

STATE UNIVERCITY SYSTEM OF FLORIDA

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## **Application for Board of Trustees Position**

The information submitted will be used by the Board of Governors in considering action on your application. The questionnaire <u>MUST BE</u> <u>COMPLETED IN FULL</u>. Answer "none" or "not applicable" where appropriate. Completed applications should be sent to: Board of Governors, 325 W. Gaines Street, Suite 1614, Tallahassee, FL 32399-0400. Please type or print.

If appointed, you will be required to file financial disclosure statements.

						August	14, 200 <u>9</u>	
					_	Date	Completed	
]	Name:	Riggs		Stephen			awford	
	]	Last		First		Midd	le and/or (Maide	n)
T	University	Board of In	terest:Univ	ersity of We	st Flor	ida Board	of Trustee	s
	Are vou ap	plying for re	eappointment?	Yes No X				
							o. 1	
• ]	Residence .	Address: Street		City	tin, FL	<u>32541</u> Coun		<u>a</u>
	- · -			-	о <b>т</b>		- ,	
. (	Current En	nployer or C	Occupation:	Carr, Ri	ggs & 11	ngram		
۲	Business A	ddress:	4460 Lege		Suite		tin, FL	
		Street		Office#/Suite		City		
				32541		_85	0-837-3141	
]	Post Office Bo	x	Suite	Zip Coc	le	Area	Code/Phone Nu	mber
	srioos	@cricpa.c	om					
	E-mail Addre	SS	mailing address:	: Business X	Home	E Fax	# 850-337-	0753
5. 1	E-mail Addres	e preferred i	mailing address: ence for the past		Home	e 🗌 Fax -	# <u>850-337-</u>	0753
5. S	E-mail Addres	e preferred i	5		Home <u>From</u>	e 🗌 🛛 Fax : <u>To</u>	# <u>850-337-</u>	<u>0753</u>
. :	E-mail Addres Specify the List all plac Address	e preferred r ces of reside	ence for the past	five (5) years.	From	<u><u> </u></u>	# <u>850-337-</u>	
5. 1 5. 1	E-mail Addres Specify the List all plac	e preferred r ces of reside	ence for the past <u>City and State</u>	five (5) years.	<u>From</u>	<u><u> </u></u>	ent	
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8.			. Louis, MO
9.	Social Security No.: _	*	
10.	Driver License No:	*	Issuing State: Florida
11.	Have you ever used or been know	wn by any other legal name	? Yes 🗌 No 🕅 If "Yes" explain.
12.	Are you a United States citizen?	Yes 🗶 No 🗌 If "No" exp	lain.
13.	If you are a naturalized citizen, d	late of naturalization:	N/A
14.	Since what year have you been a	continuous resident of Flor	ida?1960
15.	Are you a registered Florida vote	r? Yes 🗶 No 🗌 If "Yes" I	ist:
	County of Registration <u>Okaloo</u>	sa	_
16.	Education:		
			_ Year Graduated:1972
	B. List all postsecondary educat	tional institutions attended:	
	Name and Location	Date Attended	Certificates/Degrees Received
	University of West Florida	1974-1976	Bachelor of Arts - Accounting
	Pensacola Jr. College University of North Florida	<u>1972-1974</u> 1978-1979	Associate of Arts
17.	<b>Are you or have you ever been a</b> If "Yes" list: A. Dates of service:		
	B. Branch or component:		
	C. Date and type of discharge: _		
' Will be	redacted before distribution occurs.		Board of Governors Pa Board of Trustees Application

	ate <u>Place</u>	<u>Natu</u>	re	Disposition
_				
d		s, list your empl	oyer's name, busin	ment, including self-employmo ness address, type of busino
	mployer Name and Address Carr, Riggs & Ingram	<u>Type of Business</u> CPA Firm	<u>Occupation/Title</u> CPA/Partner	<u>Period of Employment</u> 1986 - Present
	4460 Legendary Dr. <b>#1</b> 00			
	Destin, FL 32541			
	<u></u>			
_				
	tate your experiences a ppointment.	nd interests or elem	ients of your person	al history that qualify you for t
_1	Exhibit A - See Attache	<u>d</u>		
_				
	lave you received any o elated to the subject ma			r designation(s) including awa ] If "Yes", list:

UWF Foundation Business Advi	- Boord of Diro	ntancy		
Business Advi	I DOALD OF DILE	ctors		
	sory Council of			
		<b>ice or position (appoir</b> s No X If "Yes",	ntive, civil service, or o please list:	ther) with the Federa
			blic office in this state	
	the office title, c city, county, dist	A A	intment, term of office,	and level of
<u>Office Title</u> <u>Member Floric</u>		ection or Appointment cember 2008	<u>Term of Office</u> 12/11/08 - 10/31/12	<u>Level of Government</u> State
State Board of	۰ <b>f</b>			
Aecountancy		_		
	issed, and the re	eason(s) for absence(s). <u>Meetings Miss</u>	etings, state the number	a for Absence
6		0		N/A
				<u> </u>
				-
		protit or not tor proti	t board? Yes X No	
•			of service, and provid	
•	the title, date		n of service, and provid	
If "Yes", state your involvem	the title, date of		n of service, and provid Former President	le a brief descriptior
If "Yes", state	the title, date		n of service, and provid	

	Nest Florida Foi ness Adv.	undation Board of Sory Cour	of Directors
and academic w	vell-being of th	e university.	board of trustee member in ensuring the fiduciary
Code of Ethics		cers and Emplo	u were in violation of Part III, Chapter 112, F.S., the yees? Yes $\square$ No $\boxed{X}$ If "Yes", give details:
<u>Date</u>		Nature of Violat	ion <u>Disposition</u>
Have you ever Yes No X		d from any offi	ce by the Governor of the State of Florida?
			_ Reason for Suspension:
			Result: Reinstated [ Removed [ Resigned [
Senate? Yes 📉 No 🗌	If "Yes", list:	-	y office that required confirmation by the Florid
			/31/2012
11			
Confirmation re		fidelity, surety	performance, or other bond? Yes $\square$ No $\boxed{X}$
Have you ever			

**34.** Have you held or do you hold an occupational or professional license or certificate in the State of Florida? Yes X No I If "Yes", provide the title and number, original issue date, and issuing authority. If any disciplinary action (fine, probation, suspension, revocation, and/or disbarment) has ever been taken against you by the issuing authority, state the type and date of the action taken:

License/Certificate <u>Title &amp; Number</u>	<u>Original Issue Date</u>	Issuing Authority	Disciplinary Action/Date
	2/10/1981	State Board of	None
		Accountancy	

35. Have you, or businesses of which you have been an owner, officer, or employee, held any contractual or other direct dealings during the last four (4) years with any state or local governmental agency in Florida, including the university to which you have been appointed or are seeking appointment? Yes ⊠ No □ If "Yes", explain:

Name of Business	Your Relationship to Business	Bus	<u>siness' Relationship to Univ</u>	<u>ersity</u>
Exhibit B - See Attached	<u>_</u>			

36. Have members of your immediate family (spouse, child, parent(s), sibling(s)), or businesses of which members of your immediate family have been owners, officers, or employees, held any contractual or other direct dealings during the last four (4) years with any state or local governmental agency in Florida, including the university to which you have been appointed or are seeking appointment? Yes □ No 🕅 If "Yes", explain:


- 37. Have you ever been a registered lobbyist or have you lobbied at any level of government at any time during the past five years? Yes No X If "Yes", explain:
  - A. Did you receive any compensation other than reimbursement for expenses? Yes  $\Box$  No  $X^{\prime}$
  - B. Name of agency or entity you lobbied and the principals you represented:

Agency Lobbied

Principals Represented

**38.** List three persons who have known you well during the past five (5) years. Include a current, complete address and telephone number. Exclude your relatives and members of the Florida Senate.

Name	Mailing Address	Zip Code	<u>Area Code/Telephone</u>	Number
Larry Keefe	909 Mar Walt Dr. Ste	. 1022, Ft. Walton	Beach, FL 32547	<u>850-863-1</u> 974
Congressman Jeff Miller	348 SW Miracle Strip	Pkwy, Ste 24 Ft.	Walton Beach, FL 32548	850-664-1266
Alexis Tibbetts	120 Lowery Pl. SE F			850-833-3260

39. Name any business, professional, occupational, civic, or fraternal organization(s) of which you are now a member, or of which you have been a member during the past five (5) years, the organization address(es), and date(s) of your membership(s).

<u>Name</u>	<u>Mailing Address</u>		Office(s) Held & Te	rm Date(s) of Membership
Rotary Club			Board of Director	rs 1984 - 2007
Economic Dev. Council	PO Box 4097 Ft.	Walton Bch,	FL 32549 Former	Pres. 1986 - Present
Florida Institute of C	As Tallahassee,	FL		1981 - Present

- 40. Do you know of any reason why you will not be able to attend fully the duties of the position to which you have been or will be appointed? Yes No X If "yes", explain:
- 41. Are you now, or have you within the past three years, been a member of any club or organization that, to your knowledge, in practice or in policy, restricts membership or restricted membership during the time that you belonged, on the basis of race, religion, national origin or gender? Yes No X If "yes", detail the name and nature of the organization, relevant policies and practices, and state whether you intend to continue as a member if appointed by the Board of Governors.

- **42.** Have you ever been the object of any equal employment opportunity complaint or any civil action based upon discrimination in the work place? Yes No X If "yes", explain and provide details of the outcome:
- 43. Are there any pending lawsuits against you or are you a party to a lawsuit in any court in which you are the plaintiff or defendant? Yes X No I If "yes", what type and where?

Page 7

Stephen C. I	he judgment wa <u>Riggs is guarant</u>		loan relating	<u>to a real estate</u>	development.
-					
					e past, that will n o X If "yes", j
known to us	hing that you w at this time tha Yes 🗌 No 🔀	at impugns you	r integrity, cha	our application aracter and fitnes	that you should ss for the positio

#### **CERTIFICATION**

#### STATE OF FLORIDA, COUNTY OF OKALOOSA

Before me, the undersigned authority, personally appeared <u>Stephen C. Riggs</u> who after being duly sworn, say: 1) that he/she has carefully prepared or read the answers to the foregoing question; 2) that the information contained in said answers is complete and true; 3) that he/she will, as an appointee, fully support the Constitutions of the United States and the State of Florida. Be it further known that in signing this document I understand that a Level 2 background check by the Florida Department of Law Enforcement will be performed on all nominees who are recommended to the Florida Board of Governors.

Sworn to and subscribed before me on this <u>4</u> day of <u>August</u> 200<u></u> by <u>Stephen C. Riggs</u>



(signature of notary)

Cynthia L. Locke (typed, printed or stamped name) Notary Public Commission No.: DO477899 My Commission Expires: 10/3/09

Personally Known \_\_\_\_\_X \_\_\_\_ OR Produced Identification \_\_\_\_\_ Type of Identification Produced \_\_\_\_\_

> Board of Governors Board of Trustees Application

#### **MEMORANDUM**

AS A GENERAL MATTER, APPLICATIONS FOR ALL POSITIONS WITHIN STATE GOVERNMENT ARE PUBLIC RECORDS WHICH MAY BE VIEWED BY ANYONE UPON REQUEST. HOWEVER, THERE ARE SOME EXEMPTIONS FROM THE PUBLIC RECORDS LAW FOR IDENTIFYING INFORMATION RELATING TO PAST AND PRESENT LAW ENFORCEMENT OFFICERS AND THEIR FAMILIES, VICTIMS OF CERTAIN CRIMES, ETC. IF YOU BELIEVE AN EXEMPTION FROM THE PUBLIC RECORDS LAW APPLIES TO YOUR SUBMISSION, PLEASE CHECK THIS BOX.

> Yes, I assert that identifying information provided in this application should be excluded from inspection under the Public Records Law.

## IF YOU NEED ADDITIONAL GUIDANCE AS TO THE APPLICABILITY OF ANY PUBLIC RECORDS LAW EXEMPTION TO YOUR SITUATION, PLEASE CONTACT THE GENERAL COUNSEL FOR THE BOARD OF GOVERNORS.

Vikki R. Shirley General Counsel Board of Governors State University System of Florida 325 W. Gaines Street, Suite 1614 Tallahassee, FL 32399-0400 (850) 245-0466

### Exhibit A

Page 3, Question 21.

State your experiences and interests or elements of your personal history that qualify you for this appointment.

Thank you for considering me for this appointment. I was fortunate that the financial burden to attend college was my responsibility. While I would have preferred to attend a larger university, personal finances forced me to put myself through Pensacola Jr. College and the University of West Florida, both located in Pensacola, Florida. The sacrifices and hard choices that had to be made while earning my college education have served me well as I have been a part of building what is now one of the largest CPA firms in the Southeast and the USA.

One of the experiences and skills I will bring to the board of Trustees is the professional experience I have learned from building a CPA firm from virtually a one man operation in one location to a CPA firm with 17 offices in 5 southern states. I understand the difficulties and issues sole practitioners face in this profession, I understand the difficulties that local firms face in this profession and I understand the many issues that a CPA firm employing 500 professionals in 5 states face.

It is my good fortune to have four healthy adult children. Three of my four children have followed me or are planning on following me into this profession. One son is a Florida CPA, one son has graduated from Vanderbilt University with his Master of Accountancy and one daughter is in college working on her bachelor degree in accounting.

It is said "To whom much is given, much is expected." I have been fortunate over time to earn more income than it takes to support my family. I was provided the opportunity and was able to donate \$1,600,000 to the school system in Okaloosa County a few years ago. My original commitment was for \$500,000. I increased my contribution over time so the facilities being built were at the standard I wanted. At the time of the donation, then Superintendent of Okaloosa County Schools, Don Gaetz, said this donation was the largest contribution ever made to public schools in Northwest Florida. Before and after that contribution was made, I continue to financially support academic, athletic and music programs for public schools.

There are many challenges facing our Florida economy and national economy. Capital markets are changing daily. The Federal Government is making fundamental changes on how capital is formed, invested, retained and accounted for. New rules and regulations currently being promulgated in Washington, DC will affect our profession not only nationally, but directly in Florida. My firm has the resources to stay on the forefront of what is developing nationally. I have partners who are serving on national boards and committees, primarily with the American Institute of CPA, who keep us abreast of what is happening to our profession. I am uniquely positioned with my small firm background and my current position as a member of the Executive Committee of a Super Regional CPA firm to serve the interests of the state of Florida and the interests of our profession.

I have had the pleasure of trying to improve my community by serving on the local hospital board of directors. I have served as President of the Economic Development Council, I have served as Rotarian, I helped form a non-profit corporation to assist low income families purchase their first house, I have donated money and mentored a child with the Take Stock in Children program and there are many other non-accounting examples of how I have tried to improve my community.

I believe that any person appointed to the UWF Board of Trustees must have absolute integrity. This person must have a history of serving others. This person must have an in-depth level of knowledge of the business world. This person should have entrepreneurial skills and know what it takes to make payroll. This person should understand the unsettled times we live in and bring a depth of experience, maturity and "can do" attitude to this position.

EXHIBIT R

Carr, Riggs & Ingram, LLC

In addition, our firm has been engaged to perform audits, including Federal and State single audits for entities including:

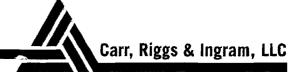
#### **Utilities/Special Districts**

**ALABAMA ELECTRIC CITIES ARGYLE FIRE DISTRICT** BAGBY AND RUSSELL ELECTRIC COMPANY, INC. CHOCTAWHATCHEE ELECTRIC COOPERATIVE, INC. (CHELCO) **COFFEE COUNTY WATER AUTHORITY** CRAFT ELECTRIC CO., INC. **DESTIN FIRE CONTROL DISTRICT** DOBBS ELECTRIC COMPANY, INC. EAST NICEVILLE FIRE DISTRICT EASTPOINT WATER AND SEWER DISTRICT **GENEVA COUNTY GAS DISTRICT** HARBOR POINTE UTILITY, LLC HARPER ELECTRIC MCINNIS ELECTRIC CO., INC. MIDWAY WATER SYSTEM MOSSY HEAD WATER WORKS, INC. NORTH BAY FIRE DISTRICT OKALOOSA GAS COMPANY **OPP UTILITY BOARD** POST ELECTRIC **REGIONAL UTILITIES** SELMA WATER WORKS SOUTH MISSISSIPPI ELECTRIC POWER TOWN OF SLOCUMB WATER AND SEWER BOARD TRI-VILLAGE FIRE DISTRICT WIREGRASS ELECTRIC

#### **School Districts**

ANNISTON BOARD OF EDUCATION **BLOUNT COUNTY BOARD OF EDUCATION** CALHOUN COUNTY SCHOOL BOARD CULLMAN CITY BOARD OF EDUCATION FLORIDA STATE UNIVERSITY SCHOOL, INC. **GENEVA BOARD OF EDUCATION** HOMEWOOD BOARD OF EDUCATION HOMEWOOD BOARD OF EDUCATION: LOCAL SCHOOLS MONROE COUNTY PUBLIC SCHOOLS **OKALOOSA COUNTY DISTRICT SCHOOL BOARD OPP CITY BOARD OF EDUCATION ORANGE COUNTY PUBLIC SCHOOLS OZARK CITY BOARD OF EDUCATION** SANTA ROSA COUNTY DISTRICT SCHOOL BOARD SOUTHERN ASSOCIATION OF COLLEGES & SCHOOLS, INC. THE SCHOOL DISTRICT OF LEE COUNTY, FLORIDA THE SCHOOLS OF ARTS AND SCIENCES TRUSSVILLE CITY BOARD OF EDUCATION VESTAVIA BOARD OF EDUCATION: LOCAL SCHOOLS VESTAVIA HILLS BOARD OF EDUCATION WALTON COUNTY SCHOOL BOARD





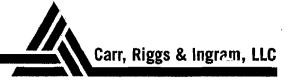
#### **Cities/** Counties

BAY COUNTY, FLORIDA - CERTIFICATE OF ACHIEVEMENT CALHOUN COUNTY BOARD OF COMMISSIONERS CITY OF APALACHICOLA, FLORIDA CITY OF BLOUNTSTOWN, FLORIDA CITY OF BONIFAY, FLORIDA CITY OF BRUNDIDGE, ALABAMA CITY OF CALLAWAY, FLORIDA CITY OF CALERA, ALABAMA CITY OF DALEVILLE. ALABAMA CITY OF DESTIN, FLORIDA - CERTIFICATE OF ACHIEVEMENT CITY OF ENTERPRISE, ALABAMA CITY OF HARTFORD, ALABAMA CITY OF HAYNEVILLE, ALABAMA CITY OF LYNN HAVEN, FLORIDA CITY OF LOUISVILLE, ALABAMA CITY OF MEXICO BEACH, FLORIDA CITY OF MARIANNA, FLORIDA CITY OF MARY ESTHER, FLORIDA CITY OF MONTGOMERY, ALABAMA - CERTIFICATE OF ACHIEVEMENT CITY OF MOUNTAIN BROOK, ALABAMA CITY OF OPP, ALABAMA CITY OF OZARK, ALABAMA CITY OF PANAMA CITY BEACH. FLORIDA CITY OF PARKER, FLORIDA CITY OF PORT ST. JOE, FLORIDA CITY OF SAMSON, ALABAMA CITY OF TALLAHASSEE, FLORIDA - CERTIFICATE OF ACHIEVEMENT CITY OF TARRANT, ALABAMA CITY OF TUSKEGEE, ALABAMA CITY OF VESTAVIA HILLS, ALABAMA CITY OF WEWAHITCHKA, FLORIDA ESCAMBIA COUNTY CLERK OF THE CIRCUIT COURT FRANKLIN COUNTY, FLORIDA JACKSON COUNTY, FLORIDA TOWN OF COFFEE SPRINGS, ALABAMA TOWN OF PONCE DE LEON, FLORIDA TOWN OF SLOCUMB, ALABAMA TOWN OF WAUSAU, FLORIDA WASHINGTON COUNTY, FLORIDA WALTON COUNTY, FLORIDA -- CERTIFICATE OF ACHIEVEMENT

#### Also included on our firm client list are entities such as:

BIRMINGHAM-JEFFERSON COUNTY TRANSIT AUTHORITY BLUE CROSS & BLUE SHIELD OF MISSISSIPPI CITIZENS PROPERTY INSURANCE CORPORATION MID-BAY BRIDGE AUTHORITY MISSISSIPPI STATE UNIVERSITY UNIVERSITY OF MISSISSIPPI UNIVERSITY OF SOUTHERN MISSISSIPPI





# **Relevant Experience**

CRI is a leader in Florida in services to governmental entities. Our service capabilities cover an extremely wide range of areas. Our office currently provides auditing services to the following Community Development Districts:

## **Community Development Districts**

ABERDEEN COMMUNITY DEVELOPMENT DISTRICT AMELIA NATIONAL COMMUNITY DEVELOPMENT DISTRICT AVELAR CREEK COMMUNITY DEVELOPMENT DISTRICT BAINEBRIDGE COMMUNITY DEVELOPMENT DISTRICT CAPITAL REGION COMMUNITY DEVELOPMENT DISTRICT CHAPARRAL COMMUNITY DEVELOPMENT DISTRICT COASTAL LAKE COMMUNITY DEVELOPMENT DISTRICT **DURBIN CROSSING COMMUNITY DEVELOPMENT DISTRICT** FISHHAWK I COMMUNITY DEVELOPMENT DISTRICT GRAMERCY FARMS COMMUNITY DEVELOPMENT DISTRICT GRAND HAMPTON COMMUNITY DEVELOPMENT DISTRICT HAMMOCK BAY COMMUNITY DEVELOPMENT DISTRICT HERITAGE PINES COMMUNITY DEVELOPMENT DISTRICT INDIGO COMMUNITY DEVELOPMENT DISTRICT LAKESIDE PLANTATION COMMUNITY DEVELOPMENT DISTRICT MAGNOLIA CREEK COMMUNITY DEVELOPMENT DISTRICT MIRA LAGO WEST COMMUNITY DEVELOPMENT DISTRICT MIROMAR LAKES COMMUNITY DEVELOPMENT DISTRICT NATUREWALK COMMUNITY DEVELOPMENT DISTRICT **New River Community Development District** PALM VISTA PRESERVE EAST COMMUNITY DEVELOPMENT DISTRICT PIER PARK COMMUNITY DEVELOPMENT DISTRICT PINE RIDGE PLANTATION COMMUNITY DEVELOPMENT DISTRICT **RIDGEWOOD TRAILS COMMUNITY DEVELOPMENT DISTRICT RIVER GLEN COMMUNITY DEVELOPMENT DISTRICT RIVER HALL COMMUNITY DEVELOPMENT DISTRICT ROLLING HILLS COMMUNITY DEVELOPMENT DISTRICT** SANDY CREEK COMMUNITY DEVELOPMENT DISTRICT SHINGLE CREEK COMMUNITY DEVELOPMENT DISTRICT SOMERSET COMMUNITY DEVELOPMENT DISTRICT SOUTH VILLAGE COMMUNITY DEVELOPMENT DISTRICT STONEYBROOK SOUTH COMMUNITY DEVELOPMENT DISTRICT SWEETWATER CREEK COMMUNITY DEVELOPMENT DISTRICT **TOLOMATO COMMUNITY DEVELOPMENT DISTRICT** UNIVERSITY SQUARE COMMUNITY DEVELOPMENT DISTRICT VILLAGES OF BLOOMINGDALE COMMUNITY DEVELOPMENT DISTRICT WATERLEFE COMMUNITY DEVELOPMENT DISTRICT WYLD PALMS COMMUNITY DEVELOPMENT DISTRICT WYNNFIELD LAKES COMMUNITY DEVELOPMENT DISTRICT

