Audit and Compliance Committee Update

Joe Maleszewski, Inspector General and Director of Compliance
October 8, 2014

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Board of Governors
Florida A&M University – CAP Update

• Developed by board office staff to monitor corrective actions taken in response to the Auditor General, Inspector General, and other reviews and reports

• Accountability partnership to ensure transparency

• High-level tracking and monitoring

• FAMU corrective action plans are more comprehensive and granular

• Audit Briefing - President Mangum
### UPDATE: FAMU Corrective Action Plan

#### Corrective Action Plan Status Summary

<table>
<thead>
<tr>
<th>Area</th>
<th>Issues</th>
<th>Completed</th>
<th>Good Progress</th>
</tr>
</thead>
<tbody>
<tr>
<td>Audit &amp; Compliance</td>
<td>10</td>
<td>10</td>
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</tr>
<tr>
<td>Finance</td>
<td>9</td>
<td>8</td>
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<tr>
<td>Academics &amp; Accreditation</td>
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<tr>
<td>Facilities &amp; Construction</td>
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<td>0</td>
</tr>
<tr>
<td>Hazing &amp; Student Code of Conduct</td>
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<td>4</td>
<td>0</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>30</strong></td>
<td><strong>29</strong></td>
<td><strong>1</strong></td>
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</tbody>
</table>
Board of Governors
Florida A&M University – CAP Follow-up

- Significant Progress Made
- Follow-up Recommended:
  - Intercollegiate Athletics Program Cash Deficits
  - Permanent and Stable Leadership Team
  - Facilities and Construction – Review Results
- Six-month Reports to the AACC
PBF – 2014 Data Integrity Activities

- **January** – Board Direction to AACC
- **March** – AACC 2-Year Plan
- **March** – Board Processes – University Data Submissions
- **May** – State University Audit Council
- **June** – Data Committee Work Shop
- **June 27th** – Chair’s Letter: Certification and Audit
PBF Data Integrity Certification:

- 11 Representations based on Board Regulations
- Executed by each University President
- Approved by each BOT Chair
- Submitted to the Board by March 1, 2015
University Data Integrity Audit:

- Support Certification
- Scope and Objectives
- Mapping Submission to PBF Metrics
- Deadline - March 1, 2015
- Corrective Action Plans – within 30 days
OIGC Update

- 2013-2014 Annual Report
- Audit Committee Workshop
- Investigations and Audit Specialist – Melanie Yopp
- Other:
  - Certified Inspector General Auditor (CIGA) – Lori Clark
  - Certified Inspector General Investigator (CIGI) – Joe Maleszewski
  - Pursuing Certified Government Audit Professional (CGAP)
  - Pursuing Certified Compliance and Ethics Professional (CCEP)