Board of Governors
American Recovery and Reinvestment Act of 2009 (ARRA)
State Fiscal Stabilization Fund (SFSF) Program – Education Stabilization and Discretionary Funds
Program-Specific Assurances

By submitting this application bearing the signature of the president, the university hereby certifies adherence to the following assurances.

☑ The university will implement the program in accordance with State Law, and consistent with the principles guiding the distribution and use of these funds:

A. Spend funds quickly to save and create jobs.

B. Use the funds for education and general expenditures to mitigate the need to raise tuition and fees for in-state students.

C. Insure transparency, reporting, and accountability.

D. Invest one-time ARRA funds thoughtfully to minimize the “funding cliff”.

Additionally, the university assures that:

☑ None of the funds received through the SFSF will be used (Section 14004):

A. To increase the university endowment.

B. For maintenance of systems, equipment, or facilities.

C. For modernization, renovation, or repair of stadiums or other facilities primarily used for athletic contests or exhibitions or other events for which admission is charged to the general public.

D. For modernization, renovation or repair of facilities used for sectarian instruction or religious worship; or in which a substantial portion of the functions of the facilities are subsumed in a religious mission.
The university shall only use SFSF program funds for activities authorized by the ARRA or for modernization, renovation, or repair of university education facilities that are primarily used for instruction, research, or student housing, including modernization, renovation, and repairs that are consistent with a recognized green building rating system.

For any project funded through the SFSF, the university will comply with Section 1605 of the ARRA (requiring the use of American iron, steel, and manufactured goods) and Section 1606 of the American Recovery and Reinvestment Act of 2009 (requiring compliance with federal prevailing wage requirements).

The university will promptly refer to an appropriate inspector general any credible evidence that a principal, employee, agent, contractor, sub-grantee, subcontractor, or other person has submitted a false claim under the False Claims Act (31 U.S.C. § 3729 - 3733) or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving SFSF funds.

Certification:

I hereby certify that Florida Atlantic University will adhere to each of the assurances specified above.

Frank T. Brogan
Name (printed)

Signature (must be original) Date

President, Florida Atlantic University
Title
# Florida Board of Governors

## Project Application

**Please return to:**
Florida Board of Governors  
Attn: Tim Jones  
Room 1652 Turfinglon Building  
332 West Gaines Street  
Tallahassee, Florida 32399-0400  
Telephone: (850) 245-0466

<table>
<thead>
<tr>
<th>A) Program Name:</th>
<th>BOG USE ONLY</th>
</tr>
</thead>
</table>
| State Fiscal Stabilization Fund  
Program – Education Stabilization Fund | Date Received  
6/15/09 |

<table>
<thead>
<tr>
<th>B) Name and Address of University:</th>
<th>Project Number (DOE Assigned)</th>
</tr>
</thead>
</table>
| Florida Atlantic University  
777 Glades Road  
Boca Raton, Florida 33431 | |

<table>
<thead>
<tr>
<th>C) Total Funds Requested:</th>
<th>D) University Contact Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>$11,361,690</td>
<td>Contact Name: Dr. Kenneth A. Jessell</td>
</tr>
<tr>
<td></td>
<td>Mailing Address: Administration Building, Room 345</td>
</tr>
<tr>
<td></td>
<td>Telephone Number: 561-297-3267</td>
</tr>
<tr>
<td></td>
<td>Fax Number: 561-297-2772</td>
</tr>
<tr>
<td></td>
<td>Email Address: <a href="mailto:jessell@fau.edu">jessell@fau.edu</a></td>
</tr>
</tbody>
</table>

### Total Approved Project:

**DOE USE ONLY**

<table>
<thead>
<tr>
<th>Total Approved Project:</th>
</tr>
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<tbody>
<tr>
<td>$</td>
</tr>
</tbody>
</table>

### Certification

I, Frank T. Brogan, (Please Type Name) do hereby certify that all facts, figures, and representations made in this application are true, correct, and consistent with the statement of general assurances and specific programmatic assurances for this project. Furthermore, all applicable statutes, regulations, and procedures; administrative and programmatic requirements; and procedures for fiscal control and maintenance of records will be implemented to ensure proper accountability for the expenditure of funds on this project. All records necessary to substantiate these requirements will be available for review by appropriate state and federal staff. I further certify that all expenditures will be obligated on or after the effective date and prior to the termination date of the project. Disbursements will be reported only as appropriate to this project, and will not be used for matching funds on this or any special project, where prohibited.

Further, I understand that it is the responsibility of the university to obtain from its board of trustees the authorization for the submission of this application.

**Signature of University President**

**BOG 100A**  
May 22, 2009  
Page 1 of 2
**FLORIDA BOARD OF GOVERNORS**  
**BUDGET NARRATIVE FORM**

### Proposed Budget - Education

<table>
<thead>
<tr>
<th>(1) Activity</th>
<th>(2) Budget Code</th>
<th>(3) Account Title and Description</th>
<th>(4) FTE Positions</th>
<th>(5) Amount</th>
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</thead>
<tbody>
<tr>
<td>Educational &amp; General Purposes</td>
<td>110</td>
<td>Salaries and Benefits</td>
<td>29 192 150</td>
<td>$11,361,690.00</td>
</tr>
</tbody>
</table>

- These funds will be used to support 132 faculty positions in areas such as Nursing, Education, Science, Business, Bio-Medical Science, and Arts and Letters. The individual faculty members who occupy these positions teach in areas that are in high demand and that support the strategic direction of the State of Florida and FAU.

### Total
- **(E) TOTAL**: $11,361,690.00

---

**JOB CODE:**

- FACULTY-22
- NON FACULTY-UNIVERSITY IR:
  - UF-10
  - FSU-20
  - FAMU-30
  - UCF-40
  - USF-50
- WCF-55
- FAU-60
- UWF-70
- FIU-80
- UNF-90
- FGCU-95
- UF [Phys]-11
- UF [Hlth/Med]-12
- FSU [Hlth/Med]-21
- UCF [Hlth/Med]-41
- USF [Hlth/Med]-81
- FIU [Hlth/Med]-81
FLORIDA BOARD OF GOVERNORS
PROJECT APPLICATION

A) Program Name:

State Fiscal Stabilization Fund
Program – Discretionary

BOG USE ONLY

Date Received

6/15/09

B) Name and Address of University:

Florida Atlantic University
777 Glades Road
Boca Raton, Florida 33431

Project Number (DOE Assigned)

C) Total Funds Requested:

$ 793,375

DOE USE ONLY

Total Approved Project:

$ 793,375

b) University Contact Information

Contact Name:
Dr. Kenneth A. Jessell

Mailing Address:
Administration Building, Room 345

Telephone Number:
561-297-3267

SanCom Number:

Fax Number:
561-297-2772

E-mail Address:
jessell@fau.edu

CERTIFICATION

I, Frank T. Brogan, (Please Type Name) do hereby certify that all facts, figures, and representations made in this application are true, correct, and consistent with the statement of general assurances and specific programmatic assurances for this project. Furthermore, all applicable statutes, regulations, and procedures; administrative and programmatic requirements; and procedures for fiscal control and maintenance of records will be implemented to ensure proper accountability for the expenditure of funds on this project. All records necessary to substantiate these requirements will be available for review by appropriate state and federal staff. I further certify that all expenditures will be obligated on or after the effective date and prior to the termination date of the project. Disbursements will be reported only as appropriate to this project, and will not be used for matching funds on this or any special project, where prohibited.

Further, I understand that it is the responsibility of the university to obtain from its board of trustees the authorization for the submission of this application.

Signature of University President

BOG 100B
May 22, 2009
# Proposed Budget - Discretionary

<table>
<thead>
<tr>
<th>(2) Activity</th>
<th>(3) Account Title and Description</th>
<th>(4) FTE Position(s)</th>
<th>(5) Amount</th>
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</thead>
<tbody>
<tr>
<td>Educational &amp; General Purposes</td>
<td>Salary and Benefits</td>
<td>20</td>
<td>10</td>
</tr>
</tbody>
</table>

- Funding will be used to support faculty positions to ensure that courses with the highest demand will continue to be available to students. These courses offerings ensure FAU's commitment to timely degree completion and student access.

**JOB CODE:**
- FAULTY—22
- NON FAULTY—UNIVERSITY II:
  - UF—10
  - FSU—20
  - FAMU—30
  - UCF—40
  - USF—50
- NCF—55
  - FAU—60
  - UWF—70
  - FIU—80
  - UNF—90
  - FGCU—95
- UF (FACS)—11
  - UF (LTH/MED)—12
  - FSU (LTH/MED)—21
- UCF (LTH/MED)—44
  - USF (LTH/MED)—51
  - FIU (LTH/MED)—81

(E) TOTAL: $793,375.00