards of trustees contained in the Board of Governors' Resolution dated January 7, 2003. The intent of this regulation is to delegate powers and duties to the university boards of trustees so that the university boards have all of the powers and duties necessary and appropriate for the direction, operation, management, and accountability of each state university.

(2) Composition of Boards; Membership and Organization.

- (a) Each university shall be administered by a board of trustees, consisting of thirteen members dedicated to the purposes of the State University System. Each university board of trustees includes six members appointed by the Governor and five members appointed by the Board of Governors, all of whom must be confirmed by the Senate. All trustees are required to attend a Board of Governors orientation session, preferably prior to service on the university board. The chair of the faculty senate, or the equivalent, and the president of Student Government, or the equivalent, are also members. Board of trustee members shall serve staggered terms of five years and may be reappointed for subsequent terms, except for the faculty and student representatives who shall serve for the duration of the term of their respective elected offices. All members are public officers subject to the requirements of the Florida Code of Ethics.
- (b) Each board of trustees shall select its chair and vice chair from the appointed members. Each chair shall serve for two years and may be reselected for one additional consecutive two-year term. Any exception to this term of office must be approved by a two-thirds vote of the board of trustees.
- (c) The duties of the chair shall include presiding at all meetings of the board of trustees, calling special meetings of the board of trustees, attesting to actions of the board of trustees, and notifying the Board of Governors or the Governor, as applicable, in writing whenever a board member has three consecutive unexcused absences from regular board meetings in any fiscal year, which may be grounds for removal as provided in section 1001.71, Florida Statutes.
- (de) The university president shall serve as the chief executive officer and corporate secretary of the board of trustees and shall be responsible to the board of trustees for all operations of the university and for setting

the agenda for meetings of the board of trustees in consultation with the chair.

- (~~ed~~) Members of the boards of trustees shall receive no compensation but may be reimbursed for travel and per diem expenses as provided in section 112.061, Florida Statutes.
- (~~fe~~) Each board of trustees shall establish the powers and duties of the university president.
- (~~gf~~) Each board of trustees shall be a public body corporate with all the powers of a body corporate, including the power to adopt a corporate seal, to contract and be contracted with, to sue and be sued, to plead and be impleaded in all courts of law and equity, and to give and receive donations. In all suits against the board of trustees, service of process shall be made on the chair of the board of trustees or on a university designee.
- (~~hg~~) Each board of trustees shall be primarily acting as an instrumentality of the state pursuant to section 768.28, Florida Statutes, for purposes of sovereign immunity.
- (~~ih~~) Each board of trustees is subject to the public records and open meetings requirements set forth in Article I, section 24 of the Florida Constitution and laws implementing that section.
- (j) Each board of trustees shall keep and, within two weeks after a board meeting, post prominently on the university's website detailed meeting minutes for all meetings, including the vote history and attendance of each trustee, as provided in section 1001.71, Florida Statutes.

(3) University Administration and Oversight.

- (a) Each board of trustees shall be responsible for the administration of its university in a manner that is dedicated to, and consistent with the university's mission which shall be otherwise consistent with the mission and purposes of the State University System as defined by the Board of Governors.
- (b) Each board of trustees may establish committees of the board to address matters including, but not limited to, academic and student affairs, strategic planning, finance, audit, property acquisition and construction, personnel, and budgets.
- (c) Each board of trustees shall adopt a strategic plan in alignment with the Board of Governors' systemwide strategic plan and regulations, and the university's mission. University strategic plans shall be submitted to the Board of Governors for approval.
- (d) Each board of trustees shall prepare a multi-year workplan/report for the Board of Governors that outlines its university's top priorities, strategic directions, and specific actions and financial plans for

achieving those priorities, as well as performance expectations and outcomes on institutional and systemwide goals. The workplan/report shall reflect the university's distinctive mission and focus on core institutional strengths within the context of State University System goals and regional and statewide needs.

- (e) Each board of trustees shall have a policy addressing conflicts of interest for its members.
 - (f) Each board of trustees shall maintain an effective information system to provide accurate, timely, and cost-effective information about the university, and shall require that all data and reporting requirements of the Board of Governors are met.
 - (g) Each board of trustees may promulgate regulations and procedures related to data and technology, including information systems, communications systems, computer hardware and software, and networks.
 - (h) Each board of trustees is authorized to secure comprehensive general liability insurance.
 - (i) Each board of trustees may provide for payment of the cost of civil actions against officers, employees, or agents of its board.
 - (j) Each board of trustees is authorized to promulgate university regulations in accordance with the Regulation Development Procedure adopted by the Board of Governors.
 - (k) Each board of trustees may govern traffic on the grounds of the university and in other areas in accordance with law and any mutual aid agreements entered into with other law enforcement agencies.
 - (l) Each board of trustees shall be responsible for campus safety and emergency preparedness, to include safety and security measures for university personnel, students, and campus visitors.
 - (m) Each board of trustees is authorized to create divisions of sponsored research and establish policies regulating the administration and operation of the divisions of sponsored research.
- (4) Academic Programs and Student Affairs.
- (a) Each board of trustees shall adopt university regulations or policies, as appropriate, in areas including, but not limited to:
 1. authorization and discontinuance of degree programs;
 2. articulation and access;
 3. admission and enrollment of students;
 4. minimum academic performance standards for the award of a degree;
 5. student financial assistance;
 6. student activities and organizations;
 7. student records and reports;

8. antihazing, related penalties, and program for enforcement;
9. reasonable accommodation of religious observances; and
10. uniform student code of conduct and related penalties.

Such regulations or policies shall be consistent with any applicable Board of Governors' regulations.

- (b) Each board of trustees shall establish a committee to periodically review and evaluate the student judicial system. At least one-half of the members of the committee shall be students appointed by the student body president.
 - (c) Each board of trustees shall approve the internal procedures of student government organizations.
 - (d) Each board of trustees shall require that institutional control and oversight of its intercollegiate athletics program is in compliance with the rules and regulations of the National Collegiate Athletic Association. The university president is responsible for the administration of all aspects of the intercollegiate athletics program.
- (5) Personnel.
- (a) Each board of trustees shall provide for the establishment of the personnel program for all the employees of the university, including the president, which may include but is not limited to: compensation and other conditions of employment, recruitment and selection, nonreappointment, standards for performance and conduct, evaluation, benefits and hours of work, leave policies, recognition and awards, inventions and works, travel, learning opportunities, exchange programs, academic freedom and responsibility, promotion, assignment, demotion, transfer, tenure, and permanent status, ethical obligations and conflicts of interest, restrictive covenants, disciplinary actions, complaints, appeals and grievance procedures, and separation and termination from employment. To the extent allowed by law, university employees shall continue to be able to participate in the state group insurance programs and the state retirement systems.
 - (b) Each board of trustees shall act as the sole public employer with regard to all public employees of its university for the purposes of collective bargaining, and shall serve as the legislative body for the resolution of impasses with regard to collective bargaining matters.
 - (c) Each board of trustees shall select its university president subject to confirmation of the candidate by the Board of Governors. A presidential search committee shall be appointed to make recommendations to the full board of trustees. The board of trustees shall select a candidate for confirmation by the Board of Governors. Prior to confirmation, the board of trustees shall submit a written description of the selection process and criteria, and the qualifications

of the selected candidate to the Board of Governors for its consideration in confirming the candidate. The candidate selected by the board of trustees shall be required to appear before the Board of Governors at the meeting where confirmation of the candidate will be considered. Such meeting will be held as soon as practicable to ensure a timely transition. A two-thirds vote of the Board of Governors shall be required to deny confirmation of a candidate selected by a board of trustees.

- (d) In the event that a board of trustees selects an interim president, such selection is subject to confirmation of the candidate by the Board of Governors. If it is determined by the board of trustees to be in the best interests of the university, the interim president selected by the board may be delegated full authority to serve as the interim president during the period prior to confirmation by the Board of Governors. Continued service as interim president requires confirmation by the Board of Governors, and the candidate selected by the board of trustees shall be required to appear before the Board of Governors at the meeting where confirmation will be considered. Such meeting will be held as soon as practicable to ensure a timely transition.
 - (e) Each board of trustees shall develop guidelines for the annual evaluation of the president.
 - (f) Each board of trustees shall conduct an annual evaluation of the president. The chair of the board of trustees shall request input from the Chair of the Board of Governors, who may involve the Chancellor, during the annual evaluation process pertaining to responsiveness to the Board of Governors' strategic goals and priorities, and compliance with systemwide regulations.
- (6) Financial Management.
- (a) Each board of trustees shall be responsible for the financial management of its university and shall submit an institutional budget request, including a request for fixed capital outlay, and an operating budget to the Board of Governors for approval in accordance with the guidelines established by the Board of Governors.
 - (b) Each board of trustees shall establish tuition and fees in accordance with regulations established by the Board of Governors.
 - (c) Each board of trustees shall establish waivers for tuition and fees pursuant to regulations established by the Board of Governors.
 - (d) Each board of trustees shall engage in sound debt management practices for the issuance of debt by the university and its direct support organizations, and shall comply with the guidelines established by the Board of Governors in connection with the

authorization, issuance and sale of university and direct support organization debt.

- (e) Each board of trustees shall account for expenditures of all state, local, federal, and other funds in accordance with guidelines or regulations established by the Board of Governors, and as provided by state or federal law.
 - (f) Each board of trustees may enter into agreements for, and accept, credit card payments as compensation for goods, services, tuition, and fees.
 - (g) Each board of trustees shall establish policies and procedures for the performance of annual internal audits of university finances and operations. All reports generated from such audits must be submitted to the Board of Governors after review and acceptance by the board of trustees, or its designee.
 - (h) Each board of trustees and each direct support organization shall submit annual financial statements to the Board of Governors.
- (7) Property and Purchasing.
- (a) Each board of trustees and university direct support organization must obtain prior approval from the Board of Governors before entering into a binding contractual obligation to improve real property that will result in the board or the direct support organization seeking a commitment of state funds for the development, construction, operation, or maintenance of an educational or research facility.
 - (b) Each board of trustees shall have the authority to acquire real and personal property and contract for the sale and disposal of same, and approve and execute contracts for purchase, sale, lease, license, or acquisition of commodities, goods, equipment, and contractual services, leases of real and personal property, and construction. The acquisition may include purchase by installment or lease-purchase. Such contracts may provide for payment of interest on the unpaid portion of the purchase price.
 - (c) With respect to state-funded real property acquisitions, each board of trustees may, with the consent of the Board of Trustees of the Internal Improvement Trust Fund, sell, convey, transfer, exchange, trade, or purchase real property and related improvements necessary and desirable to serve the needs and purposes of the university.
 - 1. The board of trustees may secure appraisals and surveys in accordance with the policies and procedures of the Board of Trustees of the Internal Improvement Trust Fund. Whenever the board of trustees finds it necessary for timely property acquisition, it may contract, without the need for competitive selection, with one or more appraisers whose names are

- contained on the list of approved appraisers maintained by the Division of State Lands in the Department of Environmental Protection.
2. The board of trustees may negotiate and enter into an option contract before an appraisal is obtained. The option contract must state that the final purchase price may not exceed the maximum value allowed by law. The consideration for such an option contract may not exceed 10 percent of the estimate obtained by the board of trustees or 10 percent of the value of the parcel, whichever is greater, unless otherwise authorized by the board of trustees.
 3. Title to property acquired by a university board of trustees prior to January 7, 2003, and to property acquired thereafter with state funds shall vest in the Board of Trustees of the Internal Improvement Trust Fund. With respect to all other real property acquired by a university, such property shall be titled in the name of the university board of trustees, or as the trustees of the university may deem appropriate.
- (d) Each board of trustees shall submit to the Board of Governors, for approval, plans for all new campuses and instructional centers.
 - (e) Each board of trustees shall administer a program for the maintenance and construction of facilities.
 - (f) Each board of trustees may exercise the right of eminent domain pursuant to the provisions of chapter 1013, Florida Statutes.
 - (g) Each board of trustees shall be responsible for the use, maintenance, protection, and control of, and the imposition of charges for, university-owned or university-controlled buildings and grounds, property and equipment, name trademarks and other proprietary marks, and the financial and other resources of the university.
 - (h) With respect to any funds or real or personal property designated by will, deed, agreement, or court appointment to be held in trust for the benefit of the university, or its students, faculty members, officers, or employees, or otherwise, or for any educational purpose, a university board of trustees is authorized to act as trustee with full legal capacity as trustee to administer such trust property and, in such event, the title thereto shall vest in the board of trustees as trustee. In all such cases, the university board of trustees shall have the power and capacity to do and perform all things as fully as any individual trustee or other competent trustee might do or perform, and with the same rights, privileges, and duties including the power, capacity, and authority to convey, transfer, mortgage, or pledge such property held in trust and to contract and execute all other documents relating to said trust property which may be required for or appropriate to the

administration of such trust or to accomplish the purposes of any such trust. Nothing herein shall be construed to authorize a board of trustees to contract a debt on behalf of, or in any way to obligate, the state; and the satisfaction of any debt or obligation incurred by the board as trustee under the provisions of this section shall be exclusively from the trust property, mortgaged or encumbered.

- (i) Each board of trustees shall prepare and adopt a campus master plan pursuant to section 1013.30, Florida Statutes.
 - (j) Each board of trustees shall prepare, adopt, and execute a campus development agreement pursuant to section 1013.30, Florida Statutes.
 - (k) Each board of trustees may authorize the rent or lease of parking facilities, provided that such facilities are funded through parking fees or parking fines imposed by a university. A board of trustees may authorize a university to charge fees for parking at such rented or leased parking facilities and parking fines.
 - (l) Each board of trustees shall promulgate regulations that establish basic criteria related to the procurement of commodities and contractual services.
 - (m) Each board of trustees shall be responsible for the fire safety and sanitation of public educational and ancillary plants.
- (8) Miscellaneous Powers and Duties.
- (a) Each board of trustees is authorized to form such corporate entities as are necessary to establish and maintain faculty practice plans for the collection, distribution, and regulation of fees generated by faculty members engaged in the provision of healthcare services to patients as an integral part of their academic activities and employment as faculty. Each such faculty practice plan must be adopted by the board of trustees in accordance with regulations of the Board of Governors and approved by the Board of Governors.
 - (b) Each board of trustees is authorized to establish direct support organizations and university health services support organizations and certify them to use university property, facilities, and services.
 - (c) Each board of trustees may establish educational research centers for child development.
 - (d) Each board of trustees is authorized to protect, develop, and transfer the work products of university personnel and other university agents and contractors, which authority shall include but not be limited to licensing, assigning, selling, leasing, or otherwise allowing the use of or conveying such work products and securing and enforcing patents, copyrights, and trademarks on such products. Each board of trustees shall have policies and procedures concerning the work products of university personnel that facilitate technology development and

transfer for the public benefit. Such policies must include, without limitation, provisions that take into account the contributions of university personnel in the development of work products and that require any proceeds from such work products be used to support the research and sponsored training programs of the university.

- (e) Each board of trustees is responsible for compliance with all applicable laws, rules, regulations, and requirements.
- (f) Each board of trustees shall perform such other duties as provided by the Board of Governors, or as each board of trustees may determine are necessary or appropriate for the administration of the university so long as the trustees comply with any applicable laws and Board of Governors' regulations and policies.

Authority: Section 7(c), Art. IX, Fla. Const.; History: Resolution 1-07-03, New 3-26-09, Amended 09-16-10, _____.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Amend Board of Governors Regulation 1.006
Conflict of Interest

PROPOSED BOARD ACTION

Approve the public notice of intent to amend Board of Governors Regulation 1.006
Conflict of Interest

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development
Procedure; Florida Code of Ethics for Public Officers, Chapter 112, Part III

BACKGROUND INFORMATION

This regulation is being amended to conform to current law in Section 112.3143, Florida Statutes, relating to voting conflicts for appointed public officers. Florida law now prohibits an appointed public officer from voting on any matter that will result in a special private gain or loss to the public officer. The officer is required to make every reasonable effort to disclose the nature of the conflict in a memorandum filed with the Board's corporate secretary prior to the meeting, or if that is not possible, then the memorandum must be filed within 15 days after the vote.

If approved, the amended regulation will be noticed on the Board's website for 30 days with final approval by the Board at the November 3, 2016 meeting.

Supporting Documentation Included: Amended Regulation 1.006

Facilitators/Presenters: Ms. Vikki Shirley

1.006 Conflict of Interest

(1) Members of the Board of Governors, the Chancellor, the Vice Chancellors, and all Board employees holding a position in Senior Management Service or Selected Exempt Service are expected to perform their official duties and responsibilities with diligence and due care in a manner consistent with their fiduciary responsibilities to the Board of Governors and the State University System. In performing their official duties and responsibilities arising under the Constitution and as public officers and employees under the Florida Code of Ethics for Public Officers and Employees, sections 112.311 through 112.326, Florida Statutes, each such officer and employee must endeavor to avoid participating in activities that may give rise to an actual or potential conflict of interest between the officer's private interests and his or her public duties and responsibilities to the Board of Governors and the State University System. A conflict of interest may arise when an officer's private interests, including those of the officer's relatives as defined in section 112.3143, Florida Statutes, may affect the officer's independent judgment or ability to impartially perform his or her public duties consistent with the officer's duty of loyalty to the Board and the State University System.

(2) Disclosure. To ensure full disclosure of any actual or potential conflicts of interest, each officer must, by July 1 of each year, file a Statement of Financial Interests with the Commission on Ethics and also file a disclosure form with the Board's corporate secretary that lists any personal, familial, or business relationships with any organizations that do business with the Board of Governors or the State University System that may give rise to a potential or actual conflict of interest. Business relationships with any such organizations include those in which the officer or the officer's spouse or child serves as an officer, director, or proprietor of, or in which they have a material direct or indirect ownership interest of more than five (5) percent of the total assets or capital stock of the organization. Familial relationships include father, mother, spouse, children, siblings, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law and sister-in-law.

(3) Voting Conflicts. ~~Notwithstanding paragraph (1),~~ Florida law ~~allows~~ prohibits appointed public officers ~~to~~ from voting on matters that may inure to the officer's special private gain or loss⁷. Any appointed public officer who abstains from voting in an official capacity upon any measure that the officer knows would inure to the officer's special private gain or loss, or who votes in an official capacity on a measure that the officer knows would inure to the special private gain or loss of any principal by whom the officer is retained or to the parent organization or subsidiary of a corporate principal by which the officer is retained other than an agency as defined in section 112.312(2); or which the officer knows would inure to the special private gain or loss of a relative or business associate of the public officer, shall make every reasonable effort to disclose the nature of the officer's interest in a memorandum filed with the Board's corporate secretary, who shall incorporate the memorandum in the Board's minutes. If it is not possible to file the memorandum prior to the vote, the memorandum must be filed with

the corporate secretary no later than 15 days after the vote. In addition, no appointed public officer shall participate in any matter that would inure to the officer's special private gain or loss; which the officer knows would inure to the special private gain or loss of any principal by whom the officer is retained or to the parent organization or subsidiary of a corporate principal by which the officer is retained; or which the officer knows would inure to the special private gain or loss of a relative or business associate of the officer, without first disclosing the nature of the officer's interest. "Participate" means any attempt to influence the decision by oral or written communication, whether made by the officer or at the officer's direction. ~~of any to the special gain or loss of a relative as defined above, to the special gain or loss of a principal by whom the officer is retained or a business associate of the officer so long as the nature of the private interest is disclosed prior to the vote.~~ If the officer intends to make any attempt to influence the decision prior to the meeting at which the vote will be taken, the officer must complete a Memorandum of Voting Conflict for State Officers prior to making any such attempt and file the Memorandum with the Board's corporate secretary for incorporation into the Board minutes. The corporate secretary will provide a copy of the Memorandum to the other Board members and will publicly read the form at the next meeting. If the officer does not intend to make any attempt to influence the decision except by discussion or vote at the meeting, the officer must orally disclose the nature of the conflict in the matter before participating and file a Memorandum of Voting Conflict with the Board's corporate secretary within 15 days after the vote. The form must be incorporated into the minutes of all meeting, a copy provided to all other Board members, and the form must be publicly read at the next meeting after the form is filed.

(4) Conflicting Employment or Contractual Relationship. Officers and employees are prohibited from holding any employment or contract with any university or business entity subject to regulation by the Board of Governors or which is doing business with the Board of Governors. Officers and employees are also prohibited from holding any employment or having a contractual relationship that will pose a frequently recurring conflict between private interests and public duties, or which will impede the full and faithful discharge of public duties.

(5) This regulation is not intended to supplant the Code of Ethics for Public Officers and Employees set forth in sections 112.311 through 112.326, Florida Statutes.

Authority: Section 7(d), Art. IX and Section 24, Art. I, Fla. Const.; History: New 3-27-08, Amended _____.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Graduate Tuition for the Master in Physician Assistant Studies, Florida Gulf Coast University

PROPOSED BOARD ACTION

Consider Approval of Graduate Tuition for the Master in Physician Assistant Studies, Florida Gulf Coast University

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Subsequent to amendments to Section 1009.24, Florida Statutes, in the 2016 Legislative Session, the Board of Governors must now approve tuition for graduate and professional programs. The Florida Gulf Coast University (FGCU) is requesting the approval of graduate level tuition for their Master of Physician Assistant Studies program approved by the university board on April 19, 2016. The program will provide students with entry-level education to become physician assistants and requires the completion 90 credit hours which include 40 credit hours of intense clinical rotations. Programs that require the completion of extensive clinical rotations are much more expensive to offer and for this reason the university is requesting approval for an increased graduate level tuition.

The proposed tuition for in-state students in the FGCU Master of Physician Assistant Studies program is:

Florida Resident - \$26,430.00 Year One and \$21,144.00 Year Two (\$47,574.00 Total)
Non-Resident - \$70,586.50 Year One and \$56,469.20 Year Two (\$127,055.70 Total)

The Florida Gulf Coast University Board of Trustees approved the higher level of tuition for the program on September 13, 2016. If approved, the tuition rate will become effective in Summer 2017 for the first cohort of students.

Supporting Documentation Included:

Information located in the Academic and Student Affairs Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Amend Regulation 6.013 Military Veterans and Active Duty

PROPOSED BOARD ACTION

Consider approval of Public Notice of Intent to Amend Regulation 6.013 Military Veterans and Active Duty

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

In order to provide consistency in the application of policies specified in Section 1004.07, Florida Statutes, Board Regulation 6.013 is amended to direct university boards of trustees to adopt a regulation to provide that any student enrolled in a college credit course shall not incur academic or financial penalties by virtue of performing military service on behalf of our country. In addition, a technical change is made to subsection (1) to be consistent with other Board of Governors regulations that provide for university boards to adopt regulations and the university administration to adopt policies and procedures. Board Regulation 7.002 subsection (10) is also being amended to align with 6.013 and is under consideration in the Budget and Finance Committee.

The draft amendments have been reviewed by the university General Counsels, the Council of Academic Vice Presidents, the Council of Student Affairs, and other state university staff. Pursuant to the regulation procedure adopted by the Board at its meeting on March 23, 2006, the Board is required to provide public notice by publication on its Internet Web site at least 30 days before adoption of the proposed regulation.

Supporting Documentation Included:

Information located in the Academic and Student Affairs Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Amend Regulation 8.003 Textbook and Instructional Materials Affordability

PROPOSED BOARD ACTION

Consider approval of Public Notice of Intent to Amend Regulation 8.003 Textbook Adoption

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

Board of Governors Regulation 8.003 has been amended and retitled Textbook and Instructional Materials Affordability to align with changes in section 1004.085, Florida Statutes. A system-wide workgroup was convened to address the new requirements in statute and to make recommendations for amending the regulation. A summary of the proposed changes to this regulation is as follows:

- Revises provisions relating to textbook affordability to include instructional materials.
- Requires state university boards of trustees to identify wide variances in the costs of, and frequency of changes in the selection of textbooks and instructional materials for certain courses.
- Requires state university system boards of trustees to send a list of such identified courses to the academic department chairs for review.
- Authorizes the state university boards of trustees to adopt policies in consultation with providers to allow for the use of innovative pricing techniques and payment options for certain textbooks and instructional materials.
- Requires postsecondary institutions to consult with school districts to identify practices that impact the cost of dual enrollment courses.
- Requires a cost-benefit analyses relating to selection of textbooks and instructional materials.
- Provides for annual reporting requirements.

Draft amendments have been reviewed by the university General Counsels, the Council of Academic Vice Presidents, and other state university staff. Pursuant to the regulation

procedure adopted by the Board at its meeting on March 23, 2006, the Board is required to provide public notice by publication on its Internet Web site at least 30 days before adoption of the proposed regulation.

Supporting Documentation Included: Information located in the Academic and Student Affairs Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Approved Amended Regulation 8.011
Authorization of New Academic Degree Programs and Other Curricular Offerings

PROPOSED BOARD ACTION

Consider approval of amendments to Board of Governors Regulation 8.011
Authorization of New Academic Degree Programs and Other Curricular Offerings

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Board of Governors Regulation 8.011 establishes the criteria and process for proposing and implementing new academic degree programs in the State University System of Florida. The proposed amendments to this regulation will:

1. Clarify that the regulation includes both criteria and administrative processes for new academic program authorization;
2. Incorporate by reference the Council of Academic Vice Presidents' pre-proposal review process established pursuant to Regulation 8.004;
3. Establish a CIP Code change process for existing degree programs; and
4. Clarify that each university shall establish specified policies for academic degree offerings away from the main campus per Regulations 8.002, 8.004, and 8.009.

Preliminary regulation amendments were reviewed by the university General Counsels, Council of Academic Vice Presidents, and other state university staff. The regulation amendment was approved for noticing on June 23, 2016. No concerns were expressed about the language during this notice period.

Supporting Documentation Included: Amended Regulation 8.011

8.011 Authorization of New Academic Degree Programs and Other Curricular Offerings.

(1) New Academic Degree Program Authorization - To ensure that new academic programs implemented by a state university are of the highest quality and are aligned with the Board of Governors and university strategic plans, the following criteria and administrative processes for new academic program authorization are established.

(2) Definitions - Within the context of this regulation, academic degree programs are defined as follows:

(a) Degree Program – An organized curriculum leading to a degree in an area of study recognized as an academic discipline by the higher education community, as demonstrated by assignment of a Classification of Instructional Programs (CIP) code by the National Center for Educational Statistics or as demonstrated by the existence of similar degree programs at other colleges and universities. An argument may also be made for a truly unique degree program, based upon emerging research trends or occupational demand. Each degree program shall have designated faculty effort and instructional resources and shall be assigned a CIP code and included in the State University System Academic Degree Program Inventory. Each degree program shall include at least one program major as defined in paragraph (2) (b), but may have multiple majors.

(b) Program Major – An organized curriculum offered as part or all of an existing or proposed degree program. A program major shall be reasonably associated with the degree program under which it is offered and shall share common core courses with any other majors within the same degree program. Although in some cases the major and the degree program names are synonymous, only the degree program shall be assigned a CIP Code and shall be included in the State University System Academic Degree Program Inventory as a stand-alone program. The number of credit hours for a program major for each degree level shall be established by the university within the parameters of paragraph (3) (a) 6c.

(3) Criteria for New Degree Program Approval – A proposal for a new degree program shall be approved by a university board of trustees and the Board of Governors only if it meets the following criteria:

(a) Institutional and State-Level Accountability

1. *The Program is Consistent with the State University System Strategic Plan, and the University Mission, University Strategic Plan, and University Work Plan.* – The proposal shall demonstrate that the goals of the program are consistent with current State University System strategic planning goals by identifying which of the goals the program will directly advance. Additionally, the proposal shall demonstrate that the program goals are aligned with the university's

mission and strategic planning goals and relate to specific institutional strengths, and that the program is consistent with the program list provided in the university work plan required by Board of Governors Regulation 2.002.

2. *There is a Demonstrated Need for Program Graduates, Research, and/or Service.* – The proposal shall demonstrate a need for more individuals to be educated in the program at the level proposed, provide an estimate of the headcount and full-time equivalent (FTE) for students who will major in the program, and indicate steps to be taken to achieve a diverse student body. If an argument is made for the program based upon research or service need, then specific supporting information shall be provided. In analyzing the need for the proposed program, the university shall consider whether similar programs are offered at other postsecondary institutions in Florida and what impact, if any, such programs may have on the proposed program, and shall include this analysis in the proposal to substantiate the need for the program.
3. *The Program Does Not Unnecessarily Duplicate Existing State University System Degree Programs.* – If the program duplicates another degree program at a state university in Florida which has a substantially similar curriculum, evidence shall be provided that the university has investigated the potential impact on that program, has discussed opportunities for collaboration with the affected university, and can substantiate a need for duplication. If the proposed program curriculum substantially duplicates an existing program at a historically black university in the State University System, an analysis shall be conducted to determine whether the proposed program may adversely affect that university's ability to achieve or maintain student diversity in its existing program.
4. *Financial Planning and Resources are Sufficient for Implementation.* – The proposal shall include a complete budget for the program which is comparable in cost to similar existing programs, reflects the purpose of the proposal, and provides evidence that, in the event resources within the institution are redirected to support the new program, such a redirection will not have an unjustified negative impact on other programs.
5. *There is a Sufficient Projected Benefit of the Program to the University, Local Community, and State.* – The proposal shall describe the projected benefit to the university, local community, and the State if the program is implemented. The proposal should demonstrate efficient use of resources and justification for the investment. The projected benefit may be both quantitative (data driven) and qualitative in nature.
6. *Access and Articulation are Maintained for All Programs.*
 - (a) i. In a proposal for a baccalaureate program, all prerequisite courses shall

be consistent with common prerequisites for similar degree programs within the State University System and the Florida College System, or an exception shall be sought through the Articulation Coordinating Committee in accordance with Board Regulation 8.010.

- ~~(b)~~ ii. In a proposal for a baccalaureate program, if limited access status is sought in accordance with Board Regulation 8.013, adequate justification shall exist for such a designation, and evidence shall be provided that diversity, articulation, and workforce issues are appropriately addressed.
- ~~(c)~~ iii. In a proposal for a baccalaureate program, the total number of credit hours shall not exceed 120, or an exception shall be sought from the Board of Governors in accordance with Board Regulation 8.014.
- ~~(d)~~ iv. A proposal for any degree level shall include a plan to achieve a diverse student body in the program.

(b) Institutional Readiness

1. *The Institution Demonstrates an Ability to Implement a High-Quality Program.* - The proposal shall provide evidence that the institution has the resources in place, or will make the necessary investments, to ensure that the proposed program will be of high quality. If appropriate, the proposal shall provide evidence that the proposed program will specifically relate to existing institutional strengths such as other academic programs that have achieved national recognition, or related institutes and centers. If program reviews or accreditation activities in the discipline pertinent to the proposed program or in related disciplines have included recommendations affecting the proposed program, the proposal shall provide evidence that progress has been made in implementing those recommendations.
2. *The Curriculum is Appropriate for the Discipline and Program Level.* - The proposal shall describe a sequenced course of study with expected student learning outcomes, including any appropriate industry-driven competencies for advanced technology and related disciplines, as well as a strategy for assessing student learning. Admissions and graduation criteria shall be clearly specified and appropriate. The course of study and credit hours required should include a timeframe consistent with similar programs. In cases in which specialized accreditation is available, evidence shall be provided that the program will seek accreditation, or a rationale shall be provided as to why the program will not seek specialized accreditation as required by Regulation 3.006 .
3. *Sufficient Qualified Faculty is Available.* - The proposal shall demonstrate that sufficient qualified faculty is available to initiate the program based on estimated enrollments, and that, if appropriate, there is a commitment to hire additional faculty in later years. The proposal shall demonstrate that the

academic unit or units associated with this new degree have been productive in teaching, research, and service. For a research or professional doctoral program, evidence shall be provided that the faculty in the aggregate has the necessary instructional experience, as well as research and grant activity, to sustain a doctoral program.

4. *Sufficient Institutional Resources are Available.* – The proposal shall demonstrate that the necessary library volumes and serials; classroom, teaching laboratory, research laboratory, office, and any other type of physical space; equipment; and appropriate clinical and internship sites shall be available to implement the program. For a graduate-level program, the proposal shall indicate whether appropriate fellowships, scholarships, and graduate assistantships are in place, or if the university has made sufficient plans for their existence when student support is the norm in similar programs in the discipline.

(4) New Degree Program Approval Authority and Process –

- (a) All Degree Programs - Each university shall submit a new academic degree program pre-proposal for review by the academic program coordination work group established by the Council of Academic Vice Presidents pursuant to Regulation 8.004 (1) in order to facilitate collaboration, articulation, and coordination of new academic degree programs across the State University System. This action should be taken prior to a new degree program under consideration being moved into the one-year category on the university annual work plan.

- (b) Professional and Research Doctoral Degree Programs – Each university board of trustees shall approve new research and professional doctoral degree programs for submission to the Board of Governors for authorization, in accordance with the criteria outlined in section (3) of this regulation. In approving a new doctoral degree program, the Board of Governors shall consider the sufficiency of the university proposal evaluation process, the distinctive mission of the university, alignment with the State University System and university strategic plans, and the extent to which the program will contribute to the economic development of the local community and the state as demonstrated by its alignment with the Areas of Programmatic Strategic Emphasis adopted as part of the State University System Strategic Plan.

1. A proposal that is complete and has been determined by Board staff to meet all criteria for new program authorization shall be considered by the Board of Governors for approval and, subsequent to a program's approval, an institution may offer the new program at a date no sooner than that specified in the proposal.
2. If a university contemplates implementing a master's or specialist program and a doctoral program in the same discipline simultaneously, a single proposal for both degree levels should be developed, differentiating elements

within the proposal as necessary. Both degree levels shall be approved by the university board of trustees prior to submitting the doctoral program proposal to the Board of Governors for consideration.

3. New doctoral programs shall be considered by the Board of Governors only at the June and November meetings, unless extenuating circumstances justify the need for Board consideration during a different timeframe. The Chancellor shall establish deadlines for university submission of new degree proposals for consideration.
- (~~b~~c) *Bachelor's, Master's, Advanced Master's, Specialist and other Non-Doctoral Degree Programs* – Each university board of trustees shall approve for implementation new degree programs at the bachelor's, master's, advanced master's, and specialist levels in accordance with sections (3) and (5) of this regulation.
- (~~e~~d) *University Policies for New Degree Program Authorization* - Each university board of trustees shall ensure that university policies for new degree program planning and approval are consistent with this regulation and provide a copy of the policies to the Board of Governors Office. The university policies shall include at a minimum:
 1. A formal process for determining degree programs that the university will explore for implementation over the period covered by the university strategic plan and the university work plan;
 2. A formal process for review and approval of proposed programs by the appropriate curriculum, financial, and administrative entities of the university
 3. A formal written review of doctoral program proposals by a qualified external consultant prior to consideration of the proposal by the board of trustees. Alternatively, institutions may utilize a cross-section of visiting experts who contribute to the proposal development process. Their contribution to the process must be documented and described in the proposal;
 4. A process for final consideration by the board of trustees that includes review of the proposed program by the full board or a designated committee with regard to Board of Governors approval criteria and implementation costs; and
 5. Adoption of a common State University System new degree proposal format developed by Board staff in collaboration with university academic affairs officers.
- (~~d~~e) *State University System Academic Degree Program Inventory* –
 1. The Board Office shall maintain a State University System Academic Degree Program Inventory that will identify the approved degree programs for each university and that will be used by the universities for reporting enrollments, degree completions, and other information related to instructional delivery. Within four weeks of approval of a bachelor's, master's, specialist, or advanced master's degree by the university board of

trustees, a university shall notify the Board of Governors Office in writing and provide an electronic copy of the proposal for each program, along with related board of trustees approval documents. For baccalaureate programs, the notification shall include any request for approval of limited access status, exceptions to the 120 credit hours to degree, and exceptions to the established statewide common prerequisite courses. A CIP code for each program shall be assigned by the Board of Governors Office in consultation with the university.

2. Upon resolution of any outstanding issues regarding the program, it shall be added to the State University System Academic Degree Program Inventory and a letter of notification shall be provided to the university.
3. The Board of Governors recognizes that academic disciplines and degree program curriculum evolve over time to align with emerging knowledge in the field or changing workforce requirements. Existing programs' curricula may also evolve over time in response to faculty research interests and student demand. On occasion a new CIP code is created by the National Center for Educational Statistics which is a better fit for an existing program than the one assigned when it was approved. Accordingly, the Chancellor, or designee, is authorized to approve CIP code changes requested by a university for an existing degree program in the State University System Academic Degree Program Inventory and to establish a process for university and state-level review to ensure the program is properly coded. A CIP code change cannot have the net effect of adding a new degree program to the academic program inventory by changing the code for a major offered under a currently approved program. The new CIP code will be used for state-level accountability calculations starting with the effective term.

(5) Independent Degree Programs at Branch Campuses and Off-Campus Sites - Complete degree programs, or substantially complete degree programs, having designated faculty lines with independent curricular decision-making authority, designated facilities and instructional resources, and a designated student body, shall not be implemented at a branch campus or other off-campus instructional location unless approved by the university board of trustees, even if the university already has authority to offer the degree program at another location. Each such program shall meet the Board of Governors' new degree program approval criteria and follow the same approval process as other new program offerings at the university. This requirement does not apply to programs currently approved for one location that share faculty and students between or among instructional locations.

(6) Each university shall establish policies for academic degree program offerings away from the main campus which are in compliance with Board of Governors Regulations

8.002 Continuing Education, 8.004 Academic Program Coordination, and 8.009 Educational Sites, ~~including degree programs offered through continuing education or outreach, degree programs offered under contract as sponsored credit for an external public or private entity, degree programs offered in other states, and degree programs offered in foreign countries.~~

(7) Authorization of Other Academic Curricular Offerings - Each university board of trustees shall ensure that the university has policies consistent with this regulation and applicable accreditation standards for the approval, implementation, and review of other types of academic curricular offerings as defined in sections (7) (a)-(c) of this regulation. Copies of each university's policies for approving other academic curricular offerings shall be provided to the Board of Governors Office.

- (a) Program Minor, Concentration, Area of Emphasis, Track, or a similar curricular offering. - Any organized curriculum that is offered as part of a degree program and enhances or complements the degree to be awarded in a manner which leads to specific educational or occupational goals. Such a curricular offering shall be as defined by the university with the credit-hour length set in accordance with university policy, except that the number of credit hours shall not equal or exceed the number of credit hours established for a program major at the same degree level.
- (b) College Credit Certificate Program - An organized curriculum of college credit courses offered as a distinct area of study that leads to specific educational or occupational goals, and for which the university awards a certificate, diploma, or similar form of recognition upon completion. College credit certificate programs may consist of courses that are part of a degree program or distinct courses that are created outside of any degree program. The number of credit hours for a college credit certificate program shall be set by the university within guidelines established by this regulation.
- (c) Non-College-Credit Certificate - An organized curriculum of study of any length that is offered for non-college credit (as measured through clock hours, continuing education units, competency exams, etc.), that leads to specific educational or occupational goals, and for which the university awards a certificate or diploma upon completion. The length of a non-college-credit certificate program shall be set by the university.

| Authority: Section 7(d), Art. IX, Fla. Const.; History: 3-27-07, Amended 3-24-11, .

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Approved Amended Board of Governors
Regulation 8.012 Academic Program Termination and Temporary
Suspension of New Enrollments

PROPOSED BOARD ACTION

Consider approval of amendments to Board of Governors Regulation 8.012 Academic Program Termination and Temporary Suspension of New Enrollments

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Board of Governors Regulation 8.012 establishes the criteria and process for termination of existing degree programs. It is being amended to clarify the termination process and also include suspension of new enrollments in existing degree programs. This will better differentiate between two actions which are frequently misunderstood or confused with each other. The proposed amendments to this regulation will:

1. include a new criterion that program termination may be due to faculty or other resources no longer being sufficient to deliver a high-quality program;
2. require that Florida College System institutions be notified when a baccalaureate program is being considered for termination;
3. clarify that terminations must be approved prior to the effective term date (no retroactive terminations); and
4. provide criteria and process for temporary suspension of new enrollments in an existing academic program.

Preliminary regulation amendments were reviewed by the university General Counsels, Council of Academic Vice Presidents, Council of Student Affairs, SUS health center directors, and other state university staff. The regulation amendment was approved for noticing on June 23, 2016. No concerns were expressed about the language during this notice period.

Supporting Documentation Included: Amended Regulation 8.012

8.012 Academic Program Termination and Temporary Suspension of New Enrollments

(1) Academic Program Termination

- (a)(1) To ensure the efficient use of state resources and maintain the quality and relevancy of academic programs offered within the State University System, programs may be terminated. Reasons for terminating programs may include but are not limited to the following:
- (a) 1. Enrollments are no longer sufficient to justify the cost of instruction, facilities, and equipment; or the program duplicates other offerings at the university.
 - 2. Faculty or other resources are no longer sufficient to deliver a high-quality program.
 - (b) 3. The program is no longer aligned with the mission or strategic goals of the university, or is no longer aligned with the strategic goals of the Board of Governors.
 - (c) 4. The program no longer meets the needs of the citizens of Florida in providing a viable education or occupational objective.

(2-b) Each University Board of Trustees must adopt regulations ~~policies and procedures~~ for degree program termination that are consistent with this regulation, with copies provided to the Board of Governors, Office of Academic and Student Affairs. The regulations must ~~policies will~~ include at a minimum:

- (a) 1. A formal process for determining degree programs that are candidates for termination that includes review by the appropriate curriculum, financial, and administrative councils of the university; and
- (b) 2. A plan to accommodate any students or faculty who are currently active in a program that is scheduled to be terminated;
- 3. A plan to notify Florida College System (FCS) institutions that the university has begun the process of terminating a baccalaureate program so that FCS students may be advised appropriately; and
- (c) 4. A process for evaluation and mitigation of any potential negative impact the proposed termination may have on the current representation of females and ethnic minorities within the faculty and students.

(3 c) Each University Board of Trustees has the responsibility and authority to approve termination of degree programs at the bachelor's, master's, advanced master's, and specialist level in accordance with this regulation ~~BOG Regulation 6C 8.012 (1) and subsection (2)~~. Upon termination of a degree program, the university will notify the Board of Governors, Office of Academic and Student Affairs within four weeks of the University Board of Trustees decision.

(4-d) Each University Board of Trustees has the responsibility and authority to recommend termination of degree programs at the professional and doctoral level to the Board of Governors in accordance with this regulation. ~~BOG Regulation 6C 8.012 (1) and subsection (2)~~. In its request for termination of a program the university will provide documentation that it has followed its established policies, including those related to faculty affected by program termination, and that there is a plan in place to accommodate any students who are currently active in the program.

(e) The university's Board of Trustees or the Board of Governors, if applicable, must approve the termination prior to the start of the effective term.

(2) Temporary Suspension of New Enrollments in an Academic Program

(a) A program that is temporarily suspended for new enrollments remains an active program in the State University System Academic Degree Program Inventory. Students that are already enrolled can continue to progress towards degree completion. A program's temporary suspension for new enrollments can be removed by the university at any time and the program can begin accepting new enrollments, with notification to the Board of Governors' Office.

1. When a university decides to suspend a program, it must submit a "Request for Temporary Suspension of New Enrollments in an Academic Program" form to enable Board staff to note the change to the State University System Academic Degree Program Inventory and the Statewide Articulation Manual. Reasons for suspending programs may include but are not limited to the following:

- i. Curriculum is being revised;
- ii. Key faculty member(s) have left the university and replacements are being recruited for the program;
- iii. Accreditation standards have changed;
- iv. The university is reprioritizing its resources and/or efforts;
- v. Student demand for the program has diminished over time and the university is re-evaluating the viability of the program.

2. A program can be temporarily suspended for new enrollments for up to 9 consecutive terms, including summer. At the end of the 9th term the university must notify the Board of Governors' office on the desired course of action for the program; and

3. A plan to notify Florida College System (FCS) institutions that the university has begun the process of temporary suspension of new enrollments in a baccalaureate program so that FCS students may be advised appropriately.

(b) Temporary suspensions of degree programs must be approved in accordance with university policies prior to the start of the effective term.

Authority: Section 7(d), Art. IX, Fla. Const.; History: New 3-29-07, Amended _____.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Approved Amended Board of Governors
Regulation 8.014 Bachelors' Degree Exceptions to 120 Credit Hours
Requirements

PROPOSED BOARD ACTION

Consider approval of amendments to Board of Governors Regulation 8.014 Bachelors' Degree Exceptions to 120 Credit Hours Requirements

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Section 1007.25, Florida Statutes, requires that baccalaureate degrees not exceed 120 credit hours in length unless approved to do so by the Board of Governors. Regulation 8.014 establishes the criteria and process for approval of baccalaureate degree programs to exceed the statutory limit. The proposed amendments to this regulation will:

1. clarify that exception applies to the degree program and all of its majors as defined by Regulation 8.011;
2. establish a process for identifying approved exceptions in the State University System Academic Degree Program Inventory;
3. provide flexibility for universities to make incremental adjustments when necessary to a credit hour length previously approved; and
4. authorize the Chancellor to establish an administrative process to review university requests for incremental adjustments.

Preliminary regulation amendments were reviewed by the university General Counsels, the Council of Academic Vice Presidents, the Council of Student Affairs, the SUS health center directors, and other state university staff. The regulation amendment was approved for noticing on June 23, 2016. No concerns were expressed about the language during this notice period.

Supporting Documentation Included: Amended Regulation 8.014

8.014 Bachelors' Degree Exceptions to 120 Credit Hours Requirement

(1) ~~In accordance with the requirements of Section 1007.25, F.S.,~~ ~~the~~ The Board of Governors may approve a request by a university board of trustees for a bachelor's degree program to exceed 120 credit hours to degree. Programs may be approved for the following reasons:

- (a) Additional courses are required to meet specialized accreditation standards for program content and such accreditation is expected or required for program graduates to become employed in the profession for which they are being prepared (e.g. Engineering, Architecture); or
- (b) Additional courses are required to meet state or federal mandated criteria for professional licensing (e.g., Teacher Education); ~~or~~
- (c) The degree program offers a unique and innovative learning experience, such as honors programs, individualized study, and other non- traditional approaches to education.

(2) Approval to exceed 120 credit hours to degree applies to the degree program and each of its majors as defined in Board of Governors Regulation 8.011 New Academic Program Authorization. In the request for approval, the university must identify all majors within the degree program and the number of credit hours required for each major. The highest number of credit hours will be recorded in the State University System Academic Degree Program Inventory and used for state-level accountability calculations. Universities shall not implement majors within a degree program that exceed the recorded maximum number of credit hours for the degree program without following the processes described herein, as appropriate.

(3) The Board recognizes that degree program curricula are dynamic and often require revision to meet new accreditation standards, state or federal mandates, or the incorporation of emerging knowledge within the discipline. For this reason each university is authorized to make incremental adjustments when necessary to the credit hour length of bachelor's programs previously approved to exceed 120 credit hours without seeking additional board approval. To ensure state-level data accuracy, each university shall report any such changes in the credit hour length to the Board of Governors Office prior to implementation of the change.

(4) The Chancellor is authorized to establish an administrative process to review university requests for incremental adjustments to the recorded maximum number of credit hours for bachelor's degree programs previously approved to exceed 120 credit hours to degree.

- (a) The process shall set thresholds for incremental adjustments that do not require Board of Governors approval, and adjustments that do require Board of Governors approval. Any adjustment that will extend the number of semesters required to complete a degree program must be approved by the Board of Governors in advance of implementation.

(b) Degree programs that revert to 120 credit hours to degree at any time must follow the appropriate process herein to exceed 120 credit hours.

Authority: Section 7(d), Art. IX, Fla. Const., ~~1007.25, F.S.~~; History: New 3-29-07; Amended _____

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Approval of Florida Polytechnic University 2016 University Work Plan

PROPOSED BOARD ACTION

Consider for approval the Florida Polytechnic University 2016 Work Plan

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation 2.002

BACKGROUND INFORMATION

Board Regulation 2.002 requires the development of University Work Plans. Work Plans, in conjunction with the annual Accountability Report, are designed to inform strategic planning, budgeting, and policy decisions for the State University System.

University Work Plans are intended to reflect the institution's distinctive mission and focus on core strengths within the context of State University System goals and regional and statewide needs. Work Plans outline top priorities, strategic direction, and specific actions and financial plans for achieving those priorities, as well as performance expectations and outcomes on institutional- and System-wide goals.

At the June meeting of the Strategic Planning Committee, consideration of Florida Polytechnic's 2016 Work Plan was deferred until the Committee's September meeting to allow the University more time to add data for key metrics. The University made a presentation on its 2016 Work Plan to the Strategic Planning Committee, and the Committee Chair will provide a recommendation to the full Board.

Supporting Documentation Included:

Information located in the Strategic Planning Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Consider for approval the UCF Five-year Plan for Emerging State
Research University Preeminence

PROPOSED BOARD ACTION

Consider for approval the UCF Five-year Plan for Emerging State Research University
Preeminence

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

UCF has submitted a five-year plan associated with the Emerging Preeminent State
Research Universities Program created by the 2016 Legislature. If the Board approves
UCF's Emerging Preeminent State Research Universities Program Five-year Plan, the
\$5,000,000 associated with program will be released.

UCF's Plan was considered by the Strategic Planning Committee, and the Committee
Chair will make a recommendation.

Supporting Documentation Included:

Information located in the Strategic
Planning Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: University of Florida Health-Jacksonville Campus Reclassification

PROPOSED BOARD ACTION

Consider reclassification of a Special Purpose Center to a Type III Campus for the University of Florida Health-Jacksonville site

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board Regulation 8.009

BACKGROUND INFORMATION

The University of Florida (UF) requests authorization to reclassify its Health-Jacksonville site from a Special Purpose Center to a Type III Campus in accordance with Board of Governors Regulation 8.009. The University intends to offer full degree programs, and the student FTE numbers in these programs will approach the 300 FTE threshold associated with a Type III Campus. UF intends to offer the Accelerated Bachelor of Science in Nursing and the Doctor of Pharmacy at the site.

The UF Board of Trustees approved the reclassification on April 1, 2016. If approved by the Board of Governors, UF expects to operate the site as a Type III Campus effective Fall 2016. UF's proposal was considered by the Strategic Planning Committee, and the Committee Chair will provide a recommendation to the Board.

Supporting Documentation Included:

Information located in the Strategic Planning Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Create Board of Governors Regulation 4.001
University System Processes for Complaints of Waste, Fraud, or Financial
Mismanagement

PROPOSED BOARD ACTION

Approve public notice of intent to create Board of Governors Regulation 4.001 entitled
University System Processes for Complaints of Waste, Fraud, or Financial Mismanagement.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 20.155, Florida Statutes; and Board of
Governors Regulation Development Procedure

BACKGROUND INFORMATION

Board of Governors Regulation draft 4.001 University System Processes for Complaints
of Waste, Fraud, or Financial Mismanagement was developed to capture information in
Section 20.155, Florida Statutes. This draft regulation includes the Board of Governors'
requirement to address allegations of waste, fraud, or financial mismanagement in
instances where a university board of trustees is either unwilling or unable to do so.
This draft was created to provide Board guidance on implementation of these
provisions.

The regulation draft is the result of almost two years of communications with State
University System (SUS) Chief Audit Executives, Chief Compliance Officers, Provosts,
Boards of Trustees Audit Committees, and General Counsels. In coordination with the
June 2016 Board of Governors meeting, the Office of Inspector General and Director of
Compliance (OIGC) hosted a Regulations Development Workshop, led by Audit and
Compliance Committee Chair, Alan Levine. Chief Audit Executives, Compliance
Officers, and General Counsels were invited to participate to discuss this regulation
along with three other draft regulations (also being considered for public notice at this
meeting).

If approved, the regulation will be noticed on the Board's website for 30 days with final
approval by the Board at the November 3, 2016, meeting.

Supporting Documentation Included: Information located in the Audit and
Compliance Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Create Board of Governors Regulation 4.002 State University Chief Audit Executives

PROPOSED BOARD ACTION

Approve public notice of intent to create Board of Governors Regulation 4.002 entitled *State University Chief Audit Executives*.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 20.055, Florida Statutes; and Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Board of Governors Regulation draft 4.002 State University Chief Audit Executives was developed to capture information in Section 20.055, Florida Statutes. It recognizes that State University System of Florida Chief Audit Executives are a point for coordination of and responsibility for activities that promote accountability, integrity, and efficiency.

The regulation draft is the result of almost two years of communications with State University System (SUS) Chief Audit Executives, Chief Compliance Officers, Provosts, Boards of Trustees Audit Committees, and General Counsels. In coordination with the June 2016 Board of Governors meeting, the Office of Inspector General and Director of Compliance (OIGC) hosted a Regulations Development Workshop, led by Audit and Compliance Committee Chair, Alan Levine. Chief Audit Executives, Compliance Officers, and General Counsels were invited to participate to discuss this regulation along with three other draft regulations (also being considered for public notice at this meeting).

If approved, the regulation will be noticed on the Board's website for 30 days with final approval by the Board at the November 3, 2016, meeting.

Supporting Documentation Included: Information located in the Audit and Compliance Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Create Board of Governors Regulation 4.003 State University System Compliance and Ethics Programs

PROPOSED BOARD ACTION

Approve public notice of intent to create Board of Governors Regulation 4.003 entitled *State University System Compliance and Ethics Programs*.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; and Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Board of Governors Regulation draft 4.003 State University System Compliance and Ethics Programs was developed to capture information in Chapter 8 of the Federal Sentencing Guidelines. The draft regulation was developed at the direction of the Board of Governors Audit and Compliance Committee and is designed to set minimum requirements for university compliance and ethics programs based upon the guidelines in the Federal Sentencing Guidelines Manual.

The regulation draft is the result of almost two years of communications with State University System (SUS) Chief Audit Executives, Chief Compliance Officers, Provosts, Boards of Trustees Audit Committees, and General Counsels. In coordination with the June 2016 Board of Governors meeting, the Office of Inspector General and Director of Compliance (OIGC) hosted a Regulations Development Workshop, led by Audit and Compliance Committee Chair, Alan Levine. Chief Audit Executives, Compliance Officers, and General Counsels were invited to participate to discuss this regulation along with three other draft regulations (also being considered for public notice at this meeting).

If approved, the regulation will be noticed on the Board's website for 30 days with final approval by the Board at the November 3, 2016, meeting.

Supporting Documentation Included: Information located in the Audit and Compliance Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Create Board of Governors Regulation 4.004 Board of Governors Oversight Enforcement Authority

PROPOSED BOARD ACTION

Approve public notice of intent to create Board of Governors Regulation 4.004 entitled *Board of Governors Oversight Enforcement Authority*.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 1008.322, Florida Statutes; and Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

Board of Governors Regulation draft 4.004 Board of Governors Oversight Enforcement Authority was developed to implement the requirements contained in Section 1008.322, Florida Statutes. This statute relates to the Joint Legislative Auditing Committee and the Board of Governors' escalation procedures for repeat Auditor General audit findings and other university noncompliance.

The regulation draft is the result of almost two years of communications with State University System (SUS) Chief Audit Executives, Chief Compliance Officers, Provosts, Boards of Trustees Audit Committees, and General Counsels. In coordination with the June 2016 Board of Governors meeting, the Office of Inspector General and Director of Compliance (OIGC) hosted a Regulations Development Workshop, led by Audit and Compliance Committee Chair, Alan Levine. Chief Audit Executives, Compliance Officers, and General Counsels were invited to participate to discuss this regulation along with three other draft regulations (also being considered for public notice at this meeting).

If approved, the regulation will be noticed on the Board's website for 30 days with final approval by the Board at the November 3, 2016, meeting.

Supporting Documentation Included: Information located in the Audit and Compliance Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: 2017-2018 State University System Fixed Capital Outlay Legislative Budget Request

PROPOSED BOARD ACTION

Review and approve the 2017-2018 SUS Fixed Capital Outlay Legislative Budget Request

Approval is recommended by the Chancellor

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The requested budget provides the State University System of Florida continued capital outlay support and has been prepared in accordance with statutory requirements and guidelines adopted by the Board of Governors. All university fixed capital outlay budget requests have been approved by the institutional boards of trustees.

This is the initial budget request for 2017-2018. A workshop is scheduled for October 18, 2016, at USF. At the workshop, the Committee will review selected high priority PECO and CITF projects with detailed project presentations by university representatives, per the attached list. Universities wishing to present additional projects may request these be added to the agenda, and projects may be added or removed from the presentation at the Committee Chair's discretion. Amendments to the 2017-2018 FCO LBR will be considered at the **January 2017 Board meeting**.

The staff recommendation is for approval of a base or start up budget, matching the cash available estimates for both PECO and CITF.

Specific Fixed Capital Outlay Appropriation Requests

- ♦ **[ADOPT \$___M] The PECO 2017-2018 SUS Five Year Fixed Capital Outlay Request** provides funding to meet A) Deferred maintenance, in the amount of _____; B) SUS Projects, in the amount of \$_____.

[ADOPT \$__ M] Request for Capital Improvement Trust Fund Allocation, represents an amount based on current CITF revenue projections, with a base assumption of no future fee increases. The pro-rata distribution will be adopted at a future meeting of the Board.

- ♦ **[Information] SUS Projects for Review** represents a preliminary list of projects selected for review at the October 18, 2016 Facilities Workshop. This list is generated from the 2017-2018 Capital Improvement Plan lists approved by each university board trustees. The final list, and order of presentations will be determined by the Facilities Chair. **(Attachment I)**

Supporting Documentation:

Information Located in the Facilities
Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: University of Central Florida Educational Plant Survey Amendment

PROPOSED BOARD ACTION

Review and approve the proposed amendment to the University of Central Florida's Educational Plant Survey recommending demolition of Colbourn Hall (Building 18)

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Sections 1013.03 and 1013.31, Florida Statutes

BACKGROUND INFORMATION

The University of Central Florida's current Educational Plant Survey (EPS) was approved by the Board of Governors on June 22, 2016. The survey originally recommended the renovation of Colbourn Hall (Building 18); however, based on a third party assessment, UCF is now requesting an amendment to this recommendation to allow for the demolition of Colbourn Hall. The amendment removes item 2.1, Colbourn Hall Renovation, from the survey team recommendations and adds item 4.7, Colbourn Hall Demolition. The UCF Board of Trustees approved the survey amendment on July 28, 2016. (See attached BOT agenda item).

Board staff support this EPS change. Constructed in 1974, Colbourn Hall is approaching the end of its 50 year expected useful life, and has a Facilities Condition Index (FCI) score of 86% - well in excess of the 40% minimum threshold for demolition. The high FCI indicates that from an economic perspective, the building should be replaced rather than renovated.

Supporting Documentation Included: Information Located in the Facilities Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: A Resolution of the Board of Governors approving the University of North Florida Financing Corporation (the "Financing Corporation") to issue revenue bonds on behalf of the University of North Florida (the "University") to refinance its existing housing debt.

PROPOSED BOARD ACTION

Adoption of a resolution approving the issuance of fixed rate, tax-exempt revenue bonds, by the Financing Corporation on behalf of the University, in an amount not to exceed \$127,100,000 (the "Bonds") to refinance its entire portfolio of outstanding housing debt and to incorporate a previously acquired apartment complex, which was done through the mortgage exception, into the existing housing system. The bonds will refund bonds issued in 2007 by the Financing Corporation and a separate loan entered into in 2014 with Compass Mortgage Corporation to acquire the Flats at Kernan (the "Flats").

Staff of the Board of Governors, State University System of Florida, and the Division of Bond Finance has reviewed this resolution and all supporting documentation. Based upon this review, it appears that the proposed Financing Plan is in compliance with Florida Statutes governing the issuance of university debt and complies with the Debt Management Guidelines adopted by the Board of Governors. Accordingly, staff of the Board of Governors recommends adoption of the resolution and authorization of the proposed refinancing.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Florida Board of Governors Debt Management Guidelines; Section 1010.62(3), Florida Statutes; and Article IX, Section 7, Florida Constitution.

BACKGROUND INFORMATION

The University Board of Trustees has requested approval from the Board of Governors for the Financing Corporation to issue up to \$127,100,000 of fixed rate, tax-exempt revenue bonds to refinance all existing housing debt, fund a debt service reserve fund and pay costs of issuing the Bonds.

Universities have been authorized by the Board to engage in refundings for debt service savings without specific Board of Governors approval; however, in this instance, the

original financing of the Flats was secured by a mortgage, which is also exempt from the requirement of Board of Governors' approval. Since it is proposed that all outstanding housing debt will be consolidated and the Board of Governors has not previously considered the acquisition of the Flats, Board approval is requested.

Net housing system revenues are pledged for the payment of debt service, which are derived primarily from housing rental income. Projections provided by the University indicate that sufficient net revenues will be generated from rental income to pay debt service on the Bonds. The University intends to use the savings generated from the refinancing to hold rental rates unchanged for the five year forecast period. Without the benefit of the projected refunding savings, the University would need to consider rate increases in order to meet required debt service coverage levels.

The University's Board of Trustees approved the Project and the financing thereof at its September 6th, 2016 meeting. The Financing Corporation Board approved the Project and the financing thereof at its September 1st, 2016 meeting.

Supporting Documentation Included: Information located in the Facilities Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Amendment to the State University System Board of Governors Debt Management Guidelines

PROPOSED BOARD ACTION

Approve notice of intent to amend the State University System Board of Governors Debt Management Guidelines

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

The Board adopted Debt Guidelines in 2006 for the state universities and their direct support organizations (DSOs) to confirm that they must engage in sound debt management practices. The Guidelines have proven to be a robust framework for debt management, and with minor modifications, have enabled the System to navigate the credit market crisis in 2007-2008, and ensuing changes in the financial regulatory environment. During this time, the universities and their DSO's have generally enjoyed stable or improving credit profiles, as evaluated by the national rating agencies.

Recent activity relative to university and DSO credit (bond) ratings by a national rating agency led the Chancellor, in consultation with the Division of Bond Finance, to proactively issue a memo to the university presidents. The Chancellor advised the universities that all communications with the rating agencies would take a coordinated approach moving forward. The State University System has now brought all possible resources, including the universities, DSOs, the Division of Bond Finance, and the Board Office, to bear on the goal of maintaining or improving university and DSO credit ratings.

The revisions integrate the Chancellor's memo into the Guidelines by requiring that:

- The Board Office and the DBF coordinate all engagement between the rating agency and the university and/or DSO.
- Mortgage financing for housing is required to come to the Board.
- The Board Office and DBF will create and maintain an annual schedule for

rating agency coordination, with the goal of maximizing efficiency; and will maintain a listing of all university and DSO ratings.

On May 10th, the Governor and Cabinet, meeting as the Executive Board of the State Division of Bond Finance, requested that the Chancellor and Director of the Division of Bond Finance, work cooperatively to address questions and concerns expressed relative to both ratings and compliance issues of the universities and their DSO's.

The proposed amendments were presented to the Facilities Committee at its June 22nd meeting. At that time, the Committee was advised that a joint workshop would be conducted at USF, led by Mr. Ben Watkins, Director, Division of Bond Finance and Board staff, including Tim Jones, CFO and Vikki Shirley, GC. A workshop was held on July 13th, and was well attended by appropriate representatives from each university.

Based upon feedback at the workshop, and comments received from the public posting of proposed changes, the Debt Guidelines amendments were modified to provide greater clarity of intent.

If approved by the Committee, the proposed amendment to the Guidelines may be immediately considered for adoption by the Board.

Supporting Documentation Included: Information Located in the Facilities Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: State University System Research and Innovation Metrics “Dashboard”

PROPOSED BOARD ACTION

Consider the approval of a “dashboard” of metrics to document the progress made by the State University System on research and innovation

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

At the June Board meeting, the Vice Presidents for Research of all 12 public universities met with the Board of Governors to discuss strategies identified in the Board of Governor’s Research Work Plan that are designed to help the SUS achieve national and international prominence in research. Strategies discussed included the recruitment of top research faculty and graduate students, the need for state-of-the-art research facilities necessary to attract star faculty, and collaborations with business and industry to further statewide economic development. The Board of Governors and the Vice Presidents for Research also discussed the need for a dashboard of possible metrics that could be used to document progress in elevating SUS research.

The Board will consider for approval a proposed dashboard of metrics that quantify research activity and progress in the categories of “Research Contracts and Grants,” “Innovation,” “People” and “ROI.”

Supporting Documentation Included:

Information included in Strategic
Planning Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
September 22, 2016**

SUBJECT: Public Notice of Intent to Approve Amended Board of Governors
Regulation 7.001 Tuition and Associated Fees

PROPOSED BOARD ACTION

Approve amended Board of Governors Regulation 7.001 Tuition and Associated Fees

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

This regulation was noticed for public comment after the June 23, 2016 meeting and is being amended to incorporate the changes in House Bill 7019 that eliminated the statutory authority to delegate increases in tuition for graduate and professional programs or the out-of-state fees for all programs. Language is also provided on the minimum information that is to be submitted to the Board.

The regulation is also amended to require any increases in tuition rates for approved market tuition programs to be considered by the Board.

No public comments were received during the notice period.

Supporting Documentation Included: Regulation 7.001

7.001 Tuition and Associated Fees

(1) All students shall pay tuition and associated fees, unless waived pursuant to Regulation 7.008, as authorized by the Board of Governors or its designee.

(2) Tuition shall be defined as the basic fee assessed to students for enrollment in credit courses at any of the state universities. Non-resident tuition shall be defined as the basic fee and out-of-state fee assessed to non-resident students for enrollment in credit courses at any of the state universities. The out-of-state fee is the additional fee charged to a non-resident student. The non-resident tuition must be sufficient to offset the full instructional cost of serving the non-resident student. Calculations of the full cost of instruction shall be based on the university average of the prior year's cost of programs using the expenditure analysis.

(3) Undergraduate tuition per credit hour shall be established pursuant to law.

(4) The Board of Governors Each university board of trustees may establish set tuition for graduate, including professional, and professional programs, and out-of-state fees for all programs pursuant to law.

(a) The university board of trustees may submit a proposal to establish tuition or increase tuition for existing graduate and professional programs, or out-of-state fees pursuant to law. The proposal shall be in a format and submitted by a deadline designated by the Chancellor, and include at a minimum:

1. The program or programs for which the proposed tuition rate will be assessed;
2. The current and proposed tuition rate for the program or programs;
3. The purpose of the proposed tuition rate;
4. The estimated revenue to be generated as a result of the proposed tuition rate; and
5. Identification of how the revenues from the proposed tuition rate will be utilized to support students and the mission of the university.

(56) Associated fees shall include the following fees:

- (a) Student Financial Aid Fee;
- (b) Capital Improvement Fee;
- (c) Health Fee;
- (d) Athletic Fee;
- (e) Activity and Service Fee;
- (f) Non-Resident Student Financial Aid Fee, if applicable;

- (g) Technology Fee;
- (h) Other fees approved by the Board of Governors pursuant to Regulation 7.003(24); and
- (i) Tuition Differential.

(67) Students shall pay tuition and associated fees or make other appropriate arrangements for the payment of tuition and associated fees (installment payment, deferment, or third party billing) by the deadline established by the university for the courses in which the student is enrolled, which shall be no later than the end of the second week of class.

(78) Registration shall be defined as the formal selection of one or more credit courses approved and scheduled by the university and tuition payment, partial or otherwise, or other appropriate arrangements for tuition payment (installment payment, deferment, or third party billing) for the courses in which the student is enrolled as of the end of the drop/add period.

(89) Tuition and associated fees liability shall be defined as the liability for the payment of tuition and associated fees incurred at the point at which the student has completed registration, as defined above.

(910) Tuition and associated fees shall be levied and collected for each student registered in a credit course, unless provided otherwise in Board regulations.

(101) Each student enrolled in the same undergraduate college-credit course more than twice shall pay tuition at 100 percent of the full cost of instruction and shall not be included in calculations of full-time equivalent enrollments for state funding purposes. Students who withdraw or fail a class due to extenuating circumstances may be granted an exception only once for each class pursuant to established university regulations. The university may review and reduce these fees paid by students due to continued enrollment in a college-credit class on an individual basis contingent upon the student's financial hardship. For purposes of this paragraph, first-time enrollment in a class shall mean enrollment in a class fall semester 1997 or thereafter. Calculations of the full cost of instruction shall be based on the systemwide average of the prior year's cost of undergraduate programs in the state university system using the expenditure analysis.

(113) A university board of trustees may submit a proposal for a block tuition policy to the budget committee for consideration. The proposed block tuition policy for resident undergraduate or graduate students shall be based on the per-credit hour tuition amount. The proposed block tuition policy for nonresident undergraduate or graduate students shall be based on the per-credit-hour tuition

and out-of-state fee amount. The block tuition policy can only be implemented beginning with the fall term.

(a) The proposal shall be submitted in a format designated by the Chancellor and include at a minimum:

1. An explanation of the process used to determine the block tuition ranges.
2. An explanation of how the university will ensure that sufficient courses are available to meet student demand.
3. A description of how the policy is aligned with the mission of the university.
4. A declaratory statement that the policy does not increase the state's fiscal liability or obligation.
5. An explanation of any proposed restrictions, limitations, or conditions to be placed on the policy.
6. A clear statement that any student that is a beneficiary of a prepaid tuition contract, purchased prior to the first fall term in which the block tuition is implemented, will not be included in any block tuition policy and will be billed on a per-credit-hour basis. The university shall work with the Florida Prepaid Board to determine how block tuition will be paid for beneficiaries of prepaid tuition contracts after implementation of block tuition. The university shall report the final resolution to the budget committee.
7. An estimation of the economic impact that implementation of the policy will have on the university and the student by identifying the incremental revenue the university anticipates collecting if this policy is implemented and the financial impact on the typical student subject to the policy.
8. A description of any outcome measures that will be used to determine the success of the policy, including but not limited to, time to degree, course load impact, and graduation rates.

(b) The Board of Governors will act upon the budget committee recommendation at the next scheduled meeting. If a university board of trustees' proposal is denied, within five calendar days, the university board of trustees may request reconsideration by the Board's Tuition Appeals Committee, which shall consist of the Chair of the Board and the Chair of each Board committee. The Tuition Appeals Committee will meet within ten days after the Board of Governors' denial to consider a university board of trustees request for reconsideration.

(c) Every five years, the university board of trustees shall review the policy to determine if it has met its intended outcomes and whether the policy should

be continued or modified. The university board of trustees shall submit its findings to the Board.

(124) As a component of the annual university work plan, a board of trustees of a university that has been designated as a preeminent state research university may submit a proposal to the budget committee of the Board of Governors by May 31 of each year to establish an increase in the undergraduate tuition differential to be implemented with the fall academic term. The tuition differential shall promote improvements to undergraduate education and provide financial aid to undergraduate students who have financial need. University boards of trustees shall have flexibility in distributing need-based financial aid awards according to university policies and Board of Governors' regulations.

(a) The aggregate sum of tuition and tuition differential can not be increased by more than 6 percent of the total charged for the aggregate sum of these fees in the preceding fiscal year. The tuition differential may be increased if the university meets or exceeds performance standard targets for that university established annually by the Board of Governors for the following performance standards, amounting to no more than a two percent increase for each performance standard: an increase in the 6-year graduation rate for full-time, first-time-in-college students, as reported annually to the Integrated Postsecondary Education Data System; an increase in the total annual research expenditures; and an increase in the total patents awarded by the U.S. Patent and Trademark Office for the most recent three year period.

1. The tuition differential may be assessed on one or more undergraduate courses or all undergraduate courses and may vary by campus or center location.
2. The sum of undergraduate tuition and associated fees per credit hour may not exceed the national average undergraduate tuition and fees at four-year degree granting public postsecondary educational institutions.
3. Students having prepaid contracts in effect on July 1, 2007, and which remain in effect, are exempt from paying the tuition differential.
4. Students who were in attendance at the university before July 1, 2007, and maintain continuous enrollment may not be charged the tuition differential.

(b) The university board of trustees' proposal shall be submitted in a format designated by the Chancellor, and include at a minimum:

1. The course or courses for which the tuition differential will be assessed.
2. The amount that will be assessed for each tuition differential proposed.
3. The purpose of the tuition differential.

4. Identification of how the revenues from the tuition differential will be used to promote improvements in the quality of undergraduate education and to provide financial aid to undergraduate students who have financial need.

- a. For the purposes of the following subsection,
 - i. "Financial aid fee revenue" means financial aid fee funds collected in the prior year.
 - ii. "Private sources" means prior-year revenue from sources other than the financial aid fee or the direct appropriation for financial assistance provided to state universities in the General Appropriations Act.
- b. At least thirty percent of the revenue shall be expended to provide need-based financial aid to undergraduate students to meet the cost of university attendance. If the entire tuition and fee costs of resident students who have applied for and received Pell Grant funds have been met and the university has excess funds remaining, the university may expend the excess portion on undergraduate education.
 - i. Universities shall increase undergraduate need-based aid over the prior year by at least thirty percent of the tuition differential.
 - ii. This expenditure shall not supplant the amount of need-based aid provided to undergraduate students in the preceding fiscal year from financial aid fee revenues, the direct appropriation for financial assistance provided to state universities in the general appropriations act, or from private sources.
 - iii. If a university's total undergraduate need-based awards does not meet or exceed the sum of the prior year's undergraduate need-based awards plus thirty percent of new tuition differential funds, the university may still be considered in compliance. However, the university shall provide detailed documentation demonstrating that the difference is attributed to a decrease in financial aid fee collections (Regulation 7.003(18)), tuition differential collections, the direct appropriation for student financial assistance in the General Appropriations Act, and/or a decrease in foundation endowments that support undergraduate need-based aid awards.
- c. The remaining revenue shall be expended on undergraduate education.

(c) The budget committee shall review each proposal and advise the university board of trustees of the need for any additional information or revision to the proposal. The budget committee will make a recommendation to the Board of Governors.

(d) The Board of Governors will act upon the budget committee recommendation at the next scheduled meeting. If a university board of trustees' proposal is denied, within five calendar days the university board of trustees may request reconsideration by the Board's Tuition Appeals Committee, which shall consist of the Chair of the Board and the Chair of each Board committee. The Tuition Appeals Committee will meet within ten calendar days after the Board of Governors' denial to consider a university board of trustees request for reconsideration.

(e) Each university board of trustees that has been approved to assess a tuition differential shall submit the following information to the Board of Governors General Office in a format and at a time designated by the Chancellor, so that such information can be incorporated into a system report that will be submitted annually to the Governor and Legislature by February 1.

1. The amount of tuition differential assessed.
2. The course or courses for which the tuition differential was assessed.
3. Total revenues generated.
4. Number of students eligible for a waiver as outlined in Regulation 7.008(20), number of these students receiving a waiver, and the value of these waivers.
5. Detailed expenditures (submitted as a part of the August operating budget).
6. Detailed reporting of financial aid sources and disbursements sufficient to meet the requirements in subparagraph (14)(b)4.

(f) Universities must maintain the need-based financial aid revenue generated from the tuition differential in a separate Education and General account, with the revenue budget in the Student and Other Fee Trust Fund.

(g) If, after approval by the Board of Governors, a university determines that modifications need to be made to the monitoring and implementation of the proposed undergraduate improvement programs, the university shall notify the Chancellor.

(135) A university board of trustees may submit a proposal for market tuition rates for graduate-level courses offered online or through the university's continuing education unit when such courses constitute an approved degree

program or college credit certificate program. Proposals shall be submitted to the budget committee for consideration by the committee during a November meeting.

(a) Proposals to charge market tuition rates for degree programs and college credit certificate programs shall be considered by the Board only if documentation is provided that demonstrates:

1. The programs have been approved in accordance with Regulation 8.011 and have established one or more separate market tuition rate student cohorts, each of which can be tracked for administrative and reporting purposes.
2. The programs do not lead to initial licensing or certification for occupational areas identified as state critical workforce need in the State University System of Florida Strategic Plan, 2005-2013, Areas of Programmatic Strategic Emphasis, as amended in 2009. A university may request establishment of market tuition rates for such programs for non-residents if such programs do not adversely impact development of other programs for Florida residents. A university, upon a written request for a special exception from the Chancellor, may submit a proposal for market tuition rate for a program leading to initial licensing or certification in a state critical workforce need area if it can be demonstrated to increase the number of graduates in the state.
3. The program admission and graduation requirements shall be the same as similar programs funded by state appropriations.

(b) If approved by the Board, the university shall operate these programs for a pilot period in order to collect sufficient information to determine the merit and success of market tuition rate courses. During the pilot period, the Board shall approve no more than five new graduate-level degree programs or college credit certificate program proposals per academic year. During November, 2016, the university shall present its findings to the Board budget committee. The university findings shall include, but not be limited to, program enrollments, degrees produced, and enrollments in similar state funded programs. The budget committee will then make any appropriate recommendations to the Board for changes of market tuition rates programs.

(c) The proposal for market tuition rate programs shall be submitted in a format designated by the Chancellor and include at a minimum:

1. A description of the program and its compliance with the requirements outlined in (15)(a).

2. An explanation of the process used to determine the market tuition rate and the tuition at similar programs from at least five other institutions, including both private and public.
3. A description of similar programs offered by other state university system institutions.
4. An estimate of the market tuition rate to be charged over the next three years. Any annual increase shall be no more than 15 percent over the preceding year.
5. A description of how offering the proposed program at market tuition rate is aligned with the mission of the university.
6. An explanation and declaratory statement that offering the proposed program at market tuition rate does not increase the state's fiscal liability or obligation.
7. An explanation of any differentiation in rate between resident and non-resident students paying market tuition rate.
8. An explanation of any proposed restrictions, limitations, or conditions to be placed on the program.
9. A description of any outcome measures that will be used to determine the success of the proposal.
10. In addition, the following information will be included with the proposal:
 - a. An explanation of how the university will ensure that sufficient courses are available to meet student demand and facilitate completion of each program submitted for consideration.
 - b. A baseline of current enrollments, including a breakout of resident and nonresident enrollment, in similar state-funded courses.
 - c. An estimation of the economic impact that implementation of the proposal will have on the university and the student by identifying the incremental revenue the university anticipates collecting if the proposal is approved.
 - d. A description of how revenues will be spent, including whether any private vendors will be utilized, and which budget entity the funds will be budgeted.

(d) The Board of Governors will act upon the budget committee recommendation at the next scheduled meeting. If a university board of trustees' proposal is denied, within five calendar days, the university board of trustees may request reconsideration by the Board's Tuition Appeals Committee, which shall consist of the Chair of the Board and the Chair of each Board committee. The Tuition Appeals Committee will meet within ten calendar days after the Board of Governors' denial to consider a university board of trustees request for reconsideration.

- (e) If a university charges a market tuition rate for a course within an approved program, preference shall be given to Florida residents in the admission process for similar state funded programs.
- (f) Enrollments and degrees granted in market tuition rate program cohorts shall be reported in a manner to be determined by the Chancellor.
- (g) Credit hours generated by courses in market tuition rate program cohorts shall not be reported as fundable credit hours and all costs shall be recouped within the market tuition rate.
- (h) Programs and associated courses approved for market tuition rate shall not supplant existing university offerings funded by state appropriations.
- (i) Each university approved to offer market tuition rates shall provide an annual status report in a format designated by the Chancellor.
- (j) Increases in tuition to an approved market tuition rate program shall be submitted to the Board for consideration.

Authority: Section 7(d), Art. IX, Fla. Const.; History-Formerly BOR Rule 6C-7.001, Adopted 4-8-79, Renumbered 12-16-74, Amended 6-28-76, 7-4-78, 8-6-79, 9-28-81, 12-14-83, 7-25-84, 10-2-84, 10-7-85, Formerly 6C-7.01, Amended 12-25-86, 11-16-87, 10-19-88, 10-17-89, 10-15-90, 9-15-91, 1-8-92, 11-9-92, 7-22-93, 8-1-94, 11-29-94, 4-16-96, 8-12-96, 9-30-97, 12-15-97, 8-11-98, 9-30-98, 8-12-99, 8-3-00, 8-28-00, 8-12-01, Amended and Renumbered as 7.001 09-25-08, Amended 12-10-09, 11-04-10, 01-20-11, 9-15-11, 11-6-14, ____.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Approve Amended Board of Governors
Regulation 7.003 Fees, Fines and Penalties

PROPOSED BOARD ACTION

Approve amended Board of Governors Regulation 7.003 Fees, Fines and Penalties

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

This regulation was noticed for public comment after the June 23, 2016 meeting and is being amended to incorporate changes made in House Bill 5003 which requires the average of all of the distance learning fees assessed by a university to not exceed \$30 per credit hour.

No public comments were received during the notice period.

Supporting Documentation Included: Regulation 7.003

7.003 Fees, Fines and Penalties.

(1) The Board of Governors must authorize all fees assessed to students. Accordingly, the specific fees listed in this section, and the tuition and associated fees defined in Regulation 7.001, are the only fees that may be charged for state fundable credit hours without the specific approval of the board, except as authorized in Regulation 8.002. For purposes of clarification, the term "at cost" or "cost" as used in this regulation includes those increased costs that are directly related to the delivery of the goods, services, or programs.

(2) Each university board of trustees is authorized to assess the following fees at the statutory amounts listed:

- (a) Security / Access / Identification Card, Duplicate Security / Access / Identification Card, Fee Card, or Passbook:
 - 1. Annual – cost up to \$10.00.
 - 2. All duplicates – cost up to \$15.00
- (b) Orientation Fee – up to \$35.00.
 - 1. Effective fall 2011, the board of trustees of the University of West Florida may assess a \$50 Orientation Fee.
- (c) Admissions Deposit – Up to \$200. The admissions deposit shall be imposed at the time of an applicant's acceptance to the university and shall be applied toward tuition upon registration and budgeted in the Student and Other Fee Trust Fund. In the event the applicant does not enroll in the university, the admissions deposit shall be budgeted in an auxiliary account of the university and used to expand financial assistance, scholarships, student academic and career counseling services, and admission services at the university.
- (d) Transcript Fee – per item; up to \$10.00.
- (e) Diploma Replacement Fee – per item; up to \$10.00.
- (f) Service Charge – up to \$15.00 for the payment of tuition and fees in installments.
- (g) Audit Registration Fees -- Audit registration assures a course space for the student; however, no grade is awarded. This fee is the same as the tuition and associated fees provided in Regulation 7.001. Budgeting of fee proceeds shall be in the Student and Other Fee Trust Fund.
- (h) Registration of Zero Hours -- Such registration provides for examinations, graduations, use of facilities, etc., when deemed appropriate by the institution. The student is assessed tuition and associated fees for one credit hour. The Zero Credit Fee shall be budgeted in the Student and Other Fee Trust Fund.
- (i) Application Fee -- Individuals who make application for admission to universities within the State University System shall pay a non-refundable Application Fee of not more than \$30.00. The fee shall be budgeted in the

Student and Other Fee Trust Fund. Provisions may be made to waive the application fee as specified by the university.

- (j) Late Registration Fee -- Universities shall assess a Late Registration Fee to students who fail to register before the end of the regular registration period. This fee may also be assessed to students reinstated after their course schedules were cancelled due to non-payment of fees. The fee shall be not less than \$50 nor more than \$100 with a minimum of 50 percent budgeted to the Student and Other Fee Trust Funds and the balance budgeted in an Auxiliary Trust Fund. Provisions may be made to waive the Late Registration Fee as specified by the university.
- (k) Late Payment Fee -- Universities may assess a Late Payment Fee to students who fail to pay, or make appropriate arrangements for payment (installment payment, deferment, or third-party billing), of tuition and associated fees by the deadline set by each university. The fee shall be not less than \$50 nor more than \$100 with a minimum of 50 percent budgeted to the Student and Other Fee Trust Fund and the balance budgeted in an Auxiliary Trust Fund. Provisions may be made to waive the Late Payment Fee as specified by the university.

(3) Before the board's last meeting of each calendar year, the university board of trustees shall notify the board of any potential increases in fees outlined in subparagraph (2). A university board of trustees may then submit a proposal for an increase in that fee to the Board of Governors' budget committee for consideration by the committee during a June meeting.

- (a) The proposal shall be submitted in a format designated by the chancellor and include at a minimum:
 - 1. The current and proposed increase to the fee and a description of the process used to determine the need for the increase, including any student involvement.
 - 2. The service or operation currently being funded by the fee.
 - 3. An analysis of whether the service or operation can be performed more efficiently to alleviate the need for any increase.
 - 4. The additional or enhanced service or operation to be implemented.
 - 5. Identification of other resources that could be used to meet this need.
 - 6. The financial impact on students, including those with financial need.
 - 7. The current revenue collected and expenditures from the current fee.
 - 8. The estimated revenue to be collected and expenditures for the fee increase.
- (b) The board will act upon the budget committee recommendation at the next scheduled meeting.
- (c) An increase in these fees can only be implemented with the fall term.
- (d) Every five years the university board of trustees shall review the fee increase to determine if the fee has met its intended outcomes and whether the fee should be increased, decreased or discontinued. The

university board of trustees shall submit its findings to the board. Any subsequent decreases or continuation in these fees are delegated to the university board of trustees, with notification to the chancellor.

- (4) Each university board of trustees is authorized to establish separate activity and service, health, and athletic fees on the main campus, branch campus, or center.
- (a) The fees shall be retained by the university and paid into the separate activity and service, health, and athletic funds. A university may transfer revenues derived from the fees authorized pursuant to this section to a university direct-support organization of the university pursuant to a written agreement approved by the Board of Governors.
 - (b) The sum of the activity and service, health, and athletic fees a student is required to pay to register for a course shall not exceed 40 percent of the tuition. Within the 40 percent cap, universities may not increase the aggregate sum of activity and service, health, and athletic fees more than 5 percent per year or the same percentage increase in tuition, whichever is higher.
 - (c) A university may increase its athletic fee to defray the costs associated with changing National Collegiate Athletic Association divisions. Any such increase in the athletic fee may exceed both the 40 percent cap and the 5 percent cap imposed by this subsection. Any such increase must be approved by the athletic fee committee in the process outlined in subparagraph (4)(d) and cannot exceed \$2 per credit hour.
 - (d) Increases in the health, athletic, and activity and service fee must be recommended by a fee committee, at least one-half of whom are students appointed by the student body president. The remainder of the committee shall be appointed by the university president. A chairperson, appointed jointly by the university president and the student body president, shall vote only in the case of a tie. The recommendations of the committee shall take effect only after approval by the university president, after consultation with the student body president, and approval by the university board of trustees. An increase in these fees may occur only once each fiscal year and must be implemented beginning with the fall term.
 - (e) The student activity and service fee shall be expended for lawful purposes to benefit the student body in general. This shall include, but shall not be limited to, student publications and grants to duly recognized student organizations, the membership of which is open to all students at the university without regard to race, sex, or religion. The fee may not benefit activities for which an admission fee is charged to students, except for student-government-association-sponsored concerts. The allocation and expenditure of the fees shall be determined by the student government association of the university, except that the president of the university may veto any line item or portion thereof within the budget when

submitted by the student government association legislative body. The university president shall have 15 school days from the date of presentation of the budget to act on the allocation and expenditure recommendations, which shall be deemed approved if no action is taken within the 15 school days. If any line item or portion thereof within the budget is vetoed, the student government association legislative body shall within 15 school days make new budget recommendations for expenditure of the vetoed portion of the fees. If the university president vetoes any line item or portion thereof within the new budget revisions, the university president may reallocate by line item that vetoed portion to bond obligations guaranteed by activity and service fees.

- (f) Unexpended fees and undisbursed fees remaining at the end of a fiscal year shall be carried over and remain in the student activity and service fund and be available for allocation and expenditure during the next fiscal year.

(5) Technology Fee – Each university board of trustees may establish a technology fee to be paid by all students. The fee may be up to 5 percent of the tuition charged per credit hour. The revenue from this fee shall be used to enhance instructional technology resources for students and faculty. The revenue and expenditures shall be budgeted in the Local Fund budget entity.

(6) Off-Campus Educational Activities - As used herein, "off-campus" refers to locations other than state-funded main campuses, branch campuses, or centers. Each university board of trustees is authorized to establish fees for state fundable off-campus course offerings when the location results in specific, identifiable increased costs to the university. These fees will be in addition to the tuition and associated fees charged to students enrolling in these courses on-campus. The additional fees charged are for the purpose of recovering the increased costs resulting from off-campus vis-à-vis on-campus offerings. The university shall budget the fees collected for these courses to the Student and Other Fee Trust Funds. Each university shall use the additional fees collected to cover the increased cost of these courses and reimburse the appropriate Educational and General fund, or other appropriate fund if the costs are incurred in other than Educational and General funds.

(7) Material and Supply Fees - Each university board of trustees is authorized to assess Material and Supply Fees not to exceed the amount necessary to offset the cost of materials or supply items which are consumed in the course of the student's instructional activities, excluding the cost of equipment and equipment repairs and maintenance. Revenues from such fees shall be budgeted in the Auxiliary Trust Fund.

- (8) Housing Rental Rates – Basic rates for housing rental shall be set by each university board of trustees. In addition, the university board of trustees is authorized to establish miscellaneous housing charges for services provided by the university at the request of the students.
- (9) Parking Fines, Permits and Decals -- Each university board of trustees shall establish charges for parking decals, permits and parking fines.
- (10) Transportation Access Fee - Each university board of trustees is authorized to establish a transportation access fee, with appropriate input from students, to support the university's transportation infrastructure and to increase student access to transportation services.
- (11) Returned Check Fee -- Each university board of trustees shall assess a service charge for unpaid checks returned to the university.
- (12) Collection costs -- Each university board of trustees is authorized to assess a charge representing reasonable cost of collection efforts to effect payment for overdue accounts. Amounts received for collection costs shall be retained by the university.
- (13) Service Charge -- Each university board of trustees is authorized to assess a service charge on university loans in lieu of interest and administrative handling.
- (14) Educational Research Center for Child Development Fee -- Each university board of trustees is authorized to assess child care and service fees.
- (15) Transient Student Fee – Each university board of trustees is authorized to assess a fee not to exceed \$5.00 per course for accepting a transient student and processing the student's admissions application pursuant to Section 1006.73.
- (16) Capital Improvement Fee – This fee may be used to fund any project or real property acquisition that meets the requirements of Chapter 1013. Each university board of trustees shall assess \$4.76 per credit hour per semester. Any increase in the fee beyond \$4.76 must be first recommended by a fee committee, at least half of whom are students appointed by the student body president. The remainder of the committee shall be appointed by the university president. A chairperson, appointed jointly by the university president and the student body president, shall vote only in the case of a tie. The recommendations of the committee shall take effect only after approval by the university president, after consultation with the student body president, and approval by the university board of trustees. An increase in these fees may occur only once each fiscal year and must be implemented beginning with the fall term. The fee may not exceed 10 percent of the tuition for resident students or 10 percent of the sum of tuition

and out-of-state fees for nonresident students. The fee for resident students shall be limited to an increase of \$2 per credit hour over the prior year, and any proposed fee increases or decreases must be approved by the Board of Governors. No project proposed by a university which is to be funded by this fee shall be submitted to the Board of Governors for approval without prior consultation with the student government association of that university.

(17) Student Financial Aid Fee – Each university board of trustees is authorized to collect for financial aid purposes an amount not to exceed 5 percent of the tuition and out-of-state fee. The revenues from fees are to remain at each university. A minimum of 75 percent of funds from the student financial aid fee shall be used to provide financial aid based on demonstrated financial need. Each university shall report annually to the Board of Governors on the revenue collected pursuant to this subsection, the amount carried forward, the criteria used to make awards, the amount and number of awards for each criterion, and a delineation of the distribution of such awards. The report shall include an assessment by category of the financial need of every student who receives an award, regardless of the purpose for which the award is received. Awards which are based on financial need shall be distributed in accordance with the federal methodology for determining need. An award for academic merit shall require a minimum overall grade point average of 3.0 on a 4.0 scale or the equivalent for both initial receipt of the award and renewal of the award.

(18) Each university board of trustees is authorized to assess the following fees which will have varied amounts:

- (a) Development Research School Fees – activity fees which shall be discretionary with each university.
- (b) Library Fines – per book or unit, per day; the funds shall be budgeted to the Student and Other Fee Trust Fund.
- (c) Overdue Reserve Library books – per book, per library hour; the funds shall be budgeted to the Student and Other Fee Trust Fund.
- (d) Late Equipment Fee, Physical Education – per item, per day.
- (e) Fees and fines relating to the use, late return, and loss and damage of facilities and equipment.
- (f) Distance Learning Fee - Universities may assess a distance learning fee to a student enrolled in a course listed in the distance learning catalog. The average of the distance learning fees assessed may not exceed \$30 per credit hour.

(19) Each university board of trustees is authorized to assess reasonable fees for incidental non-academic services provided directly to individuals. This could include, but not be limited to, fees for duplicating, lost keys, copyright material, breakage, standardized tests, library loans.

(20) Each university board of trustees is authorized to assess an international student service fee to cover the university costs associated with reporting requirements of the Student and Exchange Visitor Information System administered by the Department of Homeland Security for F-Visa and J-Visa degree seeking students.

(21) Excess Hour Fee –This fee shall be budgeted in the Student and Other Fee Trust Fund.

- (a) All state university undergraduate students who entered a postsecondary undergraduate program at any Florida public institution of higher education as a first-time-in-college student in fall 2009 or thereafter and prior to fall 2011 shall pay an excess hour fee equal to 50 percent of the undergraduate tuition identified in Regulation 7.001(3) for each credit hour in excess of 120 percent of the number of credit hours required to complete the baccalaureate degree program in which the student is enrolled.
- (b) All state university undergraduate students who entered a postsecondary undergraduate program at any Florida public institution of higher education as a first-time-in-college student in fall 2011 and prior to fall 2012 shall pay an excess hour fee equal to 100 percent of the undergraduate tuition identified in Regulation 7.001(3) for each credit hour in excess of 115 percent of the number of credit hours required to complete the baccalaureate degree program in which the student is enrolled.
- (c) All state university undergraduate students who entered a postsecondary undergraduate program at any Florida public institution of higher education as a first-time-in-college student in fall 2012 or thereafter shall pay an excess hour fee equal to 100 percent of the undergraduate tuition identified in Regulation 7.001(3) for each credit hour in excess of 110 percent of the number of credit hours required to complete the baccalaureate degree program in which the student is enrolled.
- (d) Each university shall implement a process for notifying students of this fee upon a student's initial enrollment. A second notice must be provided to the student when the student has attempted the number of credit hours needed to complete the baccalaureate degree program in which the student is enrolled. The second notice must include a recommendation that each student who intends to earn credit hours at the university in excess of the credit hours required for the baccalaureate degree program in which the student is enrolled meet with the student's academic advisor.
- (e) All credit hours for courses taken at the university from which the student is seeking a baccalaureate degree shall be included when calculating the number of hours taken by a student, including:

1. Failed courses.
 2. Courses dropped or withdrawn from after the university's advertised last day of the drop and add period, except as provided for in subparagraph (21)(f).
 3. Repeated courses, except repeated courses for which the student has paid the full cost of instruction as provided in Regulation 7.001(11).
 4. All credit hours earned at another institution and accepted for transfer by the university and applied toward the student's baccalaureate degree program.
- (f) All credit hours earned under the following circumstances shall not be calculated as hours required to earn a baccalaureate degree:
1. College credits earned through an articulated accelerated mechanism.
 2. Credit hours earned through internship programs.
 3. Credit hours required for certification, recertification, or certificate programs.
 4. Credit hours in courses from which a student must withdraw due to reasons of medical or personal hardship.
 5. Credit hours taken by active-duty military personnel.
 6. Credit hours required to achieve a dual major taken while pursuing a baccalaureate degree.
 7. Remedial and English as a Second Language credit hours.
 8. Credit hours earned in military science courses that are part of the Reserve Officers' Training Corps program.

(22) Convenience fee – Each university board of trustees may establish a convenience fee when accepting payments by credit cards, charge cards, and debit cards. The fee shall not be greater than the cost of providing the service. The fee is not refundable to the payor.

(23) Before the Board of Governors' last meeting of each calendar year, the university board of trustees shall notify the board of any potential new fees that are being considered by the university. A university board of trustees may then submit a proposal for a new fee not currently authorized in board regulation or statute to the Board of Governors' budget committee for consideration by the committee during a June meeting.

- (a) The proposal shall be submitted in a format designated by the chancellor, and include at a minimum:
1. The purpose to be served or accomplished with the fee.
 2. The demonstrable student-based need for the fee that is currently not being met through existing university services, operations or another fee.

3. The process used to assure substantial student input or involvement.
 4. Any proposed restrictions, limitations, or conditions to be placed on the fee.
 5. The financial impact of the fee on students, including those with financial need.
 6. The estimated revenue to be collected and proposed expenditures for the new fee.
 7. The outcome measures that will be implemented to determine when the purpose of the fee will be accomplished.
- (b) The aggregate sum of any fees approved by the board that a student is required to pay to register for a course shall not exceed 10 percent of tuition. All other fees shall be based on cost.
 - (c) The fee can only be implemented in the fall term.
 - (d) The revenue generated by this fee may not be transferred to an auxiliary enterprise or a direct-support organization and may not be used to pay or secure debt.
 - (e) The university shall account for the revenue and detailed expenditures of this fee in the Annual Report.
 - (f) The fee cannot be an extension of, or cover the same services, as an existing statutory fee.
 - (g) The fee cannot be utilized to create additional bonding capacity in an existing fee.
 - (h) The fee should support a new service or activity that is not currently supported or should be supported with education and general funds (state and tuition).
 - (i) The fee shall not supplant revenue from other sources that are currently used or have been used to support a service or activity.
 - (j) The fee should support a service or activity in which a majority of students is able to participate or from which derive a benefit.
 - (k) Once the board approves a fee under this section, a university fee committee shall be established similar to other existing fee committees.
 - (l) The board will act upon the budget committee recommendation at the next scheduled meeting.
 - (m) Every five years the university board of trustees shall review the fee to determine if the fee has met its intended outcomes and whether the fee should be increased, decreased or discontinued. The university board of trustees shall submit its findings to the board. Any subsequent decreases or continuation in these fees are delegated to the university board of trustees, with notification to the chancellor.
 - (n) If a university board of trustees' proposal is denied, within five calendar days the university board of trustees may request reconsideration by the board's Tuition Appeals Committee, which shall consist of the chair of the board and the chair of each board committee.

The Tuition Appeals Committee will meet within ten calendar days after the Board of Governors denial to consider a university board of trustees request for reconsideration.

(24) Pursuant to subparagraph (23), the university boards of trustees designated below are authorized to assess the following fees:

(a) Green Fee – This fee may be assessed to establish or improve the use of renewable energy technologies or energy efficiencies that lower the university’s greenhouse emissions.

1. University of South Florida: up to \$1.00 per credit hour
2. New College of Florida: up to \$1.00 per credit hour
3. University of West Florida: up to \$1.00 per credit hour

(b) Test Preparation Fee – at cost. This fee may be assessed to increase accessibility to test preparation courses in programs where students are expected to obtain specific preparation for a practice-based examination.

1. Florida International University
2. Florida A&M University – (bar test preparation)

(c) Student Life and Services Fee – This fee may be assessed to expand student participation in transformational learning opportunities that build new and enhances ongoing activities which connect students to the institution.

1. University of North Florida: not to exceed 5 percent of tuition.

Authority: Section 7(d), Art. IX, Fla. Const.; History–Formerly BOR Rule 6C-7.003. Derived from 6C-2.74 and 6C-2.76, Amended and Renumbered 12-17-74, Amended 2-22-76, 6-22-76, 6-28-76, 11-1-76, 9-8-77, 2-14-79, 9-28-81, 12-7-82, 12-13-83, 10-2-84, Formerly 6C-7.03, Amended 1-8-86, 8-11-86, 12-25-86, 6-2-87, 10-17-89, 4-10-90, 1-7-91, 7-2-91, 9-15-91, 8-4-92, 11-9-92, 4-12-93, 5-30-93, 9-23-93, 8-1-94, 1-24-96, 4-16-96, 12-15-97, 8-28-00, 8-12-01, Amended and Renumbered as 7.003 9-25-08, Amended 12-10-09, 11-04-10, 9-15-11, 6-21-12, 11-08-12, 11-21-13,

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**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Approve Amended Board of Governors
Regulation 7.008 Waivers and Exemptions of Tuition and Fees

PROPOSED BOARD ACTION

Approve amended Board of Governors Regulation 7.008 Waivers and Exemptions of Tuition and Fees

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

This regulation was noticed for public comment after the June 23, 2016 meeting. Several changes are being made to address statutory changes approved during the 2016 session.

House Bill 799 amends section 1009.26(14), Florida Statutes, by waiving out-of-state fees for active duty service members of the Armed Forces of the United States residing or stationed outside of Florida. This means all enrolled active duty service members should be charged an equivalent amount of tuition and fees per credit hour as charged to a resident student in the same course.

House Bill 1157 amends section 1009.26(8), Florida Statutes, by modifying the Purple Heart waiver to allow recipients of a Purple Heart or other combat decoration superior in precedence who were residents of the state at the time of military action to receive the tuition and fee waiver, no matter where the recipients are currently residing. Additionally, the legislation allows for the waiver of tuition and fees for recipients currently living in Florida whose home of record at the time of military action was another state.

House Bill 7040 modified the welfare transition program exemption which has been added to the regulation.

No public comments were received during the notice period.

Supporting Documentation Included: Regulation 7.008

7.008 Waivers and Exemptions of Tuition and Fees

(1) Each university board of trustees is authorized to waive tuition, non-resident tuition and associated fees for purposes that support and enhance the mission of the university. All tuition, non-resident tuition and associated fees waived must be based on regulations that are adopted by the university board of trustees and where applicable, consistent with regulations adopted by the Board of Governors.

(2) Each university shall have an individual designated as the university liaison to handle student issues and/or questions regarding waivers.

(3) Sponsored Credit Institutes and Programs – Each university board of trustees is authorized to waive tuition, associated fees and material and supply fees for participants in sponsored credit institutes and programs.

(a) Sponsored credit institutes and programs are entities where substantially all the direct costs are paid by the external sponsoring entity, where there is no direct expenditure of Educational and General funds for the conduct of the programs, and where no fees or other assessments are collected from students by the sponsoring entity, the university, or any other entity.

(b) In determining whether the direct costs are paid by the sponsoring entity, funds paid directly to the participants in a form such as, but not limited to, stipends, travel or book allowances should not be taken into account.

"Direct costs" refer to the costs associated with the instruction or training which a participant receives. All funds collected from sponsoring entities for sponsored credit institutes will be remitted to the university's contract and grants trust fund and/or auxiliary trust funds.

(c) Funds collected from courses offered through continuing education should be budgeted in the Auxiliary Trust Fund.

(d) Neither the number of participants nor student credit hours in these institutes and programs may be counted for state-funding purposes.

(4) Deceased Law Enforcement, Correctional, or Correctional Probation Officers Employed by the State or Political Subdivision thereof – Each university board of trustees shall waive certain educational expenses that the child or spouse of the deceased officer incurs while obtaining an undergraduate education or a postgraduate education if a law enforcement, correctional, or correctional probation officer is accidentally killed or receives accidental bodily injury which results in the loss of the officer's life while engaged in the performance of the officer's law enforcement duties on or after June 22, 1990, or is unlawfully and intentionally killed or dies as a result of such unlawful and intentional act on or after July 1, 1980, while the officer was employed by a political subdivision of the state.

- (a) The amount waived by the university shall be an amount equal to the cost of tuition and associated fees for a total of 120 credit hours. The child or spouse may attend on either a full-time or part-time basis. The benefits provided to a child under this section shall continue until the child's 25th birthday. The benefits provided to a spouse under this subsection must commence within 5 years after the death occurs, and entitlement thereto shall continue until the 10th anniversary of that death.
- (b) Upon failure of any child or spouse benefited by the provisions of this subsection to comply with the ordinary and minimum requirements of the institution attended, both as to discipline and scholarship, the benefits shall be withdrawn as to the child or spouse and no further moneys may be expended for the child's or spouse's benefits so long as such failure or delinquency continues.
- (c) Only a student in good standing in his or her respective university may receive the benefits.
- (d) A child or spouse receiving benefits under this subsection must be enrolled according to the customary rules and requirements of the university attended.

(5) Deceased Firefighters Employed by the State or a Political Subdivision thereof
 - Each university board of trustees shall waive certain educational expenses that the child or spouse of the deceased firefighter incurs while obtaining an undergraduate education or a postgraduate education if a firefighter is accidentally killed or receives accidental bodily injury which results in the loss of the firefighter's life while engaged in the performance of the firefighter's duties on or after June 22, 1990, or is unlawfully and intentionally killed or dies as a result of such unlawful and intentional act on or after July 1, 1980, while the firefighter was employed by a political subdivision of the state.

- (a) The amount waived by the university shall be an amount equal to the cost of tuition and associated fees for a total of 120 credit hours. The child or spouse may attend on either a full-time or part-time basis. The benefits provided to a child under this section shall continue until the child's 25th birthday. The benefits provided to a spouse under this subsection must commence within 5 years after the death occurs, and entitlement thereto shall continue until the 10th anniversary of that death.
- (b) Upon failure of any child or spouse benefited by the provisions of this subsection to comply with the ordinary and minimum requirements of the institution attended, both as to discipline and scholarship, the benefits shall be withdrawn as to the child or spouse and no further moneys may be expended for the child's or spouse's benefits so long as such failure or delinquency continues.
- (c) Only a student in good standing in his or her respective university may receive the benefits.

- (d) A child or spouse receiving benefits under this subsection must be enrolled according to the customary rules and requirements of the university attended.

(6) Acceleration – Each university board of trustees shall waive tuition and associated fees for students who earn credit in courses toward both a Florida high school diploma and an associate or baccalaureate degree, or students enrolled in a dual enrollment or early admission program.

(7) Florida Department of Children and Families - Each university board of trustees shall exempt from the payment of tuition and associated fees, including lab fees, any student who is or was at the time he or she reached the age of 18 in the custody of the Department of Children and Families or a relative or nonrelative under section 39.5085, Florida Statutes; who was adopted from the Department of Children and Families after May 5, 1997; or after spending at least 6 months in the custody of the Department of Children and Families after reaching 16 years of age. Additionally, material and supply fees and fees associated with enrollment in career-preparatory instruction shall be exempted. Any student requesting this exemption must provide certification of eligibility from the Department of Children and Families or its contracted providers to the university in which the student seeks to enroll. This exemption shall remain valid up until the time the student reaches the age of 28.

(8) School Psychology Training Program – Each university board of trustees shall waive tuition and associated fees for internship credit hours applicable to an internship in the public school system under the supervision of the Florida Department of Education certified school psychologist employed by the school system for any graduate student.

(9) Florida Linkage Institutes – Each university board of trustees shall exempt up to 25 full-time equivalent students per year from the payment of out-of-state fee and out-of-state financial aid fee for students enrolled through the Florida Linkage Institutes Program.

(10) Deceased Teacher or School Administrator Employed by a Florida District School Board – Each university board of trustees shall waive certain educational expenses that the child of the deceased teacher or school administrator incurs while obtaining an undergraduate education or a postgraduate education if the teacher or school administrator is killed or is injured and dies as a result of an unlawful and intentional act, provided such killing or injury inflicted by another person and the motivation for the act is related in whole or part to the fact that the individual is a teacher or school administrator, or such act is inflicted while he or she is engaged in the performance of teaching duties or school

administration duties while employed by a Florida district school board. The amount waived by the university shall be an amount equal to the cost of tuition and associated fees for a total of 120 credit hours at a university. The child may attend on either a full-time or part-time basis. The benefits provided under this paragraph shall continue until the child's 25th birthday.

- (a) Upon failure of any child benefited by the provisions of this paragraph to comply with the ordinary and minimum requirements of the university attended, both as to discipline and scholarship, the benefits shall be withdrawn as to the child and no further moneys may be expended for the child's benefits so long as such failure or delinquency continues.
- (b) A student who becomes eligible for benefits under the provisions of this paragraph while enrolled in a university must be in good standing with the institution to receive the benefits provided herein.
- (c) A child receiving benefits under this paragraph must be enrolled according to the customary rules and requirements of the university attended.

(11) Homeless – Each university board of trustees shall waive tuition and associated fees for any student who lacks a fixed, regular, and adequate nighttime residence, excluding university housing, or whose primary nighttime residence is a public or private shelter designed to provide temporary residence for individuals intended to be institutionalized, or a public or private place not designed for, or ordinarily used as, a regular sleeping accommodation for human beings.

(12) Welfare Transition Program – Each university board of trustees shall exempt tuition and fees for any student enrolled in an employment and training program under the welfare transition program. The local workforce development board shall pay the state university for costs incurred for welfare transition program participants.

(12) Purple Heart Recipients – Each university board of trustees shall waive undergraduate tuition and associated fees for each recipient of a Purple Heart, or another combat decoration superior in precedence which was awarded for valor, and who:

- (a) Is enrolled as a full-time, part-time, or summer-school student in an undergraduate program that terminates in a degree or certificate;
- (b) Is currently, or ~~and~~ was at the time of the military action that resulted in the awarding of the Purple Heart or other combat decoration superior in precedence, a resident of this state; and
- (c) Submits to the ~~state~~ university the DD-214 form issued at the time of separation from service as documentation that the student has received a

Purple Heart or another combat decoration superior in precedence. In situations where admissions or financial aid application deadlines preclude providing a DD-214 in time to meet such a deadline, the official (service specific) transmitting correspondence that would normally accompany such an award to a previously discharged service member would suffice until an updated DD-214 could be obtained and presented to the postsecondary institution. However, the updated DD-214 must be submitted to the postsecondary institution by the start of the student's next term of enrollment for continued eligibility for the waiver. In situations where a service member is on active duty and has not been issued a DD-214, the official (service specific) transmitting correspondence that would normally accompany such an award or a certification of the appropriate combat award by the service specific administrative record holder [e.g., Adjutant, G-1 (general staff officer - personnel), or JAG (Judge Advocate General)] would meet the documentation requirement.

- (d) A waiver for a Purple Heart recipient or recipient of another combat decoration superior in precedence shall be applicable for 110 percent of the number of required credit hours of the degree or certificate program for which the student is enrolled. This waiver is considered "countable aid" for student financial aid purposes. Therefore, if this waiver is administered by an office other than the college financial aid office, college officials must notify the Director of Financial Aid that a student has qualified for the waiver. The waiver covers only tuition and fees associated with credit hour instruction provided directly by the university and does not include any additional fees that may be charged for specialized programs or by external organizations. This includes, but is not limited to, flight school, study abroad travel and living expenses, and courses taken elsewhere as a transient student.

(13) State Employees - Each university board of trustees shall waive tuition and associated fees for up to 6 credit hours per term on a space available basis for state employees.

(14) University Employees - Each university board of trustees may allow full-time university employees to enroll up to 6 credit hours of tuition-free courses per term on a space available basis.

(15) Florida residents 60 years of age or older - Each university board of trustees may waive any or all application, tuition, and associated fees for persons 60 years of age or older who are residents of this state and who enroll to audit courses being offered for college credit. No academic credit shall be awarded for attendance in classes for which fees are waived under this subsection. This

privilege may be granted only on a space-available basis, if such classes are not filled as of the close of registration. A university may limit or deny the privilege for courses which are in programs for which the Board of Governors has established selective admissions criteria. Persons paying full fees and state employees taking courses on a space-available basis shall have priority over those persons whose fees are waived in all cases where classroom spaces are limited.

(16) Intern Supervisors – Persons who supervise interns for institutions within the State University System may be given one non-transferable certificate (fee waiver) for each full academic term during which the person serves as an intern supervisor. This certificate shall provide for waiver of the basic fee (as defined in Regulation 7.001).

- (a) Certificate holders are entitled to a waiver of tuition for a maximum of six (6) hours credit instruction (including credit through continuing education) during a single term at any state university.
- (b) Certificates shall be valid for three years from date of issuance.
- (c) Eligible recipients of an Intern Participation Certificate may be identified by a university as a person who engages in the direct supervision of at least one university intern for 300 contact hours, which may be accumulated over multiple semesters provided at least 100 contact hours of direct supervision is provided per semester.
- (d) To be eligible for a Certificate, the internship program must be an essential part of the course of instruction and must be required as part of the degree.
- (e) Each university shall develop procedures and policies to govern the issuance, distribution, security, and redemption of certificates.
- (f) Each university shall maintain accurate data on Intern Participation Certificates and annually submit a report of certificate activity to the Board of Governors according to a prescribed format.

(17) Non-resident students – Non-resident students who are non-degree seeking may be entitled to a waiver of the out-of-state fee if the credit hours generated by such students are non-state fundable and the cost for the program of study is recovered from the fees charged to all students.

(18) Admissions Deposit – A university that establishes an admissions deposit must adopt policies that provide for the waiver of this deposit on the basis of financial hardship.

(19) Wrongfully Incarcerated – A university shall waive tuition and associated fees for up to 120 hours of instruction if the wrongfully incarcerated person meets and maintains the regular admission requirement of the university;

remains registered and makes satisfactory academic progress as defined by the university in which the person is enrolled. A wrongfully incarcerated person is someone who has had a felony conviction and sentence vacated by a court and the original sentencing court has issued its order finding that the person neither committed the act, nor did not aid, abet or act as an accomplice or accessory to the act or offense.

(20) A university may waive the tuition differential for students who meet the eligibility requirements for the Florida public assistance grant.

(21) Public School Classroom Teacher – Each university board of trustees may waive tuition and fees for a classroom teacher who is employed full-time by a school district and who meets the academic requirements established by the university for up to six credit hours per term on a space-available basis in undergraduate courses related to special education, mathematics or science approved by the Department of Education. The waiver may not be used for courses scheduled during the school district's regular school day.

(22) Veterans – Each university board of trustees shall waive out-of-state fees for honorably discharged veterans of the United States Armed and Reserve Forces (Air Force, Army, Coast Guard, Marines, and Navy) and the National Guard (Army and Air) who physically reside in Florida while enrolled at a university. Persons who are entitled to and uses educational assistance provided by the United States Department of Veterans Affairs also qualify for this waiver if they physically reside in Florida while enrolled at the university in any term beginning after July 1, 2015. Tuition and fees charged to a veteran or person who qualifies for the out-of-state fee waiver under this subsection may not exceed the tuition and fees charged a resident student enrolled in the same program.

(23) Active Duty – Each university board of trustees shall waive out-of-state fees for a person who is an active duty member of the Armed Forces of the United States residing or stationed outside of the state. Tuition and fees charged to a student who qualifies for the out-of-state fee waiver may not exceed the tuition and fees charged to a resident student.

(24~~3~~) Nonresident Waiver – Each university board of trustees shall waive out-of-state fees, including the out-of-state financial aid fee, for students, including, but not limited to, students who are undocumented for federal immigration purposes, who meet the following conditions:

(i~~a~~) Attended a secondary school in this state for three consecutive years immediately before graduating from a high school in this state;

(ii~~b~~) Apply for enrollment in an institution of higher education with 24 months after high school graduation; and

(iii) Submit an official Florida high school transcript as evidence of attendance and graduation.

The waiver is applicable for 110 percent of the required credit hours of the undergraduate degree or certificate program for which the student is enrolled.

A state university student granted an out-of-state fee waiver must be considered a nonresident student for purposes of calculating the system-wide total enrollment of nonresident students as limited in Regulation 7.006.

A student who is granted an out-of-state fee waiver is not eligible for state financial aid.

Each university shall, within the nonresident student enrollment system-wide, prioritize the enrollment of a veteran who is granted an out-of-state fee waiver pursuant to paragraph 22 over a student who is granted an out-of-state fee waiver under this paragraph.

(254) Child Protection and Child Welfare Personnel – Employees as defined in section 402.403, Florida Statutes, who are enrolled in an accredited master’s degree in social work or a certificate program, and maintain at least a grade of ‘B’ in all courses are exempt from tuition and fees.

(a) Eligible employees shall have an approved Department of Children and Families, community-based agency or a subcontractor waiver form stating that the necessary employment qualifications have been met.

(265) Each university shall report the purpose, number, and value of all fee waivers granted annually in a format prescribed by the Board of Governors.

Authority: Section 7(d), Art. IX, Fla. Const.; History–Formerly BOR Rule 6C-7.008 and 6C-2.53, Amended 7-19-74, Amended and Renumbered 12-17-74, Amended 1-10-78, 9-28-81, 8-11-85, Formerly 6C-7.08, Amended 12-25-86, 9-7-87, 12-9-91, 11-9-92, 9-23-93, 8-1-94, 10-10-95, 4-16-96, 12-15-97, Amended and Renumbered as 7.008 9-25-08, Amended 12-10-09, 9-17-10, 11-08-12, 11-21-13, 11-06-14, 09-03-15,_____.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**
September 22, 2016

SUBJECT: Public Notice of Intent to Approve Amended Board of Governors
Regulation 9.007 State University Operating Budgets

PROPOSED BOARD ACTION

Approve amended Board of Governors Regulation 9.007 State University Operating Budgets

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

This regulation was noticed for public comment after the June 23, 2016 meeting and is being amended to incorporate the appropriation change that created a separate budget entity for the FAMU/FSU College of Engineering. Separate reporting of budgets and expenditures are required.

No public comments were received during the notice period.

Supporting Documentation Included: Regulation 9.007

9.007 State University Operating Budgets

(1) Each university president shall prepare an operating budget for approval by the university board of trustees, in accordance with instructions, guidelines, and standard formats provided by the Board of Governors.

(2) Each university board of trustees shall adopt an operating budget for the general operation of the university as prescribed by the regulations of the Board of Governors. The university board of trustees-ratified operating budget is presented to the Board of Governors for approval. Each university president shall implement the operating budget of the university as prescribed by regulations of the Board of Governors, policies of the university board of trustees, provisions of the General Appropriations Act, and data reflected within the State University System Allocation Summary and Workpapers publication.

(3) The operating budgets of each state university shall represent the following budget entities:

(a) Education and General (E&G)– reports actual and estimated year operating revenues and expenditures for all E&G funds, including: General Revenue, Student and Other Fees, Educational Enhancement Trust Fund (Lottery), Phosphate Research Trust Fund, – and including the following previously-appropriated trust funds: Experiment Station Federal Grant, Experiment Station Incidental, Extension Service Federal Grant, Extension Service Incidental, UF-HSC Incidental, and UF-Health Science Center Operations and Maintenance. In addition, expenditures from university carryforward funds (unexpended E&G balances from all prior-period appropriations) shall be included in the actual history year reporting. University carryforward funds shall not be included in any estimated-year (budgeted) amounts.

1. Unless otherwise expressed by law, E&G funds are to be used for E&G operating activities only, such as, but not limited to, general instruction, research, public service, plant operations and maintenance, student services, libraries, administrative support, and other enrollment-related and stand-alone operations of the universities.
2. Universities shall accumulate ending fund balances for activities such as, but not limited to, a contingency for unfunded enrollment growth, potential budget reductions, anticipated increases in university operations, and prior year encumbrances. At any time the unencumbered available balance in the E&G fund of the university board of trustees approved operating budget falls below

five (5) percent of the approved total, the president shall provide a written notification and explanation to the Board of Governors.

3. Expenditures from any source of funds by any university shall not exceed the funds available. No expenditure of funds, contract, or agreement of any nature shall be made that requires additional appropriation of state funds by the Legislature unless specifically authorized in advance by law or the General Appropriations Act.
4. The following units are required to report under this budget entity:

State Universities

UF - Institute of Food and Agricultural Science

UF Health Science Center

USF Medical Center

FSU Medical School

UCF Medical School

FIU Medical School

FAU Medical School

FAMU/FSU College of Engineering

- (b) Contracts and Grants – reports actual and estimated year revenues, expenditures, and positions for university functions which are supported by foundations, various state and federal agencies, local units of governments, businesses, and industries. Universities shall comply with all applicable federal, state, local, and university regulations and guidelines as they relate to grants, contracts, and sponsored research programs.
- (c) Auxiliary Enterprises – reports actual and estimated year revenues, expenditures, and positions for self-supporting functions such as, but not limited to, parking services, housing, bookstore operations, and food services.
- (d) Local Funds – reports actual and estimated year revenues, expenditures, and positions for the following specific areas:
 1. Student Activities – revenues generated primarily from the activity and service fee each university is authorized to charge its students as a component of the mandatory fee schedule. Activities commonly supported by these revenues include student government, cultural events, student organizations, and intramural/club events.

2. Intercollegiate Athletics – revenues generated from the student athletic fee that each university is authorized to collect as a component of the mandatory fee schedule, and from other sources including ticket sales, radio/TV, bowl games, and tournament revenues.
 3. Concession Fund – revenues generated from various vending activities located around the campuses. The university’s budget must reflect the various departments/activities on each campus which benefit from receipt of these funds.
 4. Student Financial Aid – revenues received by the university for loans, grants, scholarships, and other student financial aid. Expenditures of these funds must be reported by activities such as externally-funded loans, student scholarships, need-based financial aid, academic-based financial aid, and athletic grants/scholarships.
 5. Technology Fee – revenues generated from the technology fee that a university is authorized to charge its students as a component of the mandatory fee schedule. Proceeds from this fee shall be used to enhance instructional technology resources for students and faculty.
 6. Board-Approved Fees – student fees presented to the Board of Governors for approval by a university board of trustees that is intended to address a student need not currently being met through existing university services, operations, or another fee.
 7. Self-Insurance Programs – revenues received by the university from entities and individuals protected by the self-insurance programs. This budget must reflect expenditures related to the administration of the self-insurance programs and the judgments or claims arising out of activities for which the self-insurance program was created.
- (e) Faculty Practice Plan – related to the activities for the state universities’ medical schools and health centers. This budget must be designed to report the monetary level of clinical activity regarding the training of students, post-graduate health professionals, and medical faculty.

(4) The operating budgets of each university shall represent the following:

- (a) The university’s plan for utilizing the resources available through direct or continuing appropriations by the Legislature, allocation amendments, or from local sources including tuition. The provisions of the General Appropriations Act and the SUS Allocation Summary and

Workpapers publication will be taken into consideration in the development and preparation of the E&G data.

- (b) Actual prior-year revenues, expenditures (including E&G carryforward amounts expended), and positions, as well as current-year estimated revenues, expenditures, and positions. University carryforward funds shall not be included in any estimated-year (budgeted) amounts.
- (c) Assurance that the universities are in compliance with general legislative intent for expenditure of the appropriated state funds and with the Board of Governors' guidelines and priorities.

(5) Interest earnings resulting from the investment of current-year E&G appropriations are considered to be of the same nature as the original appropriations, and are subject to the same expenditure regulations as the original appropriations. E&G interest earnings are not to be utilized for non-E&G related activities or for fixed capital outlay activities except where expressly allowed by law. Interest earnings resulting from invested carryforward funds are considered to be additions to the university's carryforward balance.

Anticipated interest earnings for the estimated year from invested E&G funds should not be included when building the detailed operating budget schedules. Estimated-year E&G interest earnings and planned expenditures of these funds should only be reported on the manually-prepared E&G Schedule I and Summary Schedule I reports.

(6) Any unexpended E&G appropriation carried forward to the fund balance in a new fiscal year shall be utilized in support of E&G operating activities only except where expressly allowed by law.

Authority: Section 7(d), Art. IX, Fla. Const., History: _New 12-6-07, Amended 11-21-13,_____.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Approve Amended Board of Governors
Regulation 5.001 Performance Based Funding

PROPOSED BOARD ACTION

Approve amended Board of Governors Regulation 5.001 Performance Based Funding

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

This regulation was noticed for public comment after the June 23, 2016 meeting and will implement House Bill 7029 which codifies the Board's performance based funding model.

The regulation provides guidance on the following:

- Includes the four guiding principles;
- Measures excellence and improvement;
- Sets 51 points as the threshold to be eligible for the State's investment;
- Describes the distribution methodology for the State investment;
- Sets 51 points as the threshold for an university to have its institutional investment restored;
- Establishes the criteria for a university implementing an improvement plan when scoring 50 points or fewer;
- Limits a university to only one improvement plan starting July 1, 2016;
- Discusses the improvement plan review process and how funds are distributed if a university had previously submitted an improvement plan or is not successful in implementing the improvement plan; and

No public comments were received during the notice period.

Supporting Documentation Included: Regulation 5.001

5.001 Performance-Based Funding

- (1) The Performance Based Funding (PBF) model is based upon four guiding principles:
- (a) Align with the State University System's (SUS) Strategic Plan goals;
 - (b) Reward excellence and improvement;
 - (c) Have a few clear, simple metrics; and
 - (d) Acknowledge the unique mission of the different institutions.

(2) The PBF model measures institutional excellence and improvement of performance using metrics adopted by the Board of Governors. The metrics include graduation rates; retention rates; post-graduation education rates; degree production; affordability; post-graduation employment and salaries, including wage thresholds that reflect the added value of a baccalaureate degree; access; and other metrics that may be approved by the Board in a formally noticed meeting.

(3) The performance of an institution is evaluated based on benchmarks adopted by the Board of Governors for each metric. For each fiscal year, the amount of funds available for allocation to SUS institutions shall consist of the state's investment, plus the institutional investment from each institution's base budget, as determined in the General Appropriations Act. The amount of institutional investment withheld from each SUS institution shall be a proportional amount based on each institution's recurring base state funds to the total SUS recurring base state funds (excluding special units). Florida Polytechnic University is not included in the model until such time as data is readily available.

(4) On a 100-point scale, a threshold of 51-points is established as the minimum number of total points needed to be eligible for the state's investment.

- (a) All SUS institutions eligible for the state's investment shall have their proportional amount of institutional investment restored.
- (b) The three universities with the lowest points, regardless of whether they meet the 51-point threshold, are not eligible for the state's investment. The proportional amount of the state's investment that would have been distributed to the three lowest scoring institutions will be distributed to the top three scoring institutions based on the total points of the top three scoring eligible institutions.
- (c) Institutions eligible for the state's investment shall receive an amount based on their prorated share of recurring state base funds to the total SUS recurring base state funds.

(5) Any institution that fails to meet the minimum threshold of 51-points for the state's investment shall submit a final improvement plan to the Board of Governors for consideration at its June meeting that specifies the activities and strategies for

improving the institution's performance. As of July 1, 2016, an institution is limited to only one improvement plan.

- (a) The Board of Governors will monitor the institution's progress on implementing the activities and strategies specified in the plan, and the Chancellor shall withhold disbursement of the institutional investment until the improvement plan monitoring report for each institution is approved by the Board of Governors.
- (b) Improvement plan monitoring reports shall be submitted to the Board of Governors no later than December 31 and May 31 of each fiscal year.
- (c) The December 31 monitoring report will be considered by the Board of Governors at its January meeting and if it is determined that the institution is making satisfactory progress on implementing the plan, the institution shall receive up to 50 percent of its institutional investment.
- (d) The May 31 monitoring report will be considered by the Board of Governors at its June meeting and if it is determined that the institution has fully completed the plan, the institution shall receive the remaining balance of its institutional investment.
- (e) Any institution that fails to make satisfactory progress shall not have its full institutional investment restored, and any institutional investment funds remaining shall be distributed to the three institutions that demonstrate the most improvement on the metrics based upon those institutions' share of total improvement points.

(6) If an institution, after the submission of one improvement plan, subsequently fails to meet the 51-point threshold, its institutional investment will be redistributed to the institutions meeting the 51-point threshold, based on the points earned by each institution.

(7) In the case of a tie in the number of points earned, the Board of Governors shall implement a tie breaker in the order shown as follows:

- (a) Compare the total of excellence and improvement scores;
- (b) Compare only the excellence scores;
- (c) Score metric by metric giving a point to the institution with the higher score; and
- (d) If still tied, the tie will go to the benefit of the institutions, irrespective of whether the institutions are tied for placement among the top three scoring institutions or are tied for placement among the bottom three institutions.

(8) By October 1 of each year, the Board of Governors shall submit a report to the Governor, President of the Senate, and Speaker of the House of Representatives on the previous fiscal year's performance funding allocation, including the rankings and award distributions.

Authority: Section 7(d), Art. IX, Fla. Const., Section 1001.92, Florida Statutes; History:
New_____.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Create Regulation 7.007 Latin American and Caribbean Scholarship Eligibility and Rule 72-1.002 Latin American and Caribbean Scholarship Eligibility

PROPOSED BOARD ACTION

Approve Public Notice of Intent to create Regulation 7.007 entitled Latin American and Caribbean Scholarship Eligibility and Rule 72-1.002 entitled Latin American and Caribbean Scholarship Eligibility

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, section 7, Florida Constitution, sections 1009.21 and 1011.43, Florida Statutes, and Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

A new regulation and rule is proposed to establish criteria for the award of Latin American and Caribbean Scholarships. This new regulation and rule (1) permits universities to create a Latin American and Caribbean Scholarship; (2) establishes minimum student eligibility requirements; (3) designates all recipients of the Latin American and Caribbean Scholarships as residents for tuition purposes; (4) classifies which state and federal funds may be used to fund Latin American and Caribbean Scholarship; (5) establishes minimum scholarship amounts; (6) lists which countries qualify as Latin American and Caribbean; and (7) provides university reporting requirements.

The Board approved the public notice of intent to promulgate the new regulation and rule at the June 23, 2016, meeting. Based on public comments received, including comments from the Council of Academic Vice Presidents, changes were made requiring Latin American and Caribbean Scholarships to be a minimum of \$500 no later than Spring 2017.

Rule 72-1.002 entitled Latin American and Caribbean Scholarship Eligibility is substantively identical to Regulation 7.007. The rule will be in Chapter 72 of the Florida Administrative Code.

Supporting Documentation Included: Regulation 7.007

7.007 Latin American and Caribbean Scholarship Eligibility

- (1) Universities may award a scholarship to a degree-seeking student who is a citizen of a Latin American or Caribbean country and seeks to attend a state university on a full-time basis. Recipients of a Latin American and Caribbean Scholarship shall be classified as residents for tuition purposes.
 - (a) In addition to eligibility requirements contained herein, universities may impose additional criteria.
 - (b) Scholarships awarded by a university must be funded from state funds appropriated to universities in the General Appropriations Act, investment earnings as provided under section 1011.43, Florida Statutes, or from federally authorized scholarship funds. Universities may use equal matching funds from private businesses, private foundations, and public agencies.
- (2) Eligible Latin American and Caribbean countries and territories are: Anguilla; Antigua and Barbuda; Argentina; Aruba; Bahamas; Barbados; Belize; Bermuda; Bolivia; Brazil; British Virgin Islands; Caribbean Netherlands; Cayman Islands; Chile; Colombia; Costa Rica; Cuba; Curacao; Dominica; Dominican Republic; Ecuador; El Salvador; Falkland Islands; French Guiana; Grenada; Guadeloupe; Guatemala; Guyana; Haiti; Honduras; Jamaica; Martinique; Mexico; Montserrat; Nicaragua; Panama; Paraguay; Peru; Puerto Rico; St. Barthelemy; St. Kitts and Nevis; St. Lucia; St. Maarten/St. Martin; St. Vincent and the Grenadines; Suriname; Trinidad and Tobago; Turks and Caicos Islands; Uruguay; U.S. Virgin Islands; and Venezuela.
- (3) Scholarship eligibility is limited to students making satisfactory academic progress as defined by the respective university's standards of academic progress and who are enrolled on a full-time basis. Continued eligibility must be determined each semester for renewal purposes.
- (4) Without regard to other awards or scholarships the student may receive, the Latin American and Caribbean Scholarship, together with any matching funds, must equal at least \$500.00 for the semester.
- (5) This regulation shall not disqualify any student receiving the scholarship prior to Spring 2017 provided the student continuously meets scholarship requirements.
- (6) Each university shall report the number and value of all scholarships provided pursuant to this regulation in a format and timeframe prescribed by the Board of Governors.

Authority: Section 7(d), Art. IX, Fla. Const.; sections 1009.21 and 1011.43, Florida Statutes. History: New_____.

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Amend Board of Governors Regulation 7.002
Tuition and Fee Assessment, Collection, Accounting and Remittance

PROPOSED BOARD ACTION

Approve the public notice of intent to amend Board of Governors Regulation 7.002

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

This regulation is being amended to ensure compliance with section 1004.07, Florida Statutes that provides guidance on a student's withdrawal from courses due to military service. Board Regulation 6.013 is also being considered for amendment by the Academic and Student Affairs Committee to provide more guidance to universities. A summary of the proposed changes to Regulation 7.002 is as follows:

- 1) Changes 'Involuntary call' to 'Orders' when describing when a student may receive a 100% refund of tuition and fees; and
- 2) References Board Regulation 6.013.

The draft amended regulation was shared with the universities for comments.

If approved, the amended regulation will be noticed on the Board's website for 30 days with final approval by the Board at the November 3, 2016 meeting.

Supporting Documentation Included: Information located in the Budget and Finance Committee material

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Public Notice of Intent to Amend Board of Governors Regulation 18.001
Purchasing

PROPOSED BOARD ACTION

Approve the public notice of intent to amend Board of Governors Regulation 18.001

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Regulation Development Procedure

BACKGROUND INFORMATION

This regulation was last amended on March 28, 2013. The revision seeks to update and clarify certain aspects of the regulation.

The first proposed revision to the regulation would retitle the regulation from "Purchasing" to "Procurement," to encompass the broader range of activities that are regulated. The next revision would provide authority for contract extensions and renewals, with time limitations, which currently do not exist in the regulation. The final revision clarifies the "advertisement" exemption to remove the purchase of media placement as an exempt service. The direct placement of an advertisement toward a targeted audience, should be exempt since these cannot be competed, but media placement services are more general and can be competitively placed.

The proposed language was developed by Board staff based on discussions with university staff.

If approved, the amended regulation will be noticed on the Board's website for 30 days with final approval by the Board at the November 3, 2016 meeting.

Supporting Documentation Included: Information located in the Budget and Finance Committee material

**BOARD OF GOVERNORS
STATE UNIVERSITY SYSTEM OF FLORIDA
September 22, 2016**

SUBJECT: 2016-2017 State University System Operating Budget

PROPOSED BOARD ACTION

Approve the 2016-2017 State University System Operating Budget.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Section 7, Article IX, Florida Constitution. Board of Governors' Master Powers and Duties, Section II(2).

BACKGROUND INFORMATION

Each state university is required to submit a detailed operating plan for each budget entity for the 2016-2017 fiscal year. The State University System (SUS) operating budget consists of five different budget entities; 1) Education and General, 2) Contracts and Grants, 3) Auxiliary Enterprises, 4) Local Funds, and 5) Faculty Practice Plans.

The increase in estimated expenditures reported by the universities is due primarily to an increase in general revenue and lottery appropriations in the Education and General budget entity by the 2016 Legislature as well as additional anticipated expenditures in the contract & grants, auxiliaries, and local funds activities. Universities plan to continue utilizing prior-period carryforward funds to augment current year appropriations.

1. The Education and General Budget Entity (E&G) includes the allocation of funds appropriated by the 2016 Legislature, student tuition, and other previously appropriated funds. The E&G budget entity contains resources that provide educational opportunities to citizens through instructional programs; research directed toward solving technical, social and economic problems facing the state and nation; and public service programs. Universities have flexibility in the development of the E&G operating budget but must report in the traditional SUS categories.
- 2) The Contracts and Grants budget entity supports research and public service through awards from federal, state, and local sources.

- 3) Auxiliary Enterprises is comprised of ancillary support units such as student housing, food service, bookstores, parking services, and computer support.
- 4) The Local Funds entity consists of operating resources for student activities, athletics, concessions, student financial aid, technology fee, Board approved local fees, and self-insurance programs.
- 5) Finally, the Faculty Practice Plans provide for clinical activities associated with the University of Florida and University of South Florida Health Centers, and the Florida State University, University of Central Florida, and Florida International University Medical Schools.

Each University Board of Trustees has approved an operating budget. The universities have developed their budgets in accordance with Board Regulation 9.007 – State University System Operating Budgets. The regulation requires each university to maintain an unencumbered balance in the E&G fund equal to or greater than 5% of the University Board of Trustees approved operating budget. If the unencumbered balance falls below 5%, the University President must provide written notification and explanation.

The attached information summarizes the budgetary resources and obligations, including beginning and ending fund balances, recurring and nonrecurring revenues, and operating and non-operating expenditures. Additional detail is available and will be posted to the Board's website.

Staff has reviewed and approved the submissions and recommends approval of the proposed budgets as submitted by the universities and approved by their Board of Trustees.

Supporting Documentation Included: Information located in the Budget and Finance Committee material

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**
September 22, 2016

SUBJECT: 2016-2017 Board General Office Budget

PROPOSED BOARD ACTION

Approve the 2016-2017 Board General Office Budget and authorize the Chancellor to make changes as necessary to support office functions

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; 2016 General Appropriations Act

BACKGROUND INFORMATION

The Board General Office budget for 2016-2017 totals \$8.3 million and supports 63 authorized positions. The 2016 General Appropriations Act allocates funds by specific category and funding source as follows:

Appropriation Category	Appropriation
Salaries & Benefits - GR	\$5,631,851
Salaries & Benefits - Trust Funds	\$764,518
Other Personal Services - GR	\$51,310
Other Personal Services - Trust Funds	\$20,785
Expenses - GR	\$715,329
Expenses - Trust Funds	\$206,799
Operating Capital Outlay - GR	\$11,782
Operating Capital Outlay - Trust Funds	\$5,950
Contracted Services - GR	\$715,127
Contracted Services - Trust Funds	\$23,000
Risk Management Insurance - GR	\$11,937
DMS - HR Services - GR	\$17,351

DMS – HR Services – Trust Funds	\$4,385
Data Processing Services - GR	\$123,516

Each funding source and category has specific restrictions on spending funds from that appropriation. Appropriations are allowed to be transferred between categories via budget transfers filed with the Governor’s Office. These transfers cannot exceed five percent or \$250,000 whichever is greater. Any transfers in excess of this amount must be approved by the Legislature and Governor through an established budget amendment process.

Appropriation Funding Source:

- General Revenue – appropriations from this source has the least restrictions and can be used for any basic operating expense.
- Trust Funds – appropriations from this source are primarily used to support Board Facility Office staff and expenses.

Appropriation Category:

- Salaries & Benefits – salaries and benefits associated with 63 authorized positions.
- Other Personal Services – these funds are utilized for temporary employment. The Board utilizes university students to supplement basic phone coverage and other office needs.
- Expenses – these funds are utilized for basic operating expenses such as travel, rent, office supplies, or equipment costing less than \$1,000.
- Operating Capital Outlay – these funds are utilized for items costing more than \$1,000, such as computers or furniture.
- Contracted Services – these funds are utilized for any service requiring a contractual agreement.
- Risk Management Insurance – these funds are transferred to the Department of Management Services to cover the costs associated with the State’s risk management pool.
- DMS – HR Services – these funds are transferred to the Department of Management Services to cover the costs associated with the State’s PeopleFirst System.
- Data Processing Services – these funds are transferred to the Northwest Regional Data Center to pay for data storage services.

Supporting Documentation Included: Information located in the Budget and Finance Committee material

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: 2017-2018 State University System Legislative Budget Request

PROPOSED BOARD ACTION

Approve the 2017-2018 operating Legislative Budget Request for the State University System and allow the Chancellor to make technical changes as necessary

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 216.023 Florida Statute

BACKGROUND INFORMATION

Annually, the Board of Governors must prepare and submit a State University System Legislative Budget Request (LBR) for state appropriated operating funds to the Legislature and Governor by October 15. The budget must be reviewed and recommendations made on the issues to be included in the 2017-2018 LBR. In accordance with Subsection 216.023(1), Florida Statutes, this LBR is based on an independent judgment of needs and pursuant to the LBR instructions will be presented to the Legislature and Governor by the statutory due date.

The Board approved the 2017-2018 LBR Guidelines at the June 2016 meeting. Technical instructions were provided to the universities and they responded with system and individual university budget needs.

Details on the 2017-2018 LBR will be provided.

Each university has provided information on the following areas:

1. Performance Funding – each university has provided an overview of the initiatives they are undertaking based on the performance funds received this year.
2. Efficiencies - each university has provided a summary of various efficiency initiatives that have taken place or are underway. These efficiencies are expected to save approximately \$54 million or in many cases provide value added by increasing efficiencies and/or saving staff time.
3. Ten Percent Reductions - The detailed budget instructions provided by the Legislature and Governor's Office requested each agency, including universities,

to provide information on the impact of a ten percent budget reduction (which is a standard, annual request made by the Legislature and Governor).

Supporting Documentation Included: Information located in the Budget & Finance Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**
September 22, 2016

SUBJECT: 2017-2018 Board General Office Legislative Budget Request

PROPOSED BOARD ACTION

Approve the 2017-2018 Board General Office Budget Request and allow the Chancellor to make technical changes as necessary.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Section 216.023 Florida Statute

BACKGROUND INFORMATION

Annually, the Board of Governors must prepare and submit a Board General Office Legislative Budget Request (LBR) for operating funds to the Legislature and Governor by October 15. The budget must be reviewed and recommendations made on the issues to be included in the 2017-2018 LBR. In accordance with Subsection 216.023(1), Florida Statutes, this LBR is based on an independent judgment of needs and will be presented to the Legislature and Governor by the statutory due date.

The Chancellor recommends approval of the Board General Office LBR and requests authority to make technical changes as necessary.

Pursuant to the legislative budget instructions, each agency must prepare a ten percent reduction plan. The Board General Office plan is included for information purposes.

Supporting Documentation Included: Information located in the Budget & Finance Committee materials

**STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS**

September 22, 2016

SUBJECT: Appointment of University Trustee for Florida Gulf Coast University

PROPOSED BOARD ACTION

Appointment of University Trustee for Florida Gulf Coast University

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution; Board of Governors Trustee Selection and Reappointment Process

BACKGROUND INFORMATION

Florida Gulf Coast University has a trustee vacancy for a term that ended on January 6, 2016.

In accordance with the University Board of Trustee Selection and Reappointment Process, the vacancies were posted for the public on the Board's website and a number of applications were received.

Governor Lautenbach, Governor Colson and Governor Link independently reviewed the applications and will make a recommendation to the full Committee.

Supporting Documentation Included: None