INSTRUCTIONS

The information submitted will be used by the Board of Governors in considering action on your application. If appointed, please be advised that your appointment is subject to confirmation by the Florida Senate and you will be required to file an annual financial disclosure statement with the Florida Commission on Ethics.

Please type or print clearly. Please do not leave any questions blank – answer "none" or "not applicable" where appropriate.

All applications must be signed and witnessed by a Notary. Submit the original completed application via mail, email, or facsimile by the posted deadline to:

State University System of Florida, Board of Governors
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
Fax 850.245.9685 Chancellor@flbog.edu

PLEASE NOTE: any application submitted by facsimile or email must be received by the posted deadline and followed by the original signed application to above address.

EXCLUSIONS

The following conditions exclude eligibility for appointment as a University Board of Trustee member.

Registered Lobbyist:  No ☒  Yes ☐  Dual Office Holding:  No ☒  Yes ☐

Authority:
Section 112.313(17), Florida Statutes, prohibits any citizen member of a university board of trustees from having any employment or contractual relationship as a legislative lobbyist requiring annual registration under section 11.045, Florida Statutes.

Article II, section 5(a) of the Florida Constitution prohibits any person from holding more than one office under the government of the state, counties, and municipalities at the same time, except for certain exclusions stated therein (notary public, military officer, member of a statutory body having only advisory powers, etc.)
EXEMPTION FROM PUBLIC RECORDS

AS A GENERAL MATTER, APPLICATIONS FOR ALL POSITIONS WITHIN STATE GOVERNMENT ARE PUBLIC RECORDS THAT MAY BE VIEWED UPON REQUEST. HOWEVER, THERE ARE SOME EXEMPTIONS FROM THE PUBLIC RECORDS LAW FOR CERTAIN IDENTIFYING INFORMATION RELATING TO PAST AND PRESENT LAW ENFORCEMENT OFFICERS AND THEIR FAMILIES, VICTIMS OF CERTAIN CRIMES, ETC. IF YOU BELIEVE AN EXEMPTION FROM THE PUBLIC RECORDS LAW APPLIES TO YOUR SUBMISSION, PLEASE CHECK THIS BOX.

☐ Yes, I assert that identifying information provided in this application should be excluded from inspection under the Public Records Law.

IF YOU NEED ADDITIONAL GUIDANCE AS TO THE APPLICABILITY OF ANY PUBLIC RECORDS LAW EXEMPTION TO YOUR SITUATION, PLEASE CONTACT THE GENERAL COUNSEL FOR THE BOARD OF GOVERNORS.

Vikki R. Shirley
General Counsel
State University System of Florida, Board of Governors
325 W. Gaines Street, Suite 1614
Tallahassee, FL 32399-0400
(850) 245-0466
PERSONAL INFORMATION

Name: GARVY ROBERT A. Date Completed: Oct 12, 2010

1. University Board of Interest: Are you applying for reappointment? Yes ☐ No ☑
   FAMU ☐ FAU ☐ FGCU ☐ FIU ☐ FSU ☐ NCF ☐ UCF ☑ UF ☐ UNF ☐ USF ☐ UWF ☐

2. Residence Address: [Redacted] PALM BEACH, FL 33480
   Street: [Redacted] City: [Redacted]
   Area Code/Phone: 561-882-0773 Cell Phone: 561-680-5525

3. Current Employer or Occupation: INTECH
   Business Address: 525 OKEECHOBEE BLVD, STE. 1800, WEST PALM BEACH, FL
   Street: [Redacted] Office: [Redacted]
   Post Office Box: [Redacted] Suite: [Redacted]
   Zip Code: 33401 Area Code/Phone Number: 561-775-1101
   E-mail Address: garage@INTECHJANUS.COM

4. Specify the preferred mailing address: Business ☐ Home ☑ Fax # 561-882-0774
   OFFICE: 561-775-1158

5. List all places of residence for the past five (5) years.
   Address: [Redacted] City and State: PALM BEACH, FL From: DEC. 2000 To: PRESENT

6. List all former and current residences outside of Florida that you have maintained at any time during adulthood.

7. Date of Birth: [Redacted] * Place of Birth: LANSING, MICHIGAN *

8. Social Security No.: [Redacted] *

9. Driver License No: [Redacted] * Issuing State: FLORIDA *

*ALL INFORMATION MARKED WITH AN ASTERISK IS REQUIRED FOR CONDUCTING BACKGROUND SCREENING AND WILL BE REDACTED PRIOR TO DISTRIBUTION OF THE APPLICATION TO THE TRUSTEE NOMINATING COMMITTEE MEMBERS. REFER TO ATTACHED NOTICE ON USE OF SOCIAL SECURITY NUMBERS.
10. Have you ever been known by any other legal name?  Yes ☐ No ☒ If “Yes” explain.

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

11. Are you a United States citizen?  Yes ☒ No ☐ If “No” explain.

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

12. If you are a naturalized citizen, date of naturalization: ______________________

13. Since what year have you been a continuous resident of Florida? ____________

14. Are you a registered Florida voter?  Yes ☒ No ☐

15. Have you ever been arrested, charged, or indicted for violation of any federal, state, county or municipal law, regulation, or ordinance? (Exclude traffic violations for which a fine or civil penalty of $150 or less was paid.)  Yes ☐ No ☒ If “Yes” give details:

<table>
<thead>
<tr>
<th>Date</th>
<th>Place</th>
<th>Nature</th>
<th>Disposition</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

16. Has probable cause ever been found that you were in violation of Part III, Chapter 112, F.S., the Code of Ethics for Public Officers and Employees?  Yes ☐ No ☒ If “Yes”, give details:

<table>
<thead>
<tr>
<th>Date</th>
<th>Nature of Violation</th>
<th>Disposition</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

17. Have you ever been suspended from any office by the Governor of the State of Florida?  Yes ☐ No ☒ If “Yes”, list:

Title of Office: ___________________________  Reason for Suspension: ___________________________

Date of Suspension: ____________  Result: Reinstated ☐ Removed ☐ Resigned ☐

18. Are there any pending lawsuits against you or are you a party to a lawsuit in any court in which you are the plaintiff or defendant?  Yes ☐ No ☒ If “yes”, what type and where?
19. Have any judgments been entered against you as a result of any civil or administrative proceeding(s)? Yes □ No ☒ If "yes", identify the proceeding(s) that resulted in the judgment and the date the judgment was entered.

20. Are you now engaged in activities, or have you engaged in activities in the past, that will reflect unfavorably on the board to which you seek appointment? Yes □ No ☒ If "yes", explain.

21. Have you ever been refused a fidelity, surety, performance, or other bond? Yes □ No ☒ If "Yes", explain.

EDUCATION, LICENSURE, MEMBERSHIPS

22. Education:
   A. High School: MELBOURNE H.S., MELBOURNE, FL. Year Graduated: 1960
      (Name and Location)
   B. List all postsecondary educational institutions attended:

      | Name and Location            | Date Attended | Certificates/Degrees Received |
      |-------------------------------|---------------|------------------------------|
      | UNIV. OF FLORIDA, GAINESVILLE, FL | 4/1966       | AA                           |
      | UNIV. OF S. FLORIDA, TAMPA, FL | 12/1968      | BA                           |
      | GSU, ATLANTA, GA             | 1976         | MBA                          |

23. Have you received any degree(s) or professional certification(s) related to the subject matter of this appointment? Yes □ No ☒ If "Yes", list:

24. Have you held or do you hold an occupational or professional license or certificate in the State of Florida? Yes □ No ☒ If "Yes", provide the title and number, original issue date, and issuing authority. If any disciplinary action (fine, probation, suspension, revocation, and/or disbarment) has ever been taken against you by the issuing authority, state the type and date of the action taken:

      | License/Certificate Title & Number | Original Issue Date | Issuing Authority | Disciplinary Action/Date |
      |------------------------------------|---------------------|-------------------|--------------------------|

Page 5 of 11
25. Identify all association memberships and association offices held by you that relate to this appointment:

N/A.

26. Name any business, professional, occupational, civic, or fraternal organization(s) of which you are now a member, or of which you have been a member during the past five (5) years, the organization address(es), and date(s) of your membership(s).

<table>
<thead>
<tr>
<th>Name</th>
<th>Mailing Address</th>
<th>Office(s) Held &amp; Term</th>
<th>Date(s) of Membership</th>
</tr>
</thead>
<tbody>
<tr>
<td>PALM BEACH CIVIC ASSOCIATION</td>
<td>MEMBER</td>
<td>2004-2005, 2009-2010</td>
<td></td>
</tr>
<tr>
<td>FORUM CLUB OF THE PALM BEACHES</td>
<td>MEMBER</td>
<td>2007 - PRESENT</td>
<td></td>
</tr>
</tbody>
</table>

27. Are you now, or have you within the past four (4) years, been a member of any club or organization that, to your knowledge, in practice or in policy, restricts membership or restricted membership during the time that you belonged, on the basis of race, religion, national origin or gender? Yes□ No☒ If “yes”, detail the name and nature of the organization, relevant policies and practices, and state whether you intend to continue as a member if appointed by the Board of Governors.

EMPLOYMENT HISTORY AND PROFESSIONAL BACKGROUND

28. Concerning your current employer and for all of your employment, including self-employment, during the last five years, list your employer’s name, business address, type of business, occupation or job title, and period(s) of employment:

<table>
<thead>
<tr>
<th>Employer Name and Address</th>
<th>Type of Business</th>
<th>Occupation/Title</th>
<th>Period of Employment</th>
</tr>
</thead>
<tbody>
<tr>
<td>INTECH</td>
<td>INVESTMENT MGMT.</td>
<td>CHAIRMAN/CEO</td>
<td>1991 - PRESENT</td>
</tr>
</tbody>
</table>

29. Have you ever been employed by any state, district, or local government agency in Florida? Yes□ No☒ If “Yes”, identify the position(s), the name(s) of the employing agency, and the period(s) of employment, and reason for leaving:

<table>
<thead>
<tr>
<th>Position</th>
<th>Employing Agency</th>
<th>Period of Employment</th>
</tr>
</thead>
</table>

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30. Have you ever been responsible or played a role in managing a business or other corporate entity? Yes □ No □ “Yes”, state the name of the business, the dates of your involvement, and provide a brief description of your involvement.

**InTech, 1971-2010, Chairman & CEO**

31. Are you or have you ever been a member of the United States armed forces? Yes □ No □
If “Yes” list:

A. Dates of service: 1960 - 1965
B. Branch or component: U.S. Army
C. Date and type of discharge: Honorable Discharge, 8/1965

32. Do you currently hold an office or position (appointive, civil service, or other) with the Federal or any foreign government? Yes □ No □ If “Yes”, please list:

33. Have you previously been appointed to any office that required confirmation by the Florida Senate? Yes □ No □ If “Yes”, list:

Title of Office:

Term of Appointment:

Confirmation results:

34. Have you ever been elected or appointed to any public office in this state? Yes □ No □
If “Yes”, state the office title, date of election or appointment, term of office, and level of government (city, county, district or state):

<table>
<thead>
<tr>
<th>Office Title</th>
<th>Date of Election or Appointment</th>
<th>Term of Office</th>
<th>Level of Government</th>
</tr>
</thead>
<tbody>
<tr>
<td>Town of Palm Beach (Board of</td>
<td>First App: 12/99 (App to serve on Bd.)</td>
<td>Re Appt: 9/02</td>
<td></td>
</tr>
<tr>
<td>General Employees (Board of</td>
<td>Re Appt: 2/03 (Appointed Vice Chair)</td>
<td>Re Appt: 9/04</td>
<td></td>
</tr>
<tr>
<td>Retirement Board of Trustees</td>
<td>Re Appt: 2/05 (Elected Chair)</td>
<td>Re Appt: 9/06</td>
<td></td>
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<tr>
<td></td>
<td>Resigned: 2/08</td>
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If your service was on an appointed board(s), committee(s) or council(s):
A. How frequently were meetings scheduled? Regularly
B. If you missed any of the regularly scheduled meetings, state the number of meetings attended, number missed, and the reason(s) for absence(s).

Meetings Attended 33 | Meetings Missed 3 | Reason for Absence Travel

Page 7 of 11 Rev. 8/2010
35. Have you ever served on any profit or not-for-profit board? Yes ☐ No ☐ If “Yes”, state the title, date of appointment, length of service, and provide a brief description of your involvement.

CLEVELAND CLINIC FLORIDA, 2006-PRESENT

THE INSTITUTE FOR QUANTITATIVE RESEARCH IN FINANCE, 2008-PRESENT

ROSARIAN ACADEMY (Chairman for several years), 1999-2001

ENTECH, CHAIRMAN & CEO, 1991-PRESENT

PALM BEACH COUNTY INVESTMENT ADVISORY COMMITTEE, 2002-2004

36. Have you ever been a registered lobbyist or have you lobbied at any level of government at any time during the past five years? Yes ☐ No ☐ If “Yes”, please explain:

A. Did you receive any compensation other than reimbursement for expenses? Yes ☐ No ☐

B. Name of agency or entity you lobbied and the principals you represented:

<table>
<thead>
<tr>
<th>Agency Lobbied</th>
<th>Principals Represented</th>
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37. Describe your experiences and interests or elements of your personal history that qualify you for this appointment.

[Answers are not visible in the image]

38. Describe your understanding of the role of a member of a university board of trustees.

A university trustee has a fiduciary responsibility to the institution and must act in a manner consistent with that duty. A board member must be prepared to advance the interests of the university and to see that it is run in a manner consistent with sound financial and educational standards. A board member must be prepared to commit substantial time and energy to serve the interests of the university and be prepared to serve on committees necessary for the functioning of the institution. A trustee also supports the President of the institution and uses one’s best judgment in advancing the interests of the university. He or she must accept responsibility for participating in the establishment and monitoring of policies that will lead to financial and academic success.

CONFLICT OF INTEREST

39. Describe any involvement with and/or relationship to the university to which you are applying (other than as a student).

[Answers are not visible in the image]

40. Have you, or any business of which you have been an owner, officer, or employee, held any employment or contractual relationship during the last four (4) years with the university to which you are seeking appointment? Yes ☐ No ☐ If “Yes”, identify:

<table>
<thead>
<tr>
<th>Name of Business</th>
<th>Your Relationship to Business</th>
<th>Business' Relationship to University</th>
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</tbody>
</table>
41. Have members of your immediate family (spouse, child, parent(s), sibling(s)), or businesses of which members of your immediate family have been owners, officers, or employees, held any employment or contractual relationship during the last four (4) years with the university to which you are seeking appointment?  Yes ☐ No ☒ If "Yes", explain:

<table>
<thead>
<tr>
<th>Name of Business</th>
<th>Family Member's</th>
<th>Family Member's</th>
<th>Business' Relationship</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Relationship to you</td>
<td>Relationship to Business</td>
<td>to University</td>
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42. Do you know of any reason why you will not be able to attend fully the duties of the position to which you have been or will be appointed?  Yes ☐ No ☒ If "yes", explain:

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REFERENCES

43. List three persons who have known you well during the past five (5) years. Include a current, complete address and telephone number. Exclude your relatives and members of the Florida Senate.

<table>
<thead>
<tr>
<th>Name</th>
<th>Mailing Address</th>
<th>Zip Code</th>
<th>Area Code/Telephone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>MARK BUZETTA</td>
<td>BOCA RATON, FL.</td>
<td>561-213-7575</td>
<td></td>
</tr>
<tr>
<td>JEB BUSIA</td>
<td>MIAMI, FL.</td>
<td>305-416-2626</td>
<td></td>
</tr>
<tr>
<td>VICTOR ZOLLO</td>
<td>WINTER PARK, FL.</td>
<td>407-428-9962</td>
<td></td>
</tr>
</tbody>
</table>
CERTIFICATION

STATE OF  FLORIDA

COUNTY OF  PALM BEACH.

Before me, the undersigned authority, personally appeared  ROBERT GARVY  who after being duly sworn, says: 1) that he/she has carefully prepared or read the answers to the foregoing question; 2) that the information contained in said answers is complete and true; 3) that he/she will, as an appointee, fully support the Constitutions of the United States and the State of Florida. Be it further known that in signing this document the undersigned understands that a background check by the Florida Department of Law Enforcement will be performed on all nominees who are recommended to the Florida Board of Governors and that he/she has received a copy of the Board of Governors' Statement on the Collection, Use or Release of Social Security Numbers.

[Signature]
Affiant's signature

Sworn to and subscribed before me on this 12th day of OCTOBER, 2018 by

ROBERT GARVY.

[Signature of notary]
LINDA PANCARI

(typed, printed or stamped name)
Notary Public
Commission No.: [Redacted]
My Commission Expires: [Redacted]

Personally Known  [X]  OR Produced Identification __________________________

Type of Identification Produced __________________________

[Notary seal]
Statement on the Collection, Use, or Release of Social Security Numbers
(Master Document – Revised August 2010)

Florida law requires that public entities provide individuals with a written statement identifying the state or federal law governing the collection, use, or release of social security numbers for each purpose for which the entity collects an individual’s social security number. The collection of social security numbers by the Board of Governors is either specifically authorized by law or imperative for the performance of the Board’s responsibilities as prescribed by law and the Florida Constitution. The following list identifies the purposes for which social security numbers may be collected, used, or released, and the pertinent authority.

Applicants for University Board of Trustee Positions

- For Level 1 and Level 2 criminal background checks conducted by the Florida Department of Law Enforcement for employees and/or Board appointees to university boards of trustees [Required by Fla. Admin. Code 11C-6.003 and Fla. Stat. § 119.071(5) (a) 6]
- The disclosure of the social security number is expressly required by federal or state law or a court order [Authorized by Fla. Stat. § 119.071(5) (a) 6]
- The individual expressly consents in writing to the disclosure of his or her social security number [Authorized by Fla. Stat. § 119.071(5) (a) 6]