INSTRUCTIONS

The information submitted will be used by the Board of Governors in considering action on your application. If appointed, please be advised that your appointment is subject to confirmation by the Florida Senate and you will be required to file an annual financial disclosure statement with the Florida Commission on Ethics.

Please type or print clearly. Please do not leave any questions blank – answer “none” or “not applicable” where appropriate.

All applications must be signed and witnessed by a Notary. Submit the original completed application via mail, email, or facsimile by the posted deadline to:

State University System of Florida, Board of Governors
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
Fax 850.245.9685 Chancellor@flbog.edu

PLEASE NOTE: any application submitted by facsimile or email must be received by the posted deadline and followed by the original signed application to above address.

EXCLUSIONS

The following conditions exclude eligibility for appointment as a University Board of Trustee member.

Registered Lobbyist: Yes □ No ✓
Dual Office Holding: Yes □ No ✓

Authority:
Section 112.313(17), Florida Statutes, prohibits any citizen member of a university board of trustees from having any employment or contractual relationship as a legislative lobbyist requiring annual registration under section 11.045, Florida Statutes.

Article II, section 5(a) of the Florida Constitution prohibits any person from holding more than one office under the government of the state, counties, and municipalities at the same time, except for certain exclusions stated therein (notary public, military officer, member of a statutory body having only advisory powers, etc.)
EXEMPTION FROM PUBLIC RECORDS

AS A GENERAL MATTER, APPLICATIONS FOR ALL POSITIONS WITHIN STATE GOVERNMENT ARE PUBLIC RECORDS THAT MAY BE VIEWED UPON REQUEST. HOWEVER, THERE ARE SOME EXEMPTIONS FROM THE PUBLIC RECORDS LAW FOR CERTAIN IDENTIFYING INFORMATION RELATING TO PAST AND PRESENT LAW ENFORCEMENT OFFICERS AND THEIR FAMILIES, VICTIMS OF CERTAIN CRIMES, ETC. IF YOU BELIEVE AN EXEMPTION FROM THE PUBLIC RECORDS LAW APPLIES TO YOUR SUBMISSION, PLEASE CHECK THIS BOX.

☐ Yes, I assert that identifying information provided in this application should be excluded from inspection under the Public Records Law.

IF YOU NEED ADDITIONAL GUIDANCE AS TO THE APPLICABILITY OF ANY PUBLIC RECORDS LAW EXEMPTION TO YOUR SITUATION, PLEASE CONTACT THE GENERAL COUNSEL FOR THE BOARD OF GOVERNORS.

Vikki R. Shirley
General Counsel
State University System of Florida, Board of Governors
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
(850) 245-0466
PERSONAL INFORMATION

Name: Barlick, Jr. Robert Thomas  Date Completed: 2/29/2012

1. University Board of Interest: Are you applying for reappointment? Yes ☐ No ☑

FAMU ☐ FAU ☐ FGCU ☐ FIU ☑ FSU ☐ NCF ☐ UCF ☐ UF ☐ UNF ☐ USF ☐ UWF ☐

2. Residence Address: ___________ Coral Gables FL Miami-Dade 33156

Street ___________ City ___________ State ___________ County ___________ Zip Code ___________

Area Code/Phone ___________ Cell Phone ___________

(305) 898-1744

3. Current Employer or Occupation: Goldman, Sachs & Co.

Business Address: 200 S. Biscayne Boulevard #3700 Miami FL

Street ___________ Office ___________ City ___________ State ___________

33131 305 755-1095

Post Office Box ___________ Suite ___________ Zip Code ___________ Area Code/Phone Number ___________

rbarlick@mba2004.hbs.edu

E-mail Address

4. Specify the preferred mailing address: Business ☐ Home ☑ Fax # 305 755-1119

5. List all places of residence for the past five (5) years.

Address ___________ City and State ___________ From ___________ To ___________

1331 Brickell Bay Drive #1609 Miami, FL 2004 7/2007

6. List all former and current residences outside of Florida that you have maintained at any time during adulthood.

Address ___________ City and State ___________ From ___________ To ___________

91 Sidney Street Cambridge, MA 2002 2004

1760 Second Avenue New York, NY 2001 2002

73 Sutton Court West Islip, NY 1998 2001

300 Summit Street Hartford, CT 1994 1998

7. Date of Birth: ___________ * Place of Birth: West Islip, NY *

8. Social Security No.: ___________ *

9. Driver License No: ___________ * Issuing State: FL *

*ALL INFORMATION MARKED WITH AN ASTERISK IS REQUIRED FOR CONDUCTING BACKGROUND SCREENING AND WILL BE REDACTED PRIOR TO DISTRIBUTION OF THE APPLICATION TO THE TRUSTEE NOMINATING COMMITTEE MEMBERS. REFER TO ATTACHED NOTICE ON USE OF SOCIAL SECURITY NUMBERS.
10. Have you ever been known by any other legal name? Yes □ No ☑ If “Yes” explain.

11. Are you a United States citizen? Yes ☑ No □ If “No” explain.

12. If you are a naturalized citizen, date of naturalization: not applicable

13. Since what year have you been a continuous resident of Florida? 2004

14. Are you a registered Florida voter? Yes ☑ No □

15. Have you ever been arrested, charged, or indicted for violation of any federal, state, county or municipal law, regulation, or ordinance? (Exclude traffic violations for which a fine or civil penalty of $150 or less was paid.) Yes □ No ☑ If “Yes” give details:

<table>
<thead>
<tr>
<th>Date</th>
<th>Place</th>
<th>Nature</th>
<th>Disposition</th>
</tr>
</thead>
</table>

16. Has probable cause ever been found that you were in violation of Part III, Chapter 112, F.S., the Code of Ethics for Public Officers and Employees? Yes □ No ☑ If “Yes”, give details:

<table>
<thead>
<tr>
<th>Date</th>
<th>Nature of Violation</th>
<th>Disposition</th>
</tr>
</thead>
</table>

17. Have you ever been suspended from any office by the Governor of the State of Florida? Yes □ No ☑ If “Yes”, list:

Title of Office: ______________________ Reason for Suspension: ______________________

Date of Suspension: ___________ Result: Reinstated ☐ Removed ☐ Resigned ☐

18. Are there any pending lawsuits against you or are you a party to a lawsuit in any court in which you are the plaintiff or defendant? Yes □ No ☑ If “yes”, what type and where?

__________________________________________________________________________

Page 4 of 11
19. Have any judgments been entered against you as a result of any civil or administrative proceeding(s)? Yes ☐ No ☑ If “yes”, identify the proceeding(s) that resulted in the judgment and the date the judgment was entered.

20. Are you now engaged in activities, or have you engaged in activities in the past, that will reflect unfavorably on the board to which you seek appointment? Yes ☐ No ☑ If “yes”, explain.

21. Have you ever been refused a fidelity, surety, performance, or other bond? Yes ☐ No ☑ If “Yes”, explain.

EDUCATION, LICENSURE, MEMBERSHIPS

22. Education:
   A. High School: West Islip High School, West Islip, NY Year Graduated: 1994
      (Name and Location)
   
   B. List all postsecondary educational institutions attended:

      | Name and Location     | Date Attended | Certificates/Degrees Received |
      |-----------------------|---------------|------------------------------|
      | Harvard Business School| 2002-2004     | MBA                          |
      | Trinity College (CT)  | 1994-1998     | BA                           |

23. Have you received any degree(s) or professional certification(s) related to the subject matter of this appointment? Yes ☑ No ☐ If “Yes”, list:

   MBA, Harvard Business School
   BA, Trinity College

24. Have you held or do you hold an occupational or professional license or certificate in the State of Florida? Yes ☑ No ☐ If “Yes”, provide the title and number, original issue date, and issuing authority. If any disciplinary action (fine, probation, suspension, revocation, and/or disbarment) has ever been taken against you by the issuing authority, state the type and date of the action taken:

<table>
<thead>
<tr>
<th>License/Certificate Title &amp; Number</th>
<th>Original Issue Date</th>
<th>Issuing Authority</th>
<th>Disciplinary Action/Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2004</td>
<td>FINRA</td>
<td>none</td>
</tr>
</tbody>
</table>
25. Identify all association memberships and association offices held by you that relate to this appointment:

none

26. Name any business, professional, occupational, civic, or fraternal organization(s) of which you are now a member, or of which you have been a member during the past five (5) years, the organization address(es), and date(s) of your membership(s).

<table>
<thead>
<tr>
<th>Name</th>
<th>Mailing Address</th>
<th>Office(s) Held &amp; Term</th>
<th>Date(s) of Membership</th>
</tr>
</thead>
<tbody>
<tr>
<td>none</td>
<td>none</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

27. Are you now, or have you within the past four (4) years, been a member of any club or organization that, to your knowledge, in practice or in policy, restricts membership or restricted membership during the time that you belonged, on the basis of race, religion, national origin or gender? Yes☐ No☑ If “yes”, detail the name and nature of the organization, relevant policies and practices, and state whether you intend to continue as a member if appointed by the Board of Governors.

28. Concerning your current employer and for all of your employment, including self-employment, during the last five years, list your employer’s name, business address, type of business, occupation or job title, and period(s) of employment:

<table>
<thead>
<tr>
<th>Employer Name and Address</th>
<th>Type of Business</th>
<th>Occupation/Title</th>
<th>Period of Employment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Goldman, Sachs &amp; Co.</td>
<td>Investment Management</td>
<td>Vice President</td>
<td>2004-Present</td>
</tr>
</tbody>
</table>

29. Have you ever been employed by any state, district, or local government agency in Florida? Yes☐ No☑ If “Yes”, identify the position(s), the name(s) of the employing agency, and the period(s) of employment, and reason for leaving:

<table>
<thead>
<tr>
<th>Position</th>
<th>Employing Agency</th>
<th>Period of Employment</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>
30. Have you ever been responsible or played a role in managing a business or other corporate entity?  Yes ☐ No ☑ "Yes", state the name of the business, the dates of your involvement, and provide a brief description of your involvement.

31. Are you or have you ever been a member of the United States armed forces?  Yes ☐ No ☑ If "Yes" list:
   A. Dates of service: ____________________________
   B. Branch or component: _______________________
   C. Date and type of discharge: _________________

32. Do you currently hold an office or position (appointive, civil service, or other) with the Federal or any foreign government?  Yes ☐ No ☑ If "Yes", please list:

33. Have you previously been appointed to any office that required confirmation by the Florida Senate?  Yes ☐ No ☑ If "Yes", list:
   Title of Office: _______________________________
   Term of Appointment: _________________________
   Confirmation results: _________________________

34. Have you ever been elected or appointed to any public office in this state?  Yes ☐ No ☑ If "Yes", state the office title, date of election or appointment, term of office, and level of government (city, county, district or state):

   Office Title  Date of Election or Appointment  Term of Office  Level of Government
   __________________________________________  ____________________________  ____________________________  ____________________________
   __________________________________________  ____________________________  ____________________________  ____________________________

If your service was on an appointed board(s), committee(s) or council(s):
A. How frequently were meetings scheduled? ____________________________________________
B. If you missed any of the regularly scheduled meetings, state the number of meetings attended, number missed, and the reason(s) for absence(s).

   Meetings Attended  Meetings Missed  Reason for Absence
   __________________________________________  ____________________________  ____________________________
   __________________________________________  ____________________________  ____________________________
35. Have you ever served on any profit or not-for-profit board? Yes ☑ No ☐ If “Yes”, state the Member, Endowment Investment Committee, Arsht Center for the Performing Arts, 2009-Present
   title, date of appointment, length of service, and provide a brief description of your involvement.
   Member, South Florida Community Advisory Council, John S. and James L. Knight Fdn, 2007-Present
   Board Member, Arsht Center for the Performing Arts Foundation, 2007-2009
   Board Member, Community Partnership for Homeless, 2005-2008

36. Have you ever been a registered lobbyist or have you lobbied at any level of government at any time during the past five years? Yes ☐ No ☑ If “Yes”, please explain:
   A. Did you receive any compensation other than reimbursement for expenses? Yes ☐ No ☐
   B. Name of agency or entity you lobbied and the principals you represented:

<table>
<thead>
<tr>
<th>Agency Lobbied</th>
<th>Principals Represented</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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<td></td>
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</tbody>
</table>

37. Describe your experiences and interests or elements of your personal history that qualify you for this appointment.
I believe my extensive involvement with a wide range of South Florida non-profit organizations, as partially outlined above, has provided me with the experience and relationships in the community to function effectively as a trustee. Furthermore, my professional experiences in finance should be helpful in evaluating the budgetary health of the university.

38. Describe your understanding of the role of a member of a university board of trustees.
A trustee of FIU should assist the president in setting the long-term strategic direction of the institution, provide active and effective oversight of the management, finances, and budget of the organization, and serve as an ambassador and advocate of the university in the community.

CONFLICT OF INTEREST

39. Describe any involvement with and/or relationship to the university to which you are applying (other than as a student).
none

40. Have you, or any business of which you have been an owner, officer, or employee, held any employment or contractual relationship during the last four (4) years with the university to which you are seeking appointment? Yes ☐ No ☑ If “Yes”, identify:

<table>
<thead>
<tr>
<th>Name of Business</th>
<th>Your Relationship to Business</th>
<th>Business’ Relationship to University</th>
</tr>
</thead>
<tbody>
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</tr>
</tbody>
</table>
41. Have members of your immediate family (spouse, child, parent(s), sibling(s)), or businesses of which members of your immediate family have been owners, officers, or employees, held any employment or contractual relationship during the last four (4) years with the university to which you are seeking appointment? Yes □ No ✔ If “Yes”, explain:

Name of Business | Family Member’s Relationship to you | Family Member’s Relationship to Business | Business’ Relationship to University

<table>
<thead>
<tr>
<th>Name</th>
<th>Mailing Address</th>
<th>Zip Code</th>
<th>Area Code/Telephone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Manny Kadre</td>
<td>5345 Hammock Dr., Coral Gables</td>
<td>33156</td>
<td>(786) 331-1987</td>
</tr>
<tr>
<td>Albert Maury</td>
<td>11401 SW 40th St, Miami</td>
<td>33165</td>
<td>(305) 644-2135</td>
</tr>
<tr>
<td>David Parker</td>
<td>360 Madison Ave, New York, NY</td>
<td>10017</td>
<td>(212) 201-0511</td>
</tr>
</tbody>
</table>
CERTIFICATION

STATE OF Florida
COUNTY OF Miami-Dade

Before me, the undersigned authority, personally appeared Robert Barich who after being duly sworn, says: 1) that he/she has carefully prepared or read the answers to the foregoing question; 2) that the information contained in said answers is complete and true; 3) that he/she will, as an appointee, fully support the Constitutions of the United States and the State of Florida. Be it further known that in signing this document the undersigned understands that a background check by the Florida Department of Law Enforcement will be performed on all nominees who are recommended to the Florida Board of Governors and that he/she has received a copy of the Board of Governors' Statement on the Collection, Use or Release of Social Security Numbers.

[Signature]
Affiant's signature

Sworn to and subscribed before me on this 29th day of February, 2017, by

[Signature]
(signature of notary)
(typed, printed or stamped)
Notary Public
Commission No.:
My Commission Expires:

Personally Known OR Produced Identification

Type of Identification Produced

[Stamp]
Linda, 
Please scan this e-mail and attach it to Mr. Barlick's application for the FIU Board of Trustees vacancy. 
Thanks, 
Monoka

Monoka Venters  
Interim Corporate Secretary  
State University System of Florida  
Board of Governors  
(850) 245-9718

From: Barlick, Robert T.  [mailto:Robert.Barlick@gs.com]  
Sent: Thursday, March 01, 2012 1:52 PM  
To: Venters, Monoka  
Subject: FIU BOT application

Dear Monoka,

Thank you for your call earlier today. As we discussed, please note that on 12/20/2008 I was issued a ticket for running a stop sign for which I paid a fine of $154. It was not an arrest, charge, or indictment, but since question 15 on the application referenced a $150 threshold, I wanted to be sure I let you know about it.

If you have any further questions, please let me know. Thank you for your help.

Best regards, 
Rob

200 South Biscayne Boulevard | Wachovia Financial Center  
Suite 3700 | Miami, FL 33131  
Tel: 305-755-1095 | Fax: 305-755-1119  
email: robert.barlick@gs.com | website: http://www.gs.com

Robert T. Barlick, Jr.  
Vice President  
Goldman, Sachs & Co.  
Private Wealth Management

This e-mail does not constitute an offer or solicitation with respect to the purchase or sale of any security in any jurisdiction in which such offer or solicitation is not authorized or to any person to whom it would be unlawful to make such offer or solicitation. This e-mail may contain confidential or privileged information. If you are not the intended recipient, please advise the sender immediately and delete this message. If you are sending confidential information (e.g. name, tax ID), please ensure you are using a secure method of delivery. You may fax confidential information to (305) 755-1119. For additional information, including how to opt-out of future messages, see http://www.gs.com/disclaimer/pwm.html. For prospectuses of recent initial public offerings to which this message may be related see http://www.gs.com/disclaimer/ipo/.