



2009 AUG 27 AM 10:25

### Application for Board of Trustees Position

The information submitted will be used by the Board of Governors in considering action on your application. The questionnaire MUST BE COMPLETED IN FULL. Answer "none" or "not applicable" where appropriate. Completed applications should be sent to: Board of Governors, 325 W. Gaines Street, Suite 1614, Tallahassee, FL 32399-0400. Please type or print.

If appointed, you will be required to file financial disclosure statements.

August 26, 2009

Date Completed

1. Name: Roberts Carolyn King  
Last First Middle and/or (Maiden)

2. University Board of Interest: University of Florida Board of Trustees

Are you applying for reappointment? Yes  No

3. Residence Address: [Redacted] Ocala Marion  
Street City County

4. Current Employer or Occupation: President/Broker Roberts Real Estate, Inc.

Business Address: 115 Northeast Eighth Avenue, Ocala,  
Street Office#/Suite City  
34470 352-351-0011  
Post Office Box Suite Zip Code Area Code/Phone Number

ckr@robertsflorida.com  
E-mail Address

5. Specify the preferred mailing address: Business  Home  Fax # 352-840-9988

6. List all places of residence for the past five (5) years.

Address [Redacted] City and State Ocala, Florida From 1979 To Present

7. List all former and current residences outside of Florida that you have maintained at any time during adulthood.

Address City and State From To  
N/A

8. Date of Birth: [REDACTED] \* Place of Birth: Tampa, Florida

9. Social Security No.: [REDACTED] \*

10. Driver License No.: [REDACTED] \* Issuing State: Florida

11. Have you ever used or been known by any other legal name? Yes  No  If "Yes" explain.  
Carolyn Johanna King (maiden name)

12. Are you a United States citizen? Yes  No  If "No" explain.

13. If you are a naturalized citizen, date of naturalization: \_\_\_\_\_

14. Since what year have you been a continuous resident of Florida? birth

15. Are you a registered Florida voter? Yes  No  If "Yes" list:  
County of Registration Marion

16. Education:

A. High School: Bartwo High School, Bartow, Florida Year Graduated: 1963  
(Name and Location)

B. List all postsecondary educational institutions attended:

<u>Name and Location</u>	<u>Date Attended</u>	<u>Certificates/Degrees Received</u>
<u>Florida Southern College</u>	<u>awarded Bachelor of Science in Business</u>	<u>1969</u>

17. Are you or have you ever been a member of the United States armed forces? Yes  No   
If "Yes" list:  
A. Dates of service: \_\_\_\_\_  
B. Branch or component: \_\_\_\_\_  
C. Date and type of discharge: \_\_\_\_\_

18. Have you ever been arrested, charged, or indicted for violation of any federal, state, county or municipal law, regulation, or ordinance? (Exclude traffic violations for which a fine or civil penalty of \$150 or less was paid.) Yes  No  If "Yes" give details:

<u>Date</u>	<u>Place</u>	<u>Nature</u>	<u>Disposition</u>
10/08/2006	Marion County	Unlawful Speed	Fine
04/12/2007	Leon County	Failure to obey traffic sign/device	Fine
08/30/2008	Marion County	Unlawful Speed	Fine

19. Concerning your current employer and for all of your employment, including self-employment, during the last five years, list your employer's name, business address, type of business, occupation or job title, and period(s) of employment:

<u>Employer Name and Address</u>	<u>Type of Business</u>	<u>Occupation/Title</u>	<u>Period of Employment</u>
Roberts Real Estate, Inc.	Real Estate	President/Broker	1992-Present
	Sales/Management		

20. Have you ever been employed by any state, district, or local government agency in Florida? Yes  No  If "Yes", identify the position(s), the name(s) of the employing agency, and the period(s) of employment, and reason for leaving:

<u>Position</u>	<u>Employing Agency</u>	<u>Period of Employment</u>

21. State your experiences and interests or elements of your personal history that qualify you for this appointment.

Member Florida Board of Regents 1989-1995 - Chair 1993-1994

Member Florida Board of Regents 2001 until succeeded by Florida Board of Education 2001-2002

Florida Board of Governors 2003-2010 Chair 2003-2008

22. Have you received any degree(s), professional certification(s) or designation(s) including awards related to the subject matter of this appointment? Yes  No  If "Yes", list:

23. Identify all association memberships and association offices held by you that relate to this appointment:

None

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

24 Do you currently hold an office or position (appointive, civil service, or other) with the Federal or any foreign government? Yes  No  If "Yes", please list:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

25. Have you ever been elected or appointed to any public office in this state? Yes  No   
If "Yes", state the office title, date of election or appointment, term of office, and level of government (city, county, district or state):

Office Title                      Date of Election or Appointment                      Term of Office                      Level of Government

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

If your service was on an appointed board(s), committee(s) or council(s):

A. How frequently were meetings scheduled? approximately 8-10 per year

B. If you missed any of the regularly scheduled meetings, state the number of meetings attended, number missed, and the reason(s) for absence(s).

Meetings Attended                      Meetings Missed                      Reason for Absence

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

26. Have you ever served on any profit or not-for-profit board? Yes  No

If "Yes", state the title, date of appointment, length of service, and provide a brief description of your involvement.

See attachment

\_\_\_\_\_  
\_\_\_\_\_

27. Have you ever been responsible or played a role in managing a business or other corporate entity? Yes  No  If "Yes", state the name of the business, the dates of your involvement, and provide a brief description of your involvement.

Roberts Real Estate, Inc. 1993-Present President

\_\_\_\_\_  
\_\_\_\_\_

28. Describe any involvement with and/or relationship to the university to which you are applying or any other educational institution with which you are/were affiliated (other than as a student).

I have been a member of the three governing board for the State University

System Board of Directors, Shands Health Care.

See attachment 1 for additional involvement.

29. Describe your understanding of the role of a board of trustee member in ensuring the fiduciary and academic well-being of the university.

I clearly understand the role of the University Board of Trustees as described in the State Constitution. They have a distince role which includes the great responsibility of operating and managing the university.

30. Has probable cause ever been found that you were in violation of Part III, Chapter 112, F.S., the Code of Ethics for Public Officers and Employees? Yes [ ] No [X] If "Yes", give details:

Date

Nature of Violation

Disposition

31. Have you ever been suspended from any office by the Governor of the State of Florida?

Yes [ ] No [X] If "Yes", list:

Title of Office \_\_\_\_\_ Reason for Suspension: \_\_\_\_\_

Date of suspension: \_\_\_\_\_ Result: Reinstated [ ] Removed [ ] Resigned [ ]

32. Have you previously been appointed to any office that required confirmation by the Florida Senate?

Yes [X] No [ ] If "Yes", list:

Title of Office: \_\_\_\_\_ Florida Board of Regents / Florida Board of Regents / Florida Board of Ed / of Governors

Term of Appointment: 1989-1995 / 2001- / 2001-2002 / 2003-2010

Confirmation results: Confirmed / Confirmed / Confirmed / Confirmed

33. Have you ever been refused a fidelity, surety, performance, or other bond? Yes [ ] No [X]

If "Yes", explain:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

34. Have you held or do you hold an occupational or professional license or certificate in the State of Florida? Yes  No  If "Yes", provide the title and number, original issue date, and issuing authority. If any disciplinary action (fine, probation, suspension, revocation, and/or disbarment) has ever been taken against you by the issuing authority, state the type and date of the action taken:

<u>License/Certificate Title &amp; Number</u>	<u>Original Issue Date</u>	<u>Issuing Authority</u>	<u>Disciplinary Action/Date</u>
[REDACTED]	1966	Dept of Business & Prof Regulation	None

35. Have you, or businesses of which you have been an owner, officer, or employee, held any contractual or other direct dealings during the last four (4) years with any state or local governmental agency in Florida, including the university to which you have been appointed or are seeking appointment? Yes  No  If "Yes", explain:

<u>Name of Business</u>	<u>Your Relationship to Business</u>	<u>Business' Relationship to University</u>

36. Have members of your immediate family (spouse, child, parent(s), sibling(s)), or businesses of which members of your immediate family have been owners, officers, or employees, held any contractual or other direct dealings during the last four (4) years with any state or local governmental agency in Florida, including the university to which you have been appointed or are seeking appointment? Yes  No  If "Yes", explain:

<u>Name of Business</u>	<u>Family Member's Relationship to you</u>	<u>Family Member's Relationship to Business</u>	<u>Business' Relationship to University</u>

37. Have you ever been a registered lobbyist or have you lobbied at any level of government at any time during the past five years? Yes  No  If "Yes", explain:

- A. Did you receive any compensation other than reimbursement for expenses? Yes  No
- B. Name of agency or entity you lobbied and the principals you represented:

<u>Agency Lobbied</u>	<u>Principals Represented</u>

38. List three persons who have known you well during the past five (5) years. Include a current, complete address and telephone number. Exclude your relatives and members of the Florida Senate.

<u>Name</u>	<u>Mailing Address</u>	<u>Zip Code</u>	<u>Area Code/Telephone Number</u>
Barney Barnett	P.O. Box 407, Lakeland, FL	33802-0407	863-680-5241
Mark Bostock	P. O. Drawer 67, Auburndale, FL	33823	863-965-6801
Stephanie Cartwright	2329 SE 13 St., Ocala, FL	34471	352-804-1249

39. Name any business, professional, occupational, civic, or fraternal organization(s) of which you are now a member, or of which you have been a member during the past five (5) years, the organization address(es), and date(s) of your membership(s).

<u>Name</u>	<u>Mailing Address</u>	<u>Office(s) Held &amp; Term</u>	<u>Date(s) of Membership</u>

40. Do you know of any reason why you will not be able to attend fully the duties of the position to which you have been or will be appointed? Yes  No  If "yes", explain:

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41. Are you now, or have you within the past three years, been a member of any club or organization that, to your knowledge, in practice or in policy, restricts membership or restricted membership during the time that you belonged, on the basis of race, religion, national origin or gender?

Yes  No  If "yes", detail the name and nature of the organization, relevant policies and practices, and state whether you intend to continue as a member if appointed by the Board of Governors.

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42. Have you ever been the object of any equal employment opportunity complaint or any civil action based upon discrimination in the work place? Yes  No  If "yes", explain and provide details of the outcome:

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43. Are there any pending lawsuits against you or are you a party to a lawsuit in any court in which you are the plaintiff or defendant? Yes  No  If "yes", what type and where?

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44. Have any judgments been entered against you as a result of any civil or administrative proceeding(s)? Yes  No  If "yes", identify the proceeding(s) that resulted in the judgment and the date the judgment was entered.

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45. Are you now engaged in activities, or have you engaged in activities in the past, that will reflect unfavorably on the board to which you seek appointment? Yes  No  If "yes", please explain.

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46. Is there anything that you were not questioned about in your application that you should make known to us at this time that impugns your integrity, character and fitness for the position you are seeking? Yes  No  If "yes", please explain.

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CERTIFICATION

STATE OF FLORIDA, COUNTY OF MARION

Before me, the undersigned authority, personally appeared CAROLYN KING ROBERTS who after being duly sworn, say: 1) that he/she has carefully prepared or read the answers to the foregoing question; 2) that the information contained in said answers is complete and true; 3) that he/she will, as an appointee, fully support the Constitutions of the United States and the State of Florida. Be it further known that in signing this document I understand that a Level 2 background check by the Florida Department of Law Enforcement will be performed on all nominees who are recommended to the Florida Board of Governors.

Carolyn King Roberts  
Affiant's signature

Sworn to and subscribed before me on this 26<sup>th</sup> day of August, 2009, by Carolyn King Roberts

Patricia Ann Milanowski  
(signature of notary)

PATRICIA ANN MILANOWSKI  
(typed, printed or stamped name)

Notary Public

Commission No.:

My Commission Expires:



**PATRICIA ANN MILANOWSKI**  
MY COMMISSION # DD 634021  
EXPIRES: May 26, 2011  
Bonded Thru Budget Notary Services

Personally Known  OR Produced Identification \_\_\_\_\_  
Type of Identification Produced \_\_\_\_\_

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## UNIVERSITY BOARDS

Shands Health Care Board of Directors 1994 - Present  
Shands Health Care Board Executive Committee 1998 - 2001  
Shands Jacksonville Board of Directors 1998 - 2003  
University of Florida Health Systems, Inc. 1994 - 2000  
University of Florida Foundation 1995 - 2003  
University of Florida Research Foundation, Inc., Board of Directors 1994 - 1996  
and 1998 - 2000 and 2001 - Present  
Chair Audit Committee 2004 - Present  
University of Florida Foundation Capital Campaign Steering Committee  
1997 - 2001  
University of Florida Healthcare Education Insurance Company Executive  
Committee 1994 - 2001  
University of Florida Association of Basic Medical Scientists, Inc.  
Executive Committee 1994 - 2001  
Gator Boosters, Inc. Board of Directors 1998 - Present  
University Athletic Association (University of Florida) 1993 - 1994  
Florida Blue Key Honor Fraternity, Honorary Member 1995 - Present  
President's Council, University of Florida, Member 1988 - Present

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## STATE EDUCATION BOARDS

Florida Department of Education, Council on Education Interdependence  
(purpose - to identify common concerns across the various sectors of  
education that may have implications for the 1994 legislative sessions )  
1993 - 1994  
Florida Department of Education Facilities Task Force 1993 - 1994  
Florida Commission on Education Reform and Accountability:  
Post secondary Accountability  
Articulation Task Force 1992 - 1993

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## PROFESSIONAL BOARDS

National Association of Realtors 1967 - Present  
Florida Association of Realtors 1967 - Present  
Ocala/Marion County Association of Realtors 1992 - Present  
Treasurer - 1996  
Secretary - 1997  
Vice President - 1998  
President - 1999  
Board of Directors 1993 - 2000

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## COMMUNITY

Ocala/Marion County Chamber of Commerce, Chairman, Education  
Committee, 1995  
Board of Directors, Munroe Regional Medical Foundation  
Founding Member 1986 - 1994  
Secretary 1990 - 1994  
Executive Board 1990 - 1994  
Board of Directors, Munroe Regional Speech and Hearing Foundation  
1988 - 1995

MEMORANDUM

AS A GENERAL MATTER, APPLICATIONS FOR ALL POSITIONS WITHIN STATE GOVERNMENT ARE PUBLIC RECORDS WHICH MAY BE VIEWED BY ANYONE UPON REQUEST. HOWEVER, THERE ARE SOME EXEMPTIONS FROM THE PUBLIC RECORDS LAW FOR IDENTIFYING INFORMATION RELATING TO PAST AND PRESENT LAW ENFORCEMENT OFFICERS AND THEIR FAMILIES, VICTIMS OF CERTAIN CRIMES, ETC. IF YOU BELIEVE AN EXEMPTION FROM THE PUBLIC RECORDS LAW APPLIES TO YOUR SUBMISSION, PLEASE CHECK THIS BOX.

Yes, I assert that identifying information provided in this application should be excluded from inspection under the Public Records Law.

IF YOU NEED ADDITIONAL GUIDANCE AS TO THE APPLICABILITY OF ANY PUBLIC RECORDS LAW EXEMPTION TO YOUR SITUATION, PLEASE CONTACT THE GENERAL COUNSEL FOR THE BOARD OF GOVERNORS.

Vikki R. Shirley  
General Counsel  
Board of Governors  
State University System of Florida  
325 W. Gaines Street, Suite 1614  
Tallahassee, FL 32399-0400  
(850) 245-0466

**Brian C. Beach**  
Interim Senior Vice President  
for Administration

2009 AUG 27 AM 10:19  
903 W. University Avenue  
P.O. Box 115000  
Gainesville, FL 32611-5000  
352-392-1075  
352-392-5495 Fax  
www.ufl.edu

August 26, 2009

Florida Board of Governors  
Trustee Nominating Committee  
Office of the Chancellor  
325 W. Gaines Street, Suite 1614  
Tallahassee, Florida 32399-0400

Members of the Trustee Nominating Committee of the Board of Governors:

As indicated in your letter of July 9, 2009, the terms for two University of Florida Trustees, Courtney Cunningham and Joelen Merkel, end on January 6, 2010. In accordance with the letter and with the Board of Governors University Board of Trustees Selection and Reappointment Process, we are invited to submit a list of nominees for the pending vacancies. As such, we respectfully submit the following names for the two trustee positions that are to become vacant:

1. Marshall M. Criser III
2. Carolyn K. Roberts

We believe that Mr. Criser and Ms. Roberts will make outstanding trustees and contribute greatly to the Board of Trustees and to the University of Florida. On behalf of President Machen and Chair McGriff, thank you for considering our request.

Very truly yours,



Brian Beach