



2009 JUN 23 AM 11:32

Application for Board of Trustees Position

The information submitted will be used by the Board of Governors in considering action on your application. The questionnaire MUST BE COMPLETED IN FULL. Answer "none" or "not applicable" where appropriate. Completed applications should be sent to: Board of Governors, 325 W. Gaines Street, Suite 1614, Tallahassee, FL 32399-0400. Please type or print.

If appointed, you will be required to file financial disclosure statements.

JUNE 18, 2009

Date Completed

1. Name: JOHNSTON William REED
Last First Middle and/or (Maiden)

2. University Board of Interest: NEW COLLEGE of FLORIDA

Are you applying for reappointment? Yes No

3. Residence Address: [REDACTED] BRADENTON MANATEE
Street City County

4. Current Employer or Occupation: RETIRED

Business Address: _____
Street Office#/Suite City

Post Office Box Suite Zip Code Area Code/Phone Number

E-mail Address

5. Specify the preferred mailing address: Business Home Fax # (941) 792-0194

6. List all places of residence for the past five (5) years.

Address	City and State	From	To
[REDACTED]	BRADENTON, FL 34209	1/06	1/08
[REDACTED]	FAR HILLS, NJ 07931	8/82	1/06
[REDACTED]	BRADENTON, FL 34209	1/08	PRESENT

7. List all former and current residences outside of Florida that you have maintained at any time during adulthood.

Address	City and State	From	To
[REDACTED]	NEW YORK, NY 10021	4/87	PRESENT
[REDACTED]	FENWICK ISLAND, DE 19944	8/89	PRESENT
[REDACTED]	MORRISTOWN, NJ 07960	1/70	8/82

8. Date of Birth: [REDACTED] * Place of Birth: ENGLEWOOD, NJ

9. Social Security No.: [REDACTED] *

10. Driver License No.: [REDACTED] * Issuing State: FL

11. Have you ever used or been known by any other legal name? Yes No If "Yes" explain.

12. Are you a United States citizen? Yes No If "No" explain.

13. If you are a naturalized citizen, date of naturalization: _____

14. Since what year have you been a continuous resident of Florida? 2006

15. Are you a registered Florida voter? Yes No If "Yes" list:
County of Registration MANATEE

16. Education:
A. High School: PHILLIPS EXETER ACADEMY
EXETER, NH Year Graduated: 1957
(Name and Location)

B. List all postsecondary educational institutions attended:

Name and Location	Date Attended	Certificates/Degrees Received
<u>WASHINGTON + LEE UNIV</u> <u>LEXINGTON, VA 24450</u>	<u>1957-1961</u>	<u>B.S. IN COMMERCE</u>
<u>NEW YORK UNIV - NYC</u>	<u>1961</u>	<u>NONE</u>

17. Are you or have you ever been a member of the United States armed forces? Yes No
If "Yes" list:
A. Dates of service: 1961-62
B. Branch or component: ARMY (ACTIVE + RESERVE)
C. Date and type of discharge: HONORABLE (1965?)

18. Have you ever been arrested, charged, or indicted for violation of any federal, state, county or municipal law, regulation, or ordinance? (Exclude traffic violations for which a fine or civil penalty of \$150 or less was paid.) Yes No If "Yes" give details:

<u>Date</u>	<u>Place</u>	<u>Nature</u>	<u>Disposition</u>

19. Concerning your current employer and for all of your employment, including self-employment, during the last five years, list your employer's name, business address, type of business, occupation or job title, and period(s) of employment:

<u>Employer Name and Address</u>	<u>Type of Business</u>	<u>Occupation/Title</u>	<u>Period of Employment</u>
NEW YORK STOCK EXCHANGE		PRESIDENT + COO	1996-2003
11 WALL ST NY, NY, 10005			

20. Have you ever been employed by any state, district, or local government agency in Florida? Yes No If "Yes", identify the position(s), the name(s) of the employing agency, and the period(s) of employment, and reason for leaving:

<u>Position</u>	<u>Employing Agency</u>	<u>Period of Employment</u>

21. State your experiences and interests or elements of your personal history that qualify you for this appointment.

HAVE LECTURED/TAUGHT AT NEARLY 100 UNIVERSITIES + COLLEGES
 HAVE SERVED ON TWO COLLEGE BOARDS OF TRUSTEES
 HAVE SERVED ON FOUR ACADEMIC ADVISORY BOARDS

22. Have you received any degree(s), professional certification(s) or designation(s) including awards related to the subject matter of this appointment? Yes No If "Yes", list:

23. Identify all association memberships and association offices held by you that relate to this appointment:

BOARD + TREASURER - FRIENDS OF DE SOTO MOUNT PARK
FOUNDATION PRESIDENT - OMEGA DELTA KAPPA
PAST VESTRY + TREAS - ST BERNARD'S EPISCOPAL CHURCH - BERNAARDSVILLE, NJ
PAST PRESIDENT + BOARD - CANCER RESEARCH + TREATMENT ORG. (NYC)

24. Do you currently hold an office or position (appointive, civil service, or other) with the Federal or any foreign government? Yes No If "Yes", please list:

25. Have you ever been elected or appointed to any public office in this state? Yes No
 If "Yes", state the office title, date of election or appointment, term of office, and level of government (city, county, district or state):

<u>Office Title</u>	<u>Date of Election or Appointment</u>	<u>Term of Office</u>	<u>Level of Government</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

If your service was on an appointed board(s), committee(s) or council(s):

- A. How frequently were meetings scheduled? _____
- B. If you missed any of the regularly scheduled meetings, state the number of meetings attended, number missed, and the reason(s) for absence(s).

<u>Meetings Attended</u>	<u>Meetings Missed</u>	<u>Reason for Absence</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

26. Have you ever served on any profit or not-for-profit board? Yes No
 If "Yes", state the title, date of appointment, length of service, and provide a brief description of your involvement.

SEE ATTACHED LIST

27. Have you ever been responsible or played a role in managing a business or other corporate entity? Yes No If "Yes", state the name of the business, the dates of your involvement, and provide a brief description of your involvement.

CHAIRMAN/CEO/FOUNDER - ABRAO SECURITIES - MARKET MAKER NY STOCK EX. 1980-90
SIL MGRNG DIRECTOR - LA BRANCH & CO - MARKET MAKER NY STOCK EX 1990-96
PRESIDENT + COO - NY STOCK EXCHANGE - FINANCIAL 1996-2001

28. Describe any involvement with and/or relationship to the university to which you are applying or any other educational institution with which you are/were affiliated (other than as a student).

CHAIRMAN AUDIT COMMITTEE AND CO-CHAIRMAN DEVELOPMENT AT HOWLAND UNIV
SERVED ON ADVISORY BOARDS OF LUBIN SCHOOL OF BUSINESS @ NYU, GOIZWETA BUSINESS
SCHOOL @ EMERY, JEFFERSON SCHOOL @ UNIV OF RICHMOND. LECTURED EXTENSIVELY

29. Describe your understanding of the role of a board of trustee member in ensuring the fiduciary and academic well-being of the university.

ENSURE HIGH ACADEMIC STANDARDS IS PARAMOUNT. NO COLLEGE CAN EXIST WITHOUT
BALANCED BUDGETS FOR ANY LENGTH OF TIME. HAVE SPENT IN EXCESS OF 15 YEARS
ON ACADEMIC BOARDS HELPING WITH THESE ISSUES.

30. Has probable cause ever been found that you were in violation of Part III, Chapter 112, F.S., the Code of Ethics for Public Officers and Employees? Yes No If "Yes", give details:

Date

Nature of Violation

Disposition

<u>Date</u>	<u>Nature of Violation</u>	<u>Disposition</u>

31. Have you ever been suspended from any office by the Governor of the State of Florida?

Yes No If "Yes", list:

Title of Office _____ Reason for Suspension: _____

Date of suspension: _____ Result: Reinstated Removed Resigned

32. Have you previously been appointed to any office that required confirmation by the Florida Senate?

Yes No If "Yes", list:

Title of Office: _____

Term of Appointment: _____

Confirmation results: _____

33. Have you ever been refused a fidelity, surety, performance, or other bond? Yes No

If "Yes", explain:

34. Have you held or do you hold an occupational or professional license or certificate in the State of Florida? Yes No If "Yes", provide the title and number, original issue date, and issuing authority. If any disciplinary action (fine, probation, suspension, revocation, and/or disbarment) has ever been taken against you by the issuing authority, state the type and date of the action taken:

<u>License/Certificate Title & Number</u>	<u>Original Issue Date</u>	<u>Issuing Authority</u>	<u>Disciplinary Action/Date</u>
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35. Have you, or businesses of which you have been an owner, officer, or employee, held any contractual or other direct dealings during the last four (4) years with any state or local governmental agency in Florida, including the university to which you have been appointed or are seeking appointment? Yes No If "Yes", explain:

<u>Name of Business</u>	<u>Your Relationship to Business</u>	<u>Business' Relationship to University</u>
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36. Have members of your immediate family (spouse, child, parent(s), sibling(s)), or businesses of which members of your immediate family have been owners, officers, or employees, held any contractual or other direct dealings during the last four (4) years with any state or local governmental agency in Florida, including the university to which you have been appointed or are seeking appointment? Yes No If "Yes", explain:

<u>Name of Business</u>	<u>Family Member's Relationship to you</u>	<u>Family Member's Relationship to Business</u>	<u>Business' Relationship to University</u>
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37. Have you ever been a registered lobbyist or have you lobbied at any level of government at any time during the past five years? Yes No If "Yes", explain:

A. Did you receive any compensation other than reimbursement for expenses? Yes No

B. Name of agency or entity you lobbied and the principals you represented:

<u>Agency Lobbied</u>	<u>Principals Represented</u>
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38. List three persons who have known you well during the past five (5) years. Include a current, complete address and telephone number. Exclude your relatives and members of the Florida Senate.

<u>Name</u>	<u>Mailing Address</u>	<u>Zip Code</u>	<u>Area Code/Telephone Number</u>
PRES. NANCY O GRAY - HOLLINS UNIV	ROANOKE, VA	24020	540-362-6321
PRES KENNETH RUSCIO - WASHINGTON & LEE UNIV.	LEXINGTON, VA	24450	540-458-8700
DR. JOHN D MORRAN - OMEGA DELTA KAPPA	421 N BROADWAY	LEXINGTON, KY 40508	859-296-4690

39. Name any business, professional, occupational, civic, or fraternal organization(s) of which you are now a member, or of which you have been a member during the past five (5) years, the organization address(es), and date(s) of your membership(s).

<u>Name</u>	<u>Mailing Address</u>	<u>Office(s) Held & Term</u>	<u>Date(s) of Membership</u>
OMEGA DELTA KAPPA	421 N. BROADWAY - LEXINGTON, KY	PRES FOUNDATION	'66-'70 - 2000 ON
FRIENDS OF DESOTO NAT'L PARK	BRAZENTON, FL	TREASURER	'08-'10 - 2008 ON

40. Do you know of any reason why you will not be able to attend fully the duties of the position to which you have been or will be appointed? Yes No If "yes", explain:

41. Are you now, or have you within the past three years, been a member of any club or organization that, to your knowledge, in practice or in policy, restricts membership or restricted membership during the time that you belonged, on the basis of race, religion, national origin or gender?

Yes No If "yes", detail the name and nature of the organization, relevant policies and practices, and state whether you intend to continue as a member if appointed by the Board of Governors.

42. Have you ever been the object of any equal employment opportunity complaint or any civil action based upon discrimination in the work place? Yes No If "yes", explain and provide details of the outcome:

43. Are there any pending lawsuits against you or are you a party to a lawsuit in any court in which you are the plaintiff or defendant? Yes No If "yes", what type and where?

44. Have any judgments been entered against you as a result of any civil or administrative proceeding(s)? Yes No If "yes", identify the proceeding(s) that resulted in the judgment and the date the judgment was entered.

45. Are you now engaged in activities, or have you engaged in activities in the past, that will reflect unfavorably on the board to which you seek appointment? Yes No If "yes", please explain.

46. Is there anything that you were not questioned about in your application that you should make known to us at this time that impugns your integrity, character and fitness for the position you are seeking? Yes No If "yes", please explain.

CERTIFICATION

Delaware
STATE OF ~~FLORIDA~~, COUNTY OF Seesey

Before me, the undersigned authority, personally appeared William R. Johnston who after being duly sworn, say: 1) that he/she has carefully prepared or read the answers to the foregoing question; 2) that the information contained in said answers is complete and true; 3) that he/she will, as an appointee, fully support the Constitutions of the United States and the State of Florida. Be it further known that in signing this document I understand that a Level 2 background check by the Florida Department of Law Enforcement will be performed on all nominees who are recommended to the Florida Board of Governors.

Will Johnston
Affiant's signature

Sworn to and subscribed before me on this 19th day of June, 2009, by Caryn H. Duncan.

Caryn H. Duncan
(signature of notary)

Caryn H. Duncan
(typed, printed or stamped name)

Notary Public
Commission No.:
My Commission Expires:

Personally Known to me OR Produced Identification DLIC
Type of Identification Produced Customer of bank DLIC

CARYN H. DUNCAN
NOTARY PUBLIC
STATE OF DELAWARE
My Commission Expires Dec. 29, 2011

MEMORANDUM

AS A GENERAL MATTER, APPLICATIONS FOR ALL POSITIONS WITHIN STATE GOVERNMENT ARE PUBLIC RECORDS WHICH MAY BE VIEWED BY ANYONE UPON REQUEST. HOWEVER, THERE ARE SOME EXEMPTIONS FROM THE PUBLIC RECORDS LAW FOR IDENTIFYING INFORMATION RELATING TO PAST AND PRESENT LAW ENFORCEMENT OFFICERS AND THEIR FAMILIES, VICTIMS OF CERTAIN CRIMES, ETC. IF YOU BELIEVE AN EXEMPTION FROM THE PUBLIC RECORDS LAW APPLIES TO YOUR SUBMISSION, PLEASE CHECK THIS BOX.

Yes, I assert that identifying information provided in this application should be excluded from inspection under the Public Records Law.

IF YOU NEED ADDITIONAL GUIDANCE AS TO THE APPLICABILITY OF ANY PUBLIC RECORDS LAW EXEMPTION TO YOUR SITUATION, PLEASE CONTACT THE GENERAL COUNSEL FOR THE BOARD OF GOVERNORS.

Vikki R. Shirley
General Counsel
Board of Governors
State University System of Florida
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
(850) 245-0466

Attachment and answer to Question 26 from the application for Board of Trustee Position for William R Johnston

Non profits-medical

Cancer Research & Treatment Organization: joined in the early 1980's and served until the mid 1990's. Was President for 5-6 years and spent most of my time raising funds to combat cancer.

Floor Member Outreach: in the 90's dealing with addiction issues.

Non-profits-business

National Organization of Investment Professional: was a board member in the early 1990's and helped run seminars to educate young people in the ethics of business.

Securities Industry Association: a board member in the late 1980's and helped the New York Stock Exchange advance educational programs.

Security Traders of NY: board member in the early 1990's and involved in trading seminars.

Non-profits-outreach

St Bernard's Church: a vestry member and treasurer in the early 1980's helping church raise funds and balance their budget.

Homeless Solutions: board and treasurer 2002-2008. Dealing with the problems of the homeless.

Plymouth Harbor (a continuing care retirement community in Sarasota): board member helping raise funds (2007 to the present).

Friends of DeSoto Nat'l Park: 6 of us recently created this 501c-3 in 2008 to promote the park and raise awareness of its programs.

Non-profits-education

Washington & Lee University: Business School (1988-1992) and Board of Trustees (1993-1998) to help raise funds and teach at the "B" school.

Hollins University: Board member 2003-2009, Audit Committee Chair and co-Chair of Development. I taught and raised money.

Business school advisory boards: Washington & Lee, Lubin School of Business at Pace Univ., Goizueta Business School at Emory Univ. and Jepson School of Leadership at the Univ. of Richmond.

Omicron Delta Kappa: a national leadership organization (2002 until present and President since 2006. Promotes college leaders to go into their communities and do charitable work.

Profits

Farmington National Bank: board member and compensation committee in the early 1980's.

New York Stock Exchange: Director from 1992-2001, Vice Chairman 1995/6, President & COO of the NYSE from 1996 until the end of 2001. I stayed on as an advisor until the end of 2003 dealing with my replacement as well as soliciting companies to list on the exchange.